

# The contribution of galenics to patients' sensory perception of nasal sprays after nasal surgery – data from a prospective randomized, controlled, double-blind, cross-over, multi-centre study

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## Short Report

**Keywords:** nasal-spray-sensoric-scale, nasal surgery, rhinopathia, sensoric perception, treatment satisfaction

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1 **TYPE OF ARTICLE: BRIEF REPORT**

2 **The contribution of galenics to patients' sensory perception of nasal sprays after nasal**  
3 **surgery – data from a prospective randomized, controlled, double-blind, cross-over,**  
4 **multi-centre study**

5  
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25 **ABSTRACT**

26 *Introduction:*

27 Postoperative care after nasal surgery is commonly achieved with nasal sprays. The current  
28 study compared two decongesting, wound healing nasal sprays in patients after nasal surgery  
29 in order to investigate their sensoric perception. One of the sprays presented a new galenic  
30 formulation (*nasic*<sup>®</sup> *neo*, Cassella-med GmbH & Co. KG).

31 *Methods:*

32 Using a cross-over design, patients who had undergone nasal surgery applied two different nasal  
33 sprays during two treatment periods of 4 days each, interrupted by 3 days wash out period.  
34 Sensoric perception of the nasal sprays was assessed with the nasal-spray-sensoric-scale.  
35 Throughout the study, nasal obstruction was evaluated by patients, and physical examinations,  
36 measurements of vital parameters and rhinoscopic examinations were carried out by  
37 investigators. Adverse events were documented during the entire study, and following  
38 treatment, patients judged the overall preference, efficacy and tolerability of both products.

39 *Results:*

40 Overall, no significant differences in sum scores of the assessments of the nasal-spray-sensoric-  
41 scale were observed between treatments. Significant periodic effect observed during the cross-  
42 over study limited the overall analysis. Nevertheless, it could be shown that significantly more  
43 patients preferred the *new galenics* nasal spray compared to the *comparator* spray (57.1% vs.  
44 34.7%; p=0.031). Further, 10% more patients rated the efficacy of *new galenics* as ‘good’ to  
45 ‘very good’ compared to the *comparator*. Importantly, a subgroup population of patients with  
46 more pronounced signs of inflammation present at screening evaluated the sensoric perception  
47 of *new galenics* significantly better (p=0.033) compared to the *comparator*. Within this

48 subgroup, no periodic effect was observed. The application of both nasal sprays was shown to  
49 be safe and well-tolerated.

50 *Conclusion:*

51 The current study showed that the overall sensoric perception of both nasal sprays was  
52 evaluated comparably well in patients after nasal surgery and that overall, the application of the  
53 *new galenics* nasal spray was preferred by significantly more patients compared to the  
54 *comparator* nasal spray. Patients with marked nasal abnormalities may have a greater benefit  
55 from the contribution of galenics as significant differences in the sensoric evaluation by the  
56 nasal-spray-sensoric-scale in favour of the *new galenics* product were shown for this subgroup.

57 Trial registration: The current study was registered in the EU Clinical Trials Register with the  
58 EudraCT No: 2019-004936-52.

59

60 Keywords: nasal-spray-sensoric-scale, nasal surgery, rhinopathia, sensoric perception,  
61 treatment satisfaction,

62

## 63 INTRODUCTION

64 The main functions of the nose are the respiration with heating and humidification of the inhaled  
65 air (air conditioning), particle filtration via the mucociliary transport system, and the olfactory  
66 function [1]. These functions are influenced by the variable cavernous tissue of the nasal septum  
67 and the nasal conchae, as the mucosa covering both structures regulates the width of the nose  
68 and its functional state.

69 In clinical practice, several reasons such as e.g. dysmorphoses, nasal obstruction or mucus  
70 hyperproduction may result in functional impairments of the nose, and corrections of the nasal  
71 septum and the nasal conchae, especially the lower concha, are among the most frequent  
72 surgical interventions in otorhinolaryngology [2].

73 Every surgical intervention represents an injury to the tissue, to which the body reacts with a  
74 constant inflammatory reaction. The aim of this is to fend off pathogenic germs, to break down  
75 necrotic tissue and to restore the tissue structurally and functionally with the help of  
76 proliferation and repair processes. After an operation on the nasal septum or the nasal conchae,  
77 post-operative symptoms such as a blocked nose, runny nose, or reduced sense of smell often  
78 occur in addition to pain and bleeding. For symptomatic treatment in the post-operative period,  
79 various active ingredients or combinations of active ingredients are recommended, including  
80 the use of saline solutions for humidification, steam inhalations, decongestants and intranasal  
81 steroid preparations.

82 According to the currently valid guidelines, isotonic nasal sprays or appropriate nasal rinses are  
83 used after nasal surgery to soothe the nasal mucosa, and decongestant nasal sprays and  
84 ointments can alleviate the typical symptoms of dry crust and bark formation [3]. The  
85 combination of the decongestant xylometazoline hydrochloride and the wound healing  
86 dexpanthenol has been established as standard postoperative nasal wound care over the last 20  
87 years [4, 5]. Decongesting nasal sprays are also recommended for treatment of acute rhinitis

88 [6]. As symptoms in patients after nasal surgery and with acute rhinitis have common features  
89 (as e.g. presence of nasal congestion and irritations of the nasal mucosa), treatment after nasal  
90 surgery represents a good model for acute rhinitis and the results of the current study are of  
91 relevance for both indications.

92 The current study aimed to compare the sensory quality of two nasal sprays containing the  
93 combination of xylometazoline hydrochloride and dexpanthenol, one of which (*nasic<sup>®</sup> neo*)  
94 additionally contained the excipient hyaluronic acid resulting in a new galenic formulation  
95 (detailed formulations see Materials and Methods). Based on the assumption that these changed  
96 galenics have a positive impact on the sensory properties, the perception was studied in patients  
97 following nasal surgery.

98

99

## 100 MATERIALS AND METHODS

### 101 *Study design*

102 The current study was a prospective, double-blind, randomized, controlled, multi-centre, cross-  
103 over trial, which was carried out in three ear nose throat outpatient centres in Germany.

104 The study was conducted in accordance with the principles of the Declaration of Helsinki, Good  
105 Clinical Practice (CPMP/ICH/135/1995), the study protocol, requirements of the German  
106 Medicines Act (AMG), the Basic Data Protection Regulation (DSGVO) and other applicable  
107 regulatory requirements.

108

### 109 *Study patients and treatments*

110 Female or male patients (18 to 64 years) with post-operative nasal breathing disorders after  
111 surgery on the nasal septum or the nasal conchae were eligible to participate in the trial. Details  
112 of in- and exclusion criteria as well as allowed and forbidden medications are listed in Table  
113 S1. After signing an informed consent, patients were randomly allocated to treatment sequence  
114 1 (*new galenics*, followed by *comparator* treatment) or 2 (*comparator* treatment, followed by  
115 *new galenics*; see Figure 1). Randomization was ensured by block randomization with a block  
116 length of 6, and randomization lists were transferred to an external pharmacy for packaging of  
117 the investigational products. Patients were assigned ascending treatment numbers in  
118 chronological order of appearance at the trial site, and investigational products were applied by  
119 patients according to the assigned treatment sequence.

120 Treatment was applied in crossover design: the respective first nasal spray was applied for 4  
121 days (days 0-3 / treatment period 1), followed by a washout period of 3 days (days 4-6),  
122 continued by application of the second nasal spray for another 4 days (days 7-10 / treatment  
123 period 2, see Figure 1). During the treatment periods, patients applied the nasal sprays upon

124 demand but maximal 1 spray per nostril 3 times a day; during the washout period, patients did  
125 not use any of the investigational products.

126 The investigational medicinal product (IMP) used in the trial was the nasal sprays *nasic*<sup>®</sup> *neo*  
127 (hereafter referred to as *new galenics*) (containing the active ingredients xylometazoline  
128 hydrochloride [1 mg/mL] and dexpanthenol [50 mg/mL] and the excipients potassium  
129 dihydrogen phosphate, sodium monohydrogen phosphate dodecahydrate, sodium hyaluronate  
130 and purified water; Klosterfrau Berlin GmbH [manufacturer], Cassella-med GmbH & Co. KG  
131 [marketing authorization holder]). The *comparator* product was the nasal spray *NasenDuo*<sup>®</sup>  
132 (containing the active ingredients xylometazoline hydrochloride [1 mg/mL] and dexpanthenol  
133 [50 mg/mL] and the excipients potassium dihydrogen phosphate, disodium hydrogen phosphate  
134 and water for injection; Merckle GmbH [manufacturer], ratiopharm GmbH [marketing  
135 authorization holder]). Products were blinded by the external provider Hubertus Apotheke am  
136 Salzufer (Berlin), and study products were indistinguishable regarding their appearance, taste,  
137 and odour.

138

### 139 ***Study duration and assessments***

140 The study duration for each patient was 11 days, comprising 4 site visits (V1-V4, see Table  
141 S2). During each visit, the investigators carried out a physical examination covering the general  
142 health status, ear nose throat (ENT) area (assessed by endoscopic rhinoscopy) and lungs/thorax.  
143 The status of each parameter was evaluated as ‘normal’ or ‘abnormal’ and existing  
144 abnormalities had to be described and documented by the investigators. The presence and  
145 severity of nasal edema, secretion and redness were investigated by rhinoscopy and evaluated  
146 by the rhinoscopy score ranging from 0 = absent to 3 = severe symptom. In addition, blood  
147 pressure and heart rate were measured at all visits.



148 The level of nasal obstruction was assessed by patients during each visit (prior to application  
149 of a study product) on a visual analogue scale (VAS) ranging from ‘no obstruction’ to ‘worst  
150 obstruction’.

151

### 152 ***Nasal-spray-sensoric-scale and overall assessments***

153 To assess sensoric perceptions of the nasal sprays, patients completed paper-based  
154 questionnaires depicted as visual analogue scales (VAS) reflecting the validated nasal-spray-  
155 sensoric-scale (NSSS) developed by Mösges et al [7]. In addition to the given 14 items of this  
156 scale, one additional item was queried (intensity of aftertaste 15 minutes after nasal spray  
157 application). Completion of the questionnaires was done immediately (10 items), 2 minutes (4  
158 items) and 15 minutes (1 item) after nasal spray administration (see  
159 Figure 2). At V1 and V3, patients applied the first daily dose of the nasal spray assigned in  
160 accordance with the allocated treatment sequence at the investigational site. Patients were  
161 allowed to use their nasal spray at home before attending V2 or V4 but had to apply one spray  
162 at the site prior to completion of the questionnaire.

163 During the final study visit (V4), patients performed a final evaluation of treatments by judging  
164 the overall efficacy and tolerability of the two nasal sprays from 0 (not satisfactory) to 3 (very  
165 good) and by evaluating which of the two nasal sprays had been preferred (nasal spray applied  
166 during days 0-3 or during days 7-10).

167

### 168 ***Study endpoints***

169 The primary endpoint of the study was the difference in the total score of the sensory  
170 assessments of the NSSS (14 items) after first application of either *new galenics* or *the*  
171 *comparator*. The differences between the two nasal sprays were analysed independently of each  
172 other in cross-over design in the assessments at V1 und V3 at the respective first application of  
173 the nasal sprays (inter-group-differences). Results of the NSSS were analysed separately for the  
174 first 14 items and for all 15 items.

175 Therefore, intra-individual nasal sensoric sum score differences within each treatment sequence

176 were assessed and averaged. A value greater than 0 indicates an evaluation in favour of the *new*  
177 *galenics*, a value less than 0 indicates an evaluation in favour of the *comparator* product.

178 Secondary endpoints included the individual (15 items) sensory assessment of the nasal-spray-  
179 sensoric-scale at first application.

180 Clinical effects were evaluated by analysis of changes in nasal obstruction, rhinoscopy scores  
181 and patients' overall assessment of efficacy and preference. Safety and clinical tolerability of  
182 nasal sprays were assessed by documentation of adverse events and by patients' overall  
183 judgement of product tolerability.

184

#### 185 ***Statistical analysis and sample size determination***

186 All statistical tests were performed bilaterally at the 5% significance level. Frequencies and  
187 percentages of categorial variables such as mean values and standard deviations for continuous  
188 variables were reported descriptively. Analyses were performed with the SAS for Windows 9.4  
189 software. The primary endpoint as well as other continuous parameters were analysed using a  
190 random effect model with the terms 'patient', 'treatment', 'sequence' and 'period'. The patient  
191 was considered a random term. The student t-test and associated t-test were used to study effects  
192 of treatment sequences and groups.

193 For the calculation of the sample size, NSSS scores of  $1200 \pm 200$  for the *new galenics* and of  
194  $1100 \pm 200$  for the *comparator* were assumed. Taking into account an alpha error of 0.05, a  
195 power of 0.9 and a correlation coefficient of 0.5 the total number of 44 patients was calculated,  
196 which was set to 50 considering potential drop outs.

197

198 **RESULTS**

199 Overall, 51 patients were randomised, of which 26 were allocated to treatment sequence 1 (*new*  
200 *galenics – comparator*) and 25 to treatment sequence 2 (*comparator – new galenics*). All 26  
201 patients of treatment sequence 1 completed the treatment. Within treatment sequence 2, two  
202 patients only received a single application of medication during V1, whereas 23 patients  
203 completed the treatment (see Figure 3).

204 The following results reflect data of the entire ITT population (randomised patients with at least  
205 complete data sets of V1 and V3, n=49) as well as data of subset populations described later.

206

207 ***Demographic and baseline characteristics***

208 Demographics of participating patients are listed in Table 1. Patients aged 19 to 58 years were  
209 enrolled, and there were no statistically significant differences regarding gender, age and body  
210 mass index (BMI) between the treatment sequences.

211

212 ***Vital signs, physical examination***

213 At all visits, blood pressure and heart rate of patients were documented, and values were  
214 comparable between treatment sequences. All patients presented with normal general health  
215 status and normal lung/chest values at screening visit. Abnormal ENT findings (such as e.g.;  
216 increased mucous obstruction, crusts, swelling, or oedema) were observed in a subset of patients  
217 (n=10; 38.5%; for treatment sequence 1, n=8; 34.8%; for treatment sequence 2) during the  
218 screening visit.

219

220 ***Nasal obstruction and rhinoscopy***

221 Nasal obstruction values improved from V1 to V2 both upon treatment with *new galenics*  
222 (mean±SD: 40.31±21.24 to 34.77±26.25) and with *comparator* (mean±SD: 36.17±24.16 to  
223 31.48±25.12). Within treatment sequence 1 (starting treatment with *new galenics*), nasal  
224 obstruction values improved further, thereby reaching values of 27.38±24.23 at V3, whereas a  
225 deterioration of nasal obstruction was observed in patients of treatment sequence 2 (starting  
226 treatment with *comparator*) with values of 49.09±31.07 at V3. Thus, after treatment with the  
227 *comparator* product (including the wash-out phase) nasal obstruction worsened by a mean of  
228 12.91 points (36.17 at V1 to 49.09 at V3). In contrast after treatment with *new galenics*  
229 (including wash-out phase) obstruction significantly improved by 12.93 (40.31 at V1 to 27.38  
230 at V3; p=0.008) demonstrating an advantage over the comparative product (see Figure 4). Upon  
231 treatment, rhinoscopy values (sum scores including values of nasal edema, secretion and  
232 redness) improved steadily from V1 to V4 in both groups.

233

#### 234 ***Sensoric perception of the applied nasal sprays***

235 The assessment of the sensoric perception of the two nasal spray products determined by the  
236 NSSS was analysed both for the entire ITT population as well as for subgroups of patients  
237 showing abnormal findings during the ENT examination and for subgroups sorted by gender or  
238 age (below or above 30 years). Cumulative scores of the sensory evaluation of the NSSS were  
239 analysed both incorporating the first 14 items (assessment immediately and 2 minutes after  
240 application) and incorporating all 15 items (additional inclusion of assessment 15 minutes after  
241 application).

242 Importantly, a significant period effect was observed during the study (p=0.004) with both  
243 products being evaluated significantly better in the second period than in the first period. The  
244 thereof resulting limitations on the crossover evaluation of the endpoints were considered for  
245 the following study results.

246 Regarding the primary endpoint, the analysis of NSSS data (14 items) demonstrated overall,  
247 no significant treatment effect, i.e., no differences of mean sum scores were demonstrated  
248 ( $p=0.487$ , Figure 5A).

249 Analysis of 15 item data confirmed the results above.

250 Within the subgroup of patients with nasal abnormalities (pronounced signs of inflammation;  
251  $n=10$  for treatment group 1,  $n=8$  for treatment group 2), no significant periodic effect was  
252 observed. Mean NSSS sum scores (14 items) after first application were 1149.5 upon *new*  
253 *galenics* application compared to mean NSSS sum scores of 1146.6 for the *comparator* product  
254 in treatment sequence 1. In treatment sequence 2, mean NSSS sum scores (14 items) after  
255 respective first application were 1261.63 upon *new galenics* application compared to mean  
256 NSSS sum scores of 1120.75 for the *comparator* product. Overall, statistically significantly  
257 higher NSSS values were shown upon first application of *new galenics* compared to  
258 *comparator* ( $p=0.033$ ; see Figure 5B).

259 Subgroup analyses considering gender of patients did not show any significant treatment  
260 effects (men  $p=0.733$ ; women  $p=0.575$  considering 14 items). A significant treatment effect in  
261 favour of *new galenics* was shown for patients aged  $<30$  years ( $p=0.018$  in the 14-item analysis)  
262 but not for patients aged above 30 years ( $p=0.099$  in the 14-item analysis).

263 The analysis of the secondary endpoints of the study investigated differences of sum scores of  
264 the NSSS over the course of the study (from V1 to V2 and from V3 to V4). No significant  
265 differences between treatment sequences were shown neither for treatment period 1 ( $p=0.688$ )  
266 nor for treatment period 2 ( $p=0.923$ ).

267

268 ***Evaluation of single item scores***

269 The analysis of inter-sequence comparisons for individual sensoric items revealed no  
270 significant differences between treatment sequences in none of the assessed items but a  
271 significant periodic effect in 8 out of the 14 items.

272 Analysis of single items of the NSSS revealed the greatest treatment differences of  $\geq 4$  score  
273 points for the items 2 ('amount of medication that runs into the throat or nose'), 5 ('odour  
274 intensity'), and 7 ('taste intensity') in favour of the *new galenics* product. Differences between  
275 the *new galenics* and the *comparator* product were 6.3 for item 2, 4.1 for item 5 and 6.2 for  
276 item 7. The sum of those 3 items increased significantly greater after treatment with the *new*  
277 *galenics* product compared to treatment with the *comparator* product ( $p=0.023$ ).

278 The item 'nasal moisturization' improved by 4.5 points (73.27 to 77.38) during the treatment  
279 with the *new galenics* compared to improvement of 1.85 points (77.38 to 79.23) during the  
280 treatment with the *comparator* (treatment sequence 1). In treatment sequence 2, there was an  
281 improvement of 8.96 points (71.43 to 80.39) during the treatment with the *new galenics*  
282 compared to an improvement of 0.78 points (70.7 to 71.43) during the treatment with the  
283 *comparator*. While no significant differences between treatments were shown for improvement  
284 of nasal moisturization in both treatment sequences (based on cross-over design; see Figure 1),  
285 the improvement of nasal moisturization was significant only after treatment with *new galenics*  
286 during period 2 (V3-V4) ( $p=0.026$ , see Figure ).

287

### 288 ***Preference of treatments***

289 At the end of the study, patients evaluated which of the nasal spray was preferred. In total, a  
290 significantly higher number of patients ( $n=28$ ; 57.1%;  $p=0.031$ ) preferred the *new galenics*  
291 product over the *comparator* product ( $n=17$ ; 34.1%). The remaining 4 patients (8.2%) had no  
292 preference (see Figure 7).

293

294 ***Efficacy and tolerability assessment***

295 As shown in Figure 8, the efficacy of both treatments was judged as good to very good by the  
296 majority of patients. Numerical values of percentages of patients with a good or very good  
297 rating of *new galenics* (85.7%) were about 10% higher than those of *comparator* (75.5%);  
298 however, differences were not statistically significant (p=0.307).

299 The tolerability of both nasal sprays was evaluated as good by patients of both treatment groups  
300 without significant differences.

301

302 ***Safety results***

303 No serious adverse event occurred during the trial. A total of six adverse events (AEs) in five  
304 patients were documented (3 mild, 1 moderate, 2 severe intensity), one of which (nasal burning)  
305 was rated as related to treatment with *comparator*, two AEs (both epistaxis) were rated as  
306 probably related to treatment (1 with *new galenics*, 1 with *comparator*). All three AEs can be  
307 classified as expected, as they are listed in the SPCs of the products. The relationship for the  
308 other AEs was rated as unlikely or not related (see Table 2).

309

310

## 311 DISCUSSION

312 The current study investigated the sensory perception of two decongesting nasal sprays, *new*  
313 *galenics* and *comparator*, in patients during convalescence from surgery on the nasal septum  
314 or nasal conchae. While the literature has shown the positive contribution of dexpanthenol to  
315 xylometazoline containing decongestant nasal sprays [8-10] the current trial investigated the  
316 comparison of two decongestant sprays with or without the additional excipient hyaluronic acid.  
317 The sensoric perception was assessed with the validated nasal-spray-sensoric-scale (NSSS) [7],  
318 which had already been successfully applied in other studies [11]. Starting at least one week  
319 after surgery, patients were randomly assigned to 4 days treatment with one of the study  
320 products, and after 3 days wash out period, patients were crossed over to 4 days treatment with  
321 the alternative study product.

322 The evaluation of the nasal sensory quality after the first application of the nasal spray showed  
323 that no significant differences in NSSS sum scores could be demonstrated ( $p=0.487$ ).  
324 Importantly, a significant period effect ( $p=0.004$ ) was observed during the cross-over study,  
325 thereby limiting the overall analysis of results. Overall, natural healing forces proved to have  
326 more influence on the overall sensory perception of the patients than the differences in galenics.  
327 This may be considered as one of the limitations of this study.

328 Interestingly, a subgroup analysis including only patients with more pronounced inflammatory  
329 symptoms demonstrated that NSSS values upon first application of *new galenics* were  
330 significantly better ( $p=0.033$ ) compared to values after first application of the *comparator*  
331 product. This result was independent of the sequence of product application (no period effect)  
332 in favour of the *new galenics* product.

333 A closer look at this subgroup showed that the majority of these patients was enrolled with  
334 residual abnormalities and pronounced symptoms more than 3 weeks after their nasal surgery.  
335 In contrast, the majority of “normal” patients started their study participation within the first 3



336 weeks after surgery. This may indicate that patients, who still suffer from nasal abnormalities  
337 three weeks after their nasal surgery, may respond particularly well to the *new galenics*  
338 treatment. In these patients the healing process may have been delayed and therefore a periodic  
339 effect does not play a role.

340 The analysis of a subgroup of three items of the NSSS showed that the sensoric perception of  
341 the parameters ‘amount of medication, that runs into the throat or the nose’, ‘odour intensity’,  
342 and ‘taste intensity’ was evaluated significantly better following application of *new galenics*  
343 compared to application of *comparator*. This finding may be explained by the higher viscosity  
344 of the new product, which has been achieved by the galenics of the new formulation. The higher  
345 viscosity of the formulation may increase the retention time of the nasal spray on the nasal  
346 mucosa, thereby decreasing the amount of spray running down nose or throat, which in turn  
347 will be perceived as less intense in taste and odour.

348 In line with the results above, treatments with *new galenics* resulted in an increased perception  
349 of nasal moisturization in both treatment periods with changes between V3 and V4 (treatment  
350 period 2) being statistically significant ( $p=0.026$ ). It is likely that the new galenics contribute  
351 to those beneficial effects on the perception of moisturization over time.

352 At the beginning of period 2, both treatment sequences also differed in the parameter  
353 obstruction, with a clear advantage of the treatment sequence that was pre-treated with *new*  
354 *galenics* and then received the *comparator* in period 2. Obstruction, measured as the patient's  
355 subjective assessment on a VAS scale, described the condition at the time of the examination.  
356 The assessment was made before the application of the respective nasal spray. This is another  
357 point that could have contributed to the period effect.

358 Literature data support these results demonstrating that the application of products with similar  
359 galenics following sinus surgery improved functional recovery and nasal edema and crusting  
360 [12, 13].

361 The patients' final evaluation demonstrated a statistically significant preference for the *new*  
362 *galenics* product, which was perceived more pleasant by 57% (p=0.031) compared to the  
363 *comparator* product. In line with this, a greater proportion of patients (85.7 %) assessed the  
364 efficacy of the *new galenics* product as 'good to very good' compared to that of the *comparator*  
365 product (75.5%). This finding is of particular importance as patient preference clearly plays a  
366 role in treatment compliance, as described in several studies investigating the sensory  
367 perceptions of intranasal corticosteroid sprays [12-15].

368

### 369 **CONCLUSION**

370 In summary, the current study demonstrated the safe application of the *new galenics* product in  
371 patients after nasal surgery. Importantly, the product was perceived more pleasant compared to  
372 the *comparator* product, which may be due to the changed galenics of the formulation. As a  
373 result, fewer amounts of medication may run down throat or nose and may lead to decreased  
374 intensities of product odour and taste perception. Particularly patients with nasal abnormalities  
375 may benefit from the product as results demonstrated clear advantages for the *new galenics*  
376 treatment in this population.

377

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381

382 ***Authorship***

383 All named authors meet the International Committee of Medical Journal Editors (ICMJ) criteria  
384 for authorship for this article, take responsibility for the integrity of the work and have given  
385 their approval for this manuscript to be published.

386

387 ***Author contributions***

388 JL, LR, LE and RM designed the study. LE and LR coordinated the study. JL participated in  
389 the conduct of the study. CB conducted the study as lead investigator. JS performed the data  
390 management and contributed to the data analysis. RM interpreted the results of the study. All  
391 authors contributed to the writing of the manuscript and approved of the final version.

392

393 ***Medical writing, editorial and other assistance***

394 Writing and editorial assistance in the preparation of this manuscript was provided by Dr. Nina  
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396 assistance was provided by PD Dr. Esther Raskopf (ClinCompetence Cologne GmbH).

397

398 ***Disclosures***

399 JL, LR, LE, and JS are employees of ClinCompetence Cologne who were commissioned by  
400 Cassella-med GmbH & Co. KG to conduct the current clinical study.

401 CB reports personal fees and grants from Bencard Allergy, personal fees from HAL Allergy,  
402 personal fees from Sanofi Genzyme and non-financial support from SCS.

403 RM reports personal fees from ALK, grants from ASIT biotech, personal fees from  
404 allergopharma, personal fees from Allergy Therapeutics, grants and personal fees from  
405 Bencard, grants from Leti, grants, personal fees and nonfinancial support from Lofarma,  
406 nonfinancial support from Roxall, grants and personal fees from Stallergenes, grants from  
407 Optima, personal fees from Friulchem, personal fees from Hexal, personal fees from Servier,  
408 personal fees from Klosterfrau, nonfinancial support from Atmos, personal fees from Bayer,  
409 nonfinancial support from Bionorica, personal fees from FAES, personal fees from GSK,  
410 personal fees from MSD, personal fees from Johnson & Johnson, personal fees from Meda,  
411 personal fees and nonfinancial support from Novartis, nonfinancial support from Otonomy,  
412 personal fees from Stada, personal fees from UCB, nonfinancial support from Ferrero, grants  
413 from BitopAG, grants from Hulka, personal fees from Nuvo, grants from Ursapharm, outside  
414 the submitted work.

415

#### 416 *Compliance with ethics and guidelines*

417 The state received a positive vote from the ethics committee of the Ärztekammer Nordrhein  
418 (medical council North Rhine, reference number 2020061).

419 The study was registered in the EU Clinical Trials Register with the EudraCT No: 2019-  
420 004936-52.

421 The study was conducted in accordance with the principles of the Declaration of Helsinki, Good  
422 Clinical Practice (CPMP/ICH/135/1995), the study protocol, requirements of the German

423 Medicines Act (AMG), the Basic Data Protection Regulation (DSGVO) and other applicable  
424 regulatory requirements.

425 All patients provided informed consent prior to participating in this study.

426 No data identifying single patients were included in this study, therefore, informed consent for  
427 publication is not needed.

428

429 ***Data availability***

430 The data generated during and/or analysed during the current study are available from the  
431 sponsor on reasonable request.

432

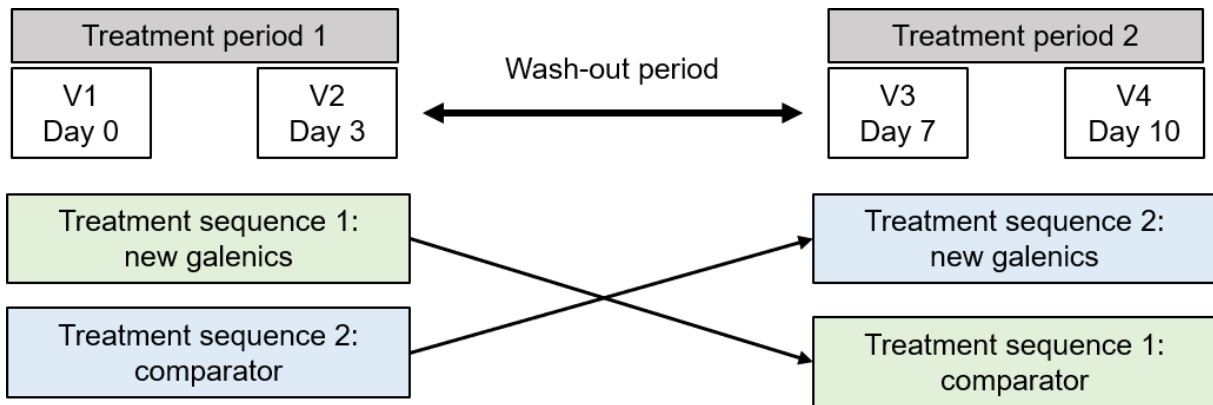
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468

469

470 **FIGURES**



471

472 **Figure 1:** Cross-over design and visit time points of the trial. During the first visit (V1) on day  
473 0, patients were randomly allocated to treatment sequences 1 or 2. Patients of treatment  
474 sequence 1 applied the *new galenics* product for the first 4 days (d0-d3) followed by a wash-  
475 out period of 3 days (d4-d6). From day 7 on (V3), patients applied the *comparator* product until  
476 day 10, where the final visit (V4) took place. Treatment group 2 applied the two nasal sprays  
477 in reverse order.

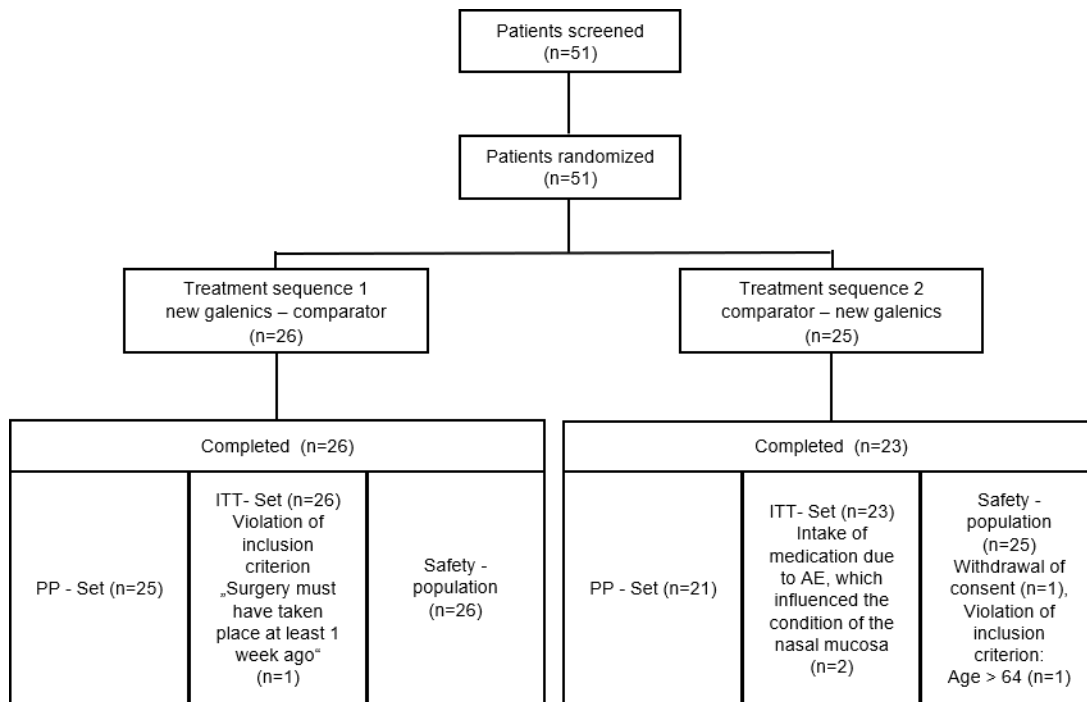
478

| <b><u>Immediately after application of nasal spray</u></b>        |       |                       |
|---|-------|-----------------------|
| <b>1. Overall impression of the nasal spray</b>                   |       |                       |
| Not at all pleasant   | ----- | Particularly pleasant |
| <b>2. Amount of medication that runs into the throat or nose</b>  |       |                       |
| Very large amount   | ----- | Nothing at all        |
| <b>3. Extent of irritation (burning, irritation)</b>              |       |                       |
| Very large  | ----- | Not at all            |
| <b>4. Need to sneeze</b>  |       |                       |
| Very pronounced   | ----- | Not at all            |
| <b>5. Odour intensity</b>   |       |                       |
| Very strong odour   | ----- | No odour at all       |
| <b>6. Odour sensation</b>   |       |                       |
| Extremely unpleasant  | ----- | Very pleasant         |
| <b>7. Taste intensity</b>   |       |                       |
| Very strong taste   | ----- | No taste at all       |
| <b>8. Bitterness of taste</b>                                     |       |                       |
| Very bitter   | ----- | No bitterness at all  |
| <b>9. Taste sensation</b>   |       |                       |
| Very unpleasant taste   | ----- | Very unpleasant taste |
| <b>10. Nasal moisturization</b>                                   |       |                       |
| Extremely dry   | ----- | Extremely moist       |
| <b><u>2 minutes after application of nasal spray</u></b>          |       |                       |
| <b>11. Intensity of aftertaste</b>                                |       |                       |
| very strong aftertaste  | ----- | No aftertaste at all  |
| <b>12. Extent of irritation (burning, irritation)</b>             |       |                       |
| Very large  | ----- | Not at all            |
| <b>13. Amount of medication that runs into the throat or nose</b> |       |                       |
| Very large amount   | ----- | Nothing at all        |
| <b>14. Overall impression</b>                                     |       |                       |
| Not pleasant at all   | ----- | Particularly pleasant |
| <b><u>15 minutes after application of nasal spray</u></b>         |       |                       |
| <b>15. Intensity of aftertaste</b>                                |       |                       |
| very strong aftertaste  | ----- | No aftertaste at all  |



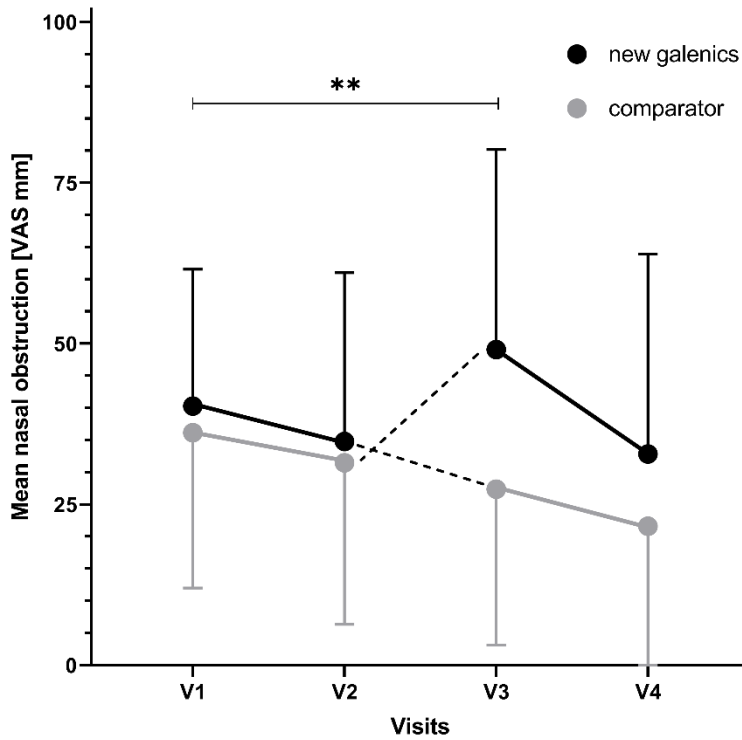
481 **Figure 2:** Nasal-spray-sensoric scale (NSSS) used during the trial adapted from [7]. The  
 482 validated 14-item version of the NSSS was expanded with one additional item assessed 15  
 483 minutes after nasal spray application.

484



485

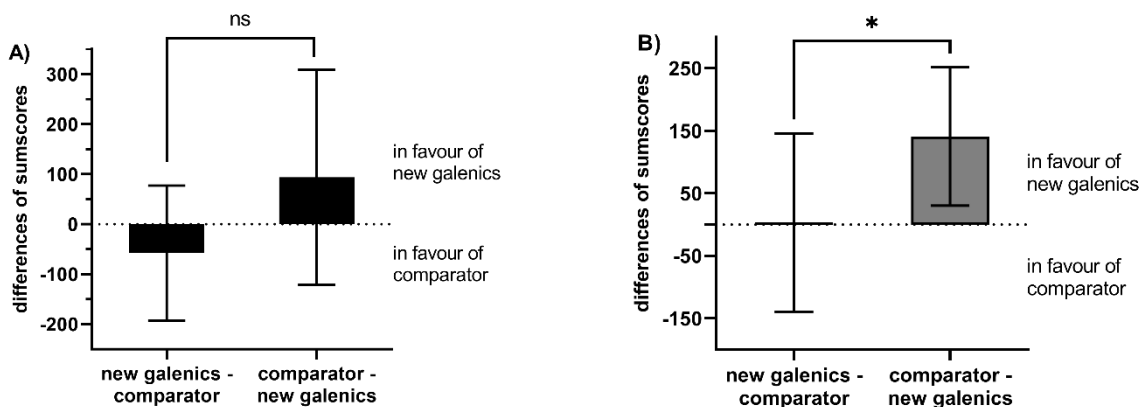
486 **Figure 3:** Allocation of patients to analysis groups. PP=per protocol population, ITT=intention  
 487 to treat population (including all randomized patients with a completely documented set of  
 488 nasal-spray-sensoric-scale data at V1 and V3).



489

490 **Figure 4:** Change in nasal obstruction upon treatment with *new galenics* or *comparator*. Data  
 491 is expressed as mean VAS + SD, with \*\*p=0.008 for the nasal obstruction from V1 to V3 (after  
 492 treatment with *new galenics*).

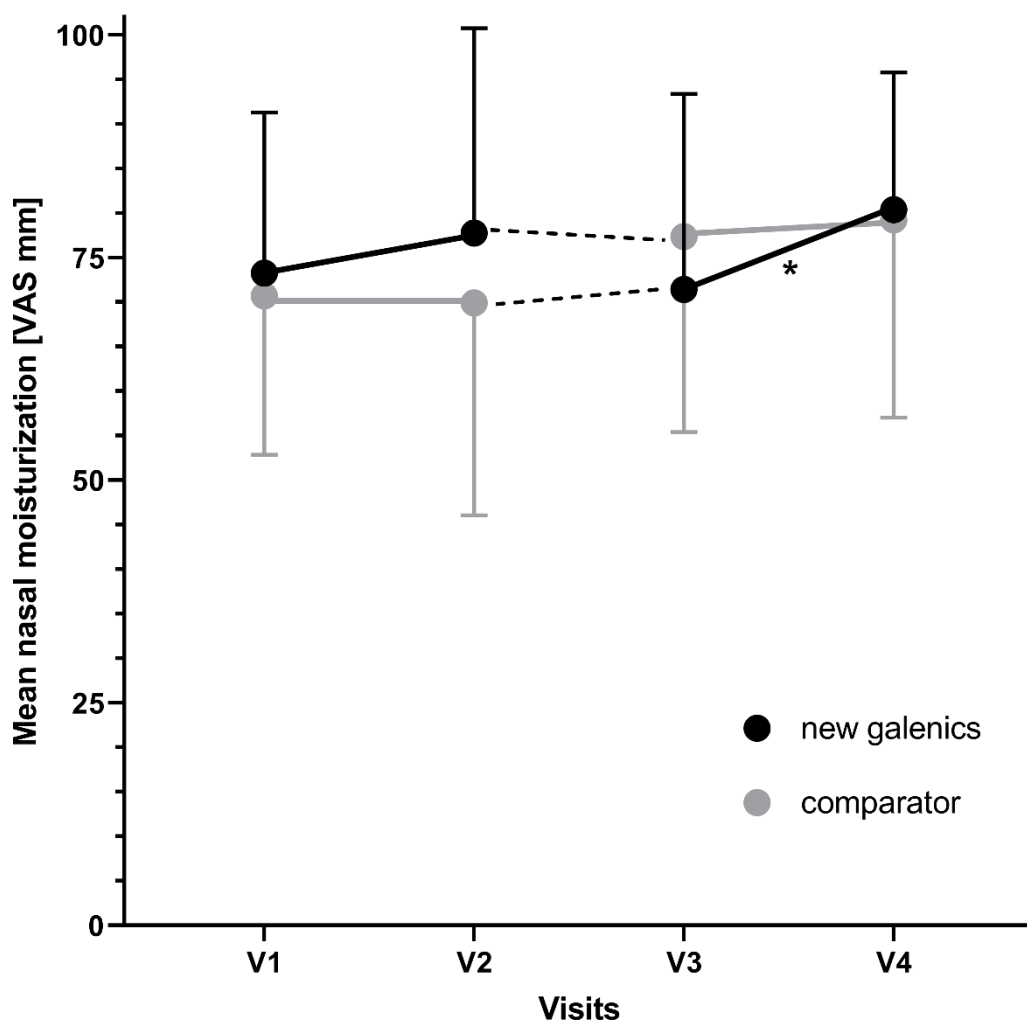
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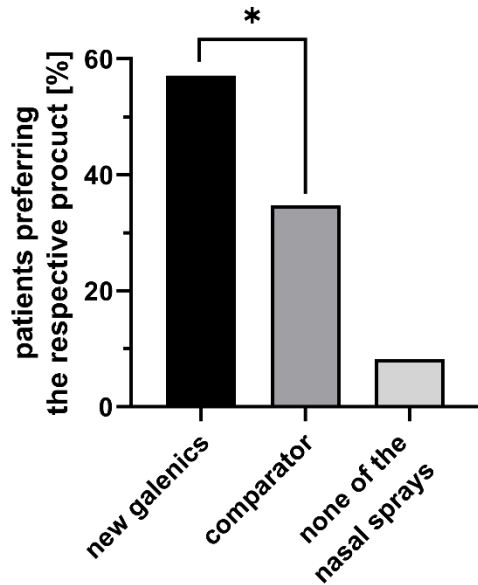
494

495 **Figure 5:** Mean differences of nasal sensoric sum score (NSSS) from V1 to V3. Patients were  
 496 treated with *new galenics* (d0-3) followed by treatment with *comparator* (d7-10, treatment  
 497 group 1) or vice versa (treatment group 2). A) analysis results of the entire ITT population

498 (n=49), B) analysis of subpopulation of patients with nasal abnormalities (n=18). Data is  
499 expressed as mean difference of sum scores + SD with ns: not statistically significant and  
500 \*p=0.033.



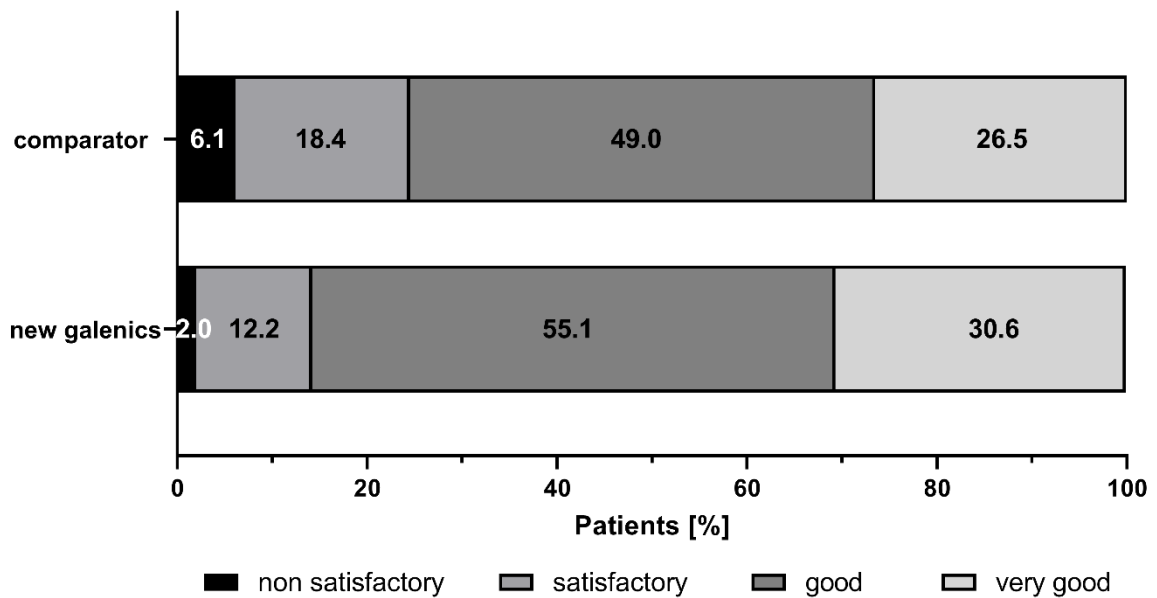
501  
502 **Figure 6:** Change in nasal moisturization upon treatment with *new galenics* or *comparator*.  
503 Data is expressed as mean VAS + SD, with \*p=0.026 for nasal moisturization from V3 to V4  
504 (during treatment with *new galenics*).



506

507 **Figure 7:** Preference of products assessed by patients at V4. Data is expressed as the proportion  
 508 if patients preferring either *new galenics*, the *comparator* or none of the two nasal sprays with  
 509 \*p=0.031.

510



511

512

513 **Figure 8:** Overall efficacy of study products assessed by patients at V4. Data is expressed as  
514 the proportion of patients rating the efficacy of *new galenics* or *comparator* as either 'non-  
515 satisfactory', 'satisfactory', 'good' or 'very good'.

516

## 517 TABLES

518 **Table 1:** Baseline characteristics of participants.

519

| Treatment sequence   |            | Age [years] | BMI   | Female, n (%)  | Male, n (%)    |       |
|--|------------|-------------|-------|----------------|----------------|-------|
| Treatment sequence 1<br>( <i>new galenics</i> -<br><i>comparator</i> ) | N          | valid       | 26    | 9<br>(34.60%)  | 17<br>(65.40%) |       |
|  |            | missing     | 0     |                |                | 0     |
|  | Mean       |             | 32.23 |                |                | 26.79 |
|  | SD         |             | 10.12 |                |                | 4.77  |
|  | Minimum    |             | 19    |                |                | 19    |
|  | Maximum    |             | 58    |                |                | 37    |
|  | Percentile | 25          | 25.75 |                |                | 23.08 |
| 50   |            | 30.50       | 25.74 |                |                |       |
| 75   |            | 37.50       | 30.36 |                |                |       |
| Treatment sequence 2<br>( <i>comparator</i> - <i>new galenics</i> )    | N          | valid       | 23    | 10<br>(43.50%) | 13<br>(56.50%) |       |
|  |            | missing     | 0     |                |                | 0     |
|  | Mean       |             | 32.52 |                |                | 26.16 |
|  | SD         |             | 10.12 |                |                | 5.04  |
|  | Minimum    |             | 20    |                |                | 19    |
|  | Maximum    |             | 57    |                |                | 38    |
|  | Percentile | 25          | 24    |                |                | 22.44 |
| 50   |            | 28          | 25.17 |                |                |       |
| 75   |            | 41          | 30.19 |                |                |       |

520

521 **Table 2:** Adverse events (AEs) documented during the study. The description of the AEs  
522 represents the original wording (verbatim) translated into English.

| AE description (severity)               | N (%)     | Treatment group | Time of AE     | Relationship to treatment | Outcome   |
|---|-----------|-----------------|----------------|---------------------------|-----------|
| Headache, dizziness (mild)              | 1 (16.7%) | 1               | Wash-out phase | not related               | recovered |
| Herpes zoster (mild)                    | 1 (16.7%) | 1               | V2             | not related               | recovered |
| Disorder of tube ventilation (moderate) | 1 (16.7%) | 2               | V2             | unlikely                  | recovered |
| Epistaxis (1. severe, 2. mild)          | 2 (33.3%) | 2               | V2<br>V3       | probably probably         | recovered |

|                           |              |   |    |          |  |
|---------------------------|--------------|---|----|----------|--|
| Nasal burning<br>(severe) | 1<br>(16.7%) | 2 | V1 | definite | recovered,<br>premature<br>termination |
| Total                     | 6<br>(100%)  |   |    |          |  |

523

524

525

527 **Table S1:** In- and exclusion criteria and allowed and forbidden medication of the trial.

| <b>Inclusion criteria</b>  | <b>Exclusion criteria</b>   |
|--|---|
| Signed and dated Informed Consent Form   | Current or prior (within 30 days prior to inclusion) participation in another clinical trial  |
| Female or male patients aged 18 to 64 years  | Pregnant or nursing women and women without reliable contraception  |
| Regarding female patients: Non-pregnant, non-nursing women applying adequate contraception methods               | Acute infections or epistaxis detected by endoscopic examination of both nasal cavities   |
| Patients with post-operative nasal breathing disabilities after surgery on the nasal septum or the nasal conchae | Hypersensitivity to any ingredient of the investigational medicinal products  |
| Surgical intervention must have taken place at least one week prior to enrolment                                 | Presence of rhinitis sicca  |
|  | Patients undergoing surgical removal of the pituitary gland through the nose (transsphenoidal hypophysectomy) or other surgical procedures that expose the dura mater |
|  | Patients treated with monoamine oxidase (MAO) inhibitors and other potential hypertensive drugs   |
|  | Patients treated with alpha-blockers (e.g prazosin, tamsulosin, urapidil etc.)  |
|  | Patients with increased intraocular pressure, especially glaucoma (narrow-angle glaucoma)   |
|  | Patients with severe cardiovascular diseases (e.g coronary heart disease, hypertension and long QT syndrome)  |
|  | Patients with a tumor of the adrenal gland (pheochromocytoma)   |
|  | Patients with metabolic disorders, such as hyperthyroidism and diabetes mellitus  |



|                          |   |
|--------------------------|---|
|                          |   |
|                          | Patients with prostate enlargement (prostate hyperplasia)   |
|                          | Addiction to alcohol or drugs   |
|                          | Insufficient knowledge of the national language which endangers compliance with the regulations   |
|                          |   |
| Allowed medication       | Forbidden medication  |
| Non-steroidal analgesics | Any nasalia that could affect the clinical results, particularly steroids, nasal ointments, nasal rinses, and other topical decongestives |
|                          | Systemic decongestives  |
|                          | Monoamine oxidase (MAO) inhibitors and other blood pressure increasing drugs  |
|                          | Alpha blockers (e.g. prazosin, doxazosin, tamsulosin, urapidil, etc.)   |

528

529

530 **Table S2:** Study flow chart of the trial.

| Visit No.                                   | V1<br>Day<br>0 | V2<br>Day<br>3 | Wash-out period<br>Day 4 - 6 | V3<br>Day 7 | V4<br>Day<br>10 |
|---|----------------|----------------|------------------------------|-------------|-----------------|
| Patient informed consent                    | x              |                |                              |             |                 |
| Check of in- and exclusion criteria         | x              |                |                              |             |                 |
| Demographic data                            | x              |                |                              |             |                 |
| Medical history                             | x              |                |                              |             |                 |
| Previous medication                         | x              |                |                              |             |                 |
| Concomitant medication                      | x              | x              |                              | x           | x               |
| Vital parameters                            | x              | x              |                              | x           | x               |
| Rhinoscopy                                  | x              | x              |                              | x           | x               |
| Recording of the nasal-spray-sensoric-scale | x              | x              |                              | x           | x               |
| Measurement nasal obstruction (VAS)         | x              | x              |                              | x           | x               |

|  |        |       |  |        |       |
|--|--------|-------|--|--------|-------|
| Pregnancy test                         | x      |       |  |        |       |
| Randomization                          | x      |       |  |        |       |
| Drug application                       | x----- | ----- |  | x----- | ----- |
| Dispensation of the first nasal spray  | x      |       |  |        |       |
| Return of the first nasal spray        |        | x     |  |        |       |
| Dispensation of the second nasal spray |        |       |  | x      |       |
| Return of the second nasal spray       |        |       |  |        | x     |
| Documentation of adverse events        | x      | x     |  | x      | x     |
| Final evaluation                       |        |       |  |        | x     |

531