

# Gut microbiome dysbiosis during COVID-19 is associated with increased risk for bacteremia and microbial translocation.

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2 **microbial translocation.**

3

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34

35 **Abstract**

36 The microbial populations in the gut microbiome have recently been associated with COVID-19 disease  
37 severity. However, a causal impact of the gut microbiome on COVID-19 patient health has not been  
38 established. Here we provide evidence that gut microbiome dysbiosis is associated with translocation of  
39 bacteria into the blood during COVID-19, causing life-threatening secondary infections. Antibiotics and  
40 other treatments during COVID-19 can potentially confound microbiome associations. We therefore first  
41 demonstrate that the gut microbiome is directly affected by SARS-CoV-2 infection in a dose-dependent  
42 manner in a mouse model, causally linking viral infection and gut microbiome dysbiosis. Comparison with  
43 stool samples collected from 101 COVID-19 patients at two different clinical sites also revealed  
44 substantial gut microbiome dysbiosis, paralleling our observations in the animal model. Specifically, we  
45 observed blooms of opportunistic pathogenic bacterial genera known to include antimicrobial-resistant  
46 species in hospitalized COVID-19 patients. Analysis of blood culture results testing for secondary  
47 microbial bloodstream infections with paired microbiome data obtained from these patients suggest that  
48 bacteria translocate from the gut into the systemic circulation of COVID-19 patients. These results are  
49 consistent with a direct role for gut microbiome dysbiosis in enabling dangerous secondary infections  
50 during COVID-19.

51 **Main text**

52 A better understanding of factors contributing to the pathology of coronavirus disease 2019 (COVID-19) is  
53 an urgent global priority. Infections by SARS-CoV-2 are frequently asymptomatic or mild in nature, but  
54 may also cause a broad range of severe and life-threatening symptoms. Previous reports have  
55 demonstrated that severe COVID-19 is frequently associated with specific inflammatory immune  
56 phenotypes, lymphopenia, and a generally disproportionate immune response leading to systemic organ  
57 failure<sup>1,2</sup>. Even in mild cases, gastrointestinal symptoms are reported frequently, and recent studies  
58 reported that COVID-19 patients lose commensal taxa of the gut microbiome during hospitalization<sup>3,4</sup>.  
59 Differences in gut bacterial populations relative to healthy controls were observed in all COVID-19  
60 patients, but most strongly in patients who were treated with antibiotics during their hospitalization<sup>4</sup>. Most  
61 recently, COVID-19 patients treated with broad spectrum antibiotics at admission were shown to have  
62 increased susceptibility to multi-drug resistant infections and nearly double the mortality rate from septic  
63 shock<sup>5,6</sup>, and a recent meta-analysis found that over 14% of 3,338 COVID-19 patients acquired a  
64 secondary bacterial infection<sup>7</sup>. However, the causal direction of the relationship between disease  
65 symptoms and gut bacterial populations is not yet clear.

66 Complex gut microbiota ecosystems can prevent the invasion of potentially pathogenic  
67 bacteria<sup>8,9</sup>. Conversely, when the gut microbiota incurs damage, such as through antibiotics treatment,  
68 competitive exclusion of pathogens is weakened<sup>10</sup> and potentially dangerous blooms of antibiotic resistant  
69 bacterial strains can occur<sup>11,12</sup>. In immunocompromised cancer patients, blooms of Enterococcaceae and  
70 Gram-negative proteobacteria can lead to gut dominations by few or single species<sup>13-16</sup>. Gut domination  
71 events are dangerous to these patients because antibiotic resistant bacteria may translocate from the gut  
72 into the blood stream. Consequently, enterococcal dominations have been associated with 9-fold  
73 increased risk of bloodstream infections (BSIs) with vancomycin-resistant Enterococcus (VRE), and  
74 domination by Gram-negative proteobacteria with 5-fold increased risk of Gram-negative rod BSIs<sup>13</sup>.  
75 Bacterial co-infection can also cause life-threatening complications in patients with severe viral  
76 infections<sup>6,17</sup>; therefore, antibacterial agents were administered empirically to nearly all critically ill  
77 suspected COVID-19 patients since the incidence of bacterial superinfection was unknown early during  
78 the pandemic<sup>4,18</sup>. However, it is now known that nosocomial infection during prolonged hospitalization is  
79 the primary threat to patients with COVID-19<sup>19</sup>, rather than bacterial co-infection upon hospital  
80 admission<sup>7,20-22</sup>. Evidence from immunocompromised cancer patients suggests that indiscriminate  
81 administration of broad-spectrum antibiotics may, counter-intuitively, increase nosocomial BSI rates by  
82 causing gut dominations of resistant microbes that can translocate into the blood<sup>13,23</sup>. Thus, empiric  
83 antimicrobial use, i.e. without direct evidence for a bacterial infection, in patients with severe COVID-19  
84 may be especially pernicious because it may select for antimicrobial resistance and could promote gut  
85 translocation-associated BSI.

86

87 The role of the gut microbiome in respiratory viral infections in general<sup>24</sup>, and in COVID-19  
88 patients in particular, is only beginning to be understood. Animal models of influenza virus infection have  
89 uncovered mechanisms by which the microbiome influences antiviral immunity<sup>25-27</sup>, and in turn, the viral  
90 infection was shown to disrupt the intestinal barrier of mice by damaging the gut microbiota<sup>28,29</sup>. Hence,  
91 we hypothesized that gut dysbiosis during COVID-19 may be associated with BSIs. To test this, we first  
92 determined whether SARS-CoV-2 infection could directly cause gut dysbiosis independently of  
93 hospitalization and treatment. Daily changes in fecal bacterial populations were monitored following  
94 intranasal inoculation of transgenic mice expressing human *ACE2* driven by the *cytokeratin-18* promoter  
95 (*K18-ACE2tg* mice) with either a high dose (HD, 10<sup>4</sup>PFU) or low dose (LD, 10PFU) of SARS-CoV-2  
96 (**Figure 1a**). Although disease was not as evident in LD mice, we confirmed the presence of infectious  
97 virus in the lung by plaque assay at sacrifice (**Supplementary Figure S1**). Among the HD mice, we  
98 observed significant microbiome changes (**Figure 1b**), with a repeatedly observed community trajectory  
99 corresponding to a loss in relative abundances of obligate anaerobe species such as members of the  
100 Clostridiales order (**Figure 1c**), concurrent with an expansion of Verrucomicrobiales (**Figure 1a,c**). During  
101 this shift in the microbiome,  $\alpha$ -diversity in the gut bacterial ecosystem was decreasing, a trend also  
102 observed in the LD mice, albeit to a lesser extent, but not in the control mice (**Figure 1b**). After less than  
103 one week of viral infection,  $\alpha$ -diversity was reduced in infected mice (**Figure 1b**, 95%HDI<0 Bayesian  
104 estimation of differences in group means BEST, methods). Alongside the progressive increase in  
105 microbiota compositional dysbiosis, we also observed systemic signs of severe infection, including weight  
106 loss (**Supplementary Figure S2**), as well as ruffled fur, heavy breathing, reduced activity and hunched  
107 posture (**Supplementary Table S1**). These results demonstrate that SARS-CoV2 infection directly  
108 causes gut microbiome dysbiosis in a mouse model.

109 We next profiled the bacterial composition of the fecal microbiome in 138 samples (**Figure 2a**)  
110 obtained from SARS-CoV-2 infected patients treated at NYU Langone Health (NYU, 73 samples,  
111 **Supplementary Table S2**) and Yale New Haven Hospital (YALE, 65 samples, **Supplementary Table**  
112 **S3**). Analysis of metagenomic data obtained from sequencing of the 16S rRNA genes revealed a wide  
113 range of bacterial community diversities, as measured by the inverse Simpson index, in samples from  
114 both centers (NYU: [1.0, 32.2], YALE: [1.5, 29.3], **Figure 2b**); on average, samples from NYU were less  
115 diverse (-2.5,  $p < 0.01$ , **Figure 2c**). However, the composition in samples between the two centers did not  
116 show systematic compositional differences (**Figure 2d,e,f**). On average, in both centers, members of the  
117 phyla Firmicutes and Bacteroidetes represented the most abundant bacteria, followed by Proteobacteria  
118 (**Figure 2d**). The wide range of bacterial diversities was reflected in the high variability of bacterial  
119 compositions across samples (**Figure 2e,f**). In samples from both centers, microbiome dominations,  
120 defined as a community where a single genus reached more than 50% of the population, were observed  
121 frequently (NYU: 21 samples, YALE: 12 samples), representing states of severe microbiome injury in  
122 COVID-19 patients (**Figure 2g**).

123 In agreement with a recent study associating gut microbial compositions with disease severity<sup>4</sup>,  
124 we found that samples from patients who were treated in the ICU had reduced bacterial diversity  
125 (**Supplementary Figure S3**). In 22 cases, gastrointestinal symptoms were recorded, but only two of  
126 those patients required ICU treatment and corresponding stool samples had higher average diversity than  
127 other samples (**Supplementary Figure S3**). Strikingly, however, samples associated with a BSI had  
128 strongly reduced bacterial  $\alpha$ -diversities (mean difference: -7.7, CI<sub>BEST</sub>[-10.2, -5.2], **Supplementary Figure**  
129 **S3**).

130 The lower diversity associated with samples from 21 patients with BSIs led us to investigate their  
131 bacterial taxon compositions and the potential that gut dysbiosis was associated with BSI events. All BSI  
132 patients had received antibiotic treatments during hospitalization, which could exacerbate COVID-19  
133 induced shifts in microbiota populations<sup>11,12,15</sup>, but may indeed be administered in response to a  
134 suspected or confirmed BSI. However, we noted that most BSI patients (80%) also received antibiotics  
135 prior to their BSI. Principal coordinate analysis of all stool samples indicated that the BSI-associated  
136 samples spanned a broad range of compositions (**Figure 3a**). To identify bacterial abundance patterns  
137 that consistently distinguished BSI from non-BSI-associated samples, we next performed a Bayesian  
138 logistic regression. This analysis estimated the association of the 10 most abundant bacterial genera with  
139 BSI cases, i.e. it identified enrichment or depletion of bacterial genera in BSI associated samples  
140 (**Figure 3b**). This analysis revealed that the genus *Faecalibacterium* was negatively associated with BSI  
141 (OR: -1.49, CI: [-2.82, -0.18]). *Faecalibacterium* is an immunosupportive Clostridiales genus that is a  
142 prominent member of the human gut microbiome<sup>30-32</sup>, and its reduction is associated with disruption to  
143 intestinal barrier function<sup>33,34</sup>, perhaps via ecological network effects<sup>34</sup>.

144 To evaluate the effect size of the association between *Faecalibacterium* and BSIs, we performed  
145 a posterior predictive check. Using the average genus composition found across all samples, we first  
146 computed the distribution of predicted BSI risks (**Figure 3c**), and compared this risk distribution with a  
147 hypothetical bacterial composition which increased *Faecalibacterium* by 10% points. The predicted risk  
148 distributions associated with these two compositions differed strongly (mean difference 26%,  
149 CI: [-9%, 67%], **Figure 3c**). Domination states of the microbiome increase the risk for BSIs in  
150 immunocompromised cancer patients<sup>13</sup>; such dominations imply high relative abundances of single taxa,  
151 and therefore a low diversity. Consistent with this, *Faecalibacterium* abundance was positively correlated  
152 with diversity (R: 0.55,  $p < 10^{-10}$ , **Figure 3d**) in our data set and as reported previously<sup>30</sup>.

153 We therefore next investigated a direct association between the bacteria populating the gut  
154 microbiome and the organisms identified in the blood of patients. Visualizing the bacterial composition in  
155 stool samples from patients alongside the BSI microorganism (**Supplementary Figure S4, Figure 3e**)  
156 suggested a correspondence with the respective taxa identified in the blood: high abundances of the BSI-  
157 causing microbes were found in corresponding stool samples (**Figure 3e**). To analyze this, we first  
158 assigned stool samples associated with each BSI event into 5 categories defined by the taxonomic order  
159 of the causative bacterial organisms, as well as one singleton group of a fungal infection case as a sixth

160 category; stool samples from uninfected patients were assigned a seventh, “uninfected” category. For  
161 samples of each BSI category, we first calculated their median abundances of bacterial predictors in the  
162 stool. We then ranked these stool taxon median abundances across BSI categories. As expected from  
163 the visualization of sample compositions (**Figure 3e**), we found that BSI category sample sets were  
164 generally enriched in their respective taxa in the stool. For example, samples associated with *Klebsiella*,  
165 *Escherichia* or *Serratia* BSIs (Enbct category, **Figure 3f**) had the highest rank of Enterobacterales  
166 abundances across the BSI category sample sets (**Figure 3f**). We tested this observation statistically  
167 using the log<sub>10</sub>-relative bacterial abundances in stool samples as independent predictors of identified BSI  
168 pathogens, i.e. the BSI category, in a Bayesian categorical regression model where the uninfected class  
169 was used as a pivot (see methods). In addition to taxon abundances, the model included the bacterial  
170 α-diversity as a predictor. As expected, a strong statistical association between diversity and BSIs in  
171 general was detected (**Supplementary Figure S5**). The rank analysis had suggested that  
172 Staphylococcales are not enriched in BSIs by *Staphylococcus* (**Figure 3f**); this was supported by the  
173 Bayesian model which showed that log<sub>10</sub>-abundances of Staphylococcales in the stool were not  
174 detectably predictive of a *Staphylococcus* BSI (**Figure 3g**). By contrast, our analysis demonstrated that  
175 the bacterial abundances of all other BSI-causing organisms in the stool were predictive of corresponding  
176 BSIs.

177 Collectively, these results reveal an unappreciated link between SARS-CoV-2 infection, gut  
178 microbiome dysbiosis, and a major complication of COVID-19, BSIs. The loss of diversity and  
179 immunosuppressive *Faecalibacterium* in patients with BSIs mirrored a similar loss of diversity and  
180 Clostridiales in the mice receiving high doses of SARS-CoV-2, suggesting that this virus causally affects  
181 the microbiome, either through direct infection<sup>35–39</sup> or through a systemic inflammatory response<sup>2,4</sup>.  
182 However, the dysbiosis in patients with COVID-19 exceeded the microbiota shifts observed in the mouse  
183 experiments, including microbiome dominations by single taxa, which was not seen in the mouse  
184 experiments. It is possible that in our experiment, mice were sacrificed before perturbations to the gut  
185 microbial populations reached a maximum. However, it is also plausible that the frequently administered  
186 antibiotic treatments that hospitalized COVID-19 patients receive exacerbated SARS-CoV-2 induced  
187 microbiome perturbations. Additionally, unlike the controlled environment experienced by laboratory mice,  
188 hospitalized patients are uniquely exposed to antimicrobial-resistant infectious agents present on  
189 surfaces and shed by other patients. Indeed, domination events where the gut is populated by only a few  
190 taxa have been described in hospitalized, immunocompromised cancer patients treated with broad  
191 spectrum antibiotics<sup>15</sup>. We frequently observed such dominations in our COVID-19 cohorts treated at two  
192 hospitals.

193 Our observation that the type of bacteria that entered the bloodstream was disproportionately  
194 enriched in the associated stool samples is a well characterized phenomenon in cancer patients<sup>13</sup>,  
195 especially during chemotherapy induced leukocytopenia when patients are severely  
196 immunocompromised<sup>11,30</sup>. COVID-19 patients are also immunocompromised and frequently incur



197 lymphopenia, rendering them susceptible to secondary infections<sup>40</sup>. Our data suggests dynamics in  
198 COVID-19 patients may be similar to those observed in cancer patients: BSI-causing organisms may  
199 translocate from the gut into the blood, potentially due to loss of gut barrier integrity, through virus-  
200 induced tissue damage rather than chemotherapy. Consistent with this possibility, soluble immune  
201 mediators such as TNF $\alpha$  and interferons produced during viral infections, including SARS-CoV-2,  
202 damage the intestinal epithelium to disrupt the gut barrier, especially when the inflammatory response is  
203 sustained as observed in patient with severe COVID-19<sup>41–43</sup>.

204 One limitation of our data is temporal ordering of samples. Occasionally stool samples were  
205 collected after observation of BSI, and this mismatch in temporal ordering is counter intuitive for gut-to-  
206 blood translocation and a causal interpretation of our associations. However, the reverse direction, that  
207 blood infection populates and changes the gut community, is unlikely for the organisms identified in the  
208 blood, and if our associations were not causal, we would expect no match between BSI organisms and  
209 stool compositions.

210 Taken together, our findings support a scenario in which gut-to-blood translocation of  
211 microorganisms following microbiome dysbiosis, a known issue for chronic conditions such as cancer,  
212 leads to dangerous BSIs during COVID-19. We suggest that investigating the underlying mechanism  
213 behind our observations will inform the judicious application of antibiotics and immunosuppressives in  
214 patients with respiratory viral infections and increase our resilience to pandemics.

215

## 216 **Materials and Methods**

### 217 *Bioethics statement*

218 The collection of COVID-19 human biospecimens for research has been approved by the NYUSOM  
219 Institutional Review Board under il8-01121 Inflammatory Bowel Disease and Enteric Infection at NYU  
220 Langone Health. The data presented in this study were also approved by Yale Human Research  
221 Protection Program Institutional Review Boards (FWA00002571, protocol ID 2000027690). Informed  
222 consent was obtained from all enrolled patients.

223

### 224 *Mouse experiments*

#### 225 Cells & virus

226 Vero E6 (CRL-1586; American Type Culture Collection) were cultured Dulbecco's Modified Eagle's  
227 Medium (DMEM, Corning) supplemented with 10% fetal bovine serum (FBS, Atlanta Biologics) and 1%  
228 nonessential amino acids (NEAA, Corning). SARS-CoV-2, isolate USA-WA1/2020 19 (BEI resources  
229 #NR52281), a gift from Dr. Mark Mulligan at the NYU Langone Vaccine Center was amplified once in  
230 Vero E6 cells. All experiments with SARS-CoV-2 were conducted in the NYU Grossman School of  
231 Medicine ABSL3 facility by personnel equipped with powered air-purifying respirators.

232

233 Mice

234 Heterozygous K18-hACE2 C57BL/6J mice (strain: 2B6.Cg-Tg(K18-ACE2)2PrImn/J) were obtained from  
235 The Jackson Laboratory. Animals were housed in groups and fed standard chow diets. All animal studies  
236 were performed according to protocols approved by the NYU School of Medicine Institutional Animal Care  
237 and Use Committee (IACUC n°170209). 24-week-old K18-hACE2 males were administered either 10PFU  
238 SARS-CoV-2 (low dose, LD), 10<sup>4</sup>PFU SARS-CoV-2 (high dose, HD) diluted in 50µL PBS (Corning) or  
239 50µL PBS (non-infected, CTRL) via intranasal administration under xylazine-ketamine anesthesia  
240 (AnaSedR AKORN Animal Health, Ketathesia<sup>TM</sup> Henry Schein Inc). Viral titer in the inoculum was  
241 verified by plaque assay in Vero E6 cells. Following infection, mice were monitored daily for weight loss  
242 and signs of disease. Stool samples were collected and stored at -80°C.

243

244 Measurement of viral load by plaque assay

245 Six or seven days after infection, mice were sacrificed. For some mice lungs were collected in Eppendorf  
246 tubes containing 500µl of PBS and a 5mm stainless steel bead (Qiagen) and homogenized using with the  
247 Qiagen TissueLyser II. Homogenates were cleared for 5 min at 5,000 × g, and viral supernatant was  
248 frozen at -80°C for titration through plaque assay. In brief, Vero E6 cells were seeded at a density of 2.2 \*  
249 10<sup>5</sup> cells per well in flat-bottom 24-well tissue culture plates. The following day, media was removed and  
250 replaced with 100µL of tenfold serial dilutions of the virus stock, diluted in infection medium. Plates were  
251 incubated for 1h at 37°C. Following incubation, cells were overlaid with 0.8% agarose in DMEM  
252 containing 2% FBS and incubated at 37°C for 72hrs. Cells were then fixed with formalin buffered 10%  
253 (Fisher Chemical) for 1h. Agarose plugs were then removed and cells were stained for 20 min with crystal  
254 violet and then washed with tap water.

255

256 *Human study population and data collection*

257 This study involved 101 patients with laboratory-confirmed SARS-CoV-2 infection. SARS-CoV-2 infection  
258 was confirmed by a positive result of real-time reverse transcriptase-polymerase chain reaction assay on  
259 a nasopharyngeal swab. 64 patients were seen at NYU Langone Health, New York, for routine medical  
260 procedures, outpatient care, or admitted through the Emergency Department at NYU Langone Health's  
261 Tisch Hospital, New York City, between January 29, 2020 – July 2, 2020 and were followed until  
262 discharge. In order to be eligible for inclusion in the study, stool specimens needed to be from individuals  
263 >18 years of age. Data including demographic information, clinical outcomes, and laboratory results were  
264 extracted from the electronic medical records in the NYU Langone Health clinical management system.  
265 Blood and stool samples were collected by hospital staff. OmnigeneGut kits were used on collected stool.  
266 In parallel, 37 patients were admitted to YNH with COVID-19 between 18 March 2020 and 27 May 2020  
267 as part of the YALE IMPACT cohort described at length elsewhere<sup>2</sup>. Briefly, participants were enrolled  
268 after providing informed consent and paired blood and stool samples were collected longitudinally where  
269 feasible for duration of hospital admission. No statistical methods were used to predetermine sample size

270 for this cohort. Demographic information of patients was aggregated through a systematic and  
271 retrospective review of the EHR and was used to construct **Supplementary Table 3**. Symptom onset and  
272 aetiology were recorded through standardized interviews with patients or patient surrogates upon  
273 enrolment in our study, or alternatively through manual EHR review if no interview was possible owing to  
274 clinical status at enrolment. The clinical data were collected using EPIC EHR and REDCap 9.3.6  
275 software. At the time of sample acquisition and processing, investigators were blinded to patient clinical  
276 status.

277

#### 278 *DNA extraction and bacterial 16S rRNA sequencing*

279 For bacterial DNA extraction 700 $\mu$ L of SL1 lysis buffer (NucleoSpin Soil kit, Macherey-Nagel) was added  
280 to the stool samples and tubes were heated at 95°C for 2h to inactivate SARS-CoV-2. Samples were then  
281 homogenized using the FastPrep-24TM instrument (MP Biomedicals) and extraction was pursued using  
282 the NucleoSpin Soil kit according to the manufacturer's instructions. DNA concentration was assessed  
283 using a NanoDrop spectrophotometer. Samples with too low DNA concentration were excluded. DNA  
284 from human samples was extracted with PowerSoil Pro (Qiagen) on the QiaCube HT (Qiagen), using  
285 Powerbead Pro (Qiagen) plates with 0.5mm and 0.1mm ceramic beads. For mouse samples, the variable  
286 region 4 (V4) of the 16S rRNA gene was amplified by PCR using primers containing adapters for MiSeq  
287 sequencing and single-index barcodes. All PCR products were analyzed with the Agilent TapeStation for  
288 quality control and then pooled equimolar and sequenced directly in the Illumina MiSeq platform using the  
289 2x250 bp protocol. Human samples were prepared with a protocol derived from <sup>44</sup>, using KAPA HiFi  
290 Polymerase to amplify the V4 region of the 16S rRNA gene. Libraries were sequenced on an Illumina  
291 MiSeq using paired-end 2x250 reads and the MiSeq Reagent Kitv2.

292

#### 293 *Bioinformatic processing and taxonomic assignment*

294 Amplicon sequence variants (ASVs) were generated via dada2 v1.16.0 using post-QC FASTQ files.  
295 Within the workflow, the paired FASTQ reads were trimmed, and then filtered to remove reads containing  
296 Ns, or with maximum expected errors  $\geq 2$ . The dada2 learn error rate model was used to estimate the  
297 error profile prior to using the core dada2 algorithm for inferring the sample composition. Forward and  
298 reverse reads were merged by overlapping sequence, and chimeras were removed before taxonomic  
299 assignment. ASV taxonomy was assigned up to genus level using the SILVAv.138 database with the  
300 method described in <sup>45</sup> and a minimum bootstrapping support of 50%. Species-level taxonomy was  
301 assigned to ASVs only with 100% identity and unambiguous matching to the reference.

302

#### 303 *Compositional analyses*

##### 304 $\alpha$ -Diversity

305 We calculated the inverse Simpson (*IVS*) index from relative ASV abundances (*p*) with *N* ASVs in a given

306 sample,  $IVS = \frac{1}{\sum_i^N p_i^2}$ .

## 307 Principal Coordinate Analyses

308 Bray-Curtis distances were calculated from the filtered ASV table using QIIME 1.9.1 and principal  
309 components of the resulting distance matrix were calculated using the scikit-learn package for the Python  
310 programming language, used to embed sample compositions in the first two principal coordinates (see  
311 published code for the implementation in the Python programming language).

312

## 313 Average compositions and manipulation of compositions

314 To describe the average composition of a set of samples we calculated the central tendency of a  
315 compositional sample<sup>46</sup>. For counterfactual statistical analyses that require changes to a composition,  
316 e.g. an increase in a specific taxon, we deployed the perturbation operation ( $\oplus$ ), which is the  
317 compositional analogue to addition in Euclidean space<sup>46</sup>. A sample  $x$  containing the original relative taxon  
318 abundances is perturbed by a vector  $y$ ,

$$319 \quad y: x \oplus y = \left[ \frac{x_1 y_1}{\sum_{i=1}^D x_i y_i}, \frac{x_2 y_2}{\sum_{i=1}^D x_i y_i}, \dots, \frac{x_D y_D}{\sum_{i=1}^D x_i y_i} \right] \forall x, y \in S^D$$

320 where  $S^D$  represents the D-part simplex.

321

## 322 *Statistical analyses*

323 Computer code alongside processed data tables are made available and can be used to reproduce the  
324 statistical analysis and regenerate the figures (**Supplementary File 1**).

325

## 326 Bayesian t-test

327 To compare diversity measurements between different sample groups, e.g. different clinical status, we  
328 performed a Bayesian estimation of group differences (BEST,<sup>47</sup>), implemented using the pymc3 package  
329 for the Python programming language; with priors ( $\sim$ ) and deterministic calculations ( $=$ ) to assess  
330 differences in estimated group means as follows:

$$331 \quad g_1 \sim \text{Normal}(\mu = 15, \sigma = 15)$$

$$332 \quad g_2 \sim \text{Normal}(\mu = 15, \sigma = 15)$$

$$333 \quad \sigma_{g1} \sim \text{Uniform}(\text{low} = 1e-4, \text{high} = 30)$$

$$334 \quad \sigma_{g2} \sim \text{Uniform}(\text{low} = 1e-4, \text{high} = 30)$$

$$335 \quad v \sim \text{Exponential}(1/15) + 1$$

$$336 \quad \lambda_1 = \sigma_{g1}^{-2}$$

$$337 \quad \lambda_2 = \sigma_{g2}^{-2}$$

$$338 \quad G1 \sim \text{StudentT}(\text{nu} = v, \text{mu} = g_1, \text{lam} = \lambda_1)$$

$$339 \quad G2 \sim \text{StudentT}(\text{nu} = v, \text{mu} = g_2, \text{lam} = \lambda_2)$$

$$340 \quad \Delta = G1 - G2$$

341 Bayesian inference was performed using “No U-turn sampling”<sup>48</sup>. Highest density intervals (HDI) of the  
342 posterior estimation of group differences ( $\Delta$ ) were used to determine statistical certainty (\*\*\*: 99% HDI >0

343 or <0, \*\*: 95%HDI, \*:90% HDI). The BEST code is provided in the Supplementary and implemented  
344 following the pymc3 documentation (**Supplementary File 1**).

345

### 346 Bayesian logistic regression

347 We performed a Bayesian logistic regression to distinguish compositional differences between infection-  
348 associated samples and samples from patients without secondary infections. We modeled the infection  
349 state of patient sample  $i$ ,  $y_i$  with a Binomial likelihood:

$$350 \quad y_i \sim \text{Binomial}(n = 1, p = p)$$

$$351 \quad p = \text{inverse logistic}(\alpha + X_i\beta)$$

$$352 \quad \alpha \sim \text{Normal}(\mu = 0, \sigma = 1)$$

$$353 \quad \beta \sim \text{Normal}(\mu = 0, \sigma = 1)$$

354 Where prior distributions are indicated by  $\sim$ ;  $\alpha$  is the intercept of the generalized linear model,  $\beta$  is the  
355 coefficient vector for the  $\log_{10}$ -relative taxon abundances  $X_i$  in sample  $i$ .

356

### 357 Bayesian categorical regression

358 To interrogate a correspondence between the taxon abundances in stool samples and the  
359 microorganisms causing BSIs, we performed a Bayesian categorical regression. Briefly, we chose to  
360 investigate an association between stool taxon abundances (independent predictor variable) and the  
361 microbe identified in the blood (categorical outcome variable with seven unordered values) using a  
362 multiclass regression (categorical regression). We estimated for each sample a probability of being  
363 associated with one of the 6 BSI types (i.e. BSI by: Bacteroidaceae, Enterobacteriaceae,  
364 Lactobacillaceae, Pseudomonadaceae, Staphylococcaceae, Saccharomycetaceae), and we used a  
365 seventh class, uninfected, as a pivot. This means we are estimating a seven component simplicial vector  
366 ( $s$ ) containing the probabilities of a sample to be associated with one of the seven categories (6 BSI types  
367 and uninfected). For each category, we set up a linear model ( $s_g$ , where  $g$  indicates the category). Each  
368 linear model includes  $\log_{10}$ -relative stool taxon abundances of the taxa corresponding to the BSI category.  
369 Furthermore, we had shown that alpha diversity (IVS) was globally associated with BSI; thus, diversity  
370 was a predictor in each linear model. We set up a model using varying intercept and varying slope terms  
371 such that the linear models used partially pooled coefficients for baseline risks ( $\beta[1]$ ) and slopes  
372 corresponding to the stool sample predictors ( $\beta[2]$ ). The multiclass probabilities in  $s$  were then obtained  
373 by applying the softmax function. The following model and priors were used:

$$374 \quad y_i \sim \text{Categorical}(p = p)$$

$$375 \quad p = \text{softmax}(s)$$

$$376 \quad z = (\sigma p * Lp) * zp$$

$$377 \quad s_{\text{Bacteroidaceae}} = \beta[1] + z[1,1] + (\beta[2] + z[2,1]) * X_{\text{Bacteroidaceae}} + \beta_{\text{diversity}} * \text{IVS}$$

$$378 \quad s_{\text{Enterobacteriaceae}} = \beta[1] + z[1,2] + (\beta[2] + z[2,2]) * X_{\text{Enterobacteriaceae}} + \beta_{\text{diversity}} * \text{IVS}$$

$$379 \quad s_{\text{Lactobacillaceae}} = \beta[1] + z[1,3] + (\beta[2] + z[2,3]) * X_{\text{Lactobacillaceae}} + \beta_{\text{diversity}} * \text{IVS}$$

380  $S_{Pseudomonadaceae} = \beta[1] + z[1,4] + (\beta[2] + z[2,4]) * X_{Pseudomonadaceae} + \beta_{diversity} * IVS$   
381  $S_{Staphylococcaceae} = \alpha_{Staphylococcaceae} + \beta_{Staphylococcaceae} * X_{Staphylococcaceae} + \beta_{diversity} * IVS$   
382  $S_{Saccharomycetaceae} = \alpha_{Saccharomycetaceae} + \beta_{diversity} * IVS$   
383  $S_{uninfected} = 0$   
384  $\beta \sim \text{Normal}(\mu = 0, \sigma = 1)$   
385  $\sigma \sim \text{Exponential}(\lambda = 1)$   
386  $\sigma_p \sim \text{Exponential}(\lambda = 1)$   
387  $L_p \sim \text{LKJ\_corr\_choleski}(2)$   
388  $\alpha_{Staphylococcaceae} \sim \text{Normal}(\mu = 0, \sigma = 1)$   
389  $\beta_{Staphylococcaceae} \sim \text{Normal}(\mu = 0, \sigma = 1)$   
390  $\beta_{diversity} \sim \text{Normal}(\mu = 0, \sigma = 1)$   
391  $\alpha_{Saccharomycetaceae} \sim \text{Normal}(\mu = 0, \sigma = 2)$   
392  $z_p \sim \text{Normal}(\mu = 0, \sigma = 1)$

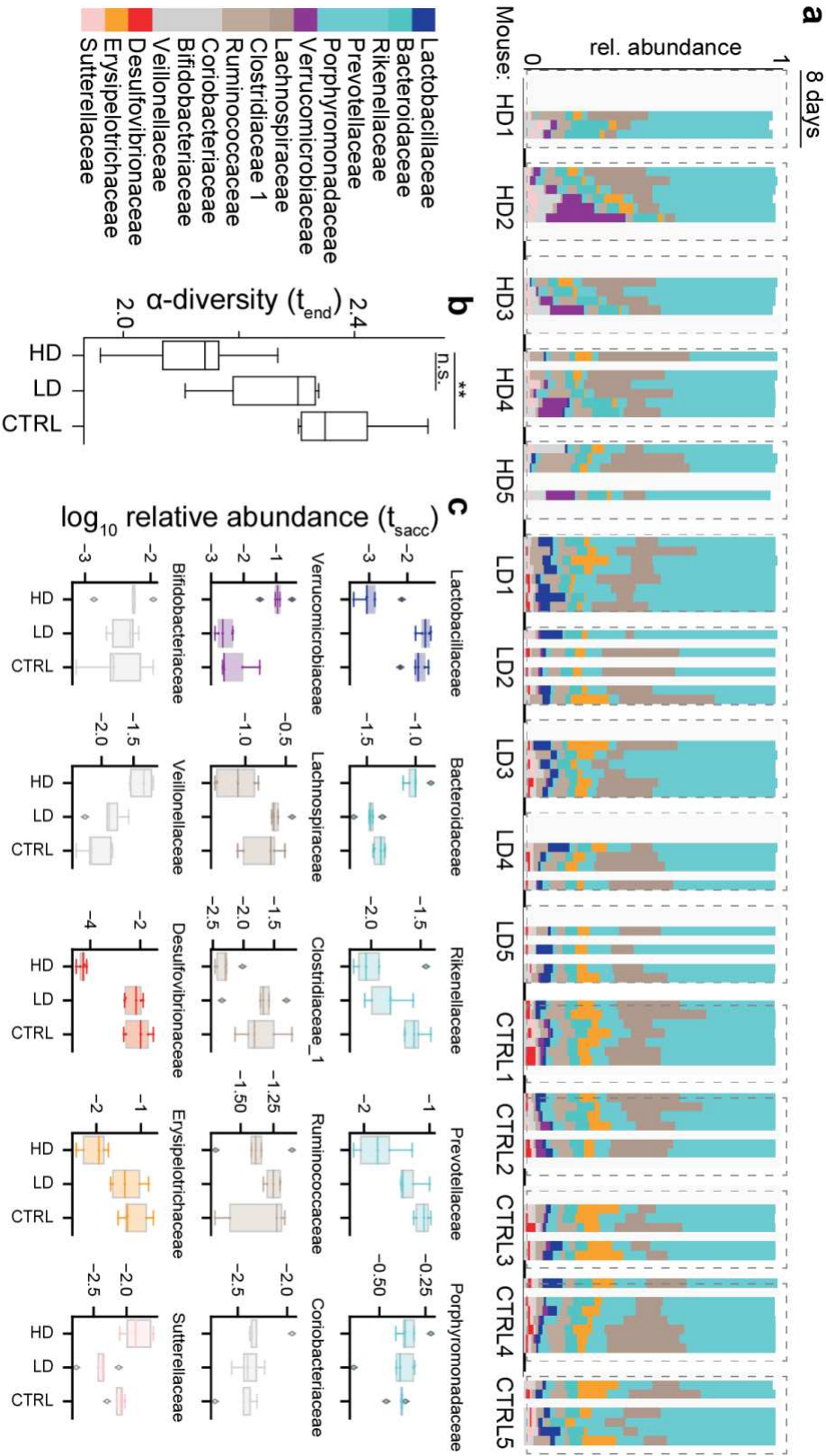
393

394 Where  $p$  corresponds to the probabilities of each category,  $\beta$  is a two-component variable for the intercept  
395 and slope terms, representing the global baseline probability of bacterial infections with Bacteroidaceae,  
396 Enterobacteriaceae, Lactobacillaceae, and Pseudomonadaceae as well as the global slope coefficient for  
397 the effect of stool  $\log_{10}$ -relative abundances, which are partially pooling information across bacterial  
398 infection categories. To achieve partial pooling and account for correlations between varying intercepts  
399 and slopes ( $z$ ), we jointly inferred the Choleski-factorized covariance matrix ( $\sigma$ ,  $L_p$ ,  $z_p$ ), using the  
400 Lewandowski-Kurowicka-Joe (LKJ) distribution as a prior (LKJ\_corr\_choleski).  $\beta_{diversity}$  is the coefficient for  
401 the effect of the *IVS* diversity. Of note, 16S rRNA sequencing does not provide abundances for the fungal  
402 infection by Saccharomycetaceae; therefore, we used only a baseline risk for this infection type  
403 ( $\alpha_{Saccharomycetaceae}$ ) and *IVS* as predictors. To ensure equal prior probabilities for this category relative to the  
404 other categories, which have an additional predictor term and thus wider prior probabilities, we  
405 compensated the otherwise reduced prior uncertainty by widening the prior for  $\alpha_{Saccharomycetaceae}$ . Also, we  
406 assumed that infections by Staphylococcaceae could sometimes include contaminations from the skin of  
407 the patient or staff; therefore, we did not pool estimates for BSIs by Staphylococcaceae with other  
408 coefficients. The model was implemented in the STAN programming language and compiled using  
409 cmdstan. Code, the compiled STAN model, R notebooks to obtain and process the posterior chains, and  
410 data tables are provided in the supplement (**Supplementary File 2**).

411

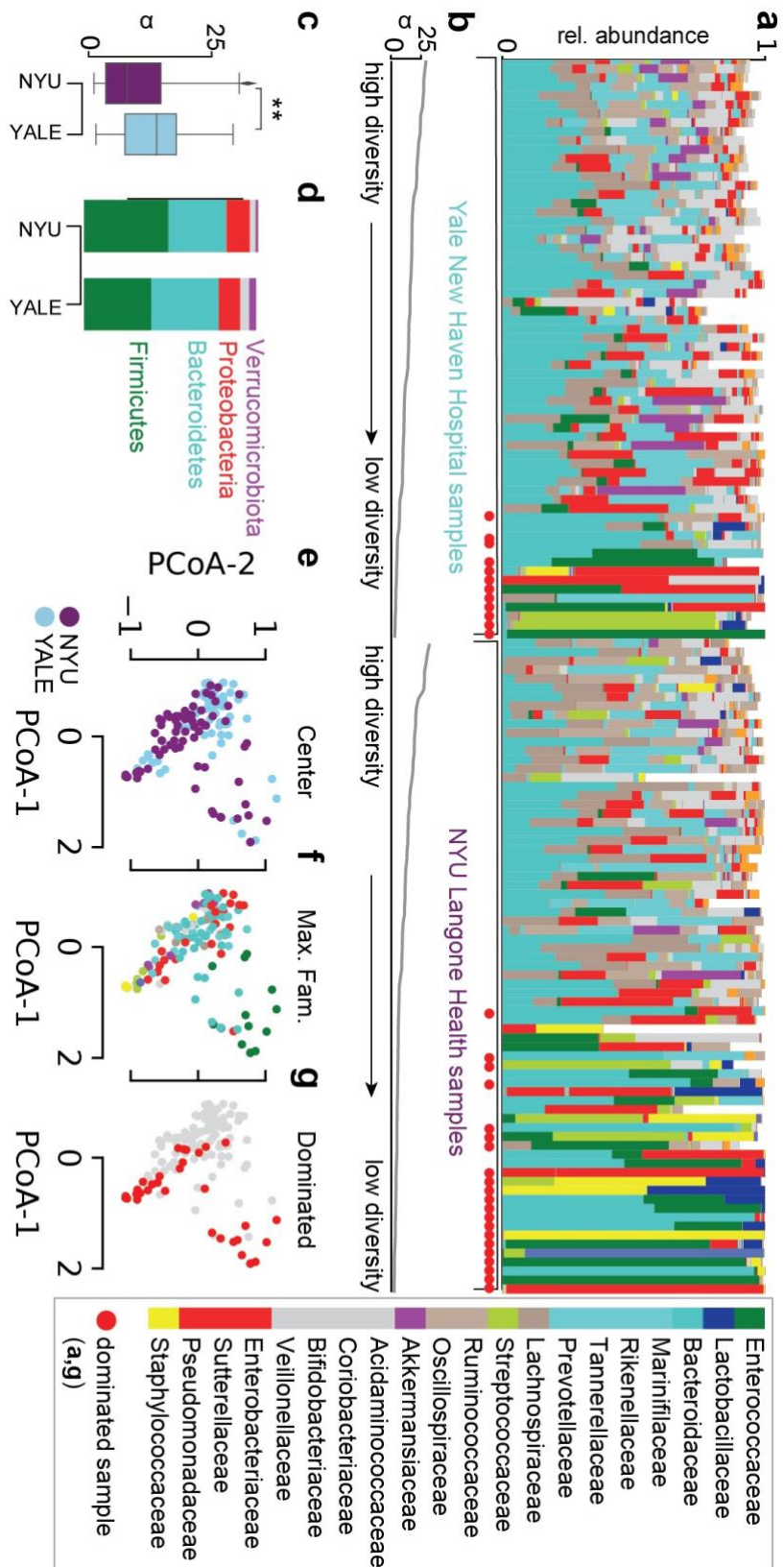
412 **Data Availability**

413 All data is made available as Supplementary. We provide code and data to reproduce the main analyses  
414 in the form of jupyter notebooks and R notebooks, alongside processed “tidy” data tables, compiled STAN  
415 programs and code to regenerate the figures. The raw sequencing data have been deposited on the  
416 Sequencing Reads Archive (SRA), and SRA accession numbers are available for two bioprojects  
417 corresponding to the mouse sequencing data (**Supplementary File 3**) and the human stool samples  
418 (**Supplementary File 4**).





421 **Figure 1. SARS-CoV-2 infection causes gut microbiome alterations in mice.** **a** Timelines of fecal  
422 microbiota composition measured by 16S rRNA gene sequencing in mice infected with high (HD,  
423  $10^4$ PFU), or low doses (LD, 10PFU) and in uninfected control mice (CTRL); time of infection=Day 1. Bars  
424 represent the composition of the 30 most abundant bacterial families per sample, blocks of samples  
425 correspond to an individual mouse's time course. **b**  $\alpha$ -diversity (Shannon) in the final samples per  
426 infection group; \*\*: HDI95<0 BEST. **c**  $\log_{10}$ -relative family abundances at the final time point. heavy  
427 breathing, reduced activity and hunched posture (Supplementary Table S1).



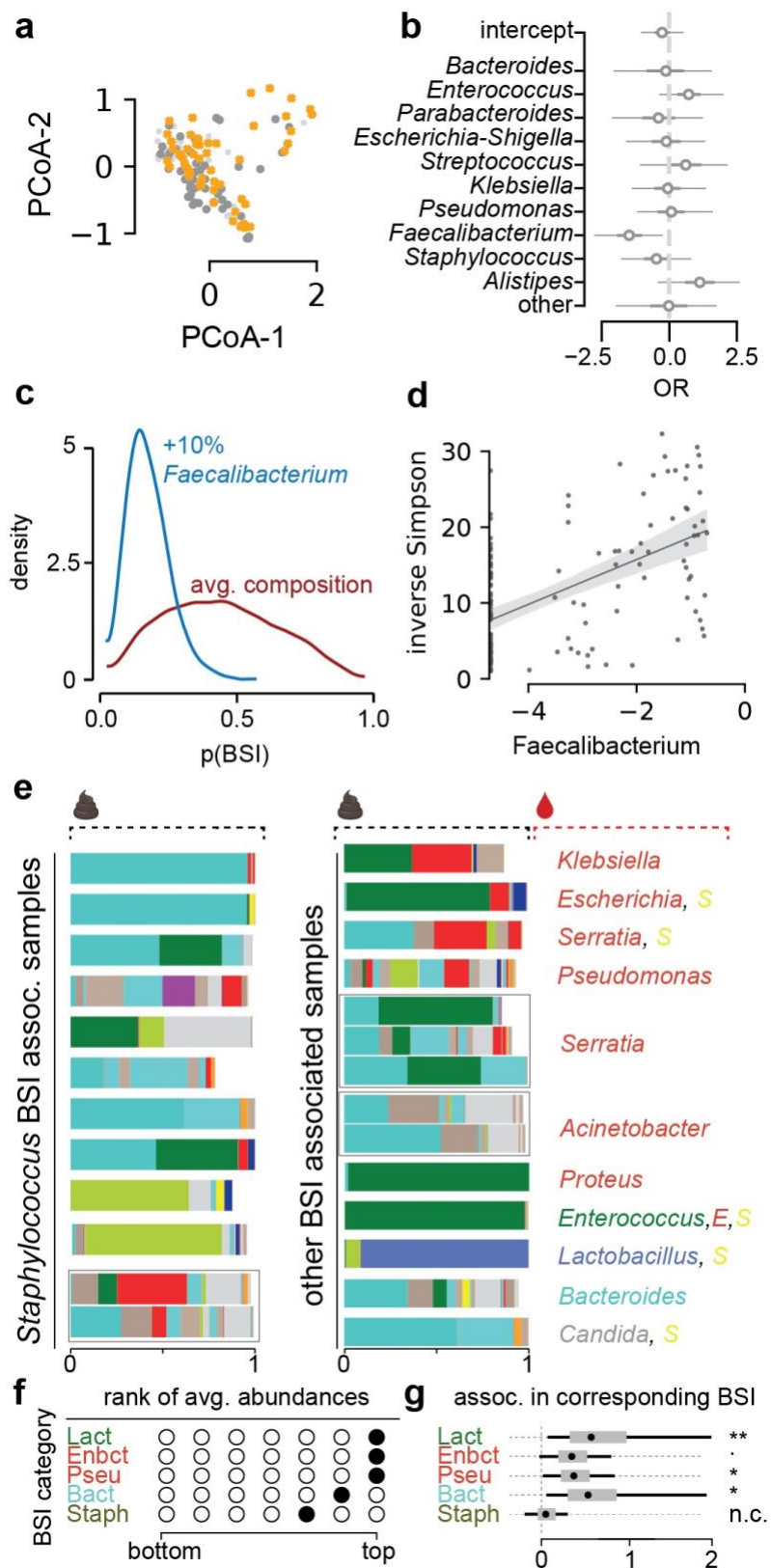
428

429

430

**Figure 2. Gut microbiome bacterial compositions during COVID-19 in patients from NYU Langone Health and Yale New Haven Hospital. a** Bacterial family composition in stool samples identified by 16S

431 rRNA gene sequencing; bars represent the relative abundances of bacterial families; red circles indicate  
432 samples with single taxa >50%. Samples are sorted by the bacterial  $\alpha$ -diversity (inverse Simpson index,  
433 **b**). **c**  $\alpha$ -diversity in samples from NYU Langone Health and Yale New Haven Hospital. **d** Average phylum  
434 level composition per center. **e-g** Principal coordinate plots of all samples shown in **a**, labeled by center  
435 (**e**), most abundant bacterial family (**f**) and domination status of the sample (**g**).  
436  
437  
438



439

440

441

**Figure 3. Microbiome composition is associated with secondary bloodstream infections.** **a** Principal coordinate plot of all samples, BSI associated samples in orange. **b** Posterior coefficient estimates from a

442 Bayesian logistic regression regressing  $\log_{10}$  relative abundances of the top 10 most abundant bacterial  
443 genera on BSI status. **c** Posterior prediction of BSI risk based on bacterial composition contrasting the  
444 predicted risk of the average composition across all samples (red) with the risk estimated for the same  
445 composition changed such that *Faecalibacterium* was increased by 10% (blue). **d**  $\log_{10}$  relative  
446 abundances of *Faecalibacterium* correlated with  $\alpha$ -diversity, shaded region: 95%CI. **e** Sample  
447 compositions with BSIs indicated; left: *Staphylococcus* BSI associated samples; right: other BSI associated  
448 samples, the BSI causing microbial genus annotated in colors corresponding to the colors in stool  
449 microbiome compositions. **f** Rank analysis of abundance patterns in stool samples from different BSI  
450 categories; a filled circle indicates the calculated rank of the focal BSI category (row) in terms of the  
451 corresponding taxon stool abundance relative to samples from other BSI categories (only 5 out of 7 BSI  
452 categories are shown because fungal BSIs and the uninfected category have no corresponding bacterial  
453 stool abundances). **g** Posterior coefficients of the statistical association between bacterial order  $\log_{10}$   
454 relative abundances of BSI causing bacteria and BSI events from a hierarchical Bayesian categorical  
455 regression; \*\*: 95%HDI>0, \*: 90%HDI>0, .:85% HDI>0.  
456

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462

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474

475 **Author contributions**

476 LBR performed the mouse experiments with help from MGN, AMVJ. MV, JEA and JS prepared the  
477 samples from NYU. MV, JEA prepared the clinical data from NYU with help from JG, EW, BS. JK  
478 provided the data from Yale with help from ACM and the IMPACT team, AIK and AI. JS designed and  
479 performed the analyses with help from GAH and APS. JS and KC designed the research question with  
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481 scientific feedback and commented on the manuscript.

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497

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503

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606

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