

Dengue Outbreak Prediction Based on Artificial Neural Networking Model Using Climatic Parameters

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Research

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1 **Title: Dengue outbreak prediction based on Artificial Neural networking model using**
2 **climatic parameters**

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16

17 **ABSTRACT**

18 **Background:** Dengue fever is a vector-borne tropical disease radically amplified by 30 times in
19 occurrence between 1960 and 2010. The upsurge is considered to be because of urbanization,
20 population growth and climate change. Therefore, Meteorological parameters (temperature,
21 precipitation and relative humidity) have impact on the occurrence and outbreaks of dengue
22 fever. There are not many studies that enumerate the relationship between the dengue cases in a
23 particular locality and the meteorological parameters. This study explores the relationship
24 between the dengue cases and the meteorological parameters. In prevalent localities, it is
25 essential to alleviate the outbreaks using modelling techniques for better disease control.

26 **Methods:** An artificial neural network (ANN) model was developed for predicting the number
27 of dengue cases by knowing the meteorological parameters. The model was trained with 7 years
28 of dengue fever data of Kamrup and Lakhimpur district of Assam, India. The practicality of the
29 model was corroborated using independent data set with satisfactory outcomes.

30 **Findings:** It was apparent from the sensitivity analysis that precipitation is more sensitive to the
31 number of dengue cases than other meteorological parameters.

32 **Conclusion:** This model would assist dengue fever alleviation and control in the long run.

33 **Keywords:** artificial neural network; nonlinear models; Meteorological parameters; Dengue;
34 Aedes mosquito

35

36 **Background**

37 Dengue fever is a vector-borne disease that is one of the most important public health risks
38 caused by the all four serotypes of dengue virus DENV-1, DENV-2, DENV-3 and DENV-4.
39 Globally there are 100 to 400 million cases of infections annually in tropical and subtropical
40 regions [1-4]. *Aedes aegypti* and *Aedes albopictus* mosquitoes are responsible for the diseases
41 transmission [1,3,5]. As the *Ae. aegypti* mosquitoes have adjusted to urban settings, the control
42 and mitigation of the disease has become very difficult [6-8]. The epidemics of dengue fever in
43 India have turn out to be more common and have rapidly spread to new areas where dengue was
44 not generally in existence [9]. Ensuing epidemics have been reported in different parts of India,
45 especially in urban settings [10]. An important shift has been observed in the range of the dengue
46 affected area where it is not constrained to urban areas only but has spread to rural expanses [11].
47 The increase in the burden of dengue cases in India has been associated with the deviations in
48 environmental aspects, unforeseen urbanization, population resistant issues and insufficient
49 vector control actions which have shaped promising settings for dengue virus spread [9]. There
50 are quite a few studies that conveyed the shifting spatial patterns in the transmission of dengue
51 fever with the causes, stretching from the increase mobility of individuals and goods,
52 proliferating vectors and pathogens to changes in climatic conditions [11-14]. Meteorological
53 parameters like temperature, relative humidity and precipitation are important factors in
54 mosquito population and disease transmission dynamics [15]. Temperature impacts the growth
55 multiplicative performance of mosquitoes and precipitation delivers the water that helps as
56 surroundings for larvae whereas humidity indulgence in prolonged existence of the mosquitoes
57 and reduce the viral growth period leading to quicker virus replication and better transmission
58 intensity [15-17]. It is also evident from the past literatures that the risk of dengue transmission is
59 highly seasonal and increases primarily when vector incursion reaches its peak [18,19]. The

60 association between meteorological parameters and dengue fluctuates across the areas [20,21].
61 For tropical and subtropical region like India, dengue is highly seasonal but inadequate numbers
62 of researches have been carried out to estimate the effect of meteorological parameters on the
63 number of dengue cases.

64 There are some studies regarding linear and nonlinear methods simulating intricate
65 associations between climatic parameters and dengue fever incidence [1,22,23]. Though, the
66 linear methods are frequently inept to simulate complex relations between these parameters
67 [24,25]. Nonlinear methods have usually given away comparatively better results than linear
68 models [26-28].

69 The objective of the study is to establish a predictive model for the occurrence of dengue
70 fever and number of cases as a function of meteorological parameters like precipitation,
71 temperature and relative humidity. Two study areas were chosen in this study which were can be
72 studied independently. An artificial neural network (ANN) model was developed for predicting
73 the number of cases as a function of precipitation, temperature and relative humidity which was
74 additionally corroborated with the independent set of dengue cases. ANN use mixtures of
75 predictor variables of meteorological parameters to simulate association with target variable the
76 number of dengue fever cases. This model can be adjusted to integrate the data such that advance
77 the functional associations between meteorological parameters and the number of dengue fever
78 cases. The model would be useful for predicting the potential outbreaks of dengue fever with
79 known meteorological parameters and comprehend the dengue fever dynamics and advance
80 epidemiological observation which is the innovative feature of the study.

81 **Methodology**

82 **Description of the Study Area**

83 The study areas were the Kamrup district and Lakhimpur district in the usb-basin of river
84 Brahmaputra, Assam, North-east India, with their geographic location between 25°31'3.434"N
85 and 26°44'55.122"N Latitude and 90°56'14.324"E and 92°6'10.578"E Longitude and
86 26°31'3.254"N and 27°28'32.471"N Latitude and 93°51'54.414"E and 94°57'24.874"E
87 Longitude respectively. Kamrup district has an average precipitation of 172 cm per year, with
88 annual average maximum temperatures of 32°C and minimum 19°C, average relative humidity is
89 82%. Lakhimpur district has an average precipitation of 277 cm per year, with annual average
90 maximum temperatures of 29°C and minimum 18°C and the average relative humidity is 87%.
91 For the months of May to October weather is wet and from December to March it is dry in both
92 the study areas.

93 **Dengue Fever Cases**

94 Dengue fever data for both the study areas were obtained from the department of
95 epidemiology from the year 2012-2018 for seven years. The phenology of dengue cases for both
96 the study areas is comparable, with cases typically increasing in August-October and decreasing
97 around December and January which follows the rainy season at both the study areas. The
98 number of dengue cases differs in different years due to various serotypes articulating
99 themselves in different times and the vulnerability of population with movement to affected
100 areas. The number of dengue cases in Lakhimpur district is minuscule in comparison to the
101 Kamrup district as one area has been chosen as exceedingly exposed to dengue fever and other is
102 slightly exposed.

103 **Meteorological data**

104 Precipitation, relative humidity and minimum and maximum temperatures were obtained
105 from the Indian Meteorological Department (IMD) from the year 2012-2018 for seven years.

106 **Development of artificial neural network (ANN) model for Dengue Fever Cases**

107 Neural networks comprise artificial neurons in a multi-layered architecture to institute
108 correlation between the input and the output parameters. A network is trained encompassing an
109 iterative process by which the network provides the suitable inputs along with an exact output for
110 each of the inputs [29]. In the wake of the training, the subsequent set has been learned with the
111 learning weights are slightly adjusted while each of the iterations carried out and the cycle is
112 settled when the appropriate weights have been achieved.

113 In the study, ANN was carried out to relate meteorological parameters with the number of
114 dengue cases. ANN has been used to predict the dengue cases for the study areas as a function of
115 precipitation, relative humidity and temperature. ANN toolbox available with MATLAB v
116 2015A, has been used for the formation of the relationship between the number of dengue cases
117 and meteorological parameters precipitation, relative humidity and temperature. The network
118 was constructed and trained with various learning algorithms where multilayer feed-forward-
119 back-propagation network with Levenberg–Marquardt’s learning rule found to be the most
120 competent and precise in estimating the output in comparison with the other algorithms with the
121 lowest mean square errors (MSE) with 5 neurons as shown in Fig. 1. The maximum possible
122 iterations had been set to 50,000 where the advancement in successive learning iterations was
123 determined by MSE, as expressed in Eq. 1,

$$124 \quad MSE = \frac{1}{N_d} \sum_{i=1}^N (O_s - O_A)^2 \quad (1)$$

125 where O_S and O_A were the simulated and predicted values respectively of the same unit and N_d
126 was the total number of units. As obvious from figure 2, the number of neurons in the hidden
127 layer was optimized to have the least MSE. Therefore, the network structure was a 3-5-1
128 architecture with three neurons in the input layer, five neurons in the hidden layer and one
129 neuron in the output layer where non-linear tan-sigmoid transfer function was used for the nodal
130 connectors as shown in figure 2.

131 **Results**

132 **Normalization of Input and Output**

133 The normalization was conducted using the following expression given as,

$$134 \quad S_j^n = 2 \frac{S_j^a - S_j^{\min}}{S_j^{\max} - S_j^{\min}} - 1 \quad (2)$$

135 where S_j^n and S_j^a were the j^{th} values of input or output before and after normalization respectively
136 whereas S_j^{\max} and S_j^{\min} are the maximum and minimum values of all before normalization.

137 **Number of Hidden Neurons**

138 Investigation was conducted to find out the optimal number of neurons in dispensable in
139 the hidden layer. It was clear that the lowest MSE was in the case of Levenberg–Marquardt’s
140 training function with 5neurons in the hidden layer. Therefore, a 3-5-1 ANN architecture had
141 been developed with three input neurons (precipitation, humidity and temperature) with one
142 output node (No of dengue cases) and five hidden neurons tabulated in table 1.

143 **Overview and Performance of the ANN Architecture**

144 The architecture of the ANN can adjust its performance in agreement with the precise
145 problem. So, ANN has the capability to capture operative configuration from a particular dataset
146 which is known as training where the connection weights of neurons change systematically to
147 deliver the favored outcomes. The leading objective of the training is to discover the perfect
148 connection weights which would generate minimum MSE. Capability of neural structure for
149 training, Testing and Validation phase shown in figure 3.

150 **ANN Prediction Equation**

151 A model equation was outlined with the weights and biases attained from trained ANN.
152 The mathematical equation linking the input variables and the output could be expressed as

$$153 \quad D_n = f_{sig} \left\{ b_0 + \sum_{k=1}^h \left[w_k \times f_{sig} \left(b_{hk} + \sum_{i=1}^m w_{ik} P_i \right) \right] \right\} (3)$$

154 where D_n was the normalized output variable, f_{sig} is the sigmoid transfer function, b_0 is the bias at
155 the output layer; w_k is the connection weight between k^{th} node of hidden layer and the output
156 node, b_{hk} is the bias at the k^{th} node of the hidden layer, m is the number of input variables, h is the
157 number of neurons in the hidden layer, w_{ik} is the connection weight between i^{th} layer of input and
158 k^{th} node of hidden layer, P_i is the normalized input variables.

159 With the values of the connection weights and biases tabulated in table 2-3 and
160 subsequent ANN Prediction Equations of the model expressed in the table 4.

161 The D_n value as acquired from table 5 was in between -1 and 1 and that required to be
162 denormalized as,

$$163 \quad D = 0.5(D_n + 1) (D_{max} - D_{min}) + D_{min} \quad (4)$$

164 where, D_{max} and D_{min} were the maximum and minimum value respectively of the dataset

165 **Sensitivity study of meteorology and dengue cases**

166 The goal of a sensitivity analysis is comparable to evaluating relative importance of
167 explanatory variables, with a few differences. The relationships between explanatory and
168 response variables as described by the model in the hope that the neural network has explained
169 some real-world phenomenon. It is noteworthy for the choice of inducing input variables so as to
170 give their ranking according to their importance. Garson's algorithm was used in this study to
171 find the importance of inputs [28]. Using Garson's algorithm, we can get an idea of the
172 magnitude and sign of the relationship between variables relative to each other. At first the input-
173 hidden and hidden-output weights were disjointed, and the absolute values of the weights were
174 used to differentiate the rank of input parameters. The three meteorological parameters are the
175 inputs for the study. In the method, the products of the input-hidden and hidden-output
176 connection weights were measured. The outcome is the standing of the input variables centered
177 on their absolute values.

$$178 \quad Input_X = \frac{\sum_{Y=A}^F |Hidden_{XY}|}{\sum_{Z=1}^9 |Hidden_{ZY}|} \quad (5)$$

179 Accordingly, the above expression represents the estimation of variable importance for
180 predictor variable X (where X = 1-3), using the weights connecting each of the input neurons Z
181 (where Z = 1-3) to each of the hidden neurons N (where N = 1-5), and the latter to the single
182 output neuron. The result of the sensitivity analysis conducted with the Garson's method to
183 deliver the importance ranking to the input parameters was shown in table 5. Relative humidity

184 has been found to be the most important input parameter followed by temperature and
185 precipitation.

186 **Discussion**

187 There are fairly a few studies that conveyed the association between meteorological
188 parameters and dengue fever though it vacillates across the areas [18-21]. As the meteorological
189 parameters like temperature, relative humidity and precipitation significantly influences
190 mosquito population and spread of the disease, establishing a predictive model for the occurrence
191 of dengue fever is essential [15]. Though there are studies simulating associations between
192 climatic parameters and dengue fever incidence with both linear and nonlinear methods,
193 nonlinear methods like ANN have typically provided with relatively better results [22,26-28].
194 ANN model has also been used in Thailand, Singapore, Malaysia, North America to predict
195 dengue fever cases with high accuracies [25,30-32]. The present study is the first such approach
196 in India in that context with an ANN model has been developed for predicting the number of
197 cases as a function of precipitation, temperature and relative humidity which was additionally
198 corroborated with the independent set of dengue cases with two study areas chosen which were
199 studied independently. A model equation has been developed with ANN for determining the
200 number of dengue cases as a function of three meteorological parameters which would be useful
201 for predicting the potential outbreaks of dengue fever and advance epidemiological observation.
202 The sensitivity analysis of the meteorological parameters for predicting the vulnerability of
203 dengue fever is also first such effort in the context of India.

204 **Conclusions**

205 The number of dengue cases was modeled in this study using meteorological parameters
206 with a nonlinear neural network method. An Artificial neural network has been developed with a

207 feed forward back propagation neural network to predict the number of dengue cases towards
208 three meteorological parameters. The ANN model with the five hidden neurons is the optimal
209 model based on training and testing data set. A model equation has been developed based on the
210 trained weights of the ANN for determining the number of dengue cases as a function of three
211 meteorological parameters. Based on sensitivity analysis as per the Garson's algorithm
212 approaches, relative humidity is the most important input parameters for predicting the
213 vulnerability of dengue fever.

214 There were numerous influences that were not deliberated in the predictive ability of the
215 ANN models. Additional studies are desirable to include population vulnerability to dengue,
216 vector and dengue virus dynamics into the models which advance the ability of simulations and
217 comprehend related diseases that be influenced by meteorological changes. This is also
218 perceived that meteorological parameters are not the only issues influencing the sudden changes
219 in the dengue cases affecting the precision of the model. Future studies should increase the time
220 period to comprehend the seasonal and spatial variances across dengue fever prevalent regions
221 and can apply ANNs in that region to predict the number of cases.

222 **Author's contribution:** BG has worked on the modeling software and designed the prediction
223 model. MS helped in framing the manuscript, worked on dengue disease transmission pattern
224 and acquired data from National Vector Borne Disease Control Programme (NVBDCP),
225 Guwahati and regional metrological centre. Both the authors have read and approved the
226 manuscript.

227 **Declarations:**

228 **Ethics approval and consent to participate:** Ethical approval and consent to participate is not
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230 **Consent for publication:** Authors declare consent for publication for this manuscript.

231 **Competing interests:** Authors declare there is no any conflict of interest for submitting and
232 publishing of this article

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325 **Figures Legends:**

326 **Figure1.** Training program of hidden layer transfer functions with MSE data

327 **Figure2.** Structure of the Neural Network

328 **Figure3.** Capability of neural structure for training, Testing and Validation phase

Figures

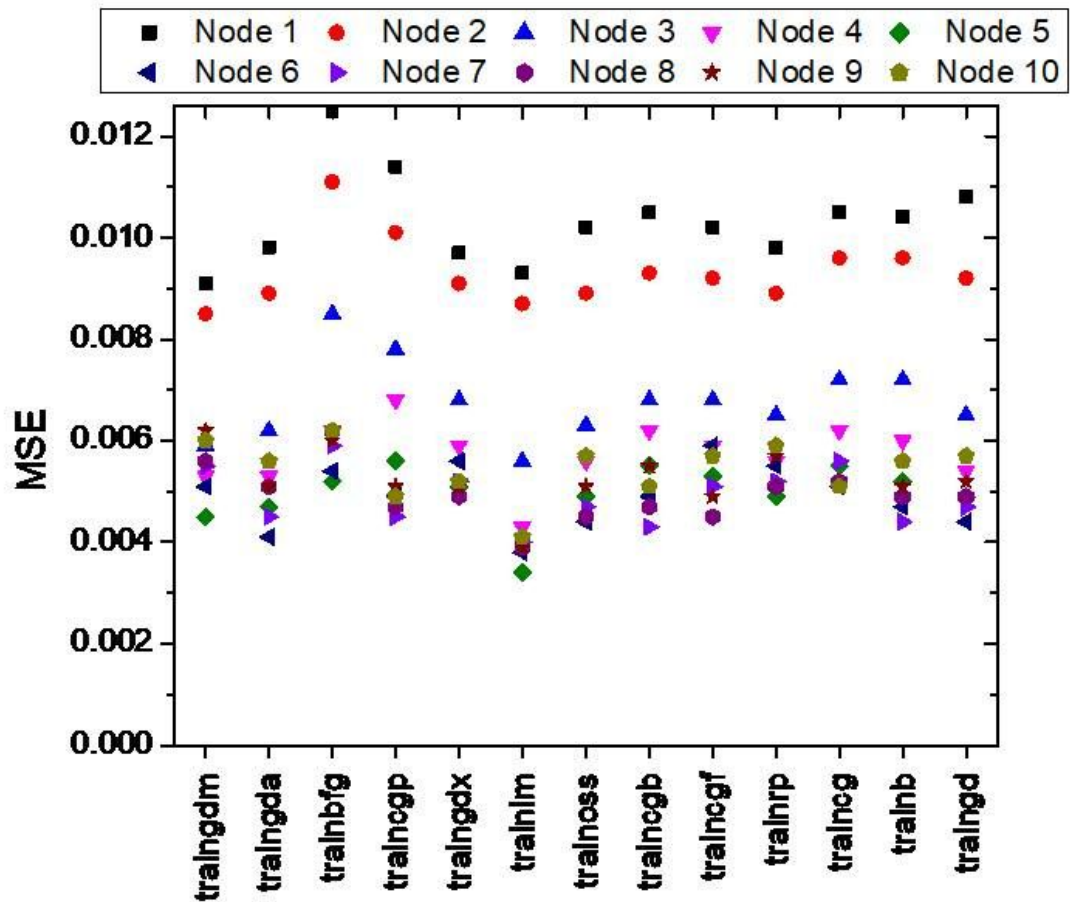


Figure 1. Training program of hidden layer transfer functions with MSE data

Figure 1

Figure 1

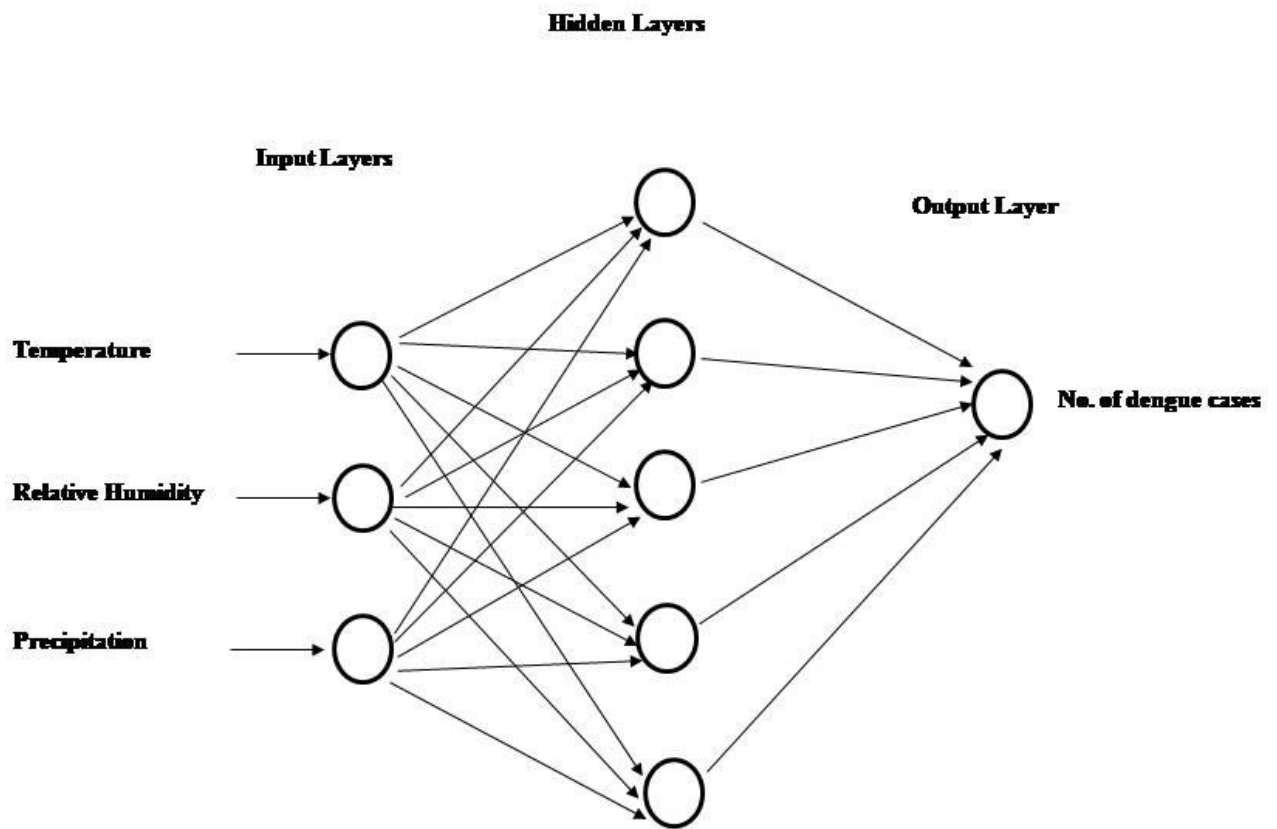


Figure 2. Structure of the Neural Network

Figure 2

Figure 2

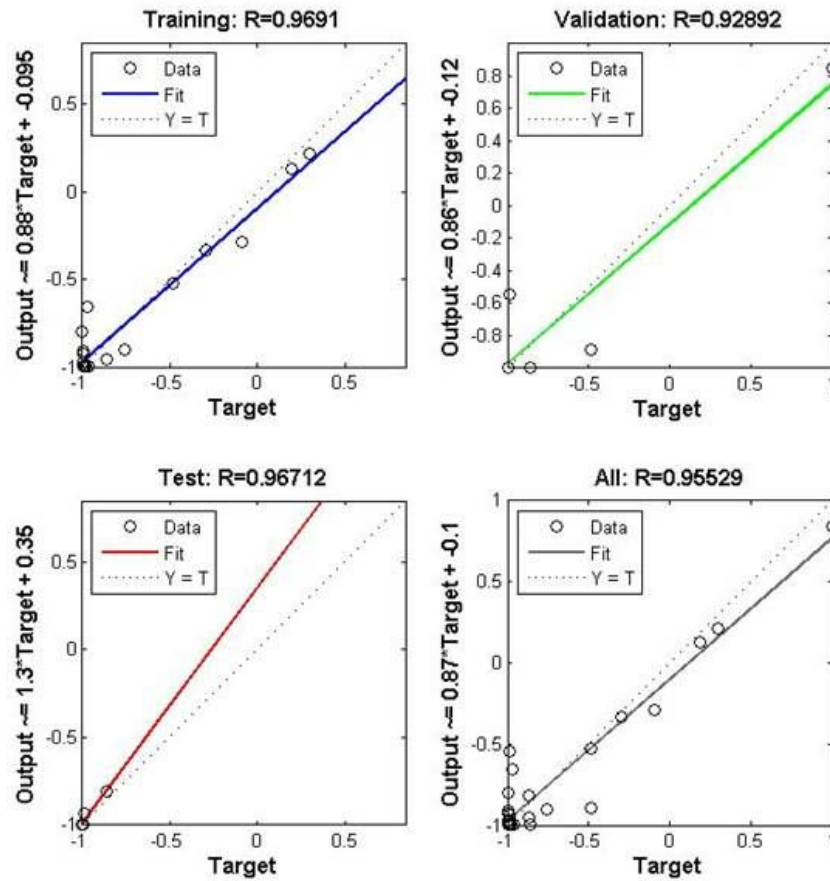


Figure 3. Capability of neural structure for training, Testing and Validation phase

Figure 3

Figure 3

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