

Comparison of Changes in Lipid Profiles of Eastern Chinese Premenopausal Women with Early-Stage Breast Cancer Treated with Different Endocrine Therapy: A Retrospective Study

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2 Early-Stage Breast Cancer Treated with Different Endocrine Therapy: A Retrospective Study

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13

14 Abstract

15 Background: Adjuvant endocrine therapy improves the prognosis of early breast cancer with
16 hormone receptor positivity. However, there is no systematic report on the effect of endocrine
17 therapy (especially ovarian function suppression, OFS) on serum lipids in premenopausal
18 women. This retrospective cohort study aimed to determine whether various endocrine
19 treatments had different effects on blood lipids in young premenopausal breast cancer
20 patients.

21

22 Methods: This study enrolled 160 premenopausal patients with stage I-III breast cancer in
23 eastern China. The initial diagnostic information was retrieved from patient's medical records,
24 including age at the time of diagnosis, tumor characteristics, anticancer treatment, weight and

25 height, and past medical history. They have no history of cardiovascular disease. The changes
26 of blood lipids in the types of endocrine therapy were compared at the 3rd, 6th, 12th, and
27 24th months after the start of endocrine therapy. Generalized Linear Mixed Model (GLMM)
28 was used in analyses

29

30 Results: Our data revealed that LDL-C of patients with TAM group in the 6th, 12th, and 24th
31 months was significantly lower than that in the 3rd month, while HDL-C in the 6th, 12th, and
32 24th months was significantly higher than that in the 3rd month, indicating that blood lipid
33 levels generally improved with time. While in TAM plus OFS group, HDL-C in the 24th month
34 was significantly higher than that in the 3rd month, TC in the 24th month was significantly
35 higher than that in the 6th month. The lipid profiles of OFS plus AI group did not show
36 significant differences at any time point but were significantly higher than those of the other
37 two groups.

38

39 Conclusions: TAM group tended to have lower serum lipid levels. With longer follow-up, no
40 statistically significant difference in values at various time points was observed between TAM
41 and TAM plus OFS groups. Compared with the other two groups, OFS plus AI group
42 presented an increasing trend toward LDL-C and TC. The risk of dyslipidemia requires further
43 investigation using a large sample size.

44

45 Keywords: breast cancer; aromatase inhibitors; serum lipids; ovarian function suppression

46

47 Background

48

49 The International Agency for Research on Cancer (IARC) recently released the latest global
50 cancer burden data for 2020[1]. Breast cancer (BC) now accounts for nearly 2.26 million new
51 cases worldwide, surpassing lung cancer to become the most common cancer in the world.
52 The incidence and mortality rate of breast cancer both rank the first in female malignant
53 tumors in China [2], and hormone receptor-positive (HR+) breast carcinoma is the most
54 common subtype accounting for 60% of all breast cancers [3]. Adjuvant endocrine therapy
55 should be used in patients with HR+ BC to reduce the risk of disease progression and
56 recurrence[4]. Endocrine therapy for premenopausal breast cancer patients, including
57 tamoxifen, ovarian function suppression, and aromatase inhibitors, are based on lowering
58 circulating estrogen levels. However, previous research indicates that oestrogens possess a
59 cardiovascular protective effect [5]. Reduced oestrogen levels may result in dyslipidemia,
60 which increases the risk of cardiovascular disease, and atherosclerotic cardiovascular disease
61 (ASCVD) incidence increased rapidly concurrently[6]. With the improvement of postoperative
62 survival rate of BC patients, it is critical to pay adequate attention to chronic diseases such as
63 dyslipidemia and cardiovascular disease [7]. Cardiovascular disease-related death has
64 become the first cause of death in BC patients, especially in postmenopausal women[8].
65 Some hormone receptor-positive postmenopausal BC patients receive aromatase inhibitors
66 (AIs) as endocrine therapy[9]. Numerous studies have demonstrated that postmenopausal
67 breast cancer women treated with aromatase inhibitors had an increased risk of heart failure
68 and cardiovascular events through elevated serum lipids [10]. There is evidence that

69 hypercholesterolemia during AIs treatment may reduce the desired outcome of AIs [11]. Also,
70 the primary metabolite of cholesterol can regulate estrogen receptor activity [12]. However,
71 no large-scale study has been conducted on the impact of endocrine therapy (especially OFS)
72 on blood lipids in premenopausal BC patients [13]. This study retrospectively analyzed lipid
73 profiles of premenopausal BC patients who received different endocrine therapies in eastern
74 China.

75

76 Material and Methods

77

78 Study Participants and Design

79

80 We retrospectively analyzed premenopausal women with early-stage breast cancer who
81 started endocrine therapy in the Second Affiliated Hospital of Zhejiang University from
82 January 1, 2013, to December 31, 2017. Eligible patients were premenopausal, had hormone
83 receptor-positive early-stage breast cancer, and received tamoxifen (TAM), ovarian function
84 suppression (OFS) with TAM or OFS with an aromatase inhibitor (AI) as initial adjuvant
85 endocrine therapy (Fig 1). Exclusion criteria included patients who suffered from another
86 malignancy or tumor recurrence [14], patients with dyslipidemia, certain cardiovascular
87 disease (including coronary artery disease, stroke, and hypertensive heart disease), diabetes
88 mellitus combined with target organ damage, and chronic kidney disease, smokers, and
89 patients taking lipid-altering drugs. Patients were excluded from this lipid analysis if the lipid
90 profile data were unavailable for any time points, including 3, 6, 12, and 24 months after

91 endocrine treatment initiation.

92 Patients were stratified based on which type of endocrine therapy they were taking during a

93 2-year follow-up, including TAM, tamoxifen plus ovarian suppression (OFS plus TAM), and

94 aromatase inhibitor plus ovarian suppression (OFS plus AI). For each patient at baseline, we

95 obtained medication history, disease history, physical examinations, laboratory test results,

96 pathological results, and immunohistochemistry results (Table 1). We then collected blood

97 lipid indexes tested in the Second Affiliated Hospital of Zhejiang University. Following that,

98 we analyzed the levels of total cholesterol (TC), high-density lipoprotein cholesterol (HDL-C),

99 low-density lipoprotein cholesterol (LDL-C), and triglyceride (TG) at the four times.

100 Researchers recommended that participants with sharply elevated blood lipid levels seek

101 clinical consultation from the cardiovascular department during follow-up (3, 6, 12, and 24

102 months after initiation of endocrine treatment). Patients were excluded if they had apparent

103 dyslipidemia or other symptoms requiring treatment during follow-up, and we would advise

104 them to seek medical attention in a timely manner.

105

106 Table 1. Patient and Treatment Characteristics

Characteristics	TAM (N=112) N(%)	TAM+OFS (N=37) N(%)	OFS+AI (N=11) N(%)
Age (Median[IQR])	43[41-47]	38[34-42]	40[37-46]
BMI (Mean±SD)	21.95±2.56	22.15±2.72	21.52±2.97
Surgery			
conserving	56 (50)	14 (37.84)	5 (45.45)
mastectomy	56 (50)	23 (62.16)	6 (54.55)
T(tumor)			
T1	81 (72.32)	24 (64.86)	9 (81.82)
T2	25 (22.32)	13 (35.14)	1 (9.09)
T3	3 (2.68)	0	1 (9.09)
unknown	3 (2.68)	0	0

N(node)			
N0	78 (69.64)	17(45.95)	9 (81.82)
N1	31 (27.68)	19(51.35)	2 (18.18)
N2	0	1(2.70)	0
unknown	3 (2.68)	0	0
HER2			
+	88(78.57)	33(89.19)	10 (90.91)
-	24(21.43)	4(10.81)	1 (9.09)
Radiotherapy			
Yes	72(64.29)	25(67.57)	10 (90.91)
No	40(35.71)	12(32.43)	1 (9.09)
Chemotherapy			
Yes	97(86.61)	37(100)	10(90.91)
No	15(13.39)	0	1 (9.09)

107

108 Methods

109 Direct methods were employed to measure LDL-C and HDL-C levels. Serum TG was
 110 detected using glycerol-phosphoric acid oxidase peroxidase method, and TC was detected
 111 using cholesterol oxidase method.

112

113 Statistical Analyses

114 Basic descriptive statistics, including mean and standard deviation (SD), were utilized to
 115 characterize study participants. Generalized Linear Mixed Model (GLMM) compares lipid level
 116 variables with different endocrine therapies at different time points. Statistical analyses were
 117 performed using SPSS 25.0 for Windows. $P < 0.05$ was considered statistically significant.

118

119 Results

120

121 Study Population

122 Of 631 women enrolled in the study, 160 possessed complete follow-up. The baseline
123 characteristics for individual TAM (n = 112), OFS plus TAM (n = 37), and OFS plus AI (n = 11)
124 groups are presented in Table1. 5-year tamoxifen administration was the first
125 recommendation for adjuvant endocrine treatment of early breast cancer, implying the high
126 number of people in the tamoxifen group.

127 Lipid Profiles

128 We analyzed the time point of the third month after starting endocrine therapy, and no
129 significant difference ($P>0.05$) in the blood lipid profile was observed among the groups.
130 Accordingly, we take the blood lipid at the third month as the baseline to explore long-term
131 change trend. For these three different endocrine treatments, the average and standard
132 deviation of blood lipid spectrum are displayed in Table 2.

133 During endocrine therapy, the overall TC at the 24th month was significantly higher than that
134 at the other three time points ($P<0.05$), and the average TC value of OFS plus AI group at the
135 12th month was 5.10 mmol/L, significantly higher than that of TAM (4.53 mmol/L, $P=0.048$)
136 and TAM plus OFS (4.45 mmol/L, $P=0.041$) groups at the same period. Similarly, TC value of
137 OFS plus AI group at the 24th month was 5.36 mmol/L, significantly higher than that of TAM
138 (4.54 mmol/L, $P=0.007$) and TAM plus OFS (4.60mmol/L, $P=0.019$) groups. TC value of TAM
139 plus OFS group at the 24th month (4.60 mmol/L) was significantly higher than that at the 6th
140 month (4.39 mmol/L, $P=0.043$), and TC value of OFS plus AI group at the 24th (5.36 mmol/L)
141 month was significantly higher than that at the 3rd (4.88 mmol/L, $P=0.014$) and 6th months
142 (4.91 mmol/L, $P=0.017$).

143 The average HDL-C value in TAM group at 24th months (1.51mmol/L) was significantly higher

144 than that at 3rd (1.38mmol/L, P<0.001), 6th (1.40 mmol/L, P<0.001), and 12th months (1.43
145 mmol/L, P<0.001), and HDL-C value at 12 months was significantly higher than that at 3
146 months (P=0.018). The average HDL-C of TAM group respectively showed a gradually
147 increasing trend. The average HDL-C value in TAM plus OFS group at the 3rd month (1.42
148 mmol/L) was significantly lower than that at the 24th month (1.51 mmol/L, P=0.015).
149 LDL-C values of OFS plus AI group were significantly higher than those of TAM plus OFS
150 (P=0.009) and TAM groups (P=0.007) as a whole. Average LDL-C values of OFS plus AI group
151 demonstrated a gradually increasing trend, while LDL-C values of TAM group at the 3rd
152 month were significantly higher than those at the later observation time points (P<0.05).
153 Different groups revealed an opposite trend (Figure2). LDL-C level remained stable at all time
154 points in OFS plus TAM group.
155 In addition, no significant difference in the absolute value of TG was observed between the
156 three groups at each evaluation point and among different regiments, but all fluctuated within
157 a small range.

158

159 Discussion

160

161 Tamoxifen has been the gold standard of adjuvant endocrine therapy for premenopausal BC
162 patients with relatively low risk of recurrence [15]. As known, it has been confirmed that LDL-
163 C is independently associated with CVD risk [16]. Our data reveal that LDL-C of patients with
164 TAM group in the 6th, 12th, and 24th months is significantly lower than that in the 3rd month,
165 and HDL-C in the 6th, 12th, and 24th months is significantly higher than that in the 3rd month.

166 Generally, the overall blood lipid level improves over time with tamoxifen treatment, which
167 is in accordance with previous reports. Numerous studies have demonstrated that TAM
168 improves lipoprotein metabolism [17]. Tamoxifen use has been associated with decreased
169 LDL-C and TC levels and an increase in the relative amount of HDL-C. Vehmanen L et al.
170 stated that adjuvant tamoxifen therapy initiated following chemotherapy decreased the
171 increased TC and LDL-C concentrations to pre-chemotherapy levels, whereas TC and LDL-C
172 remained elevated in the control group (no endocrine or other therapy) [18]. Additionally,
173 EBCTCG data indicated that adjuvant tamoxifen reduced non-breast cancer mortality by 12%±
174 6%probably due to tamoxifen's effects in preserving bone mineral density and reducing TC
175 levels and cardiovascular events. The lipid profile changes associated with tamoxifen use may
176 significantly reduce mortality from coronary artery disease [19]. According to data from
177 Scottish adjuvant tamoxifen trial, tamoxifen may reduce the incidence of fatal myocardial
178 infarction in postmenopausal women compared with control patients, as well as a significant
179 reduction in the incidence of hospital admissions for cardiac disease in postmenopausal
180 women treated with tamoxifen compared with controls [20]. Similarly, another clinical study
181 demonstrated that toremifene might be beneficial in reducing cardiovascular risk [21]. At the
182 Royal Marsden Hospital, a blinded randomized study comparing tamoxifen 20 mg daily to
183 placebo in 200 healthy women demonstrated that tamoxifen significantly reduced serum TC
184 and LDL-C in premenopausal women [22]. These studies corroborate our findings. Although
185 only a few patients used toremifene as an endocrine drug, some studies indicate that
186 tamoxifen and toremifene appear to have the opposite effect on LDL-C and TC[23].

187

188 With a longer follow-up, no statistically significant difference in values at various time points
189 was observed between the TAM group and TAM plus OFS group. A clinical trial has revealed
190 no statistically significant differences in blood lipid levels between TAM-alone and goserelin
191 plus TAM groups [24]. That is in accordance with our data. We conjecture that the positive
192 effects of TAM compensated for adverse effects of OFS.

193

194 What is more, we are much more concerned on changes in lipid profiles in OFS plus AI group.
195 During follow-up of long-term endocrine therapy (24 months), there were no significant
196 differences in the lipid profiles of the OFS plus AI group at any time point, but LDL-C values
197 exhibited with an upward trends . Serum TC and LDL-C were significantly higher in patients
198 treated with OFS plus AI than the other two groups (TAM group and TAM plus OFS group),
199 implying a potential risk of dyslipidemia. The large-scale phase III prospective study SOFT trial
200 reveals that 66% of premenopausal patients treated with exemestane plus triptorelin exhibited
201 a profound, persistent reduction in E2 levels during the first 12 months of treatment,
202 significantly greater than in tamoxifen plus triptorelin group at all time points [25]. This could
203 be a contributing factor to dyslipidemia. However, the number of enrolled patients in OFS
204 plus AI group was small, influencing the statistical conclusions.

205

206 Compared with tamoxifen, AI use was associated with an increased cumulative risk of
207 cardiovascular disease [26]. ITA and ACTC trials demonstrated that hypercholesterolemia was
208 significantly more prevalent in anastrozole group over tamoxifen group among
209 postmenopausal patients [27], although the latter did not indicate an increased incidence of

210 cardiovascular events [28]. However, no significant difference in the rate of cardiovascular
211 events was observed between letrozole and placebo groups, and no drug-related
212 hypercholesterolemia was reported [29]. In some animal and clinical studies, exemestane has
213 shown minimal adverse effects among AIs on lipid metabolism [30]. Premenopausal women
214 will not benefit from these clinical trial findings because their hormone levels are higher than
215 postmenopausal women [31]. Using AIs could theoretically increase the risk of dyslipidemia
216 in premenopausal women whose hormones have reached menopausal levels following OFS
217 therapy. Our study observed increased lipid levels in this group compared with the other two
218 groups and at each observation point. However, the absence of a significant difference at
219 each time point in OFS plus AI group could be explained by the fact that this group consisted
220 of only too small samples. On the other hand, different AIs may have varying effects on blood
221 lipids [32] and other safety concerns [33]. According to some studies, anastrozole is less toxic
222 than exemestane and letrozole, consistent with previous reports [34]. AIs are associated with
223 more CVD risk than tamoxifen [35].

224

225 TAM protects blood lipids during endocrine therapy, probably because its structure is similar
226 to that of estrogen. It can compete with estradiol for estrogen receptor, form a stable complex
227 with estrogen receptor, and perform an estrogenic function. Extensive evidence indicates that
228 estrogen has a positive effect on blood lipids [36], consistent with existing research results.
229 This introduces a novel concept into clinical decision-making. This was a prospective clinical
230 study comparing effects with exemestane plus ovarian suppression versus tamoxifen plus
231 ovarian suppression, and dyslipidemia or CVD were not listed in adverse events of grade 3 or

232 4 [37]. However, if a patient possesses a high risk of cardiovascular diseases, such as smoking,
233 hypertension, hyperglycemia [38], and obesity, clinicians may consider TAM an endocrine
234 therapy when the risk of recurrence is similar. Estrogen receptor genotyping may help predict
235 which premenopausal women would benefit more from tamoxifen [39]. When BC patients
236 experience significant weight gain or are diagnosed with abnormal lipid metabolism during
237 adjuvant endocrine treatment, short-term interventions such as behavior improvement
238 (diet/exercise) can benefit BMI and blood lipids[40]. Even using long-term statin can improve
239 OS and DFS. Although a high lipid level may be a favorable prognostic factor, the increased
240 risk of CVD was detrimental[41].

241

242 Conclusions:

243 For premenopausal hormone receptor-positive BC patients, TAM endocrine therapy
244 demonstrated significant short- and long-term protective effects on serum lipids, as
245 evidenced by a gradual decrease in LDL-C and increased HDL-C with prolonged medication
246 time. No statistically significant difference in lipid profiles was observed between follow-up
247 time points with OFS plus TAM treatment. OFS plus AI group revealed significantly higher TC
248 and LDL-C levels at almost all time points compared with the above two treatments,
249 suggesting that the combined treatment had a detrimental effect and may increase the risk
250 of cardiovascular events. Different endocrine drugs and standard combinations will affect
251 serum lipids, providing us with a starting point for further investigation.

252

253 The sample size remains small, highlighting the need for its expansion for further assessment,

254 such as a comparison among OFS plus different AIs. Steroidal and non-steroidal AIs differ in
255 chemical structure and mechanism, which may affect blood lipids[42]. As a result, randomized
256 controlled trials are required to verify existing findings[43]. Generally, long-term management
257 and follow-up are necessary to improve survival[44].

258

259 Abbreviations:

HDL-C High-density lipoprotein cholesterol

LDL-C Low-density lipoprotein cholesterol

GLMM Generalized Linear Mixed Model

TAM tamoxifen

TC cholesterol

HR+ hormone receptor-positive

TG triglyceride

AI aromatase inhibitor

OFS ovarian function suppression

BC breast cancer

IARC The International Agency for Research on Cancer

ASCVD atherosclerotic cardiovascular disease

260

261 Declarations:

262 Ethics approval and consent to participate

263 The institutional review board of the Second Affiliated Hospital of Zhejiang University

264 approved the study. All procedures performed in studies involving human participants were

265 in accordance with the 1964 Declaration of Helsinki and its later amendments or comparable

266 ethical standards.

267

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270

271 Authors' contributions

272 WT and KW contributed equally to this work. SZ and WT came up with the idea, KW and LS

273 analyzed most of the data. KW drafted the manuscript. YW was a contributor in organizing

274 the database and study. All authors read and approved the final manuscript.

275

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278

279 Availability of data and materials

280 The dataset analyzed in this study can be reasonably obtained from the corresponding author.

281

282 Consent for publication

283 All authors agree to publish this article in the Journal of Lipids in Health and Disease.

284

285 Competing interests

286 The authors declare that they have no conflict of interest.

287

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448 File name: Additional file 1.png

449 Title: Fig 1. Review the process of grouping.

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451 File name: Additional file 2.png

452 Title: Fig 2. Low-Density Lipoprotein Cholesterol (LDL-C) levels Over Time

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454 File name: Additional file 3.xls

455 Title: Table 2. Changes in Lipid Levels (Mean and Standard Deviation) From the Third Month to

456 Each Time Point Among Patients with Different Endocrine Therapies

Figures

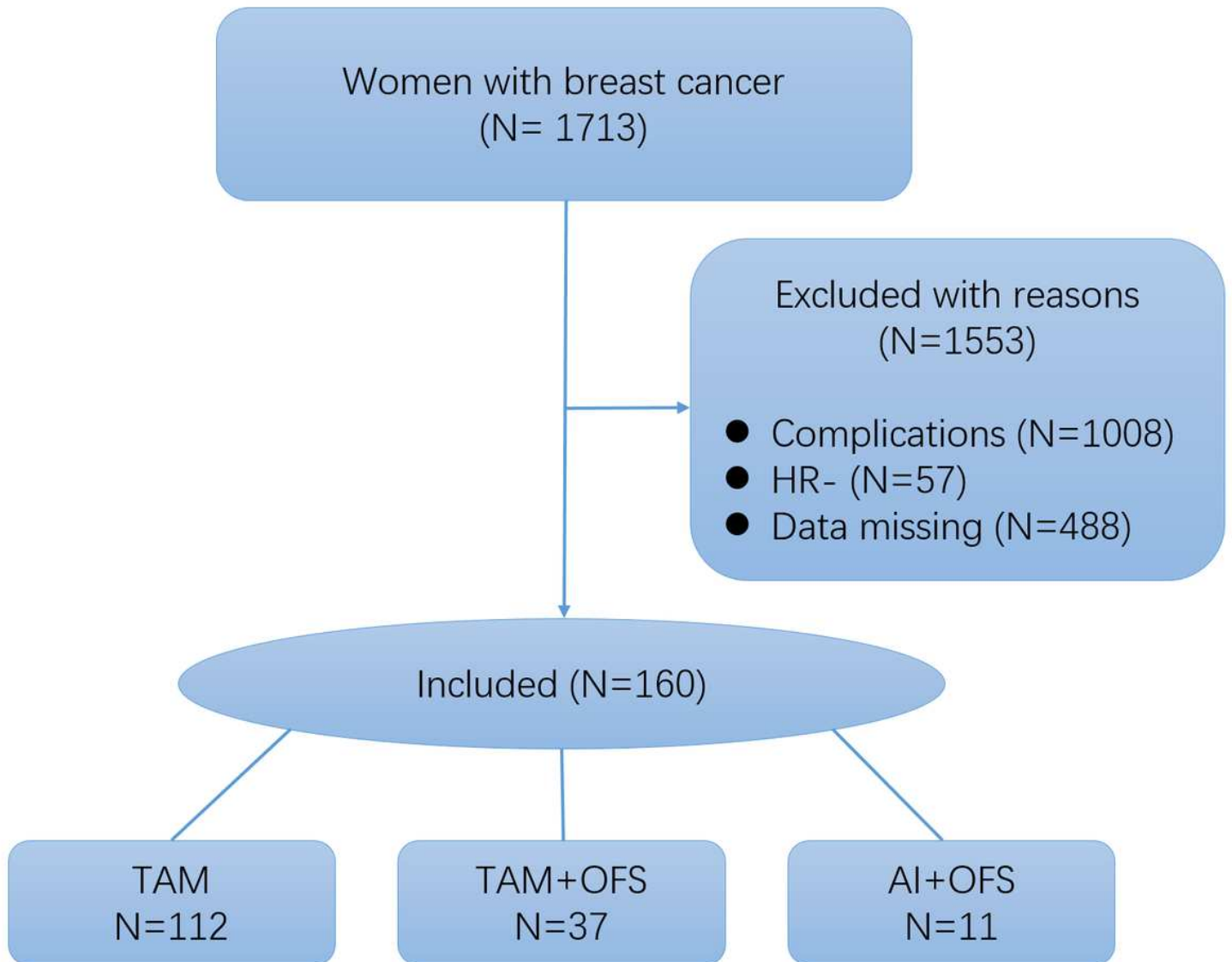


Figure 1

Review the process of grouping.

Figure 2. Low-Density Lipoprotein Cholesterol(LDL-C) levels Over Time

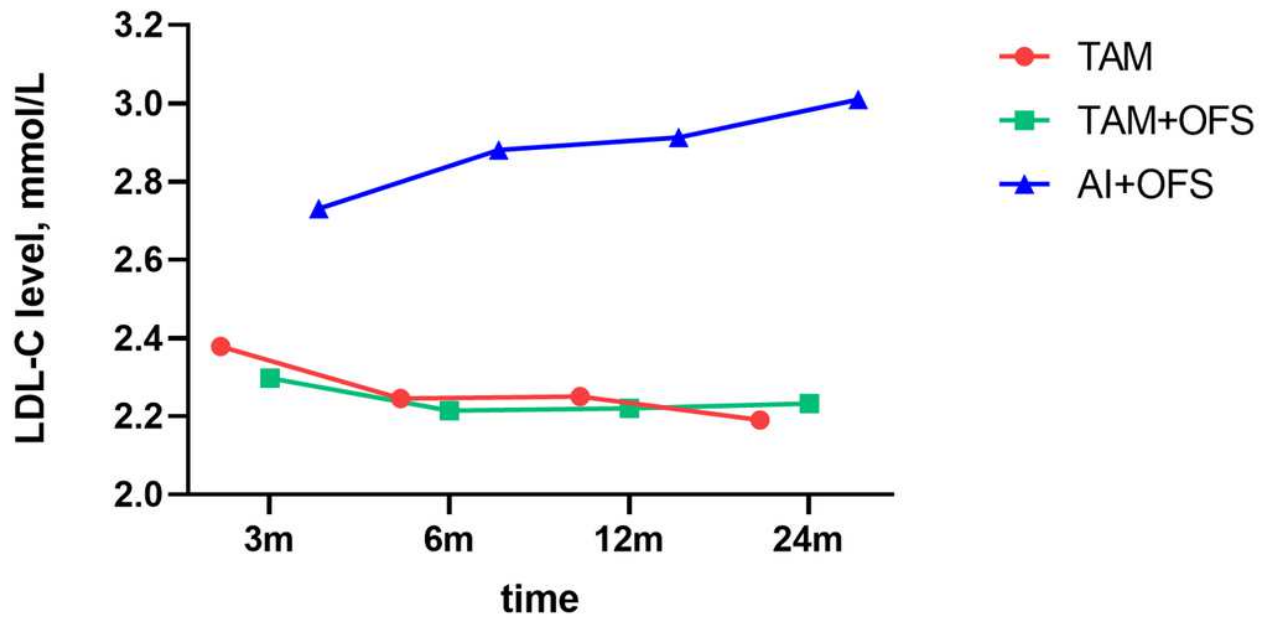


Figure 2

Low-Density Lipoprotein Cholesterol (LDL-C) levels Over Time