

1 **Effectiveness of the sequential 4-channel NMES compared with conventional 2-channel NMES for the**
2 **treatment of dysphagia in a prospective double-blind randomized controlled study**

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21 Running heads: Sequential 4-channel NMES for Dysphagia

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31 **Abstract**

32 Background: To date, conventional swallowing therapies and 2-channel NMES are standard
33 treatments for dysphagia. The precise mechanism of 2-channel neuromuscular electrical stimulation
34 (NMES) treatment has yet to be determined, and controversy remains over the efficacy. The
35 sequential 4-channel NMES was newly developed based on the normal contractile sequence of
36 swallowing-related muscles.

37 Objective: To evaluate and compare the rehabilitative effectiveness of sequential 4-channel NMES
38 with that of conventional 2-channel NMES.

39 Methods: In this prospective randomized case-control study, 23 subjects with dysphagia were enrolled.
40 Twelve subjects with 4-channel NMES group and eleven subjects with 2-channel NMES group
41 completed the intervention. Pretreatment and posttreatment evaluations were performed with the
42 videofluoroscopic dysphagia scale (VDS), penetration-aspiration scale (PAS), MD Anderson
43 dysphagia inventory (MDADI), functional oral intake scale (FOIS), and Likert scale.

44 Results: The sequential 4-channel NMES group significantly improved the VDS (oral, pharyngeal,
45 and total), PAS, FOIS, and MDADI (emotional, functional, and physical scale) compared with
46 pretreatment data. The 2-channel NMES group significantly improved the VDS (oral, pharyngeal, and
47 total) and MDADI (emotional, physical scale), but not the PAS and FOIS compared with pretreatment
48 data. When the two groups were directly compared, the 4-channel NMES group showed significant
49 improvement in oral and total VDS.

50 Conclusions: Sequential 4-channel NMES activating the suprahyoid, thyrohyoid, and other infrahyoid
51 muscles with proper interval time can be a new effective treatment for dysphagia.

52 Trial registration number: NCT03670498(clinicaltrials.gov)

53 **Keywords:** Deglutition; Dysphagia; Electrical stimulation

54 **Introduction**

55 Dysphagia is a common and serious problem in patients with stroke and the prevalence ranges from
56 37 to 78%.[\[1\]](#) Decreased laryngeal elevation caused by pharyngeal muscles' weakness is a main cause
57 of dysphagia in these patients, which can result in aspiration and pharyngeal residue during
58 swallowing.[\[2, 3\]](#) Till now, diverse methods, such as oropharyngeal exercises, compensatory
59 maneuvers, neuromuscular electrical stimulation (NMES), and diet control, are used for dysphagia
60 treatment.

61 To date, most of the clinical studies regarding NMES evaluated the rehabilitative effects after
62 distinct treatment sessions, and 2-channel NMES has been gaining attention for its muscle
63 strengthening effect by motor stimulation and facilitation of swallowing reflex by sensory
64 stimulation.[\[4\]](#) Freed et al. and Blumenfeld et al. indicated that transcutaneous electrical stimulation is
65 superior to conventional dysphagia management probably due to stimulation of the sensory cortex of
66 the cerebrum, recruitment of more motor units rather than volitional contractions, and an increase in
67 local blood flow.[\[5, 6\]](#) However, the precise mechanism of 2-channel NMES treatment has yet to be
68 determined, and controversy remains over the efficacy and method of stimulation.[\[7\]](#) No previous
69 studies have provided the reason for the effectiveness of co-stimulation of suprahyoid and infrahyoid
70 muscles, and a recent randomized controlled trial failed to prove the efficacy of 2-channel NMES in
71 patients with stroke.[\[8, 9\]](#) Moreover, conventional 2-channel NMES does not stimulate the muscles as
72 a physiological sequence of muscle activation during swallowing.[\[7, 10\]](#)

73 In our previous study, activations of the suprahyoid muscles develop about 150–300 ms earlier than
74 those of the infrahyoid muscles.[\[10\]](#) These sequential contractions of the suprahyoid and infrahyoid
75 muscles induce a circular motion of the hyoid bone during the normal swallowing process, which
76 moves forward-upwardly in the beginning and then backward-downwardly.[\[11\]](#) This result may
77 suggest that simultaneous stimulations of the suprahyoid and infrahyoid muscles could result in the

78 cancellation of positive effects.[12-14] But the previous 2-channel NMES stimulated swallowing
79 related muscles simultaneously, which is different from a physiologic motion. Stimulation of these
80 muscles via the 4-channel NMES may lead to better modifications of the abnormal hyoid and
81 laryngeal motion in patients with dysphagia.

82 Therefore, we hypothesize that the sequential 4-channel NMES based on normal physiology would
83 improve the hyoid and laryngeal motions during swallowing, as well as the swallowing function in
84 general. The purpose of this study was to compare and prove the superiority of sequential 4-channel
85 NMES to 2-channel NMES at pretreatment and posttreatment in a randomized double-blind clinical
86 trial.

87

88 **Methods**

89 *Study design*

90 This study was a multicenter, prospective, double-blind, randomized controlled clinical trial from
91 July 18, 2018 to August 4, 2019. It was performed at the rehabilitation unit of five teaching hospitals
92 (Seoul National University Bundang Hospital, Seoul National University Hospital, Hallym University
93 Dongtan Sacred Heart Hospital, Daegu-Patima general Hospital, and the Jeju University Hospital).
94 The study protocol was approved by the institutional review board of each hospital (IRB No.: E-
95 1806/475-002, 2018-07-012, JEJUNUH 2018-07-010, J-1810-064-979, DFH19DPOS033) and all
96 methods were performed in accordance with the relevant guidelines and regulations. It was also
97 approved by the Ministry of Food and Drug Safety in Republic of Korea and registered at
98 clinicaltrial.gov (Registration number: NCT03670498, Initial release: 09/13/2018, Actual study start
99 date: 10/01/2018, Actual study completion date: 08/04/2019, Last release: 07/23/2020). All patients or
100 their representatives provided written informed consent prior to participation. A steering committee
101 (Seoul National University Bundang Hospital) was responsible for the design, conduct, and reporting

102 of the study. Data and safety were monitored every 6 months. The datasets generated and/or analyzed
103 during the study are available from the corresponding author upon reasonable request.

104

105 ***Participants***

106 As the 4-channel NMES was first developed, there was no study before to find out the rehabilitative
107 effect of this equipment. Therefore, we randomly calculated 13 subjects in each group, considering 10%
108 of the dropouts.[15] Participants were recruited from the rehabilitation unit of five teaching hospitals.

109 Patients were eligible for study participation if they were older than 19 years, presented with cerebral
110 infarction or hemorrhage within 3 months, had at least one symptom of dysphagia such as food
111 sticking, cough with eating, and globus sensation, had confirmed dysphagia by videofluoroscopic
112 swallowing study (VFSS), had stable vital signs, agreed to participate in the present study, and gave
113 informed consent. VFSS criteria included definite presence of aspiration (presence of definite
114 aspiration (penetration-aspiration scale (PAS) ≥ 6) or presence of penetration (PAS scale, ≥ 2 and ≤ 5)
115 with residual material at vallecular pouch or pyriformis sinus) to prevent ceiling effect.[16]

116 The following patients were excluded: those who had severe cognitive dysfunction who could not
117 perform 1 step follow command, serious psychiatric disorder, previous cervical surgery, respiratory
118 difficulty, and cervical surgery. Patients who were pregnant and breast-feeding and those who had
119 cancer and allergic reaction to electrodes of NMES were excluded.

120

121 ***Randomization and masking***

122 Patients were randomly assigned to receive 4-channel NMES or 2-channel NMES (1:1) via
123 computerized random allocation sequences that were prepared by a statistician not involved in

124 participant recruitment. The randomization schedule was only accessible by 2 individuals: the
125 statistician and the primary investigator (JS Ryu). Group allocation was only accessible to
126 occupational therapists and primary investigator. All participants were blinded to the allocation and
127 four designated areas of the electrodes to guarantee masking. The study group received sequential 4-
128 channel NMES, and the control group received conventional 2-channel NMES. In addition, the
129 investigators involved in outcome assessment (JY Jang, SY Lee, D Park, KH SEO) were blinded to
130 group allocation. This study conforms to all CONSORT guidelines and reports the required
131 information accordingly (see Supplementary Checklist).

132

133 ***Equipment: Sequential 4-channel NMES and 2-channel NMES***

134 The sequential 4-channel NMES was newly developed based on the normal contractile sequence of
135 swallowing-related muscles (Supplementary Figure 1(A); STF-1000, Stratec Co, Ltd, Anyang, South
136 Korea).[10] This device has four channels that are adjustable for amplitude of current, latency, and
137 duration of electrical stimulation. The device uses four pairs of electrodes for electrical stimulation.
138 The electrodes are round in shape and 22 mm in size, and the gap between electrodes consists of two
139 forms: 0.5 cm (type 1 electrode) and 1 cm (type 2 electrode). Type 1 electrode was used for channels
140 1, 2 and 4, and type 2 electrode was used for channel 3 (Supplementary Figure 1(B); One Bio Medic
141 Co., Ltd, Bucheon-si, Gyeonggi-do, South Korea).

142 The location of the electrodes was determined using both anatomical landmarks (attachment of each
143 muscle) and manual palpitation. Channel 1 (right) and channel 2 (left) electrodes were placed superior
144 to the hyoid bone and posterior to the mandible with 1-cm interval from the midline, which were
145 targeted for bilateral digastric and mylohyoid muscles. Channel 3 electrodes were placed on bilateral
146 superior pole of the thyroid cartilage for bilateral thyrohyoid muscles, and channel 4 electrodes were
147 placed medial to the sternocleidomastoid muscle and inferior to the thyroid cartilage, which were

148 targeted for the other infrahyoid muscles (sternohyoid, omohyoid, and sternothyroid muscles) (Figure
149 1(A)). In a previous study, a 2-channel NMES on submental and throat stimulations showed better
150 outcome than submental stimulation. The real electrical stimulation was applied to two sets of
151 electrodes attached to the suprahyoid and thyrohyoid muscles (Figure 1(B)).[9] Other electrodes used
152 for sham were only attached, but electrical stimulation was not applied.

153 Electrical stimulation algorithm is based on a previous study.[10, 17] Channels 1 and 2 start
154 electrical stimulation first, and channels 3 and 4 start their stimulations 150 ms and 250 ms later,
155 respectively. The stimulation of channels 1 and 2 lasts 1200 ms, and the stimulations of channels 3
156 and 4 last 1050 ms and 950 ms, respectively. Therefore, channel 1–4 stimulation ends simultaneously
157 in one sequence.[10] Two-channel NMES (Vitalstim®; Chattanooga Group, Hixson, TN, USA) and 4-
158 channel NMES had the same stimulation parameters. The electrical stimulus of NMES device had a
159 continuous symmetric biphasic waveform. The pulse frequency was 80 Hz, and the pulse interval was
160 700 μ s. The amplitude for each channel could be independently adjusted between 0 and 25 mA.[18]

161

162 ***Interventions***

163 All participants received 2- or 4-channel NMES for 2–3 weeks (minimal session: 7 times, treatment
164 duration: 420 min–840 min). Treatment durations and daily sessions were different among the 5
165 rehabilitation units and general patients' condition. For example, some patients received once or twice
166 daily 30 min, once or twice daily 40 min, or once daily 60 min treatment. Some patients had difficulty
167 in once daily or twice daily treatment for fatigue or general medical condition. When the participants
168 received once daily 40 min treatment for 2 weeks (10 times) and once daily 60 min treatment for 2
169 weeks, the treatment durations were 400 and 800 min, respectively. We considered a treatment margin
170 of 20 min. Therefore, we set 420 min and 840 min as the minimal and maximal treatment durations,
171 respectively.

172 Each participant was familiarized with the expected sensations upon use of the surface electrical
173 stimulation unit. Before starting a recording, the stimulation intensity was gradually increased until
174 the participants felt a tugging sensation. The intensity level was then further increased until the
175 participants felt that any additional increase would not be sensed, yielding the maximum tolerance
176 level similar to previous studies.[7, 18, 19] This maximum tolerance level was used and recorded for
177 all electrode pairs. In addition to NMES, the patients also performed conventional swallowing
178 therapies or maneuvers such as chin tuck, multiple swallowing, effortful swallowing, supraglottic
179 swallowing, Shaker’s exercise, and the Mendelsohn maneuver depending on the clinical symptoms
180 and VFSS findings. NMES and conventional therapies were given simultaneously by the same
181 occupational therapist for each subject.

182

183 ***Outcome measures***

184 Clinical and VFSS evaluations were performed before and after intervention within 1 week. The
185 maximal duration between initial and follow-up evaluation was 4 weeks to minimize natural recovery.
186 For clinical evaluations, MD Anderson dysphagia inventory (MDADI) was administered to assess
187 quality of life in dysphagia and Likert scale (0–5) to measure satisfaction.[20]

188 For VFSS, subjects were seated upright in a neutral head position under a fluoroscopic machine. All
189 fluoroscopic images of swallows were digitally recorded. Each VFSS was performed using the
190 following boluses to swallow sequentially: extremely thick fluid (International dysphagia diet
191 standardization initiative (IDDSI) 4); dysphagia I diet (IDDSI 4, pureed); dysphagia II diet (IDDSI 5,
192 minced and moist); dysphagia III (IDDSI 7, regular); mildly thick (IDDSI 2); and thin fluid (IDDSI
193 0).[21] Each patient received an initial 3-mL bolus, followed by two 5-mL boluses. Fluids (thick,
194 nectar-like, and thin) were delivered using 10-mL syringes; patients with dysphagia of grades I, II, or
195 III were fed with spoons.

196 We analyzed the VFSS video using the videofluoroscopic dysphagia scale (VDS), functional oral
197 intake scale (FOIS) and PAS. VDS quantifies the severity of dysphagia; VDS is a 14-item scale
198 representing oral and pharyngeal functions that can be observed by VFSS.[22] PAS is an 8-point,
199 equal-appearing interval scale for describing any penetration and aspiration events.[16] By analyzing
200 these two scales, quantitatively analyzing the deglutition function is possible. All VFSS evaluations
201 were performed by two researchers in two groups who certified the modified barium swallow
202 impairment profile (MBSImPTM). Thus, our results for each group are mean scores by two researchers.
203

204 *Statistical analysis*

205 SPSS 21.0 software (SPSS Inc, Chicago, IL, USA) was used for all statistical analyses. Because the
206 number of patients is small, we used non-parametric statistics. The Wilcoxon signed-rank test was
207 used to compare the pretreatment and posttreatment evaluations. The Mann-Whitney test was used to
208 compare the differences of VFSS variables, VDS, and PAS variables between the 4- and 2-channel
209 NMES groups. The results are presented as the mean \pm standard deviation. p values of less than 0.05
210 were considered statistically significant.

211

212 **Results**

213 A total of 26 participants (13 for 4-channel groups and 13 for 2-channel groups) were initially
214 enrolled; 1 participant in the 4-channel NMES group dropped out due to aggravated dizziness, and 2
215 participants dropped out due to too much sweating during NMES session and stroke aggravation.
216 Therefore, 12 participants in the 4-channel NMES group and 11 participants in the 2-channel NMES
217 group completed the clinical trial (Figure 2).

218 The demographic data of the participants are presented in Table 1. The average ages of the 4- and 2-

219 channel NMES group were 64.9 ± 16.5 years and 60.6 ± 14.2 years, respectively. The disease
220 durations of the 4- and 2-channel NMES groups were 49.2 ± 109.1 and 32.6 ± 24.8 days, respectively.
221 Initial VDS scores of the 4- and 2-channel groups were 63.6 ± 15.10 and 51.3 ± 15.7 and initial PAS
222 scores were 5.7 ± 2.2 and 4.4 ± 2.7 , respectively. In all areas examined before we started the NMES,
223 both groups of pre-clinical trial evaluations showed no significant differences ($p > 0.05$) (Tables 1, 2).

224 In the comparison between before and after treatment, oral, pharyngeal, and total VDS scores were
225 significantly improved in both groups ($p < 0.05$, Figure 3(A)). However, PAS and FOIS were
226 significantly improved only in the 4-channel NMES group after treatment ($p < 0.05$, Figure 3(B)).
227 Although subsets of MADi (emotional, functional, physical subsets) were significantly improved in
228 the 4-channel NMES group, only emotional and physical subsets were significantly improved in the
229 2-channel NMES group (Table 2)

230 When we compared the changes of improvements between the two groups, oral VDS and total VDS
231 score significantly improved in the 4-channel NMES group than in the 2-channel NMES group ($p <$
232 0.05). PAS and FOIS showed higher improvement in the 4-channel NMES group than in the 2-
233 channel NMES group, but did not reach a significant level (p values of PAS and FOIS: 0.21 and 0.051,
234 respectively). In MDADI, no significant difference was found between the two groups (Table 3).

235

236 **Discussion**

237 This is the first randomized, double-blind, parallel group, controlled trial that directly compared the
238 rehabilitative effect of sequential 4-channel NMES with conventional 2-channel NMES. In the
239 present study, clinical improvement was observed via sequential 4-channel NMES and 2-channel
240 NMES in VDS. Only the sequential 4-channel NMES group showed significant improvement in PAS,
241 FOIS, and subset of MDADI (functional) after treatment. When we directly compared the
242 improvement between the two groups, the 4-channel group was superior to the 2-channel group in

243 oral and total VDS.

244 PAS improvement in sequential 4-channel NMES is a very important finding. Previous studies on
245 2-channel NMES showed conflicting results. Some studies have proven effectiveness, [23, 24] but
246 others have shown no effect.[8, 25] The reason might depend on the application site and methods of
247 NMES. In a previous study, NMES on submental placement alone does not change the PAS and
248 National Institutes of Health-swallowing safety scale (NIH-SSS). However, submental and throat
249 placements show a significant improvement in the NIH-SSS, but PAS is unchanged.[9] Two-channel
250 NMES improves pharyngeal peristalsis and cricopharyngeal functions at the esophageal entry but
251 does not affect the elevation of the hyolaryngeal complex, which has correlation with aspiration or
252 penetration.[9, 13]

253 In a previous study that used 4-channel NMES by connecting two sets of 2-channel NMES
254 suggested that the sequential 4-channel NMES facilitates the movement of hyolaryngeal structures
255 during swallowing.[17] However, this system was made by combining two sets of 2-channel NMES;
256 therefore, we could not adjust each channel. Thereby, we activated the first two channels for 1400
257 ms, then 300 ms later, and the third and fourth channels were activated for 1100 ms. The biggest
258 difference from the previous study is that we stimulated the third channel, which is attached to the
259 thyrohyoid muscle in 150 ms after stimulation of the first and second channels. A previous study
260 evaluated during the effect, but the present study evaluated rehabilitative effect, which is commonly
261 used for conventional 2-channel NMES.

262 The stimulation algorithm of sequential 4-channel NMES is based on normal contractile sequence.
263 In the EMG analysis, the activations of the suprahyoid muscles developed about 150 ms and 350 ms
264 earlier than those of the thyrohyoid and other infrahyoid muscles (sternohyoid and sternohyoid
265 muscles). After 1400 ms of suprahyoid muscles' contraction, all of these muscles stop their
266 contractions simultaneously.[10] These sequential contractions of the suprahyoid and infrahyoid

267 muscles accomplish the circular motion of the hyoid bone. The thyrohyoid muscle assists laryngeal
268 elevation, and other infrahyoid muscles, such as sternohyoid, sternothyroid, and omohyoid muscles,
269 assist in prolonged laryngeal elevation and upper esophageal sphincter opening.[10, 26] This concept
270 was verified by using kinematic and pressure analyses in a previous study.[17] Thus, the contractions
271 of the thyrohyoid and other infrahyoid muscles, which have proper interval time with those of the
272 suprahyoid muscles, may be important for dysphagia treatment, and our results verified the
273 improvement of laryngeal complex for aspiration or penetration.[10]

274 The only muscle that elevates the larynx to the hyoid is the thyrohyoid muscle, which lies beneath
275 the strap muscles, such as sternohyoid, sternothyroid, and omohyoid muscles.[9] In 2-channel NMES,
276 simultaneous stimulation of submental and throat regions showed hyolaryngeal descent because
277 sternohyoid and omohyoid stimulations exceeded the hyolaryngeal elevation effects. In other words,
278 electrodes over the anterior neck might activate the sternohyoid and omohyoid rather than thy-
279 rohyoid and suprahyoid muscles. This hyolaryngeal descent is not a physiologic motion; therefore,
280 the main mechanism of 2-channel NMES is strengthening of swallowing-related muscles.[27]
281 However, theoretically, as 4-channel NMES uses normal contractile algorithm, the main mechanism
282 of 4-channel NMES is not only strengthening of the supra and infrahyoid muscles but also increasing
283 coordination of swallowing-related muscles.

284 In the present study, oral VDS and total VDS were significantly improved in the 4-channel NMES
285 group compared with the 2-channel NMES group. Tongue-based pressure has been previously turned
286 out to be important when the tongue comes in contact with the posterior pharyngeal wall, squeezing
287 out the bolus through the pharynx.[28] Alterations in tongue coordination, strength, and pressure
288 generation may result in a disruption of bolus movement from the oral cavity to the pharynx and result
289 in increased risk of aspiration before or after swallowing.[29] Also, a previous study showed that a
290 greater tongue strength results in a greater activation of the suprahyoid muscle during swallowing.[30]
291 In the present study, one channel was attached to the suprahyoid muscles in 2-channel NMES, and the

292 first and second channels were attached to the bilateral suprahyoid muscles in 4-channel NMES.
293 Considering that the effective depth of NMES is directly proportional to the distance between
294 stimulation electrodes,[31] a wider placement of the coupled electrodes might more effectively
295 stimulate not only the suprahyoid muscles but also the genioglossus or tongue muscles. Therefore,
296 more channels and more covered lesion of the suprahyoid lesion in the sequential 4-channel NMES
297 seem to induce effective contraction of the genioglossus and tongue muscles, which is highly
298 correlated with tongue motion and is able to improve the strength of the tongue base, thereby resulting
299 in superior efficacy in oral VDS scores.

300 In the present study, one participant in 2-channel NMES dropped out due to discomfort during
301 NMES. In the 4-channel NMES group, aggravated dizziness was not related to NMES; therefore, no
302 complication was developed. As sequential 4-channel NMES stimulated swallowing-related muscles
303 with normal contractile algorithm, thereby inducing physiologic motion, less discomfort developed.
304 Although the sample size was small and complication rate was negligible, 4-channel NMES is a safe
305 and well-tolerated treatment method for dysphagia.

306 Originally, the purpose was to calculate the number of subjects in a future clinical trial to confirm
307 the superiority of 4-channel NMES for future clinical trials. However, as 4-channel NMES
308 sequentially stimulates swallowing-related muscles functionally and uses multi-channel for
309 strengthening effect, our study verified the superiority of 4-channel NMES to 2-channel NMES with
310 a small sample size. Follow-up study to verify the effectiveness of 4-channel NMES for pharyngeal
311 phase is required.

312 This study has some limitations. First, a small number of subjects were included as this trial was a
313 pilot study. As a result, pharyngeal VDS and FOIS were not significantly different between the two
314 groups. However, the small number was enough to prove the superiority of 4-channel NMES in oral
315 and total VDS. A follow-up study is required to verify the significant improvement of pharyngeal

316 VDS and FOIS. Second, treatment duration and daily sessions were different among the 5
317 rehabilitation units and general patients' condition. To manage this limitation, we did not use
318 treatment frequency and time for the amount of NMES treatment, but used 420 min and 840 min as
319 minimal and maximal treatment durations, respectively.

320

321 **Conclusion**

322 Compared with 2-channel NMES, sequential 4-channel NMES showed significant clinical
323 improvement in PAS and VDS. It was superior to conventional 2-channel NMES, especially with
324 respect to penetration or aspiration and oral function. PAS is important for dysphagic patients with
325 regard to aspiration pneumonia and comorbidity. Sequential 4-channel NMES activating the
326 suprahyoid, thyrohyoid, and other infrahyoid muscles with proper interval time is an effective
327 treatment for dysphagia. It is a potentially effective treatment for dysphagia in patients with stroke.
328 Further investigations involving larger population are needed to obtain better results.

329

330 **Abbreviation**

331 NMES: Neuromuscular electrical stimulation;

332 VFSS: videofluoroscopic swallowing study;

333 PAS: penetration-aspiration scale;

334 MDADI: MD Anderson dysphagia inventory;

335 IDDSI: International dysphagia diet standardization initiative;

336 VDS: videofluoroscopic dysphagia scale;

337 FOIS: functional oral intake scale;

338 NIH-SSS: National Institutes of Health-swallowing safety scale.

339 **Declaration section**

340 **Ethics approval and consent to participate**

341 The study protocol was approved by the institutional review board of each hospital (IRB No.: E-
342 1806/475-002, 2018-07-012, JEJUNUH 2018-07-010, J-1810-064-979, DFH19DPOS033) and all
343 methods were performed in accordance with the relevant guidelines and regulations. All patients or
344 their representatives provided written informed consent prior to participation. It was also approved by
345 the Ministry of Food and Drug Safety in Republic of Korea.

346

347 **Consent for publication**

348 Not applicable.

349

350 **Availability of data and materials**

351 All data in this study is available after de-identification upon reasonable request.

352

353 **Competing interests**

354 No commercial party having a direct financial interest in the results of the research supporting this
355 article has or will confer a benefit upon the authors or upon any organization with which the authors
356 are associated.

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362

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467 Figure 1. Locations of the electrode attachment. (A) Channel 1 (right) and channel 2 (left) electrodes
468 were placed superior to the hyoid bone and posterior to the mandible with 1-cm interval from the
469 midline, which were targeted for bilateral digastric and mylohyoid muscles. Channel 3 electrodes
470 were placed on bilateral superior pole of the thyroid cartilage for the bilateral thyrohyoid muscles, and
471 channel 4 electrodes were placed on medial to sternocleidomastoid muscles and inferior to the thyroid
472 cartilage, which were targeted for the other infrahyoid muscles (sternohyoid, omohyoid, and
473 sternothyroid muscles). (B) In 2-channel NMES system, channel 1 and 2 electrodes were attached to
474 the suprahyoid and thyrohyoid muscles, respectively. Other electrodes were attached to the same
475 location as 4-channel NMES system, but stimulations were only applied to channel 1 and 2 electrodes.

476 Figure 2. Flow of patients through the trial.

477 Figure 3. Comparison of improvements between pretreatment and posttreatment value in the 4-
478 channel and 2-channel NMES groups. (A) Oral, pharyngeal, and total VDS scores were significantly
479 improved in both groups ($p < 0.05$). (B) However, PAS and FOIS were significantly improved only in
480 the 4-channel NMES group after treatment ($p < 0.05$).

481 Supplementary Figure 1. Sequential 4-channel NMES device. (A) The device has four channels that
482 are adjustable for amplitude of current, latency, and duration of electrical stimulation. Sequential 4-
483 channel NMES uses four pairs of electrodes for electrical stimulation. (B) The electrodes are round in
484 shape and 22 mm in size. The gap between electrodes consists of two forms: 0.5 cm (type 1 electrode)
485 and 1 cm (type 2 electrode). Type 1 electrode was used for channels 1, 2, and 4, and type 2 electrode
486 was used for channel 3.