Multimodal genetic features correlate with poor response to neoadjuvant chemoradiotherapy and high recurrence risk in Chinese patients with stage IB-IIA cervical cancer

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Abstract

Background Response of cervical cancer patients to neoadjuvant therapy differs from person to person. It remains unclear whether genetic alterations can predict response to neoadjuvant therapy and disease-free survival in cervical cancer.

Methods 62 Chinese patients with stage IB-IIA cervical cancer were included in this study. Pre-treatment tumor tissues were profiled using a targeted next-generation sequencing assay. Genetic alterations were compared with those identified in the Western populations using the TCGA database. Pathological response and disease-free survival (DFS) were evaluated and their correlations with genetic alterations were analyzed.

Results Genetic alterations in PIK3CA were prevalent in both Chinese and Caucasian populations. The mutation frequencies of TERT, POLD1, NOS2, and FGFR3 were significantly higher in Chinese patients whereas RPTOR, EGFR, and TP53 were frequently mutated in Caucasian patients. Germline mutations were identified in 13 out of 62 Chinese patients and 57% of them occurred in DNA repair genes, such as BRCA1/2, TP53 and PALB2. High tumor mutation burden (TMB), TP53 polymorphism (rs1042522) and KEAP1 mutations were found to be associated with poor response to neoadjuvant therapy. KEAP1 mutations, PIK3CA-SOX2 co-amplication, TERC amplification and TYMS polymorphism were associated with higher relapse rates of cervical cancer.

Conclusion The similarity and difference of mutation landscape of Chinese and Caucasian patients suggested genetic background played a role in shaping the architecture of cervical cancer mutations. The associations of mutation feature of cervical cancer with patient response and tumor recurrence risk provided rationale to further validate and explore potential biomarkers for cervical cancer patients.

Introduction

Cervical cancer is the fourth most common cancer diagnosed among females and every year leads to more than half-million new cases as well as over 300,000 deaths worldwide [1]. Despite recent advances in prevention, diagnosis and treatment, clinical outcome of cervical cancer patients remains poor in the developing countries[2]. While the incidence of cervical cancer in developed countries has more than halved over the past decades, a surge in cervical cancer incidence was recently reported in China[3]. On the macro level, insufficient pap smear screening and HPV vaccination are the major culprits of this international disparity. On the molecular level, there may also exist differences in the mutational landscape of cervical cancer between Chinese and the Western populations, which may reveal clues of carcinogenesis mechanism and susceptibility between the two populations.

The primary treatment strategy for patients with early-stage cervical cancers, particularly stage IA-IB1, is radical hysterectomy with or without radiation or chemotherapy[2, 4]. Multiple treatment regimens have been actively explored and proposed for high-risk early-stage (stage IB-IIA) cervical cancer patients[5, 6]. Neoadjuvant brachytherapy and chemotherapy followed by radical surgery showed an efficacy non-
inferior to standard chemoradiation treatment and a more favorable toxicity profile in stage IB2-IIA cervical cancer[6]. Despite high disease-free survival rate (90% during 52 months of median follow-up time), there is a portion of patients who failed to respond to the therapy. Identification of potential biomarkers predicting poor treatment response in these patients is much needed.

In this study, we compared the genetic landscape of cervical cancer between Chinese and the Western populations to understand the differences in potential tumorigenesis mechanisms and identified associations between specific genetic alterations and poor treatment response to neoadjuvant therapy.

**Methods**

**Patients and study design**

Patients were enrolled according to the following criteria: 1) cervical cancer patients with histologically confirmed International Federation of Gynecology and Obstetrics (FIGO) stage IB1-IIA; 2) age ≥ 18 years old; 3) pathological subtypes were squamous cell carcinoma, adenocarcinoma or adenosquamous carcinoma, excluding special types of tumors, such as clear cells carcinoma; 4) Eastern Cooperative Oncology Group performance status score of 0–2; 5) Patients voluntarily joined this study, signed informed consent and provided diagnosis and treatment data after cancer diagnosis before entering the group, good compliance, and cooperation with follow-up. Among the 62 patients, five of them dropped out during follow up after surgery due to loss of contact or withdrawal of consent.

Exclusion criteria: 1) potential radiation field overlap caused by previous radiotherapy; 2) patients cannot undergo routine imaging examination; 3) any signs of severe or uncontrolled systemic diseases that the researchers believe may significantly affect the patient's risk / benefit balance, including hepatitis B, hepatitis C and human immunodeficiency virus;

**Treatment**

The patients received one cycle of paclitaxel + cisplatin chemotherapy and brachytherapy ((500–700) cGy × (1–2) fraction) before operation, radical cervical cancer resection (extensive hysterectomy + pelvic lymph node dissection ± adnexal lymphadenectomy ± abdominal para-aortic lymphadenectomy). The radical surgery was followed by adjuvant chemo (three cycles), brachytherapy and irradiation (5040 cGy/28 fraction).

**Samples And Clinical Data**

The detailed clinical data of the selected patients were recorded, including age, pathological grade, imaging examination (CT, MRI or PET-CT, etc.) with or without lymph node metastasis, tumor stage, immunohistochemical results, course of disease, location and size of lesions, performance status score, family history. Paraffin samples of tumors were biopsied before and after radiotherapy and chemotherapy for next-generation sequencing and pathological response assessment, respectively. 10 ml of venous blood was collected from each patient after chemo-radiotherapy and was kept in the purple lid
EDTA anticoagulant blood collection tube (BD Vacutainer, Cat # 367525). The white blood cell or normal tissue adjacent to tumor was used as control of tumor samples.

Pathological Assessments

The tumor samples were taken and subjected to Hematoxylin and eosin staining protocol after chemoradiotherapy to evaluate their pathological response to treatment. Hematoxylin and eosin-stained slides of sections of tumors after treatment were evaluated by pathologists blinded to the patient information. At least 1 section was taken every centimeter of tumor along its greatest diameter. 5 to 30 slides were examined for each case. The residual tumor percentage was quantified by dividing the estimated cross-sectional area of the viable tumor by total cross-sectional areas evaluated on each slide. Histologic parameters analyzed include inflammation, necrosis, fibrosis, giant cell reaction, foamy macrophages, and cholesterol cleft granuloma. The mean values of viable tumor cells for each patient were calculated by averaging the results for all slides.

Sample Collection And Library Preparation

Sample processing and genomic profiling were performed in a CLIA- and CAP-accredited laboratory (Nanjing Geneseeq Technology Inc., Nanjing, China) as previously described[7]. In brief, genomic DNA from tumor specimen and control samples were extracted and quantified by Qubit 3.0. Library preparations were performed with KAPA Hyper Prep Kit (KAPA Biosystems, USA). Target enrichment was performed using customized xGen lockdown probes (Integrated DNA Technologies) targeting 474 cancer- and radiotherapy response-relevant genes (Radiotron®, Nanjing Geneseeq Technology Inc., Nanjing). The hybridization capture reaction was performed with Dynabeads M-279 (Life Technologies) and xGen Lockdown hybridization and wash kit (Integrated DNA Technologies) according to manufacturer’s protocols. Captured libraries were on-beads PCR amplified with Illumina p5 and p7 primers in KAPA HiFi HotStart ReadyMix (KAPA Biosystems), followed by purification using Agencourt AMPure XP beads. Libraries were quantified by qPCR using KAPA Library Quantification kit (KAPA Biosystems). Library fragment size was determined by Bioanalyzer 2100 (Agilent Technologies).

Targeted Next-Generation Sequencing And Data Processing

Sequencing was performed on the Illumina HiSeq4000 platform followed by data analysis as previously described[8, 9]. In brief, sequencing data were analyzed by Trimmomatic[10] to remove low-quality (quality < 15) or N bases, and then mapped to the human reference genome hg19 using the Burrows-Wheeler Aligner (https://github.com/lh3/bwa/tree/master/bwakit). PCR duplicates were removed by Picard (available at: https://broadinstitute.github.io/picard/). The Genome Analysis Toolkit (GATK) (https://software.broadinstitute.org/gatk/) was used to perform local realignments around indels and base quality reassurance. SNPs and indels were analyzed by VarScan2[11] and HaplotypeCaller/UnifiedGenotyper in GATK, with the mutant allele frequency (MAF) cutoff as 0.5% for tissue samples, 0.1% for cfDNA samples, and a minimum of three unique mutant reads. Common SNPs were excluded if they were present in > 1% population frequency in the 1000 Genomes Project or the
Exome Aggregation Consortium (ExAC) 65000 exomes database. The resulting mutation list was further filtered by an in-house list of recurrent artifacts based on a normal pool of whole blood samples. Gene fusions were identified by FACTERA[12].

Tumor mutation burden (TMB) was calculated based on the number of non-silent somatic mutations per megabase coding region sequenced[7]. Microsatellite (MS) status of tumor sample was determined on the overall stability of MS loci tested in the panel. A sample was reported as microsatellite instable ("MSI") if ≥ 40% of the MS loci display instability, or as "MSS" if < 40% of the MS loci display instability.

**Statistical analysis**

Disease-free survival (DFS) was defined as the time from neoadjuvant chemoradiotherapy until time of the tumor relapse or date of last follow-up (May 5, 2020). A p-value of less than 0.05 was taken to be significant. The statistical analyses were performed using R (version 3.4.2). Gene pathways were analyzed using ReactomePA R package[13].

**Results**

**Patient clinical characteristics**

A total of 62 patients with stage B–A cervical cancer diagnosed in Shandong Cancer Hospital from 2016 to 2019 were enrolled in this study and received neoadjuvant chemoradiotherapy plus radical hysterectomy (Table 1). The median age of patients was 47 years, ranging from 26 to 66 years. 55% of patients presented with stage IB disease. Squamous cell carcinoma was the most common histological subtype (82%). The rest were adenocarcinoma (15%) and adenosquamous carcinoma. As of May 5, 2020, the median follow-up time was 31 months. Three patients’ cervical cancer relapsed during follow-up and the remaining 95% patients were relapse-free.
Table 1. Clinical characteristics of cervical cancer patients

<table>
<thead>
<tr>
<th></th>
<th>Chinese (n=62)</th>
<th>Caucasian (n=82)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Age (years)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;44</td>
<td>35 (56%)</td>
<td>46 (56%)</td>
</tr>
<tr>
<td>Median</td>
<td>47</td>
<td>45</td>
</tr>
<tr>
<td>Range</td>
<td>26-66</td>
<td>20-80</td>
</tr>
<tr>
<td><strong>Clinical stage</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>IB</td>
<td>34 (55%)</td>
<td>72 (88%)</td>
</tr>
<tr>
<td>IA</td>
<td>28 (45%)</td>
<td>10 (12%)</td>
</tr>
<tr>
<td><strong>Histological type</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Squamous cell carcinoma</td>
<td>51 (82%)</td>
<td>61 (74%)</td>
</tr>
<tr>
<td>Others</td>
<td>11 (18%)</td>
<td>21 (26%)</td>
</tr>
<tr>
<td><strong>Viable tumor cells</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;10%</td>
<td>13 (21%)</td>
<td>NA</td>
</tr>
<tr>
<td>10%-50%</td>
<td>22 (35%)</td>
<td>NA</td>
</tr>
<tr>
<td>50%-100%</td>
<td>27(44%)</td>
<td>NA</td>
</tr>
</tbody>
</table>

Note: Caucasian patient data were derived from TCGA database

Table 2. Germline mutant patient characteristics

<table>
<thead>
<tr>
<th>Patient ID</th>
<th>Age</th>
<th>Stage</th>
<th>Histology</th>
<th>Gene</th>
<th>AA change</th>
<th>variant type</th>
</tr>
</thead>
<tbody>
<tr>
<td>CC_006</td>
<td>35</td>
<td>IIA</td>
<td>ADC</td>
<td>MPL</td>
<td>W398X</td>
<td>Nonsense variant</td>
</tr>
<tr>
<td>CC_007</td>
<td>44</td>
<td>IIA</td>
<td>SCC</td>
<td>MMP1</td>
<td>A330LfsX45</td>
<td>Frame shift variant</td>
</tr>
<tr>
<td>CC_015</td>
<td>41</td>
<td>IB</td>
<td>SCC</td>
<td>PMS1</td>
<td>K894RfsX17</td>
<td>Frame shift variant</td>
</tr>
<tr>
<td>CC_019</td>
<td>36</td>
<td>IB</td>
<td>SCC</td>
<td>AXIN2</td>
<td>R714W</td>
<td>Missense variant</td>
</tr>
<tr>
<td>CC_028</td>
<td>60</td>
<td>IB</td>
<td>SCC</td>
<td>BRCA1</td>
<td>c.4358-2A&gt;G</td>
<td>Splice variant</td>
</tr>
<tr>
<td>CC_028</td>
<td>60</td>
<td>IB</td>
<td>SCC</td>
<td>BRIP1</td>
<td>S618*</td>
<td>Nonsense variant</td>
</tr>
<tr>
<td>CC_034</td>
<td>66</td>
<td>IB</td>
<td>SCC</td>
<td>EPCAM</td>
<td>L78R</td>
<td>Missense variant</td>
</tr>
<tr>
<td>CC_036</td>
<td>59</td>
<td>IIA</td>
<td>SCC</td>
<td>PALB2</td>
<td>S537L</td>
<td>Missense variant</td>
</tr>
<tr>
<td>CC_039</td>
<td>50</td>
<td>IB</td>
<td>SCC</td>
<td>MUTYH</td>
<td>Y453C</td>
<td>Missense variant</td>
</tr>
<tr>
<td>CC_039</td>
<td>50</td>
<td>IB</td>
<td>SCC</td>
<td>TP53</td>
<td>A86V</td>
<td>Missense variant</td>
</tr>
<tr>
<td>CC_056</td>
<td>43</td>
<td>IIA</td>
<td>SCC</td>
<td>BRCA2</td>
<td>S2414*</td>
<td>Nonsense variant</td>
</tr>
<tr>
<td>CC_072</td>
<td>38</td>
<td>IB</td>
<td>ADC</td>
<td>FANCE</td>
<td>S157Kfs*21</td>
<td>Frame shift variant</td>
</tr>
<tr>
<td>CC_079</td>
<td>41</td>
<td>IIA</td>
<td>SCC</td>
<td>BRCA1</td>
<td>S451Lfs*20</td>
<td>Frame shift variant</td>
</tr>
<tr>
<td>CC_081</td>
<td>44</td>
<td>IB</td>
<td>ADC</td>
<td>MLH1</td>
<td>S295G</td>
<td>Missense variant</td>
</tr>
<tr>
<td>CC_111</td>
<td>42</td>
<td>IB</td>
<td>SCC</td>
<td>FANCM</td>
<td>L923Cfs*3</td>
<td>Frame shift variant</td>
</tr>
</tbody>
</table>

To explore potential factors predicting cancer recurrence, pathological response of cervical cancer to neoadjuvant chemoradiotherapy was determined. 56% and 21% patient tumor samples showed partial pathological response (< 50% viable tumor cells) and major pathological response (< 10% viable tumor
cells)[14] to neoadjuvant therapy, respectively. All three patients with progressive disease after surgery failed to have major pathological response, indicating resistance to chemoradiotherapy was one of the factors promoting cancer relapse.

**Molecular Characteristics Of Cervical Cancer**

To find underlying signal pathways associated with cancer recurrence and resistance to chemoradiotherapy, we performed mutation profiling using targeted next-generation sequencing. First, we characterized the mutational landscape of cervical cancer to identify potential genetic alterations underlying disease pathogenesis. The top 29 genes most frequently mutated among the 62 Chinese patients with cervical cancer were shown in Fig. 1. The three genes with the highest mutation frequencies were *PIK3CA, TERT* and *PKHD1*. To compare the ethnical difference in mutation frequency of cervical cancer, a total of 82 Caucasian patients with stage IB-A cervical cancer in the TCGA database were analyzed [15] (Table 1).

Compared to other genes, the *PIK3CA* mutation rate was highest in cervical cancer of Chinese and Caucasian. *PIK3CA* mutations accounted for over one-third of all mutations found in cervical cancer in both populations (Table S1). More than half of them occurred on three hot spots in exon9 and 20, including E542, E545, and H1047, which were involved in inhibitory interaction with regulatory subunit (E542 and E545) and membrane association (H1047)[16]. About a quarter of *PIK3CA* alterations were amplification. Three patients carried two types of gene variations in *PIK3CA*, including E365K-E545K double mutation, amplification with S306C single mutation or E542K-E545K double mutation. Alteration frequency of genes in cervical cancer was compared among Chinese and Caucasian patients, as well as among different histological subtypes. *TERT, POLD1, NOS2* and *FGFR3* genes were frequently altered in Chinese cervical cancer, whereas *RPTOR, EGFR* and *TP53* gene variants were significantly enriched in Caucasian cervical cancer (Figure S1A). *ARID1A, PTEN* and *CTNNB1* gene mutations were frequently observed in cervical adenocarcinoma (Figure S1B).

4.8% (3/62) of the patients with stage IB-A cervical cancer were found to have microsatellite instability (MSI). Tumor mutation burden (TMB) in patients with MSI or microsatellite stability (MSS) was shown in Figure S2A. The upper tertile in the TMB distribution of all patients was defined as TMB-high (11.5 mutations/Mb) in this study. All the patients in MSI group had high TMB. No significant difference in TMB was observed between squamous cell carcinoma and adenocarcinoma (Figure S2B).

Germline mutations were detected in 21% of patients (13/62) (Table 2). Among the 14 genes carrying germline mutations, 8 genes including *TP53, BRCA2, BRIP1, BRCA1, FANCM, MUTYH, FANCE* and *PALB2*, were associated with DNA-repair pathway and half of them (*BRCA2, BRIP1, BRCA1* and *PALB*) were involved in homology-directed repair (Table 2). In this study, the average diagnosed age of patients with germline mutations was 43 years old, 6 years younger than that of patients without germline mutations. 62% (7/13) germline-mutant cancer was stage IB. The majority (77%) of patients had squamous cell carcinoma. Among the germline mutations harbored by 13 patients, five were frameshift mutations and three were nonsense mutations.
Identification of genetic features associated with poor pathological response and cancer recurrence

With the determined mutation fingerprints of each patient, we next sought to find if these genetic features were associated with cancer recurrence and response to chemoradiotherapy. Logistic regression model was used to analyze the influence of single factor on pathological remission (less than 50% viable tumor cells, Table S2). Univariate analysis showed that Kelch-like ECH-associated protein 1 (KEAP1) mutation (15% vs. 0%, \( P = 0.032 \)), TMB-H (44% vs. 11%, \( P = 0.007 \)) and TP53 polymorphism (rs1042522; 37% vs. 14%, \( P = 0.011 \)) were associated with poor pathological response (residual tumor cells > 50%) in patients. The PIK3CA mutations had a trend to associate with poor pathological response (Fig. 2). Multivariate analysis revealed that TP53 polymorphism was the major factor associated with poor pathological response (\( P \)-value 0.014) and the TMB-H association with poor pathological response was partially independent from TP53 polymorphism (\( P \)-value 0.14). Due to co-occurrence with TP53 polymorphism, the association of KEAP1 mutations with pathological response was no longer significant with multivariate analysis (\( P \)-value 0.78). Disease-free survival (DFS) analysis revealed that four genetic alterations were associated with poor DFS including KEAP1 mutation, SOX2-PIK3CA co-amplication, thymidylate synthase (TYMS) triple repeats (3R/3R) polymorphism and TERC amplification (Fig. 3 and S3). Analysis of PIK3CA single/multiple mutations showed that they had no correlation with particular outcome of DFS, suggesting that compared to SOX2-PIK3CA co-amplication, activation of PI3K pathway by mutations was insufficient to predict clinical outcome of cervical cancer patients (Figure S4). Interestingly, KEAP1 mutation was found in both poor DFS group and poor chemoradiotherapy response group, further confirming the role of KEAP1-related signaling pathway in mediating poor disease outcome.

Discussion

In this study, we characterized genetic alteration of 62 cervical cancer cases in China and compared their molecular profile with that of Caucasian cervical cancer patients in TCGA database. PIK3CA was the most frequently mutated gene in cervical cancer regardless of racial groups, suggesting a universal dependence of cervical cancer on PI3K/AKT signal pathway. Both datasets highlighted three mutation hotspots in PIK3CA gene, including E542, E545 and H1047 which accounted for half of mutation sites. Interestingly, a number of genes’ mutation frequency differed significantly in Chinese and Caucasian cervical cancer. The three genes predominantly mutated in Caucasian population were RPTOR, EGFR and TP53, all associated with PI3K/AKT pathway. The genes mainly mutated in Chinese patients were TERT, POLD1, NOS2 and FGFR3. TERT and POLD1 were associated with telomere maintenance in cells. It has been reported that human papillomavirus (HPV) type 16 E6 could activate TERT gene transcription[17], suggesting a close relationship between HPV infection and TERT expression. The TERT promoter mutations were found in ~ 20% cervical cancer in Indian population[18, 19], implying its prevalence in Asians. Gene amplification, rearrangement and protein expression of TERT were associated with poor clinical outcome in thyroid cancer, glioma and neuroblastoma[20] [21, 22]. Further clinical investigation is needed to evaluate the effect of TERT promoter mutation on survival of cervical cancer patients. Our finding of germline mutations enrichment in DNA repair pathway is in agreement with the germline mutation summary made by Bertelsen et. al.[23]. However, the mutation patterns of cervical cancer were
different between two studies, likely due to larger patient cohort in our study and different racial/genetic background. Over 60% of germline mutations were truncation, frameshift or splicing variants deleterious to protein function, suggesting tumor suppressor role of these genes and importance of inactivation of DNA repair pathway in tumorigenesis. Several Poly (ADP-ribose) polymerase inhibitors (PARPi) have been approved in BRCA1/2-mutant ovarian and breast cancer[24]. Given the high mutation frequency of BRCA1/2 in cervical cancer, the clinical utility of PARPi in cervical cancer is worth exploration, especially in combination with chemotherapy or targeted therapy[24, 25]. The early onset of cancer was found in germline-mutant patients in this study, supporting the critical role of DNA repair gene mutations in carcinogenesis[26–28].

Although the neoadjuvant therapy to surgery is not a standard therapy for FIGO IB-IIA patients, neoadjuvant chemotherapy to surgery has been evaluated by two randomized phase III clinical trial (NCT00193739 and EORTC Protocol 55994, no results available yet)[6] and it was permitted in National Comprehensive Cancer Network (NCCN) 2020 guideline. The neoadjuvant brachytherapy and chemotherapy to radical hysterectomy was included in clinical practice guideline in China and has shown promising efficacy. It reduced the size of stage IB2-IIA cervical cancer and enabled radical surgery, achieving an overall survival comparable to standard chemo-radiation as well as a more favorable side-effect profile. However, there were still 10% of patients whose tumor progressed after the treatment. One of the factors promoting tumor progression was poor response to neoadjuvant chemoradiotherapy. Identification of biomarkers predicting response to neoadjuvant therapy as well as tumor recurrence would enable early detection of recurrence and maximize therapeutic window for patients. Through univariate analysis, we found that *TP53* polymorphism (rs1042522), *KEAP1* mutation and TMB-H were associated with poor pathological response of tumor cells to neoadjuvant therapy. TP53 codon 72 arginine (polymorphism, rs1042522) has been shown to be more susceptible to HPV-related carcinogenesis[29] and more resistant to chemoradiotherapy in head and neck cancer [30]. Our data demonstrated that the association between *TP53* polymorphism (P72R) and resistance of chemoradiotherapy also existed in cervical cancer, suggesting this correlation can potentially be generalized to other cancer types. In June 2020, Food and Drug Administration (FDA) expanded the approval of pembrolizumab (anti PD-1) to include any cancer with TMB-H. Our previous study has shown that the 10 mutations/Mb in the targeted panel possessed predictive value in patients response to immunotherapy[7]. The poor pathological response of TMB-H cervical cancer to chemoradiotherapy provided another rationale to apply immunotherapy to cervical cancer with TMB-H.

In our study, *KEAP1* mutation, co-amplification of *PIK3CA* and *SOX2*, TERC amplification, and *TYMS* polymorphism were found associated with early onset of cancer recurrence. The KEAP1 mutation has been shown to increase radioresistance and local recurrence in lung squamous cell carcinoma[31]. Consistently, our pathological response and disease-free survival analysis revealed that *KEAP1* mutation was also associated with chemo-radioresistance and local recurrence of cervical cancer in Chinese population. The human protein atlas showed that high *KEAP1* expression was associated with prolonged survival of cervical cancer patients (291 cases) and was a favorable prognostic biomarker[32]. KEAP1 is an E3 ligase adaptor responsible for NRF2 ubiquitination and degradation. As NRF2 confers a survival
advantage to tumors[33], KEAP1 mutations in cervical cancer likely reduced KEAP1 expression and function. Indeed, one of the two PD patients harboring KEAP1 mutations in our patient cohort had nonsense mutation at R260 in KEAP1 gene, abolishing its normal function and expression in tumor cells. Taken together, both our pathological response and DFS dataset, and the dataset from protein atlas indicated an importance of KEAP1 alteration in predicting survival of cervical cancer patients. The PIK3CA and SOX2 genes both are located on chromosome 3q26-28. Their co-amplification was critical for pathogenesis of squamous cell carcinoma[34] and was observed in 94% lung squamous cell carcinoma[35] and 100% esophageal squamous cell carcinoma[36]. Here we showed that co-amplification of PIK3CA and SOX2 was associated with higher recurrence risk of cervical cancer.

In conclusion, we identified genetic alterations highly associated with Chinese cervical cancer patients and reported correlations of specific genetic features with poor chemo-radiotherapy response or early recurrence of cervical cancer in Chinese population. Future efforts should focus on validating these correlations in larger patient cohort and testing these potential biomarkers utility in clinical settings.

**Declarations**

**Ethics Approval and Consent to Participate**

This study was approved by the Institutional Review Board/Ethics Committee of Shandong Cancer Hospital and Institute. Written informed consent was obtained from each patient upon sample collection and for publication of the study.

**Funding**

This study was partially funded by Natural Science Foundation of China (NSFC81872475, NSFC81372413, NSFC81972683), National key Research and Development Program (2018YFC1313200), Shandong Natural Science Foundation Joint Fund (ZR2019LZL018), Shandong Key Research and Development Plan (2017CXGC1209 and 2017GSF18164) and the Outstanding Youth Natural Science Foundation of Shandong Province (JQ201423), Jinan Clinical Medicine Science and Technology Innovation Plan (201704095), National Key Research and Development Program of China (2016YFC0904700).

**Conflict of Interest:**

Zhenhao Fang, Qiuxiang Ou, Xue Wu, Jiani C. Yin, Jiaohui Pang, Xiaonan Wang, Yang Shao are employees of Nanjing Geneseeq Technology Inc. The remaining authors have nothing to declare.

**Data availability statement**

This study was based on the Chinese national cancer registry data. The authors do not own these data and hence are not permitted to share them in the original form (only in aggregate form, eg, publications).
At the time of request data were provided by the Office for National Statistics but now all cancer registrations are owned and maintained by Public Health China.

**Consent for publication**

Not applicable.

**Contributions**

Yuchun Wei and Shuanghu Yuan designed the study. Yang Shao, Jinming Yu and Shuanghu Yuan supervised the study. Yuchun Wei, Chuqing Wei, Chen Liang and Ning Liu collected data. Dianbin Mu analyzed the pathological response. Yuchun Wei, Zhenhao Fang, Jiani C. Yin, Jiaohui Pang, Qiuxiang Ou, Xue Wu, Xiaonan Wang and Dianbin Mu analyzed data; Yuchun Wei, Zhenhao Fang, Jiani C. Yin, Jiaohui Pang and Qiuxiang Ou wrote the manuscript. All authors participated in data discussion and interpretation, and approved the final manuscript.

**References**


Figures
Figure 1

Distribution of gene alterations correlated with pathological response. Gene alterations and patient clinical characteristics were shown at the top and bottom, respectively. Patients were separated into three groups, of which H&E stains exhibited <10%, 10-50% and 50%-100% viable tumor cells. The BRCA1/2, POLD1 and POLE were genes related to targeted therapy or immunotherapy. PIK3CA hotspot mutations on E542, E545 and H1047 were marked by white asterisks. The 0-10%, 10-50% and 50-100% viable tumor cells represent major, partial and poor pathological response, respectively. SCC, squamous cell carcinoma. ADC, adenocarcinoma. ASC, adenosquamous carcinoma. MSI, microsatellite instability. MSS, microsatellite stability.

![Bar chart showing residual viable tumor cells](image)

- TP53 SNP: $P=0.0072$
- KEAP1 mutant: $P=0.0109$
- TMB-H: $P=0.0315$
- PIK3CA variant: $P=0.0623$

Figure 2

Association of TP53 polymorphism, KEAP1, PIK3CA mutations and high TMB with poor pathological response to neoadjuvant chemoradiotherapy. The TP53 single nucleotide polymorphism (SNP), KEAP1, PIK3CA mutation and high tumor mutation burden (TMB-H) were enriched in poor pathological response group with more than 50% viable tumor cells (in blue).
Figure 3

Association of KEAP1 mutation and SOX2-PIK3CA co-amplification with high cervical cancer recurrence risk. Poor DFS was observed in patients harbouring KEAP1 mutation (A) or SOX2-PIK3CA co-amplification (B).

Supplementary Files

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- Supplementals20200903v2.pptx