

1 **Title Page**

2 1. Title: Incidence and Risk Factors for High-level BK viruria -A Single Center
3 Study in China

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20

21 **Abstract**

22 **Background** BK Virus Allograft Nephropathy (BKVAN) is a serious complication
23 after kidney transplantation, and the effect of pre-emptive intervention for high-level
24 BK viruria has been verified, but the protocols after kidney transplantation for early
25 identification of high-level viruria is lacking.

26 **Methods** This was a single-center respectively study. The clinical data of the kidney
27 transplant recipients and their donors in our center from January 1, 2015 to December
28 31, 2018 were collected. According to the qPCR results of BK virus DNA loads in urine
29 samples, the patients were divided into high-level BK Viruria Group (Group A) and
30 none high-level BK Viruria Group (Group B). Significant variables were screened out
31 by univariate analysis, and then the results were incorporated into multivariate logistic
32 regression model to analyze the independent risk factors of high-level BK viruria.

33 **Results** A total of 262 recipients were included in the study. The incidence of high-
34 level BK viruria was 13.4% (n=35), and the median time of detection was 181(range
35 91~1119) days. Univariate analysis showed that the donor type ($\chi^2=21.770$, $P < 0.001$),
36 history of ATG/ATG-F application ($\chi^2=4.543$, $P=0.033$), Acute Rejection (AR)
37 ($\chi^2=8.313$, $P=0.004$) and Delayed Graft Function (DGF) ($\chi^2=21.170$, $P < 0.001$) were
38 related with high-level BK viruria. After the inclusion of multivariate logistic regression
39 model, the results showed that brain and cardiac deceased donors ($P=0.032$, $OR=3.927$,
40 $95\%CI:1.122\sim13.746$), AR ($P=0.022$, $OR=4.709$, $95\%CI:1.253\sim17.697$) and DGF
41 ($P=0.001$, $OR=6.682$, $95\%CI:2.288\sim19.518$).

42 **Conclusions** Donation of Brain and Cardiac Deceased, history of AR, DGF were
43 independent risk factors for high-level BK viraemia after kidney transplantation.

44 **Key Words** Kidney Transplantation, BK Viraemia, Risk Factors, Incidence

45

46 **Introduction**

47 BKVAN is a severe disease caused by BK virus (BKV) infection or reactivation, which
48 often impairs kidney function irreversibly, and is more common in kidney transplant
49 recipients^[1]. The usual progression of infection begins with BK Viruria and progresses
50 to BK Viremia eventually leads to BKVAN. The importance of prevention is
51 underscored by the lack of a specific treatment regimen for BKVAN. In 2013, American
52 Society of Transplantation^[1] recommended starting intervention at the stage of high-
53 level BK Viremia, but in a few studies, pre-emptive treatment with BK Viruria seemed
54 to be more advantageous^[2-4]. Early identification of high-risk patients plays an
55 important role in prevention. Currently, reported risk factors are usually reported by a
56 single center, and mainly focus on the analysis of BK Viremia, with large differences
57 in results, which always makes people confused.

58 This study summarized the incidence of high-level BK viruria from 2015 to 2018,
59 and analyzed its risk factors, so that it could identify patients at an early stage and give
60 treatment to prevent the occurrence of BKVAN.

61 **Patients and Methods**

62 **Patients' Groups**

63 In this study, we retrospectively collected the data of kidney transplant recipients
64 from January 1, 2015 to December 31, 2018 at Jiangxi Provincial People's Hospital
65 Affiliated to Nanchang University. This study was approved by the Ethics Committee
66 of Jiangxi Provincial People's Hospital (Serial no. 2015094).According to the different

67 monitoring results of BKV DNA loads in urine after transplant, the patients were
68 divided into two groups: high-level BK viremia (Group A)(BKV DNA
69 loads \geq E+07copies/ml),none high-level BK viremia (Group B)(BKV DNA loads <
70 E+07copies/ml or none BKV DNA in urine samples)。 The exclusion criteria were: 1.
71 Diagnosed with BK viremia;2.No regular follow-up data were kept after the
72 operation;3.The patient died or lost the transplanted kidney during the study period.

73 Monitor Protocols

74 We chose a more rigorous post-operative monitoring regimen than the guidelines.
75 Regular urine BKV monitoring was carried out for kidney transplant recipients after
76 transplant, and the monitoring frequency was once a month for 1st-9thmonth after
77 transplant, once every 3 months for 9th month-2nd year, and once a year for 2nd-5thyear.
78 The urine BKV DNA loads was detected by quantitative Polymerase Chain Reaction
79 (qPCR), and the plasma BKV DNA loads was detected when the urine BKV DNA
80 loads \geq E+07 copies/ml. qPCR detection instrument: AGSAFD-9600, China Public
81 Health (Shanghai) Biotechnology Co., LTD., Shanghai, China (detection threshold >
82 2000 copies/mL); Reagent Kits: BK virus nucleic acid detection kits (PCR fluorescent
83 probe method), SINOMD Gene Detection Technology Co., LTD., Beijing, China.

84 Data Collected

85 The clinical data of all the recipients and their donors were collected. Includes:
86 donor factors (sex, age, BMI, renal type, the serum creatinine before organ obtain, left
87 or right kidney), recipients factors (sex, age, BMI, preoperative dialysis method,

88 ATG/ATG-F medical history, history of AR, DGF, the infection in 30 days after
89 operative, immunosuppression maintain protocols, number of transplant, BK virus
90 DNA loads within urine, the time of high-level BK viruria), immune factors (HLA
91 mismatching points, cold ischemia time, warm ischemia time).

92 Statistical Analysis

93 The statistical analyses were performed with IBM SPSS software, version
94 25.0(Armonk, NY, United States). The results of continuous variables were expressed
95 as mean \pm standard deviation (SD) or median (interquartile range, IQR) and numerical
96 (percentages) for categorical variables. The chi-square test and the independent sample
97 T-test were used for the single-factor comparison between the two groups. The results
98 of univariate analysis ($P < 0.1$) were included in the multivariate Logistic regression
99 model analysis, and the forward LR method was used to screen the variables and
100 eliminate the unintentional variables. $P < 0.05$ was considered to indicate statistically
101 significant.

102 Results

103 Demographics and Clinical Characteristics of Recipients and Donors

104 A total of 262 patients were included in this study, including 35 in group A and
105 227 in group B. All the recipients were negative for panel reactive antibody and
106 complement dependent cytotoxicity before transplant. The demographics and clinical
107 characteristics of all subjects are shown in Table 1. The prevalence of high-level BK
108 viruria was 13.4% ($n=35$), and the median time of detection was 181(range 91~1119)

109 days. Figure 1 shows the distribution of the onset time of 35 patients with high-level
 110 BK viruria. The median follow-up time for all recipients was 1004 (range 372~1954)
 111 days.

112 Table 1. Demographics and Clinical Characteristics of Recipients and Donors

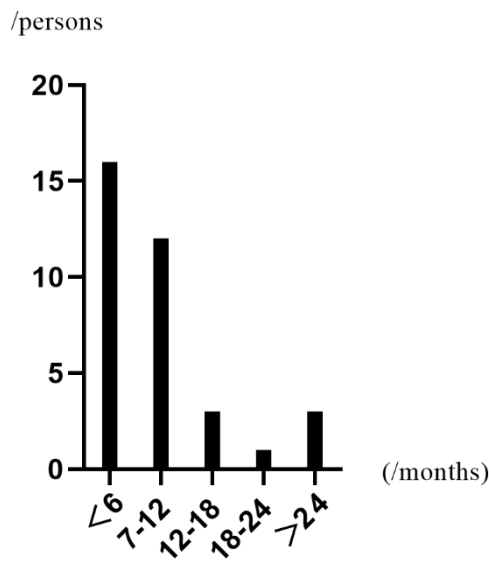
Variates		All recipients n=262	Group A n=35	Group B n=227	χ^2 or t value	P value
Donors						
Sex (n, %)	Male	197,75.2%	28,80%	169,74.4%	0.501	0.47
	Female	65,24.8%	7,20%	58,25.6%		
Age (n, %)	<18	27,10.3%	3,8.6%	24,10.6%	0.618	0.73
	18~59	232,88.5%	32,91.4%	200,88.1%		
	≥60	3,1.1%	-	3,1.3%		
BMI (n, %)	<18kg/m ²	27,10.3%	3,8.6%	24,10.6%	0.873	0.83
	18-23.9 kg/m ²	161,61.5%	24,68.6%	137,60.4%		
	24-27.9 kg/m ²	64,24.4%	7,20%	57,25.1%		
	≥28kg/m ²	10,3.8%	1,2.9%	9,4.0%		

						<
Donor type (n, %)	Live	40,15.3%	4,11.4%	36,15.9%	21.7	0.00
						1
	Brain Death	12,4.6%	1,2.9%	11,4.8%		
	Cardiac Death	138,52.7%	9,25.7%	129,56.8%		
	Brian-Cardiac Death	72,27.5%	21,60.0%	51,22.5%		
Serum		93.2±54.2µmol/	94.5±65.2µmol/	93.0±52.5µmol/	-	0.88
Creatinine(Mean±SD)		L	L	L	0.152	0
						0.95
Left or right kidney	Left	141,53.8%	19,54.3%	122,53.7%	0.004	
						2
	Right	121,46.2%	16,45.7%	105,46.3%		
Recipient						0.18
Sex (n, %)	Male	189,72.1%	22,62.9%	167,73.6%	1.731	
						8
	Female	73,27.9%	13,37.1%	60,26.4%		
Age (n, %)	<18	2,0.8%	-	2,0.9%	0.365	0.83
						3
	18~59	254,96.9%	34,97.1%	220,96.9%		
	≥60	6,2.3%	1,2.9%	5,2.2%		
BMI (n, %)	<18kg/m ²	36,13.7%	3,8.6%	33,14.5%	3.026	0.38
						8

	18-23.9 kg/m ²	187,71.4%	28,80%	159,70.0%	
	24-27.9 kg/m ²	36,13.7%	3,8.6%	33, 14.5%	
	≥28 kg/m ²	3,1.1%	1,2.9%	2, 0.9%	
Dialysis (n, %)	Hemodialysis	209,79.8%	25,71.4%	184,81.0%	2.382
	Peritoneal	51,19.5%	10,28.6%	41,18.1%	4
	others	2,0.8%	-	2,0.9%	0.30
ATG/ATG-F (n, %)	No	176,67.2%	18,51.4%	158,69.6%	4.543
	Yes	86,32.8%	17,48.6%	69,30.4%	3
AR (n, %)	No	217,82.8%	23,65.7%	194,85.5%	8.313
	Yes	45,17.2%	12,34.3%	33,14.5%	4
DGF (n, %)	No	215,82.1%	19,54.3%	196,86.3%	21.17
	Yes	47,17.9%	16,45.7%	31,13.7%	0
Infection within 30 days after surgery (n, %)	pulmonary infection	14,5.3%	3,8.6%	11,4.9%	3.936
					0
					<
					0.00
					0.00

	urinary tract	14,5.3%	4,11.4%	10,4.4%	
	infection				
	others	233,88.9%	28,80%	205,90.7%	
	Immunosuppressive				
Immune factors	TAC+MMF+Pre				0.30
	maintenance regimen	259,98.9%	34,97.1%	225,99.1%	1.046
	d*				6
	(n, %)				
	Others	3,1.1%	1,2.9%	2,0.9%	
	the number of kidney				0.73
	transplant (n, %)				
	First	258,98.5%	35,100%	222,98.2%	0.626
	Second	4,1.5%	-	4,1.8%	1
	HLA				
	mismatching(Mean±S	2.0±1.1	1.9±1.2	2.0±1.0	0.745
	D)				7
	cold ischemia time				0.57
	(Mean±SD)	10.2±4.7h	9.8±4.2h	10.3±4.8h	0.555
	Warm ischemia time				-
	(Mean±SD)	4.5±1.3min	4.8±1.2min	4.5±1.3min	0.14
					1.466
					9

113 *:Tacrolimus+ Mycophenolate Mofetil+ Prednison(Tac+MMF+Pred)



114

115 Figure 1. onset time distribution of high-level BK viruria

116 This graph shows the highest incidence within 6 months of the transplant, decreases over time, and

117 then increases again 2 years later.

118 Results of univariate and multivariate analysis

119 In Table 1, we indicated the univariate analysis results of different variables, and

120 the P-value < 0.1 variable was input into the logistic regression model for multi-factor

121 analysis to identify the independent risk factors. The treatment history of ATG/ATG-F,

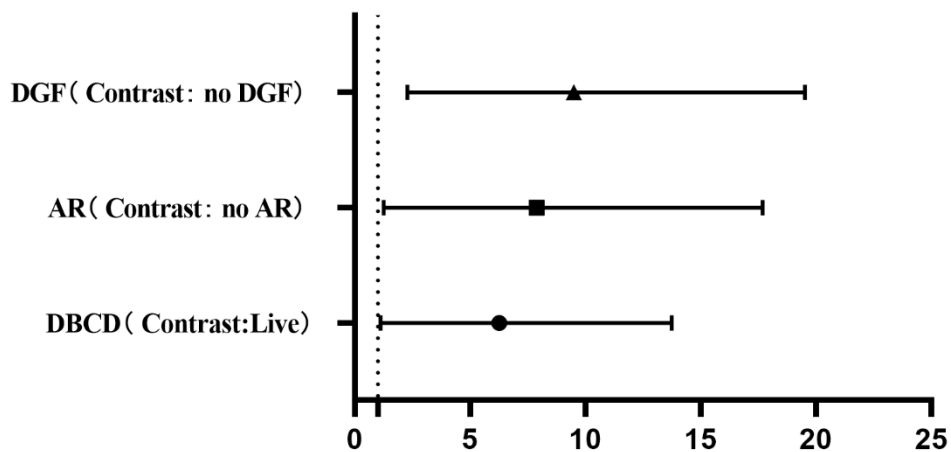
122 AR, DGF and donor type were included in the regression model. The results showed

123 that the treatment history of ATG/ATG-F (OR: 0.339; 95% CI: 0.084~1.370; P = 0.129),

124 the AR (OR: 4.709; 95% CI: 1.253~17.697; P = 0.022), DGF (OR: 6.682;95% CI:

125 2.288~19.518;P=0.001) and Donation of Brain and Cardiac deceased (DBCD) (OR:

126 3.927;95% CI: 1.122~13.746;P=0.032) (Figure 2).



127

128 Figure 2. OR values for independent risk factors for high-levels of BK viruria

129 DGF: Delayed Graft Function), OR=6.682; 95%CI, 2.288~19.518; P=0.001;AR: Acute Rejection,

130 OR=4.709; 95%CI, 1.253~17.697; P=0.022;DBCD: Donation of Brain and Cardiac Deceased,

131 OR=3.927; 95%CI, 1.122~13.746; P=0.032.

132 Discussion

133 By monitoring the urine BKV DNA loads regularly after kidney transplant, we

134 found that 13.4% of the patients (n=35) were diagnosed with high-level BK viruria at

135 the median time of 181 days in our center. In 2020, some scholars summarized that the

136 incidence of BK virus infection in Asia, they found that the incidence of BK viruria

137 after kidney transplantation was between 5.9%~86.9%^[5]. Although our data is also

138 within this range, the wide range also affects the accuracy of this data. In fact, we have

139 to admit that the existing data are all reported by single center, there are too many

140 uncontrollable factors leading to huge differences in the results. Earlier, some scholars

141 also reported the prevalence of BKV infection among healthy people. Atonsson et al.^[6]

142 reported that the serum positive rate of BK virus in Australians was as high as 99% in

143 people between 25 and 60 years old. Gossai et al.^[7] investigated the prevalence of
144 polyomavirus in the United States and found that the serum positive rate of BK virus
145 was 87.6%. These reports reveal differences in the prevalence of BK virus infection in
146 time and space. To be sure, the highest incidence of BK viruria in renal transplant
147 recipients was within 6 months of surgery, in accordance with the other centers have
148 reported^[8].

149 It is significant to identify high-level BK viruria patients. We began to carried out
150 the pre-emptive intervention in 2015 to intervened the high-level BK viruria to prevent
151 the occurrence of BKVAN, and the results were satisfactory. Among the 38 patients,
152 BK viruria was effectively controlled in 32 patients (84.2%) within 1 year of treatment,
153 and the remaining 6 patients (15.8%) also showed no infection progression, and no
154 rejection reaction occurred in all patients after the immunosuppression intensity was
155 reduced [The article is under submission]. Some researchers have also found that BK
156 viruria also causes serum creatinine elevation by analyzing the survival of renal
157 transplant recipients infected with BK virus^[9]. So, we conducted this study to explore
158 the risk factors for high-level BK viruria.

159 As we know, no relevant studies have been reported internationally in this filed,
160 so we selected some variables that may influence the occurrence of high-level BK
161 viruria for analysis. The final results showed that DBCD, AR and DGF were
162 independent risk factors for high-level BK viruria. AR and DGF were the expected
163 results, and AR and DGF were also independent risk factors for BK viremia after renal

164 transplantation^[10]. But DBCD surprised us. As was known to all, the donors' source in
165 China has undergone a great change in the 21st century. Influenced by traditional
166 culture and religion, the development of DBD donors has been greatly hindered, which
167 also severely limits the quantity and quality of our transplant work. Therefore, the
168 criteria of donation in Chinese was developed, in order to solve the problem of the
169 extreme shortage of donors in China. DBCD is the third type of donor in China (C-III),
170 which is similar to category 4 in Maastricht criteria^[11]. Theoretically, we think that DCD
171 might be one of the risk factors for the progression of BK virus infection. The incidence
172 of DGF and primary nonfunction was significantly increased because DCD donors
173 experienced hemodynamic disorders and the attack of underlying diseases. Generally,
174 DBCD is similar to DBD, and the quality of kidney is significantly higher than that of
175 DCD. So maybe DCD is more closely associated with infection^[10, 12]. This makes us
176 confused, whether it is the bias caused by the small number of cases or the specificity
177 of DBCD, which needs to be confirmed by more studies.

178 This study reports the risk factors for high-level of BK viraemia after renal
179 transplantation, filling in the gaps in this field preliminarily, but there are also some
180 deficiencies inevitably. The single center retrospective study, the insufficient sample
181 size was still the limitation of its quality. Due to the limitation of objective factors, we
182 couldn't compare all relevant factors. For example, there are limited types of
183 immunosuppressive drugs that we use after transplant, induction therapy is not routine,
184 donor-sourced BK virus surveillance has not been carried out, and so on.

185 **Conclusions**

186 In the first 6 months after kidney transplantation, enhanced monitoring frequency
187 of BKV infection is necessary, and DBCD kidney, a history of AR/DGF are independent
188 risk factors for high-level BK viraemia, we can use that as a basis to identify patients
189 early on and treat them. In future studies, prospective multicenter studies will always
190 be an important direction in the field of BKV infection.

191

192 **Abbreviations**

193 BKVAN: BK virus allograft nephropathy, BKV: BK virus, qPCR: quantitative
194 Polymerase Chain Reaction, AR: Acute Rejection, DGF: Delayed graft function, DBD:
195 Donation of brain deceased, DCD: Donation of cardiac deceased, DBCD: Donation of
196 brain and cardiac deceased, SD: Standard deviation, IQR: Interquartile range, Tac:
197 Tacrolimus, MMF: Mycophenolate Mofetil, Pred: Prednisone, OR: Odds Ratio, CI:
198 Confidence interval.

199

200 **Declarations**

201 **Ethics approval and consent to participate**

202 This research has been approved by the ethics committee of Jiangxi Provincial People's
203 Hospital Affiliated to Nanchang University (Serial no. 2015094). However, there were
204 no informed consents were signed, due to the retrospective study, except for personal

205 information, only the clinical data of anonymous patients were collected without
206 infringing the rights and interests of the patients.

207

208 **Consent for publication**

209 Not applicable.

210

211 **Availability of data and materials**

212 The datasets used and analyzed during the current study are available from the
213 corresponding author on reasonable request.

214

215 **Competing interests**

216 The authors declare no conflicts of interest.

217

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221

222 **Authors contributions**

223 Rui Xiong, participated in research design, participated in the writing of the paper,
224 participated in the performance of the research, participated in data analysis. final
225 approval of the version to be published, agreement to be accountable for all aspects of

226 the work; Haimin Ye, participated in research design, participated in the revising of the
227 paper, participated in data analysis. final approval of the version to be published,
228 agreement to be accountable for all aspects of the work; Zhujing Liu, participated in
229 research design, participated in the revising of the paper, participated in data analysis.
230 final approval of the version to be published, agreement to be accountable for all aspects
231 of the work; (5) Xinchang Li, participated in research design, participated in the
232 revising of the paper, participated in the performance of the research, final approval of
233 the version to be published, agreement to be accountable for all aspects of the work.

234

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