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Estimation of renal perfusion based on measurement of Rubidium-82 clearance by PET/CT scanning in healthy subjects

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1 Abstract

2 **Background:** Changes in renal blood flow (RBF) may play a pathophysiological role in hypertension and kidney disease. However, RBF determination in humans has proven difficult. We 3 4 aimed to confirm the feasibility of RBF estimation based on positron emission tomography/ computed tomography (PET/CT) and rubidium-82 (82Rb) using the abdominal aorta as input 5 6 function in a 1-tissue compartment model. **Methods:** Eighteen healthy subjects underwent two dynamic ⁸²Rb PET/CT scans in two different 7 8 fields of view (FOV). FOV-A included the left ventricular blood pool (LVBP), the abdominal aorta 9 (AA) and the majority of the kidneys. FOV-B included AA and the kidneys in their entirety. In 10 FOV-A, an input function was derived from LVBP and from AA; in FOV-B from AA. 1-tissue 11 compartmental modeling was performed using tissue time activity curves generated from volumes 12 of interest contouring the kidneys, where the renal clearance of ⁸²Rb is represented by the K₁ kinetic parameter. To investigate the correct interpretation of K₁, we assumed to first estimate effective 13 14 renal plasma flow (ERPF) by extrapolating clearance values (ml/min/cm³) to whole kidney values 15 (ml/min) using the estimated total kidney volume. Thereafter, RPF was estimated from ERPF using 16 an assumed extraction fraction (0.89). Lastly, RBF was estimated from RPF using measured 17 haematocrit values. Intra-assay coefficients of variation and inter-observer variation were 18 calculated. 19 **Results:** For both kidneys, K₁ values derived from AA did not differ significantly from values obtained from LVBP, neither were significant differences seen between AA in FOV-A and AA in 20 21 FOV-B, nor between the right and left kidneys. For both kidneys, the intra-assay coefficients of variation were low ($\sim 5\%$) for both input functions. The measured K₁ of 2.80 ml/min/cm³ suggests, 22 for young healthy subjects, an estimated total renal perfusion normalized to body surface area of 23 860 ± 129 ml/min/1.73 m² and subsequently an estimated RBF of 1494 ± 221 ml/min/1.73 m². 24

- 1 **Conclusion:** RBF estimation based on PET/CT and ⁸²Rb using AA as input function in a 1-tissue
- 2 compartment model is feasible in a single FOV. The measured K₁ clearance values are most likely
- 3 representative of ERPF rather than estimated RBF values.
- 4 Keywords:

5 PET/CT, Rubidium-82, Pharmacokinetic modelling, Renal blood flow, Effective renal plasma flow

7 Background

- 8 Kidney disease and hypertension are major contributors to the overall global disease burden. In the
- 9 pathogenesis of acute kidney injury (AKI), renal ischemia, as a result of a reduction in total RBF,
- 10 has been accepted as a significant factor. However, recent studies suggest that renal hypoperfusion
- may play a less important role (1, 2). In fact, RBF measurements in sepsis-associated AKI have
- shown much discrepancy; reduced, normal, or even increased RBF have been reported (3-5). In
- patients with chronic kidney disease (CKD), RBF is reduced compared with controls (6, 7),
- possibly contributing to the progression of renal dysfunction. In renal circulation studies, most
- patients with essential hypertension display reduced RBF (8, 9); the greatest reduction demonstrated
- in malignant hypertension (9, 10). Additionally, renal vasoconstriction has been identified in pre-
- 17 hypertensive adults, indicating that renal vascular abnormalities could be a cause of hypertension
- 18 rather than caused by hypertension (11, 12).
- 19 Quantification of renal perfusion in humans has proven difficult. Clearance-based methods
- 20 estimating ERPF are time consuming and burdensome for patients (13-15) and alternative
- 21 radiological imaging techniques assessing RBF, such as magnetic resonance imaging and
- 22 ultrasonography, all have considerable limitations (7, 16) none of which have been routinely
- 23 implemented in clinical practise. Dynamic positron emission tomography (PET) using perfusion
- tracers is currently considered the most accurate, non-invasive method for determination of organ

- 1 perfusion. Thus, with good homogeneity and high perfusion rate, the kidneys are well suited for
- 2 PET studies.
- 3 PET scans using 82Rb are routinely performed to assess myocardial blood flow in patients suspected
- 4 of ischemic heart disease (17, 18). 82Rb is a potassium analogue with a short half-life of 75 seconds,
- 5 produced in a generator by the radioactive decay of strontium-82 (82Sr). Due to its high first-pass
- 6 renal extraction (~90%) and slow wash-out, 82Rb is well suited for mathematic modelling of RBF
- 7 using dynamic PET-methods (19).
- 8 The first human 82Rb PET/CT study of renal perfusion showed high image quality, resolution and
- 9 contrast, as well as demonstrated a high natural 82Rb renal uptake (20, 21). RBF was evaluated
- using a 1-tissue compartment model, where the K₁ parameter is presumed to represent estimated
- 11 RBF.
- 12 Compartmental modelling requires an input function (IF) described by a blood-pool time activity
- curve. In quantification of myocardial blood flow, the LVBP has been validated as an image-
- derived input function (IDIF) (22, 23), obviating the need for arterial blood sampling. However, the
- LVBP is not necessarily ideal for studying renal perfusion, as the LVBP and the kidneys in their
- entirety may not fit within a single limited axial scanner-FOV. This is especially true for older PET-
- scanners. In order, to ensure that an IF is estimated as correctly as possible, as well as to minimize
- radiation dose associated with the scanning, inclusion of the blood-pool and the kidneys in their
- 19 entirety in the same FOV, is important. This can be accomplished if the AA can replace the LVBP
- as IF in the model, as suggested by Tahari et al. (21).
- 21 To determine whether this method is suitable for clinical, reliable assessment of RBF, this study
- 22 further investigates the substitution of AA as a valid alternative to LVBP by comparing the
- 23 resulting K₁ values obtained from use of the two different IFs in a substantially larger study
- 24 population than that of (21). We also evaluate method precision by determination of intra-assay
- coefficients of variation for both IFs as well as assess inter-observer variation. Furthermore,

- 1 existing literature assumes that the perfusion quantity measured using 82Rb is estimated RBF (21,
- 2 24). Early investigations into the exchange rates of radioactive potassium and rubidium between
- 3 plasma and erythrocytes showed rates of $\sim 2\%$ per hour (25, 26), implying that initially, and hence
- 4 during renal uptake studies, the majority of injected ⁸²Rb will be almost exclusively present in
- 5 plasma. We discuss and question whether flow values measured by ⁸²Rb clearance are actually
- 6 representative of RBF, or whether they should be interpreted as estimates of ERPF.

8

Methods

9 Study design

- 10 This study was performed as a randomized cross-over study (Fig. 1). During a period of
- approximately 45 minutes, each subject underwent four 8-minute dynamic 82Rb PET/CT scans in
- 12 two different bed positions, A and B (FOV-A and FOV-B). In each bed position, duplicate scans
- were performed.

14 Participants

- 15 Healthy participants were recruited through advertisement, primarily at local educational
- 16 institutions. Prior to inclusion, each participant completed a screening program. Screening consisted
- of a medical history; a clinical examination including measurements of weight, height, and blood
- pressure; electrocardiography as well as blood tests to determine electrolytes, creatinine, albumin,
- 19 alanine aminotransferase (ALAT), leucocytes, haemoglobin, haematocrit and thrombocytes. Urine
- was screened for leucocytes, glucose, nitrite, ketones, and haemoglobin. Inclusion criteria were:
- 21 men and women aged 18-40 years with a body mass index (BMI) in the range 18.5-30.0 kg/m².
- 22 Exclusion criteria were: medical treatment (except hormonal contraceptives); pregnancy or
- breastfeeding; smoking; substance abuse; alcohol consumption >14 units 1 per week for men and >7

¹ Danish alcohol unit = 15 ml (12 g) pure alcohol.

- 1 units per week for women; signs of clinically relevant kidney disease, heart disease, liver disease or
- 2 endocrine disease in the history, clinical examination, or the paraclinical tests; hypertension;
- 3 neoplastic disease, and blood donation within 1 month of the examination day. Withdrawal criteria
- 4 were development of exclusion criteria or withdrawal of consent.

5 Number of subjects

- 6 17 subjects are required to detect a 0.40 ml/min/cm³ difference in RBF (standard deviation (SD)
- 7 0.38 ml/min/cm³) for a 5% significance level and power of 80%. To allow for dropout, 20 subjects
- 8 were included.

9

Pre-scan procedure

- 10 For 24 hours preceding the acquisition of PET/CT scans, fluid intake was standardized to 35 ml/kg
- body weight of still water, subjects maintained a free diet and were instructed to avoid strenuous
- exercise. Subjects arrived at 8 a.m. at the Department of Nuclear Medicine, Herning Hospital,
- Regional Hospital West Jutland, Denmark after an overnight fast. In female subjects, pregnancy
- was ruled out.

15 Radiopharmaceutical

- On each day of examination, the 82Sr /82Rb generator (Cardiogen-82; Bracco Diagnostics Inc.,
- 17 Monroe Township, NJ, USA) was quality checked according to approved guidelines (Bracco
- Diagnostics Inc.) including test for breakthrough of ⁸²Sr/⁸⁵Sr. The generator was calibrated to
- deliver a dose of 555 MBq (15 mCi) 82Rb for each injection, which was administered automatically
- using a pre-programmed pump and infusion system. The subjects received four doses in total.

PET/CT scanning

- 22 All PET/CT scans were performed on the same scanner (Siemens Biograph mCT; 64 slice-4R) with
- a 22 cm axial FOV. On each day of examination, the PET/CT scanner was quality checked and
- 24 calibrated according to system required procedures. A peripheral venous catheter (Venflon) was
- 25 placed in a cubital vein for 82Rb injection. Subjects rested in a sitting position for approximately 30

- 1 minutes before voiding. They were then placed in a supine position in the PET/CT scanner with
- 2 arms extended above the head and the generator infusion system connected to the Venflon. All
- 3 subjects underwent two consecutive duplicate PET/CT scans. The duplicate scans were acquired in
- 4 bed position A (FOV A), including the LVBP, the AA and as much of the kidneys as possible (Fig.
- 5 2a) and bed position B (FOV B), including the AA and the kidneys in their entirety (Fig. 2b).
- 6 Computer generated randomization determined the acquisition sequence for the two FOVs for each
- 7 participant. In each bed position, an initial planar scout image was acquired to determine
- 8 positioning of the scanner over the required FOV. Following positioning, a low-dose CT scan was
- 9 performed immediately followed by a bolus injection of 555 MBq ⁸²Rb and a dynamic PET-scan in
- 10 list-mode for 8 minutes synchronized with the start of injection (21). Sequentially, and 10 minutes
- after the first PET scan was initiated, a second dose of 82Rb was administered and a duplicate PET
- scan performed in list-mode for 8 minutes. The bed position was then shifted, and the procedure
- 13 repeated for the second FOV.
- Low-dose CT scans (25 mAs, 100 kV) were performed for attenuation correction purposes only.
- PET data were acquired in dynamic list-mode, which was re-binned using 32 frames (20×6 s, 5×12
- s, 4×30 s and 3×60 s) and iteratively reconstructed (21 subsets, 2 iterations) using Siemens TrueX
- and time-of-flight reconstruction in a matrix of 128×128 (voxel size: 6.4 x 6.4 x 3.0 mm³) and post-
- 18 filtered with a 5.0 mm Gaussian filter to produce attenuation and decay corrected dynamic
- sequences. We found it unnecessary to correct for motion of the kidneys.
- 20 The effective radiation dose associated with the study was < 4 millisieverts (mSv): each low-dose
- 21 CT scan contributed 0.4 mSv and each 555 MBq bolus injection of 82Rb contributed with 1.26
- 22 $\mu Sv/MBq$ (20).

23 Analysis of 82Rb PET/CT studies

- A 1-tissue compartment model was used for flow estimation (21), as illustrated in Fig. 3. The K₁
- 25 parameter represents the renal clearance of 82Rb, where K₁ (ml/min/cm³) is equal to the product of

- 1 the blood flow component carrying the ⁸²Rb (erythrocyte and/or plasma) and its extraction fraction
- 2 (EF) in the kidneys. Due to 82 Rb having a high first pass extraction (~90%) (19), its uptake rate K₁
- 3 will be closely related to, and hence can be used as, an estimate of flow (27). Compartmental
- 4 modelling was performed using the PMOD software (PMOD Technologies Ltd., Zurich,
- 5 Switzerland, version 4.01).
- 6 Time-activity-curves (TACs) were obtained by defining relevant volumes of interest (VOIs) in the
- 7 various anatomical regions-of-interest (Fig. 4), with the LVBP and AA defining IFs for the kinetic
- 8 modelling. All TACs were obtained as the mean activity concentrations measured in the VOIs. The
- 9 LVBP was defined in FOV-A using a limiting box and the hot-contour tool with a typical cut-off 45
- -60% of the maximum limiting box activity. Ensuring avoidance of surrounding activity in the
- right and left ventricular luminae, a background VOI was manually placed centrally in the left
- ventricular wall and defined on at least 10 contiguous slices. Partial-volume effect (PVE) and spill-
- over activity from the left ventricular wall was corrected adopting the method described by Katoh et
- 14 al. (28):

$$R_A(t) = \beta \cdot C_A(t) + (1 - \beta) \cdot \rho \cdot C_{Bq}(t)$$
 (Eq. 1)

- where $C_A(t)$ represents the corrected LVBP activity, $R_A(t)$ is the measured LVBP activity, $C_{Bg}(t)$ the
- measured myocardial activity, ρ the partition coefficient of water in the myocardium (0.91) and β
- 18 the recovery coefficient required to correct measured image activity concentration values to the
- 19 correct activity present in the LVBP. Calibration measurement using the NEMA-IQ phantom filled
- with a background to hotspot ratio 1:10, known 82Rb activity concentrations and the same
- 21 reconstruction parameters as for the study, determined β to be 0.71 for the LV.
- The AA-VOI was defined in both FOVs using a box $(10\times10\times30 \text{ mm}^3)$ placed in the lumen of the
- 23 abdominal aorta cranially to the departure of the renal arteries. An aortic background VOI was

- defined within a limiting box around the AA-VOI by applying a cold-contour with typical cut-off of
- 2 4-5% of the maximum activity and excluding all structures not representing background activity.
- 3 Based on the formulation for PVE and spill-over correction for the LVBP of (28), the measured AA
- 4 activity concentration can be similarly corrected for possible background and PVE contributions
- 5 using:

$$R_A(t) = \beta \cdot C_A(t) + (1 - \beta) \cdot C_{Ba}(t)$$
 (Eq. 2)

- 7 where $C_A(t)$ represents the corrected AA activity, $R_A(t)$ is the measured AA activity, $C_{Bg}(t)$ is the
- 8 measured aortic background activity and β the necessary recovery coefficient related to the AA
- 9 geometry and analysis VOI-placement. Measurement of known 82Rb activity concentrations in a
- 10 homogeneous home-made phantom simulating the AA/background geometry, determined β to be
- 11 0.612.
- 12 Tissue-TACs for both kidneys were obtained using hot-contouring in both FOVs as described
- previously and K₁ values for each kidney obtained for both LVBP and AA IFs using the 1-tissue
- 14 compartment model. A blood volume fraction of 10%, applied as a fixed parameter in the PMOD
- kinetic modelling, was used to account for activity from the fractional blood volume within the
- VOIs contouring the kidneys (29). Additionally, a recovery coefficient $\beta = 0.643$ measured in a
- 17 large, homogeneous phantom volume was applied to the kidney-TAC data. Due to large kidney
- volumes and careful placement of applied VOIs at a distance from the kidney boundary walls,
- 19 effects from PVE and spill-over are negligible and do not need correction. However, as the Siemens
- 20 system software does not itself correct for 82Rb count efficiency, manual correction for this in the
- 21 kidney data is also essential to ensure that the measured activity concentrations in the different
- organ VOIs, when corrected for all necessary scanner and reconstruction effects, are relatively
- 23 correct to each other.

- 1 As ⁸²Rb is a small molecule, it will be distributed in the water phase in plasma and as such is freely
- 2 diffusible in the interstitium and constitutes the extravascular background. This intercellular
- 3 distribution is included in the input function, which is modelled using a 3-exponential model in
- 4 PMOD with the background activity corrected using the β-correction procedure.
- 5 Kinetic analysis was performed independently by two observers: a medical resident (observer 1)
- 6 and an experienced nuclear medical physician (observer 2).

Renal blood flow estimation

- 8 Assuming that, after intravenous injection and throughout the 8-minute duration of the study
- 9 acquisition 82Rb is almost exclusively distributed in the plasma (25, 26), then ERPF can be
- estimated using the measured 82 Rb clearance (K_1) and the total kidney volume (V_{Total}) as
- determined by the renal contour volumes described above:

$$ERPF = K_1 \cdot V_{Total} \tag{Eq. 3}$$

13 RPF can be estimated using the assumed EF (~ 0.89 (19)):

$$RPF = \frac{ERPF}{EF}$$
 (Eq. 4)

- and subsequently, estimated RBF can be calculated from estimated RPF using the haematocrit (Hct)
- 16 as:

7

$$RBF = \frac{RPF}{1 - Hct}$$
 (Eq. 5)

- 18 Estimated RPF and RBF results are presented normalized to body surface area (BSA) using the
- 19 Dubois formula:

$$BSA = 0.007184 \cdot height^{0.725} \cdot weight^{0.425}$$
 (Eq. 6)

where quantity units are given in: BSA [m²], height [cm] and weight [kg].

1 Statistical analysis

- 2 Statistical tests were performed using SPSS Statistics ver. 20 (IBM Corp., Armonk, NY, USA). For
- 3 each subject, the result for K_1 was defined as the mean value of the two independent K_1 values
- 4 determined for each FOV for both input functions.
- Values are presented as mean \pm SD for all completing subjects. Paired sample t-testing was used for
- 6 comparison of K₁ values obtained using LVBP and AA IFs, where p < 0.05 was considered
- 7 statistically significant.
- 8 Intra-assay coefficients of variation were calculated for each kidney based on the duplicate K₁
- 9 determinations in each FOV. Inter-observer variability was assessed using the intra-class correlation
- 10 coefficient (ICC) with 95% confidence interval (CI) (30).

12 **Results**

11

13 **Demographics**

- 14 The participation flow chart for the study is depicted in Fig. 5. Eighteen healthy subjects completed
- 15 the study and had scans accepted for analysis. Clinical and biochemical characteristics are shown in
- 16 Table 1.

17

18 **Table 1** Clinical and biochemical characteristics (n=18)

Age (years)	21 ± 4
Gender (women/men)	7/11
BMI (kg/m^2)	24.1 ± 2.5
Office SBP (mmHg)	127 ± 9
Office DBP (mmHg)	73 ± 10
Heart rate (beats/min)	71 ± 11
P-alanine aminotransferase (U/L)	26 ± 11
P-sodium (mmol/L)	140 ± 2
P-potassium (mmol/L)	3.7 ± 0.2
P-albumin (g/L)	43 ± 3

P-creatinine (μmol/L)	73 ± 13	
eGFR _{MDRD} (mL/min/1.73m ²)	118 ± 11	
B-hemoglobin (mmol/L)	9.0 ± 0.4	
B-leucocytes (x 10 ⁹ /L)	7.1 ± 1.9	
B-thrombocytes (x 10 ⁹ /L)	275 ± 48	
B-haematocrit	0.42 ± 0.02	

Data are presented as mean \pm SD. BMI, Body Mass Index; SBP, systolic blood pressure; DBT, diastolic blood pressure; eGFR_{MDRD}, estimated glomerular filtration rate calculated using the Modification of diet in renal Disease Study

Input curves

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- 6 Fig. 6 illustrates typical TACs generated from VOIs in the LVBP and the myocardium, as well as β-
- 7 corrected activity in LVBP. For all curves, the activity peaks rapidly. However, whereas for LVBP
- 8 the flow-peak is followed by a continuous decline, the myocardial activity plateaus around 1.0
- 9 minute post injection (p.i.) after the decline of the initial flow-peak.
- Similarly, Fig. 7 illustrates typical TACs generated from VOIs placed in the AA and the aortic
- background as well as the β-corrected AA activity. As for LVBP, AA activities rapidly reach their
- maximum peak followed by rapid declines, while the aortic background activity rises slowly until
- reaching a plateau between 0.5 and 3.0 minutes p.i. followed by a slow decline.
- 14 Fig. 8 shows a typical example of the relative ⁸²Rb activity concentrations (corrected TAC data)
- between the organ VOIs of the LVBP, AA and kidneys. The injected bolus peaks for the LVBP and
- 16 AA are very similar, reaching nearly the same maximum peak values in the same time-bin after
- injection, whereas, the kidney uptake rises more slowly until reaching a plateau between 1.5 and 4.5
- minutes p.i. followed by a slow decline.

Renal clearance - measurement of K₁, estimation of ERPF and RBF

High renal uptake of ⁸²Rb was demonstrated with no discernible urinary activity (Fig. 9).

³ equation; EVF, erythrocyte volume fraction

- 1 The caudal part of the kidneys was outside FOV-A in 5 out of the 18 completing subjects.
- 2 Measurements in FOV-B showed that K₁ for the excluded caudal sections did not differ from the
- 3 global K_1 for the kidneys.
- 4 Table 2 presents the mean K₁ results for all IFs applied in the analysis. K₁ values using the AA IF
- 5 were not significantly different from those using LVBP. No significant difference was observed
- 6 between left and right kidneys.

7

Table 2 Mean K₁ values for the investigated input functions

	LVBP	AA (FOV-A)	AA (FOV-B)
Right kidney	2.75 ± 0.42	$2.86 \pm 0.48*$	$2.82 \pm 0.45^{^{\circ}}$
Left kidney	2.71 ± 0.42	2.82 ± 0.45 *	$2.79 \pm 0.42^{^{\wedge}}$

⁸ Data are presented as means ± SD. K₁ units are ml/min/cm³. Paired t-test *: NS vs. K1 values derived from LVBP, ^:

12

13

14

Intra-assay coefficients of variation for the duplicate VOIs for each FOV were calculated for K1

derived from LVBP and AA IFs. As illustrated in Table 3, the intra-assay coefficients of variation

were similar ($\sim 5\%$) for both IFs, with those for AA being slightly lower than those for LVBP IFs.

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16

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Table 3 Intra-assay coefficients of variation

LVBP		AA (FOV-A)	AA (FOV-B)	
Right kidney	5.6	4.4	4.4	
Left kidney	5.7	4.3	4.4	

¹⁸ Data are presented as percentages. LVBP, left ventricular blood pool; AA, abdominal aorta; FOV-A, field of view A; 19 FOV-B, field of view B..

- 21 Table 4 shows inter-observer variability when using LVBP and AA IFs for the two FOVs. Using
- 22 LVBP, ICC was indicative of good to excellent reliability for both kidneys. For AA, ICC was
- 23 suggestive of excellent reliability for both kidneys in FOV-A and FOV-B.

NS vs. K1 values obtained from AA (FOVA). LVBP, left ventricular blood pool; AA, abdominal aorta; FOV-A, field of

¹⁰ view A; FOV-B, field of view B. NS: Non-significant

Table 4 Inter-observer variability

	LVBP	AA (FOV-A)	AA (FOV-B)
Right kidney	0.874 (0.696; 0.951)	0.971 (0.925; 0.989)	0.969 (0.920; 0.988)
Left kidney	0.880 (0.708; 0.953)	0.972 (0.926; 0.989)	0.965 (0.909; 0.987)

³ Data are presented as ICC estimates with 95% confidence intervals in parentheses.

1 2

- 7 Under the assumptions described in Methods and using equations 3-6, total flow values were
- 8 estimated (Table 5). Total ERPF, RPF and RBF were estimated to be 766 ± 114 ml/min/1.73 m²,
- $860 \pm 129 \text{ ml/min/}1.73 \text{ m}^2 \text{ and } 1494 \pm 221 \text{ ml/min/}1.73 \text{ m}^2 \text{ respectively.}$

Table 5 Estimation of ERPF and RBF based on ⁸²Rb clearance values (K₁) using AA activity in FOV-B

Average K ₁	Total renal volume	Total ERPF	Total RPF	Average	Total RBF
(ml/min/cm ³)	(cm^3)	(ml/min)	(ml/min)	Hct	(ml/min)
2.80 ± 0.43	296 ± 30	825 ± 122	927 ± 138	0.42 ± 0.02	1612 ± 248

Data are presented as means \pm SD. Total ERPF is calculated as the product of K_1 and total kidney volume. Total RPF is estimated from ERPF using an assumed EF of 0.89 (19). RBF is estimated from RPF and the measured Hct. ERPF, effective renal plasma flow; RPF, renal plasma flow; Hct, haematocrit; RBF, renal blood flow.

Discussion

This study confirms that RBF estimation based on ⁸²Rb PET/CT using AA as the IF in a 1-tissue compartment model is feasible, as previously indicated by Tahari et al. (21). Additionally, our results support the use of the AA-VOI in a single FOV as an alternative IF to the LVBP; the low intra-assay coefficients of variation are acceptable with excellent inter-observer reliability, thus allowing estimated RBF to be determined using a single FOV assessment of the kidneys in their entirety. To our knowledge, this is the first study to assess method precision and determine intra-assay variation and inter-observer variability for RBF estimates with ⁸²Rb PET/CT. However as

⁴ LVBP, left ventricular blood pool; AA, abdominal aorta; FOV-A, field of view A; FOV-B, field of view B.

- discussed below, we believe the renal clearance of ⁸²Rb (K₁), to represent ERPF, rather than direct
- 2 estimation of RBF.

21

⁸²Rb as renal perfusion tracer

- 4 There are many advantages to using 82Rb PET/CT for measurement of renal perfusion: it is non-
- 5 invasive and does not require blood sampling or urine collection, making the procedure less
- 6 burdensome for patients; it allows for single kidney blood flow estimation and is readily available
- 7 from 82Sr /82Rb generators which are already in-situ at sites routinely using 82Rb for assessment of
- 8 myocardial blood flow, thus making it cost effective. In comparison, the "ideal tracer" ¹⁵O-water –
- 9 can be utilized only in centres with on-site cyclotron access (31). The combination of a short ⁸²Rb
- 10 half-life of 75 seconds and short acquisition time allows for repeated scans of the same subject
- within a short timeframe, presenting unique opportunities to examine acute effects of differing
- drugs on renal perfusion. For example, 82Rb PET/CT may be especially suitable for use in cross-
- over studies exploring interventional effects.
- No absolute contraindications exist to the use of 82Rb, thus patients suffering from all stages of AKI
- and CKD can undergo the examination without risk of deterioration of renal function.
- Since renal 82Rb accumulation exceeds myocardial 82Rb accumulation, half the tracer dose of
- cardiac studies is sufficient to perform good quality renal imaging, resulting in a low effective
- 18 radiation dose (~1 mSv) for a single scan of the kidneys in their entirety, including the AA for use
- 19 as IF. Additionally, for modern digital scanners with high sensitivities, even lower tracer doses may
- 20 be sufficient to perform the examination.

Input functions and necessary data correction

- 22 Pharmacokinetic modelling requires an IF, where sampling of peripheral arterial blood to produce
- 23 an arterial TAC is the gold standard method for obtaining an accurate estimation. However, the
- short half-life of 82Rb necessitates an alternative to the arterial sampling derived input curve. This

can be achieved using image derived curves based on e.g. PET/CT scanning, where LVBP and AA 1 2 are examples of IDIFs. Accurate quantitative IDIF estimation is dependent on many parameters, 3 relating to both the individual PET/CT scanner and reconstruction parameters used for imaging, the 4 geometry, size and placement of analysis VOIs with respect to structural organs-of-interest 5 boundaries, the ratio of neighbouring activity concentrations, as well as requiring calibration of the 6 ⁸²Rb-tracer injector system and imaging scanner with associated dose calibrators; the 3 primary 7 sources of quantitation error being scanner count efficiency, PVE and spill-over. As a minimum, an 8 understanding of what corrections are, and are not, automatically included in an individual scanner-9 systems software, is necessary to verify correct method implementation and data analysis locally. If 10 one can ensure the relative contributions from PVE and spill-over are negligible in all VOIs used to 11 obtain organ specific TAC data, the assumption that any global scanner-specific error will cancel 12 out in the kinetic modelling should be adequate and allow for evaluation of activity concentrations without need for cross-calibration of all systems. However, if it is not possible to ensure negligible 13 14 PVE and spill-over effects in one or more of the VOIs, then the relative activity concentrations 15 defining the TACs will not be correct with respect to each another and will result in an erred kinetic analysis of renal flow. One method to reduce PVE is to define VOIs as 1cm³ volume spheres 16 17 centered on the highest activity voxel in the organ of interest and measure peak-activity 18 concentrations. However, placement of smaller VOIs is variable and observer dependent, especially 19 in highly inhomogeneous (biological) activity distributions. As such, it can be advantageous to use 20 mean values to define the activity measurements. Additionally, if the maximum voxel count lies in 21 proximity to an organ boundary, PVE and spill-over will not be reduced and will still have to be 22 accounted for in the data analysis. We obtained uncorrected IDIFs from TACs based on VOIs placed in both LVBP and AA in the 23 dynamic PET images. Use of IDIFs based on large-size vascular structures, combined with the high 24 25 resolution of modern PET scanners, reduces PVE in activity measurement (32, 33). Additional

investigation of measured activity accuracy as a function of distance from structural boundaries

1 (specific to our scanner and reconstruction method), using a phantom containing known 82Rb 2 activity concentrations in geometrical structures simulating the volumes, shapes and sizes of the 3 LVBP and AA (unpublished data), showed that to ensure negligible PVE and spillover effects when 4 defining a VOI, its placement needs to be a minimum of 15 mm from organ boundaries; ie. a 5 minimum of 3-5 voxels distance, dependent on the choice of imaging matrix. In the smaller AA 6 structure, even though there is very little background to give unwanted spill-in, this criteria was not 7 met, indicating that PVE is present and requires correction. On the other hand, the large LVBP 8 volume indicates that PVE is reduced. However, due to significant uptake of ⁸²Rb activity in the left 9 ventricular wall and the use of hot-contouring producing VOIs with, at most, 1-2 voxel distances 10 from the myocardium, the LVBP also required correction for PVE and spill-over. Both corrections 11 were performed based on the method of Katoh et. al, (28) using equations 1 and 2, with the 12 necessary correction factors experimentally determined from phantom measurements. Additionally, a global calibration of our scanner's count efficiency in a large (> 100cm³) homogeneous volume 13 14 was made, to provide kidney VOI data correction. Here, PVE and spill-over are negligible, but 15 count efficiency is not automatically corrected by the Siemens scanner software. Based on these 16 arguments, 3 differing values for "organ specific" β-values were required to ensure the correct 17 relative relationships between the corrected organ-TAC data; one cannot assume a single, global 18 scanner and reconstruction dependent correction factor, will cancel out in subsequent kinetic 19 analysis, unless the employed VOI definition protocol ensures independence from PVE and spill-20 over in all organs. Comparison of our IFs with those of the first (and to date only published) human renal 82Rb 21 22 PET/CT study by Tahari et al. (21), show both similarities and differences. In both studies, the uncorrected activity in the AA is observed to be lower than LVBP activity. As there is no known 23 24 metabolism of ⁸²Rb in its passage through the aorta, it is assumed that the activity concentrations in 25 the left ventricle and the aortic lumen are equal and as such, observed measurement differences will

be caused by any scanner and image reconstruction quantification inaccuracies, as discussed above.

- 1 Tahari et. al. (21) assessed the effect to arise from PVE and performed the correction using a simple
- 2 scaling of their measured AA activity to match the observed maximum LVBP activity. It is unclear
- 3 whether LVBP activity in (21) was corrected for PVE and spill-over. Our more systematic
- 4 approach, in which calibrated phantom measurements determined the recovery coefficients β
- 5 necessary to correct VOI specific activity measurements for a given organ geometry, gave β-values
- of 0.71, 0.612 and 0.643 respectively for LV, AA and kidney TAC corrections, where the numerical
- 7 value for β does not differentiate between the relative contributions from PVE, spill-over or count
- 8 efficiency, but provides a "global" factor accounting for all contributions. Application of these
- 9 organ-specific β-values increased the measured peak values for both LVBP and AA TACs (Fig. 6
- and Fig. 7) resulting in the corrected-AA IFs being scaled to match the corrected LVBP IFs (Fig. 8);
- supporting the assumption that activity concentrations in the left ventricle and aortic lumen are
- equal. This is in agreement with the IFs shown in Tahari's study. The main difference is that our K₁
- values obtained from AA IFs, differ from their AA K₁ derived values, due to our correction of
- 14 kidney-TACs for system counting efficiency.
- Using the β-corrected TAC data, we find for both AA and LVBP, the intra-assay coefficients of
- variation are acceptably low, indicating that ⁸²Rb PET/CT is a precise method for evaluation of K₁,
- hence allowing for determination of changes in K₁. Additionally, the inter-observer variability
- assessment supports the use of AA as IF as a robust image-derived method for determining renal
- 19 perfusion, with excellent reliability demonstrated for both kidneys using AA, compared to good to
- 20 excellent reliability using LVBP.

Renal clearance - measurement of K₁, estimation of ERPF and RBF

- High renal 82Rb uptake and accumulation were confirmed. To avoid errors in uptake estimation
- caused by regional differences, it is important to measure uptake in the entire kidney. In our study,
- 24 13 out of 18 completing subjects (72%) showed both LVBP and the entire kidneys in FOV-A, such
- 25 that 5 analyses were performed on truncated kidneys. In the article by Tahari et al. (21), only 3 out

- of 8 subjects (38%) had the LVBP and kidneys in the same FOV (corresponding to FOV-A) and 5
- 2 out of 8 subjects had the LVBP and kidneys in separate acquisitions. As a global quality control, we
- 3 found no significant difference between K₁ values derived from AA activity curves in FOV-A and
- 4 those in FOV-B, supporting the assumption that in the studied population with healthy, lesion-free
- 5 kidneys, quantitation obtained from truncated images of the kidney tissue is representative of values
- 6 which would be obtained from imaging the kidneys in their entirety. This may not, however, be
- 7 universally true. Since blood flow substantially differs between the renal cortex and outer and inner
- 8 medulla, the extent of kidney tissue included in the analysis VOI may affect values of measured
- 9 blood flow. Even though the poor quality of the low-dose CT, used for AC-correction only, did not
- allow discrimination of the cortex and medulla in our kidney VOIs, due to the good quality images
- and high renal uptake observed in ⁸²Rb PET/CT imaging, this differential flow measurement is
- 12 potentially possible using CT contrast enhancement or even PET/MR from which to define the
- kidney VOIs. Using 1 cm³ equivalent to 1 g of tissue, individual kidney volumes can be
- approximated from the volumes encompassed by the kidney VOIs, allowing conversion of K₁
- values (ml/min/cm³) to total flow values for both kidneys (ml/min). Total flow values are
- summarized in Table 5.
- Our clearance values (expressed as ERPF), are observed to be low when compared to previously
- published mean values for RBF: ~1100-1500 ml/min (34, 35); however, they are quite similar, if
- somewhat at the high end, to previously published mean values for ERPF with values 345-700
- 20 ml/min/1.73 m² (34, 36, 37). This is suggests ⁸²Rb PET/CT may actually be estimating RPF and not
- 21 RBF as is the current understanding.
- Whether we measure estimated RBF or ERPF with ⁸²Rb depends on the distribution of the tracer
- between plasma and erythrocytes in whole blood. Early studies of potassium permeability showed a
- 24 very slow exchange of radioactive potassium and rubidium between plasma and erythrocytes
- amounting to 1.8-2.1% per hour and even less over 8 minutes of study (25, 26). Hence, most ⁸²Rb is
- present in the plasma during renal uptake studies, implying the measured renal uptake values

- 1 represent estimated RPF after correction for extraction, if EF is close to unity. Assuming our data
- 2 represents RPF, estimated RBF can be calculated by correcting with the haematocrit value which is
- 3 easily measured; the results of which are presented in Table 5.
- 4 For canines, EF is estimated to be 0.89 (0.80-0.95) (19), but to our knowledge remains to be
- 5 determined in humans due to difficulty in calibrating and measuring blood activity for ⁸²Rb.
- 6 However, if we assume the extraction values to be similar for humans, we find an average total
- 7 estimated RBF value normalized to BSA of 1494 ± 221 ml/min/1.73 m², which lies at the upper end
- 8 of the expected general range for RBF in healthy subjects. Since our study population consisted of a
- 9 highly homogeneous group of young, healthy subjects, our estimation of a high ERPF, and
- 10 consequently a high RBF is to be expected; our results being consistent with 2 early studies of
- similar population groups, published ca 1960 (34, 35), where mean RBF values in the range 1100 –
- 12 1500 ml/min, were calculated based on measurement of PAH-clearance and using conversion for
- extraction fraction and haematocrit. Specifically, our mean and range of estimated RBF values are
- 14 fully consistent with the published range of individual RBF values (1150 2350 ml/min) in the
- 15 study by Brodwall et. al (35).

Study strengths and limitations

- 17 The major strengths of this study are a combination of the randomized cross-over design, the
- standardization of pre-scan conditions (fluid intake, exercise level, duration of fasting period), and
- 19 the consecutive acquisition of the four ⁸²Rb PET/CT scans over a short 45-minute period; enabling
- 20 optimal evaluation of intra-assay coefficients of variation and hereby precision. Additionally, the
- 21 use of measured recovery coefficients provides reliable numerical correction of the IFs which are
- specific to our PET/CT scanner, imaging reconstruction method and choice of VOI definitions.
- 23 The homogeneous study population consisted of healthy adults, providing estimated RBF
- 24 measurements uninfluenced by age and medical therapy. However, this is also a potential bias as it
- 25 is not certain results from this study can be directly applied to a population of elderly subjects, nor

- 1 to subjects suffering from hypertension or renal disease; additional feasibility studies may be
- 2 needed for these populations. Additionally, before ⁸²Rb PET/CT can be implemented for clinical
- 3 estimated RBF determination, further evaluation is required of day-to-day variation as well as the
- 4 quantitative accuracy of the method.
- 5 A technical limitation is the use of the automatic injection system used to provide the bolus
- 6 administration of activity; in practice a short infusion of ⁸²Rb is administered, which, depending on
- 7 the age of the ⁸²Sr/⁸²Rb generator can have a duration between 20 40 seconds, and as such does
- 8 not represent a true bolus injection which should ideally be administered within 10 seconds. For this
- 9 reason, low activity may be present in the kidneys even before the activity in the blood pool has
- peaked, as seen in Fig. 8.
- A major limitation of the study is the assumption that the extraction fraction and blood volume
- fraction, as derived from animal experiments, are valid for calculation of RBF in humans from
- measurement of ERPF. Due to a general lack of published literature for ⁸²Rb renal flow
- measurement, with early research based nearly exclusively on animal studies (1990s and earlier)
- and the first (and as far as we are aware, only) human investigation performed and published by
- Tahari et. al. in 2014, no solid data is available for humans, such that animal based values are the
- best, and only, data available to us.
- Another limitation of this study is that it does not provide comparison to a reference method; the
- accuracy of ⁸²Rb PET/CT for RBF estimation cannot be evaluated. Based on the available literature
- during study design, the assumption was that the method provides a measurement of RBF for which
- 21 an appropriate reference method would be comparison with ¹⁵O-water studies (31). However, in
- 22 light of the results presented here, if we are in fact measuring plasma flow and not, as originally
- assumed RBF, then additional reference methods become available, such as PAH/OIH-clearance
- 24 methods (34, 36, 37). In fact, comparison of ⁸²Rb measured K₁ flow values, with a reference

- 1 method for ERPF evaluation, could help answer the question regarding which quantity is actually
- 2 being measured in 82Rb PET/CT studies.

3 Conclusion

- 4 The results presented in this study, for a population of young, healthy subjects, support the use of an
- 5 AA IDIF in the 1-tissue compartment model as an alternative to LVBP; it is sufficient to determine
- 6 estimated RBF using a single FOV including AA and kidneys in their entirety using a single
- 7 dynamic ⁸²Rb PET/CT scan. Accurate quantification of the AA derived IDIF requires PVE
- 8 corrections, and eventual count efficiency calibration, relevant for the imaging scanner and
- 9 reconstruction method employed. Use of AA gave rise to an acceptably low intra-assay coefficient
- of variation (\sim 5%) and good to excellent inter-observer reliability.
- Our data suggests that the actual flow values measured by ⁸²Rb most likely represent ERPF rather
- than RBF which is essential for the correct interpretation of future perfusion studies using
- 13 82 Rb. **Abbreviations**
- 14 AA: abdominal aorta; AKI: Acute kidney injury; ALAT: Alanine aminotransferase; BMI: Body
- mass index; BSA: Body surface area; CI: Confidence interval; CKD: Chronic kidney disease; CT:
- 16 Computed tomography; EF: Extraction fraction; ERPF: Effective renal plasma flow; FOV: Field of
- view; ICC: Intra-class correlation coefficient; IDIF: Image-derived input function; IF: Input
- function; LVBP: Left ventricular blood pool; MBq: Megabecquerel; mCi: Millicurie; mSv:
- 19 MilliSievert; ¹⁵O water: oxygen-15 labeled water; PET: Positron emission tomography; p.i.: Post
- 20 injection; PVE: Partial volume effect; 82Rb: Rubidium-82; RBF: Renal blood flow; 82Sr: Strontium-
- 82; SD: Standard deviation; TAC: time activity curve; VOI: volume of interest.

Declarations

1 2

- 3 Ethics approval and consent to participate
- 4 The study was approved by the Regional Scientific Ethics Committee (journal number: 1-10-72-
- 5 175-16), the Danish Medicines Agency (EudraCT-number: 2016-004080-39), the Danish Data
- 6 Protection Agency, and was conducted in agreement with the Declaration of Helsinki 2013.
- 7 Informed written consent was obtained from all participants.

8 Consent for publication

9 Informed consent was obtained from all participants regarding publishing of data.

10 Availability of data and materials

- 11 The datasets and trial protocol (Danish) are available from the corresponding author on reasonable
- 12 request.

13 Competing interest

14 The authors declare that they have no competing interest.

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- 17 Central Denmark Region.

18

19 Authors' contributions

- 20 Study concept & design: all authors; Method implementation, creation & validation of analysis
- 21 tools: JT, CF; Additional phantom studies, interpretation of quantification results and application in
- data analysis: CF, JT; Data acquisition: SSL; Data analysis: SSL, JT; Data interpretation: SSL, JT,
- 23 CF; drafting of manuscript: SSL; Critical revision: SSL, JT, CF, JNB; Critical revision revised
- 24 manuscript: CF, JT, SSL; Approval of final manuscript: all authors.

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19

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Figure legends

- Fig. 1 Study design
- Fig. 2 Anatomical contents within the 22cm axial FOV for an example study image (subject 2): a) FOV-A: bed position
- 23 includes LVBP, AA and kidneys (body length and kidney size determine whether the kidneys can be seen in their
- entirety within the FOV); b) FOV-B bed position includes AA and kidneys in their entirety

Figures

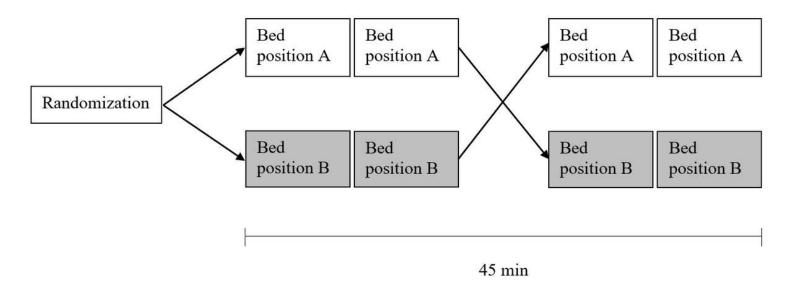


Figure 1
Study design

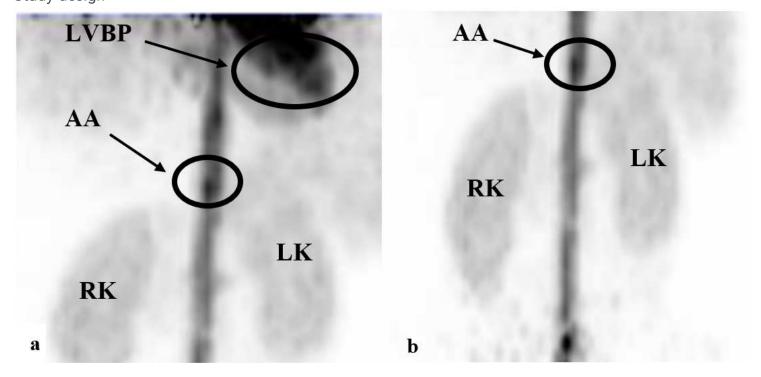


Figure 2

Anatomical contents within the 22cm axial FOV for an example study image (subject 2): a) FOV-A: bed position includes LVBP, AA and kidneys (body length and kidney size determine whether the kidneys can be seen in their entirety within the FOV); b) FOV-B bed position includes AA and kidneys in their entirety

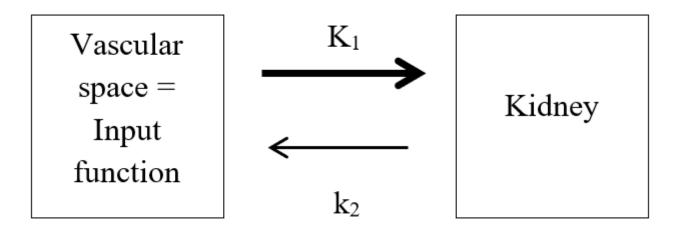


Figure 3

1-tissue compartment model used for estimation of RBF. K1 is the rate constant for 82Rb uptake in the kidneys from the vascular space, whereas k2 is the rate constant for release of 82Rb back into the blood. No discernible tracer activity was observed via urinary excretion (21)

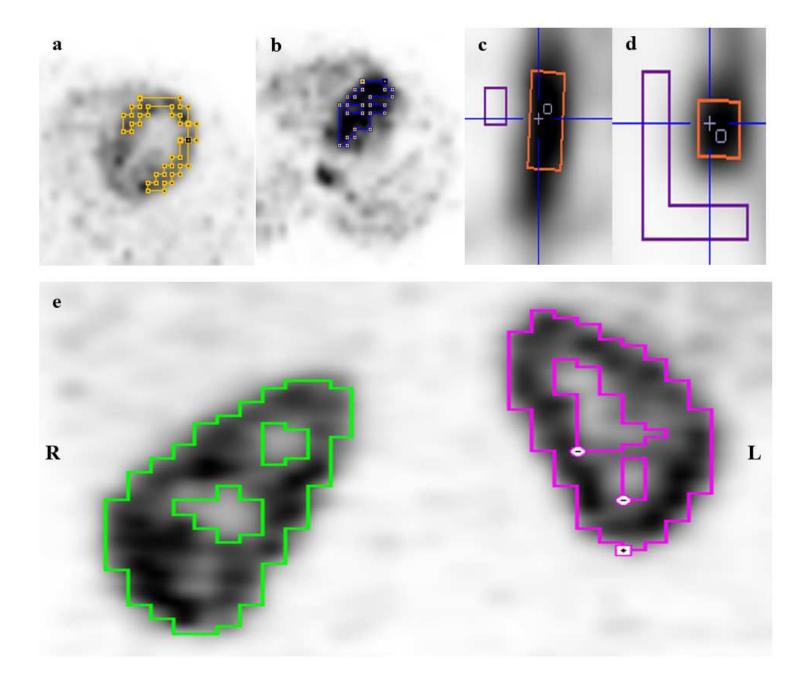
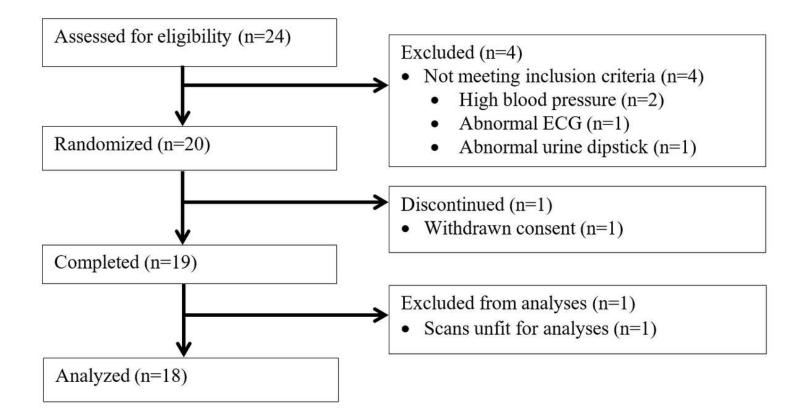


Figure 4

VOIs were drawn in a) myocardium, b) left ventricular blood pool, c & d) abdominal aorta and aortic background (orange – aorta; purple – background) and e) contouring the kidneys (green – right; cerise - left)



Participant flow in the study

Figure 5

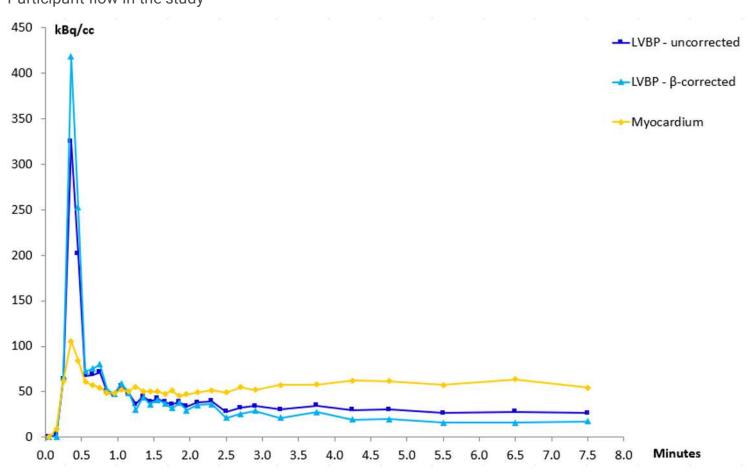


Figure 6

Representative time activity curves from the left ventricular blood pool and the myocardium from one of the study subjects

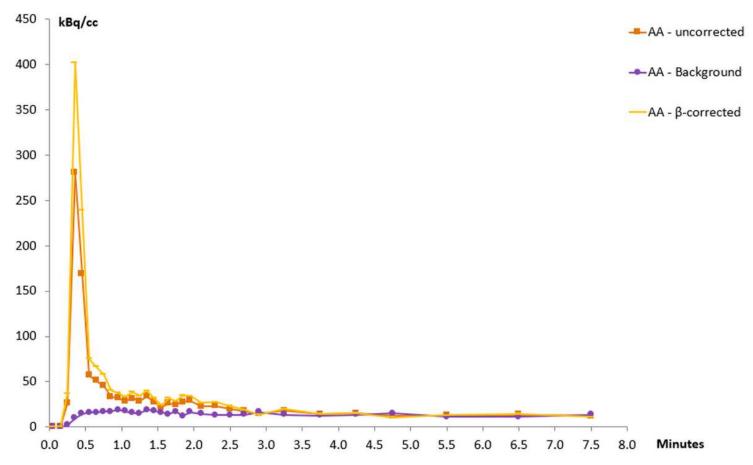


Figure 7

Representative time activity curves from the abdominal aorta and the aortic background from one of the study subjects.

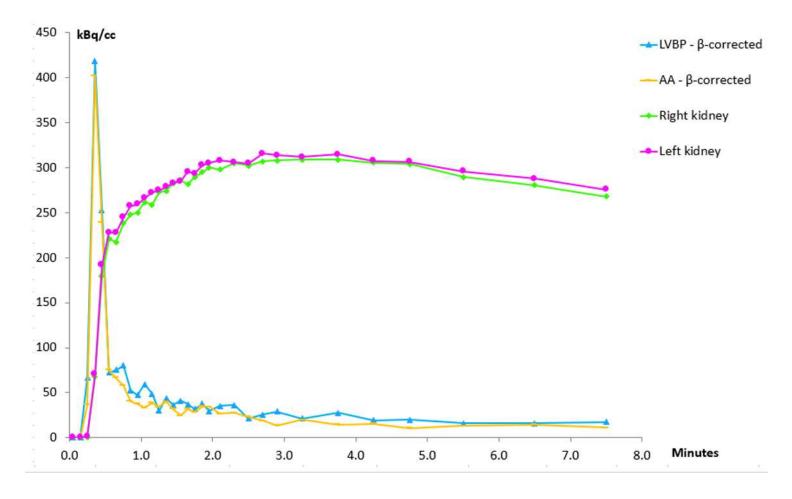


Figure 8

Typical time activity curves from the left ventricular blood pool, abdominal aorta, and the kidneys from one of the study subjects. The observed presence of low activity in the kidneys before the activity in the blood pool has peaked is due to the automatic injection system for delivery of 82Rb providing an infusion of the radioisotope over a 20-40 second period, which does not constitute a true bolus injection.

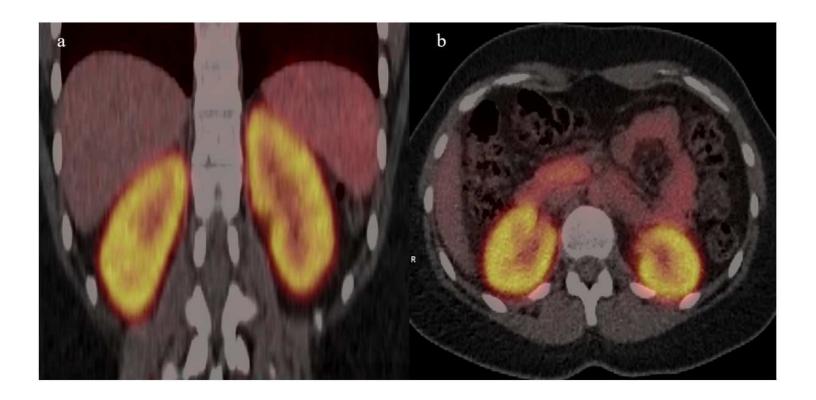


Figure 9

Typical example of a) coronal and b) transavial PET/CT images of kidneys during maximal 82Rb up

Typical example of a) coronal and b) transaxial PET/CT images of kidneys during maximal 82Rb uptake from one of the study subjects. Shown example is for FOV-B