

The Reasons Why Fractional Flow Reserve and Instance Wave-Free Ratio are Similar Using Wave Separation Analysis

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1 **The Reasons Why Fractional Flow Reserve and Instance Wave-Free Ratio are Similar using Wave**
2 **Separation Analysis**

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14 **Abstract**

15 Background and Objectives: Fractional flow reserve (FFR) and instantaneous wave-free ratio (iFR) are the
16 two most commonly used coronary indices of physiological stenosis severity based on pressure. To
17 minimize the effect of wedge pressure (P_{wedge}), FFR is measured during hyperemia conditions, and iFR is
18 calculated as the ratio of distal and aortic pressures (P_d/P_a) in the wave-free period. The goal of this study
19 was to predict P_{wedge} using the backward wave (P_{back}) through wave separation analysis (WSA) and to
20 reflect the effect of P_{wedge} on FFR and iFR to identify the relationship between the two indices.

21 Methods: An *in vitro* circulation system was constructed to calculate P_{wedge} . The measurements were
22 performed in cases with stenosis percentages of 48, 71, and 88% and with hydrostatic pressures of 10 and
23 30 mmHg. Then, the correlation between P_{back} by WSA and P_{wedge} was calculated. *In vivo* coronary flow

24 and pressure were simultaneously measured for 11 vessels in all patients. The FFR and iFR values were
25 reconstructed as the ratios of forward wave at distal and proximal sites during hyperemia and at rest,
26 respectively.

27 Results: Based on the *in vitro* results, the correlation between P_{back} and P_{wedge} was high ($r=0.990$, $p<0.0001$).
28 *In vivo* results showed high correlations between FFR and reconstructed FFR ($r=0.992$, $p<0.001$) and
29 between iFR and reconstructed iFR ($r=0.930$, $p<0.001$).

30 Conclusions: Reconstructed FFR and iFR were in good agreement with conventional FFR and iFR. FFR
31 and iFR can be expressed as the variation of trans-stenotic forward pressure, indicating that the two
32 values are inferred from the same formula under different conditions.

33 **Keywords**

34 Wave intensity analysis (WIA), fractional flow reserve (FFR), instantaneous wave-free ratio (iFR),
35 coronary artery, wave separation analysis (WSA)

36 **Background**

37 Fractional flow reserve (FFR) is considered the “gold standard” among aggressive physiological
38 diagnostic methods for determining the percutaneous coronary intervention of intermediate lesions in
39 patients with stable angina[1]. Therefore, FFR was used as a comparative group for instantaneous wave-
40 free ratio (iFR) in some studies. In these studies, iFR has been reported to be faster, less uncomfortable,
41 and not inferior compared to FFR[2, 3].

42 To explain FFR theoretically, coronary wedge pressure (P_{wedge}) is a very important factor. FFR is
43 measured when P_{wedge} is minimized by pharmacological hyperemia[4]. The P_{wedge} wave is characterized
44 by rapid decline in and formation of baseline in pre-systole[5]. This event is explained by backward-
45 propagating suction-waves in wave intensity analysis (WIA) and loss of the Windkessel effect due to
46 occlusion[6, 7]. The Windkessel effect is defined as the condition where the pressure does not fall to zero

47 due to capacitive elements and resistance[8]. If iFR can also be explained by P_{wedge} or backward wave
 48 through wave separation analysis (WSA), we can explain how the two indices are similar or different.
 49 Thus, iFR could be measured when P_{wedge} is minimized during the wave-free period of diastole without
 50 hyperemia.

51 This study was based on the assumption that coronary pressure waves can be separated into constituent
 52 forward (P_{for}) and backward (P_{back}) waves using WSA. We attempted to prove this assumption as
 53 follows: (1) P_{back} can replace P_{wedge} from an *in vitro* experimental study; and (2) FFR and iFR can be
 54 reconstructed using P_{back} obtained from WSA and compared with conventional FFR and iFR from *in vivo*
 55 measurement results. This study may be the first to identify similarities and differences between FFR and
 56 iFR using WSA.

57 Experiment and Method

58 Theoretical background

59 The iFR is defined as the ratio of distal pressure (P_d) to aortic pressure (P_a) at rest during a wave-free
 60 period, as shown in Equation 1:

$$61 \quad \text{iFR} = \frac{P_d}{P_a} \text{ at rest during wave - free period [1]}$$

62 Assuming that P_{wedge} or $P_{back} + P_{static}$ is baseline in the wave-free period, the slopes of P_d and P_a , and for
 63 $P_{for}(prox)$ and $P_{for}(dist)$ in pre-systolic phase could be the same, as shown in Figure 1. Thus,
 64 reconstructed iFR is redefined as follows in Equation 2:

$$65 \quad \text{reconstructed iFR} = \frac{P_d - (P_{back} + P_{static})}{P_a - (P_{back} + P_{static})} \approx \frac{P_{for}(dist)}{P_{for}(prox)} \text{ (at resting) [2]}$$

66 Reconstructed iFR is calculated as the average pressure of an entire cycle at rest and is not the same as
 67 conventional iFR, which is determined only in the wave-free period. Reconstructed iFR, which is the ratio
 68 of P_{for} at distal and proximal locations averaged over a whole cycle, is assumed to be similar to

69 conventional iFR. This assumption will be shown to be appropriate by in vitro and in vivo experimental
 70 results in this study.

71
$$\text{FFR} = \frac{P_d - P_{\text{wedge}}}{P_a - P_{\text{wedge}}} \text{ (at hyperemia) [3]}$$

72 FFR is defined as the ratio of distal pressure (P_d) and aortic pressure (P_a) when the effect of P_{wedge} is
 73 subtracted using hyperemia as shown in Equation 3:

74
$$\text{reconstructed FFR} = \frac{P_d - (P_{\text{back}} + P_{\text{static}})}{P_a - (P_{\text{back}} + P_{\text{static}})} = \frac{P_{\text{for}}(\text{dist})}{P_{\text{for}}(\text{prox})} \text{ (at hyperemia) [4]}$$

75 Reconstructed FFR is the ratio of P_{for} at the distal and proximal locations when P_{wedge} is assumed to be
 76 $P_{\text{back}} + P_{\text{static}}$.

77 WIA was performed to obtain wave-free periods using representative flow speed (U) and pressure (P) as
 78 in Equations 5 and 6:

79
$$\text{WI}(+) = \frac{1}{4\rho c} \left(\frac{dP}{dt} + \rho c \frac{dU}{dt} \right)^2 \text{ [5]}$$

80
$$\text{WI}(-) = \frac{1}{4\rho c} \left(\frac{dP}{dt} - \rho c \frac{dU}{dt} \right)^2 \text{ [6]}$$

81 where ρ is the density of blood (1050 kg⁻³), and c is wave speed calculated using the single-point equation.

82 The wave-free period is defined as the time from $\text{WI}(-) = 0$ to the end of diastole for 5 ms[7].

83 WSA was performed to obtain P_{for} and P_{back} using representative flow ($F(t)$) and pressure ($P(t)$) obtained
 84 from Equations 7 and 8:

85
$$P_{\text{for}}(t) = \frac{[P(t) + Z_c \times F(t)]}{2} \text{ [7]}$$

86
$$P_{\text{back}}(t) = \frac{[P(t) - Z_c \times F(t)]}{2} \text{ [8]}$$

87 where Z_c is characteristic impedance and is defined as an input impedance (Z_i) in the absence of wave
 88 reflection. Z_i is defined as resistance or impedance obtained by frequency analysis of representative
 89 pressure and blood flow using Fourier analysis[9]. At the same time, the modulus (division) and phase

90 (subtraction) of impedance were automatically calculated. Therefore, the impedance modulus at zero
91 frequency (0-impedance) is mean pressure/mean flow. There are many methods of obtaining Z_c . In
92 general, Z_c is defined as the modulus at the zero crossing point or a point close to zero in phase. The
93 reason for this distinction is that the negative phase is the imaginary component of Fourier analysis.
94 Previous studies have addressed Z_c with a fixed frequency[9-15]. However, Z_c can change depending on
95 the situation[9]. In this study, we used flexible Z_c , which is defined as the average modulus of four
96 harmonics of the fundamental frequency after zero crossing or close to zero in phase less than 10 Hz.

97 ***In vitro* coronary artery circulation system**

98 In this study, we designed an *in vitro* coronary blood circulation system. As shown in Fig. 2, a catheter
99 (Combo Wire XT®, Volcano Corporation, San Diego, CA, USA) was inserted into a tube to
100 simultaneously measure pressure and flow speed at the proximal and distal sites of stenosis. A pulsatile
101 pump (Model 55-3305, Harvard Apparatus Corp., USA) was used to mimic heart motion. The pump rate
102 was fixed to 60 rotations/min, and the operative phase ratio (OPR; systolic time over a cyclic time) was set
103 to 60%. The tube was filled with 1.5 L of Doppler fluid (Model 707, ATS Laboratories, USA). The viscosity
104 of this fluid (5 cP) is similar to that of human blood. The tube was an IXAK® silicon tube (SL-0710,
105 TOMMYHECO, KOREA) with an internal diameter of 5 mm. To reflect stenotic coronary arteries in the
106 system, stenotic vessels of 48, 71, and 88% (minimum vessel area/maximum vessel area) were created
107 using a three-dimensional printer. The minimal luminal dimensions of each model were 28, 46, and 64%.
108 A Windkessel model was constructed using an air tank to control blood flow, pressure waveforms, and
109 phase differences, which were similar to those observed in the human coronary artery. This approach can
110 eliminate negative pressure and exerts zero flow on the system. Measurements were performed at 20 mm
111 proximal to the stenosis site, and a catheter was inserted 200 mm proximal to the site of stenosis.

112 We created three conditions. Fig. 2 (a) is the basal condition. There is a combination of forward and
113 backward pressures in the coronary artery. Resistance with stenosis can be used to control the ratio of
114 forward and backward flow. By adjusting the inner diameter of the resistor, the amount of fluid directed
115 to the stenotic phantom can be adjusted. Therefore, the ratio of forward and backward flow can be
116 controlled, which makes it possible to reproduce a human-like automatic control ability of the blood flow.
117 If the inner diameter of the resistor is larger than that of each site of stenosis, a phenomenon occurs where
118 the backward flow is larger than the forward flow. Forward and backward pressures were separated
119 using WSA in this condition. The reservoir was used to control P_{static} in the blood flow system, which
120 was adjusted by varying the height.

121 Fig. 2 (b) is a condition of forward flow only without backward flow. By adjusting the height of the
122 reservoir, the P_{static} was controlled. High P_{static} and low P_{static} values are assumed to represent pre-
123 hyperemia and hyperemia conditions, respectively. P_d/P_a values measured in pre-hyperemia and
124 hyperemia conditions were assumed to indicate reconstructed iFR and reconstructed FFR, respectively.
125 To confirm that this *in vitro* circulatory system mimics the blood flow of the coronary system, the flow
126 speed of Case 2 (only forward condition) was divided by that of Case 1 (basal condition), and the ratio
127 was compared to the coronary flow reserve.

128 Fig. 2 (c) depicts the P_{wedge} . In clinical practice, P_{wedge} is measured at a distal site when the artery is
129 blocked with a balloon. To reflect this in the *in vitro* system, we blocked the branch point toward the
130 coronary phantom through the valve. The measured pressure in this case was compared with the
131 backward pressure that was calculated using WSA.

132 ***In vivo* experiment**

133 The study protocol was approved by the institutional review board of Jeju National University Hospital
134 (2016-07-011).

135 Coronary angiography and pressure-flow measurements were obtained using standard techniques[16].
136 Angiographic views were obtained following administration of intracoronary nitrate in all cases (200 or
137 300 µg). We used 0.014-inch pressure and Doppler sensor-tipped wires (ComboWire XT, Volcano
138 Corporation, San Diego, CA, USA). The distal pressure was removed and equalized to the aortic pressure
139 at the coronary ostium before being positioned at least three vessel diameters distal to the site of stenosis.
140 Adenosine was administered for hyperemia by intravenous infusion based on 11 measurements (140
141 µg/kg/min). When a ComboWire was used, the electrocardiogram, pressures, and flow velocity signals
142 were directly extracted from the digital archive of the device console (ComboMap, Volcano Corporation).
143 Data were analyzed off-line, using a custom software package designed by Labview (National
144 Instruments, Austin, TX, USA). Stenosed vessels were defined as vessels that had an angiographically
145 visible stenosis from 40–70% severity, as determined visually by an operating physician at the time of
146 coronary angiography.
147 Resting indices were calculated at a time of stability, allowing for a return to stable baseline conditions
148 after any preceding injection of contrast or saline. Hyperemic indices were determined during stable
149 hyperemia, excluding cases with ectopy or conduction delay. Representative flow and pressure waves
150 were obtained by an average method using recordings of 5–15 consecutive cycles both at rest and during
151 hyperemia. These procedures were necessary to achieve linearity and time invariance. FFR and iFR were
152 calculated as the ratio of mean P_d to P_a at hyperemia during a whole cycle and at rest during a wave-free
153 period, respectively.

154 **Statistical analysis**

155 All statistical analyses were performed using the Statistical Package for the Social Sciences (SPSS), version
156 23.0 software (SPSS Statistics for Macintosh, IBM Corp. Armonk, NY, USA). The values of continuous
157 variables are mean and standard deviation (SD), and categorical variables are expressed as frequency and

158 percentage. The comparison of continuous variables between groups was performed using the
 159 independent sample t-test, and the categorical variables were assessed with a chi-square test. The
 160 correlation analysis between groups was performed by simple correlation analysis. For each statistic, the
 161 significance level was less than 0.05.

162 **Result**

163 *In vitro* experiment

164 A total of 18 cases were analyzed according to stenoses (48, 71, and 88%), and P_{static} (10 and 30 mmHg)
 165 values obtained from mock circulatory experiments. The measured and calculated data are summarized
 166 in Table 1.

167 **Table 1.** Measured pressure and distal flow speed in the 3 models of stenosis.

Stenosis (%)	P_{static} (mmHg)	Case 1 Basal condition					Case 2 Forward flow condition			Case3 Wedge condition
		Observed Indices			Calculated Indices		Observed Indices			P_{wedge} (mmHg)
		P_a (mmHg)	P_d (mmHg)	Distal Flow (cm/s)	P_{for} (mmHg)	P_{back} (mmHg)	P_a (mmHg)	P_d (mmHg)	Distal flow (cm/s)	
48	10	20.2	18.2	13.98	10.9	7.3	23.27	18.83	29.9	19.7
48	30	40.6	40.4	16.40	27.8	12.6	44.50	40.29	31.9	40.1
71	10	21.7	19.3	23.53	11.3	7.0	25.30	16.14	37.7	15.0
71	30	43.1	41.2	24.76	29.3	12.0	46.64	41.26	37.7	40.1
88	10	36.6	18.5	29.46	11.8	6.7	44.62	20.51	35.4	19.3
88	30	57.0	38.4	30.92	29.0	9.5	64.05	38.45	37.4	38.4

168 P_a : coronary aortic pressure

169 P_{back} : backward pressure

170 P_d : coronary distal pressure

171 P_{for} : forward pressure

172 P_{static} : hydro static pressure

173 P_{wedge} : coronary wedge pressure

174 When the static pressure was 10 mmHg, the distal flow ratio (only forward flow/basal flow) according to
 175 stenosis increased to 2.2, 1.5, and 1.2 as the stenosis increased to 49, 71, and 88%, respectively. When the
 176 static pressure was 30 mmHg, the distal flow ratios were 2.5, 1.6, and 1.2 at stenosis rates of 49, 71, and
 177 88%, respectively.

178 The P_d/P_a ratio for Case 1 decreased in the order of stenosis (48, 71, and 88%) at each P_{static} (10 mmHg,
 179 0.81, 0.64, 0.46; 30 mmHg, 0.91, 0.88, 0.60, respectively). The distal flow ratio in high P_{static} was higher
 180 than that in low P_{static} .

181 The P_d/P_a ratio for Case 2 decreased in the order of stenosis (48, 71, and 88%) at each P_{static} (10 mm Hg,
 182 0.90, 0.84, and 0.5; 30 mmHg, 1.00, 0.96, and 0.67, respectively). The change in P_d/P_a between Case 1 and
 183 Case 2 was larger for low P_{static} than for high P_{static} , as shown in Fig. 3.

184 The waveforms and magnitude of the observed P_{wedge} and $P_{back} + P_{static}$ were very similar (Figure 4).

185 This trend was also observed in other cases. P_{wedge} always contains static pressure. Correlation with the
 186 $P_{back} + P_{static}$ and P_{wedge} was high ($r = 0.990$, $p < 0.0001$, Figure 5), and the slope was 1.0612.

187 ***In vivo* experiment**

188 Nine patients in whom we were able to simultaneously measure blood flow and blood pressure in the
 189 proximal and distal regions were compared with pre- and post-hyperemia values of distal forward
 190 pressure P_{for} and \ distal backward pressure P_{back} using Z_c in 11 coronary blood vessels. The results are
 191 summarized in Table 2.

192 **Table 2.** Pressures (mmHg) at proximal and distal site at rest and hyperemia, and indices obtained from
 193 eleven coronary vessels in this study.

Patients	Vessels	FFR	iFR	P_a	P_d	Resting		Hyperemia		Reconstr ucted FFR	Reconstr ucted iFR
						P_{for} (distal)	P_{back} (distal)	P_{for} (distal)	P_{back} (distal)		
1	LAD	0.75	0.92	74.9	69.1	48.1	21	40.2	9.6	0.75	0.89
2	LAD	0.8	0.94	88.1	83.1	57.8	25.3	57.1	6.7	0.80	0.92

3	LAD	0.85	0.89	120.4	113.8	80.8	33	78.2	15.1	0.88	0.93
4	LAD	0.87	0.97	126.6	119.3	73.5	45.8	68.5	27.6	0.86	0.91
4	LCx	0.96	1.04	119.8	119.8	84.9	37.9	90	16.9	0.97	1.00
4	RCA	0.96	1.07	123.4	128.3	88.6	39.7	79.3	27.8	0.97	1.06
5	Ramus	0.94	0.99	102.5	101.3	60.2	40.1	70.3	20.5	0.95	0.98
6	LAD	0.73	0.82	109.7	96.0	70.3	25.7	68.6	11.6	0.70	0.84
7	LAD	0.8	0.98	108.8	104.5	62.4	42.1	59.2	28	0.81	0.94
8	LAD	0.66	0.74	88.1	67.6	39.6	28	45.2	12.2	0.66	0.66
9	LAD	0.89	1.07	105.7	108.3	63.7	44.6	75.1	15.2	0.90	0.94

194 **FFR:** fractional flow reserve

195 **iFR:** instantaneous wave-free ratio

196 **P_a :** coronary aortic pressure

197 **P_d :** coronary distal pressure

198 **P_{back} :** backward pressure

199 **P_{for} :** forward pressure

200 The correlations between conventional FFR and reconstructed FFR and between conventional iFR and
 201 reconstructed iFR were positive ($r = 0.992$, $p < 0.001$ and 0.930 , $p < 0.001$, respectively; Fig. 6).

202 **Discussion**

203 In this study, coronary pressure waves could be separated into constituent forward (P_{for}) and backward
 204 (P_{back}) waves through WSA using frequency analysis. It could be said that P_{back} reflected P_{wedge} without
 205 P_{static} experimentally. It was shown that FFR and iFR could be expressed in trans-stenotic ΔP_{for} either
 206 with or without hyperemia, which indicated that the two indices were inferred by removing P_{wedge} or
 207 P_{back} . *In vivo*, FFR and iFR were reconstructed assuming that the P_{back} and P_{wedge} were very similar. The
 208 reconstructed indices were highly correlated with the conventional indices. Therefore, to our knowledge,
 209 this study is the first to identify similarities and differences between FFR and iFR using WSA.

210 **Theoretical background of FFR and iFR through WSA**

211 In this study, P_{back} was characterized as undergoing rapid decline and forming baseline observed during
212 pre-systole either with or without hyperemia. This finding is similar to the characteristics of P_{wedge} [5].
213 After forming the baseline of P_{back} , the slope of P_{for} was similar to the slope of coronary pressure. The
214 period of forming the baseline of P_{back} was similar to the wave-free period. Eventually, the amplitude of
215 P_{for} was smaller than the amplitude of coronary pressure (Figure 1). During the wave-free period, P_a , P_d ,
216 and P_{for} could have the same slope because P_{back} forms the baseline. The ratio between the \ lines with
217 the same slope may be different, but the value in that interval is constant. iFR is defined as P_d/P_a in the
218 wave-free interval. Therefore, iFR may be related to $P_{for}(\text{distal}) / P_{for}(\text{proximal})$ during the wave-free
219 period. Furthermore, as the amplitude of P_{for} without P_{back} is low, the mean P_{for} of the whole cycle and
220 the mean P_{for} of the wave-free period may be similar as a factor of ratio. As a result, in this study,
221 reconstructed iFR was defined as $P_{for}(\text{distal}) / P_{for}(\text{proximal})$ in Equation 1. The reconstructed and
222 conventional iFRs showed a good correlation based on *in vivo* results.

223 During hyperemia, the theoretical FFR of the coronary artery (FFR_{cor}) is $(P_d - P_w)/(P_a - P_w)$, while the
224 FFR of the myocardium (FFR_{myo}) is $(P_d - P_v)/(P_a - P_v)$, where P_v represents the mean central venous
225 pressure[4]. The FFR is the ratio between mean values. A mean value is decreased when both the peak
226 and the baseline are lowered. In this study, hyperemia mainly reduced the baseline of pressure (Figure 1).
227 Moreover, P_{back} was not zero but still decreased during hyperemia, and P_{for} was constant under the
228 Windkessel effect.

229 The difference between FFR_{cor} and FFR_{myo} is described by collateral flow[4]. P_{wedge} is closely related to
230 the collateral flow[17]. In addition, hyperemia theoretically reflects the offset of P_{wedge} and P_v in the
231 conventional FFRs[4]. However, the values of the P_{wedge} or P_v would not be practically removed in
232 hyperemia.

233 The FFR is based on the assumption that resistances both with and without stenosis are the same.
234 Without collateral flow, this assumption implies that FFR_{myo} progressively overestimates the FFR using
235 flow with increasing stenosis severity[4]. Thus, an attempt has been made to overcome this mismatch in
236 reconstructing the FFR using zero flow pressure (P_{zf}). The formula is as follows: $FFR = (P_d - P_{zf}) / (P_a -$
237 $P_{zf})$. FFR using P_{zf} was in good agreement with the FFR using flow compared to FFR using pressure[18].
238 Because of the diastolic characteristics of the coronary arteries, P_{zf} is independent of contraction and auto-
239 regulation, showing conductance of the vessels and pure resistance[19-21]. However, P_{wedge} is generally
240 smaller than P_{zf} due to the non-linearity of the pressure-flow relationship and existence of cardiac
241 contraction either with or without collateral flow[21-23]. Conceptually, P_{back} by WSA was similar to P_{zf} in
242 this study. This means that both FFR and iFR could be trans-stenotic ΔP_{for} , which can be expressed using
243 the same formula, although their methods are different (Equations 1 and 2).

244 **Difference between FFR and iFR**

245 In order to replace the FFR using flow with FFR using pressure, hyperemia is required to offset P_{wedge}
246 and P_v [4]. As mentioned above, the reconstructed iFR was calculated by subtracting P_{back} at rest, which is
247 assumed to be P_{wedge} . Theoretically, P_{for} can be determined by the stroke volume, which is related with
248 inflow, resistance, compliance, and volume capacity, because the Windkessel effect is observed and
249 systolic resistance by subtracting P_{for} is absent[8]. It is similar to systemic circulation. When administered
250 for hyperemia, adenosine is reported to have little effect on the stroke volume or ejection fraction[24].
251 There is no significant change in blood volume without bleeding. Therefore, the difference in P_{for} with or
252 without hyperemia is mainly dependent on resistance. The change of resistance according to the situation
253 from rest to hyperemia could be the change of P_{static} or P_v . Thus, the difference between iFR and FFR is
254 likely to be the difference of P_{for} in relation to P_{static} or P_v rather than P_{wedge} or P_{back} .

255 As myocardium oxygen consumption (MVO_2) increases due to enlargement of micro-vessels, resistance is
256 reduced, and flow is increased. This trend is mainly regulated by the adenosine and nitric oxide (NO)
257 metabolites in the myocardium. In the presence of significant stenosis, the role of adenosine may be
258 activated in micro-vessels, so the reactivity of hyperemia by adenosine may be lowered. In other words,
259 resistance due to pharmacological hyperemia may be smaller in significant stenosis than in nonsignificant
260 stenosis[25, 26].

261 The incidence of clinically appropriate hyperemia is not well known. In fact, it is difficult to verify
262 hyperemia even with constant drug increases or drug changes. Thus, nonsignificant changes of P_{for}
263 during hyperemia may be explained by the limitations of the assumption of constant resistance either
264 with or without stenosis in FFR and pharmacological hyperemia with inappropriate offsets of P_{wedge} and
265 P_v .

266 Nevertheless, this study assumes that P_{for} is the primary factor for determining iFR and FFR using
267 pressure. This assumption was confirmed by *in vivo* and *in vitro* results.

268 **Limitation**

269 In this paper, we tried to reflect the characteristics of various coronary arteries such as blood flow and
270 pressure waveforms, in the human body. There are many differences in blood flow and pressure
271 waveforms in human coronary arteries. However, this variation did not pose a problem because we used
272 the average values for pressure and blood flow.

273 It cannot be said that P_{back} reflects P_{wedge} experimentally. The constituent waves from WSA are the
274 estimated values[10]. Moreover, the purpose of this study was to prove that iFR and FFR share the same
275 formula. Therefore, the most important factors are morphological pattern and phase; acquiring accurate
276 values was not the main goal. Accordingly, several trials of WSA were performed that considered many
277 Z_c values. The results from various trials of WSA showed a similar pattern.

278 According to Van Huis et al., Z_c increased during hyperemia[14]. However, Z_c decreased in this study.
279 Although this result cannot be explained, it is inferred that there are differences in the species or drugs
280 used for hyperemia. To verify this hypothesis, additional experiments for Z_c will be needed.

281 **Conclusions**

282 In this study, we calculated P_{back} in the coronary artery using WSA and confirmed that the correlation
283 between P_{back} and P_{wedge} was high. The FFR and iFR were reconstructed by reflecting P_{wedge} calculated
284 through P_{back} . It could be proved deductively that FFR and iFR can be expressed in the trans-stenotic
285 ΔP_{for} . Therefore, the two indices are inferred from the same formula under different conditions.

286 Similarities and differences between iFR and FFR were thus confirmed.

287 **Abbreviations**

288 FFR: Fractional flow reserve; iFR: wave-free ratio; P_{wedge} : wedge pressure; WSA: wave separation
289 analysis; WIA: wave intensity analysis; P_{for} : forward pressure; P_{back} : backward pressure; P_d : distal
290 pressure; P_a : aortic pressure; P_{static} : static pressure;

291 **Declarations**

292 **Ethics approval and consent to participate**

293 Written consent was obtained from all participants, and the study protocol was approved by the
294 institutional review board of Jeju National University Hospital (2016-07-011).

295 **Consent for publication**

296 Not applicable

297 **Availability of data and materials**

298 The datasets used and/or analyzed during the current study are available from the corresponding author
299 on reasonable request.

300 Competing interests

301 The authors declare no conflict of interest.

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306 Authors' contributions

307 SM performed validation experiment, analyzed the data, and was a major contributor in writing the
308 manuscript. GK developed the software that calculates various pressure. DP gave feedback on the
309 progress of all experiments and helped to draft the manuscript. JC designed and coordinated the study,
310 conducted all clinical trials and helped to draft the manuscript. All authors read and approved the final
311 manuscript.

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379

380 **Figures**

381 **Figure 1 Changes in pressures before and after Hyperemia and wave free period extraction**
382 **through WIA analysis.** a) Aortic and distal pressures (P_a , P_d), forward and backward waves
383 (P_{for} , P_{back}) in a cycle at pre-hyperemia and hyperemia, and horizontal lines are their average
384 values over a cycle. P_a decreased little but P_d decreased more at hyperemia. Although overall
385 P_{back} decreases a lot at hyperemia, there is little change in P_{for} between pre-hyperemia and
386 hyperemia (b) Wave free period was extracted through wave intensity analysis (WIA) as shown
387 in green boxes.

388 **Figure 2 An *in vitro* coronary circulation system.** Three conditions were created as (a), (b) and
389 (c). (a) Basal condition, in which both forward and backward flows existed. Resister was used to
390 control the ratio of forward and backward flow, and the pressure and blood flow were
391 stabilized using the Windkessel model. (b) Only forward condition, in which backward flow
392 was blocked. By adjusting the height of the reservoir, the P_{static} was controlled. High P_{static} is
393 assumed to be pre-hyperemia and low P_{static} is assumed to be hyperemia. (c) Only backward
394 flow condition, in which forward flow was blocked for measuring.

395 **Figure 3 P_d/P_a in Case1 and 2 at 3 stenosis when P_{static} was 30 and 10 mmHg.** When the static
396 pressure was 30 mmHg(High hydrostatic pressure), P_d/P_a in case 2 was set as reconstructed iFR,
397 and when the static pressure was 10 mmHg(Low hydrostatic pressure), P_d/P_a in case 2 was set
398 as reconstructed FFR.

399 **Figure 4 The waveform of P_{wedge} and P_{back} .** The static pressure was (a) 10 and (b) 30 mmHg at
400 stenosis 48%. Each static pressure was added to P_{back} .

401 **Figure 5 The correlation between observed wedge pressure(P_{wedge}) and calculated wedge
402 pressure($P_{back} + P_{static}$).** The correlation was high ($r = 0.990$, $p < 0.0001$) and slope was 1.0612.

403 **Figure 6 Correlation between (a) FFR and reconstructed FFR, (b) iFR and reconstructed iFR.**
404 Both graphs show a high correlation.

Figures

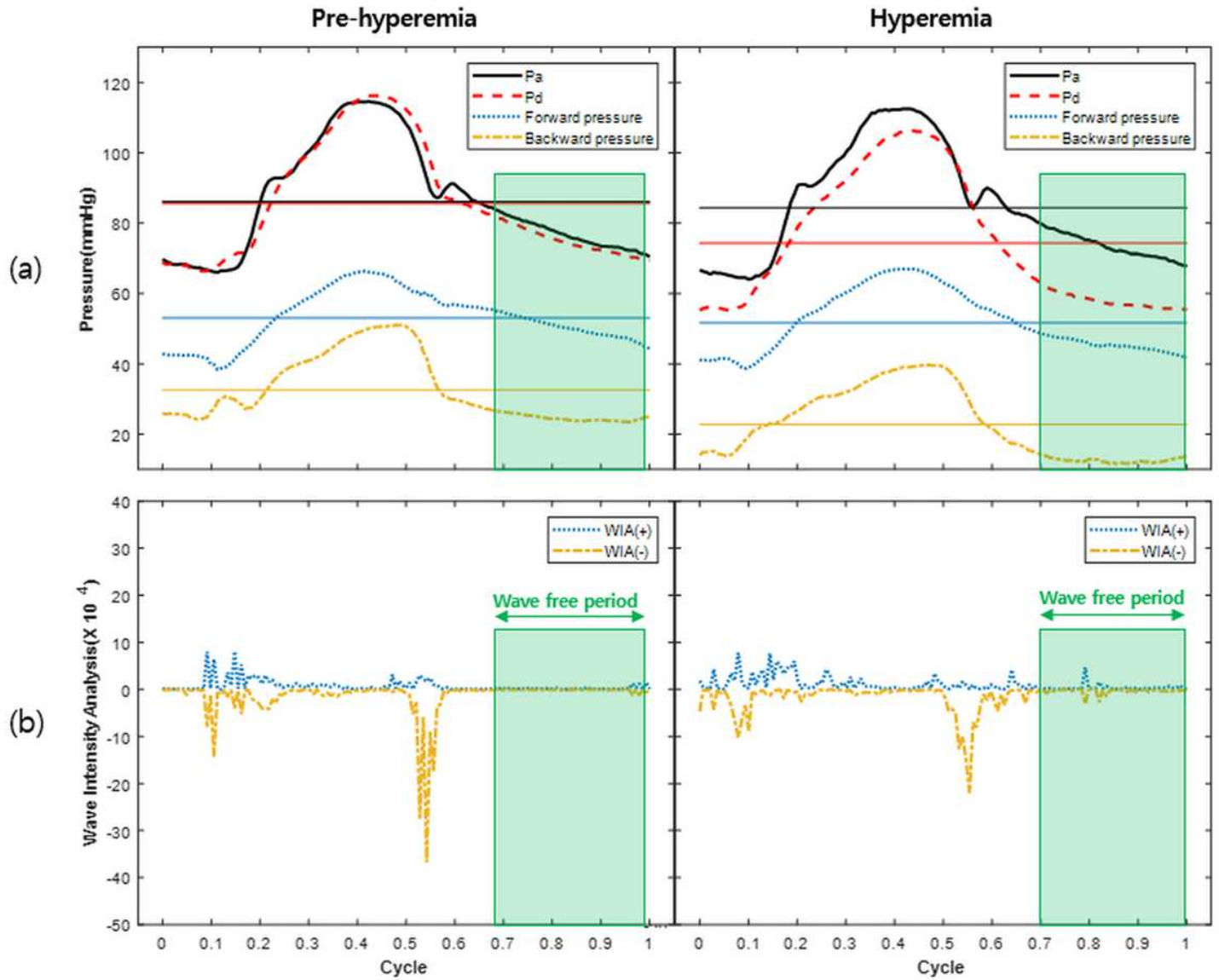


Figure 1

Changes in pressures before and after Hyperemia and wave free period extraction through WIA analysis. a) Aortic and distal pressures (P_a , P_d), forward and backward waves (P_{for} , P_{back}) in a cycle at pre-hyperemia and hyperemia, and horizontal lines are their average values over a cycle. P_a decreased little but P_d decreased more at hyperemia. Although overall P_{back} decreases a lot at hyperemia, there is little change in P_{for} between pre-hyperemia and hyperemia (b) Wave free period was extracted through wave intensity analysis (WIA) as shown in green boxes.

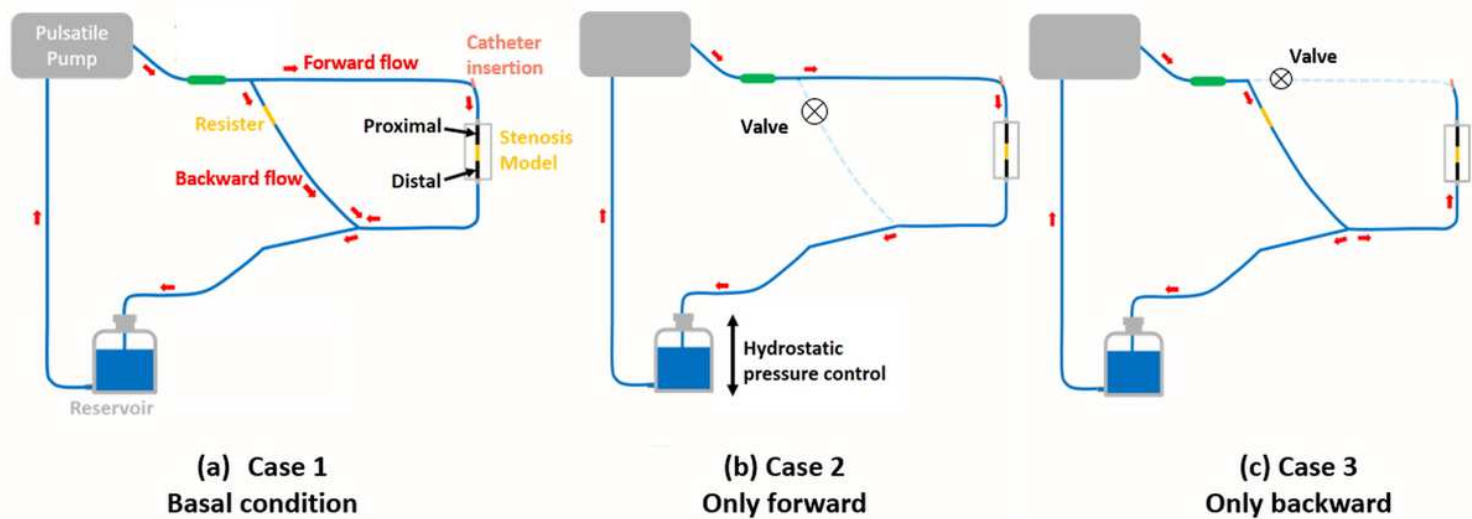


Figure 2

An in vitro coronary circulation system. Three conditions were created as (a), (b) and (c). (a) Basal condition, in which both forward and backward flows existed. Resister was used to control the ratio of forward and backward flow, and the pressure and blood flow were stabilized using the Windkessel model. (b) Only forward condition, in which backward flow was blocked. By adjusting the height of the reservoir, the P_{static} was controlled. High P_{static} is assumed to be pre-hyperemia and low P_{static} is assumed to be hyperemia. (c) Only backward flow condition, in which forward flow was blocked for measuring.

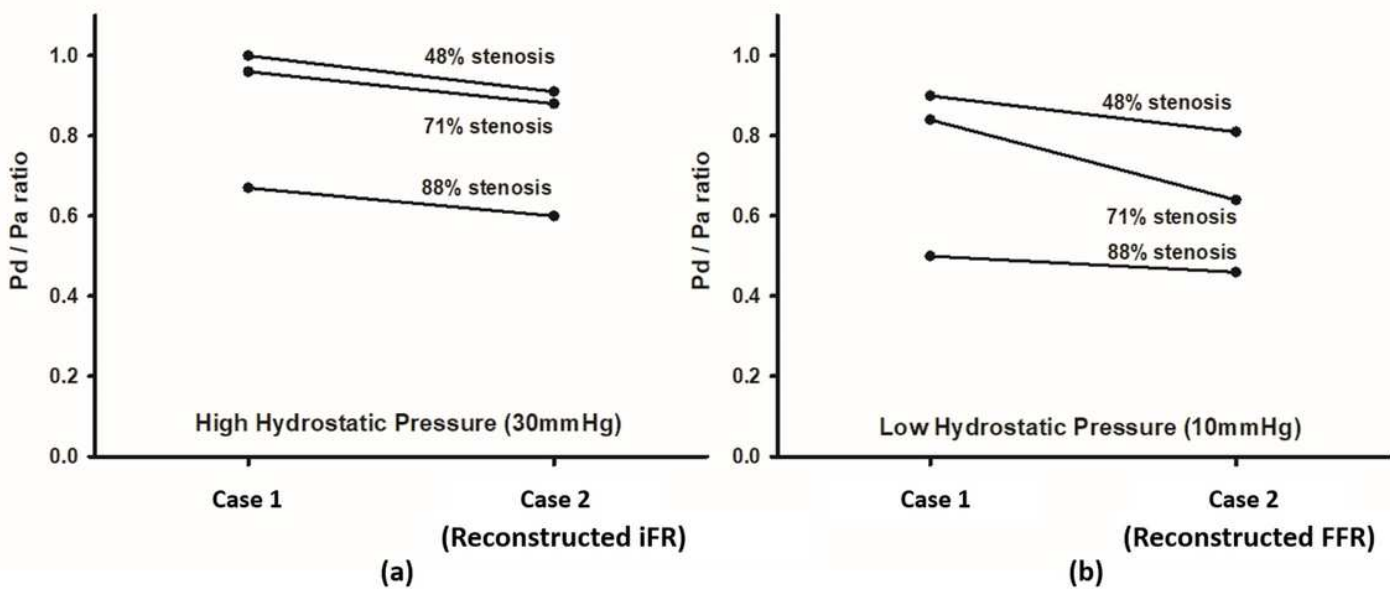


Figure 3

P_d/P_a in Case1 and 2 at 3 stenosis when P_{static} was 30 and 10 mmHg. When the static pressure was 30 mmHg(High hydrostatic pressure), P_d/P_a in case 2 was set as reconstructed iFR, and when the

static pressure was 10 mmHg(Low hydrostatic pressure), P_d/P_a in case 2 was set as reconstructed FFR.

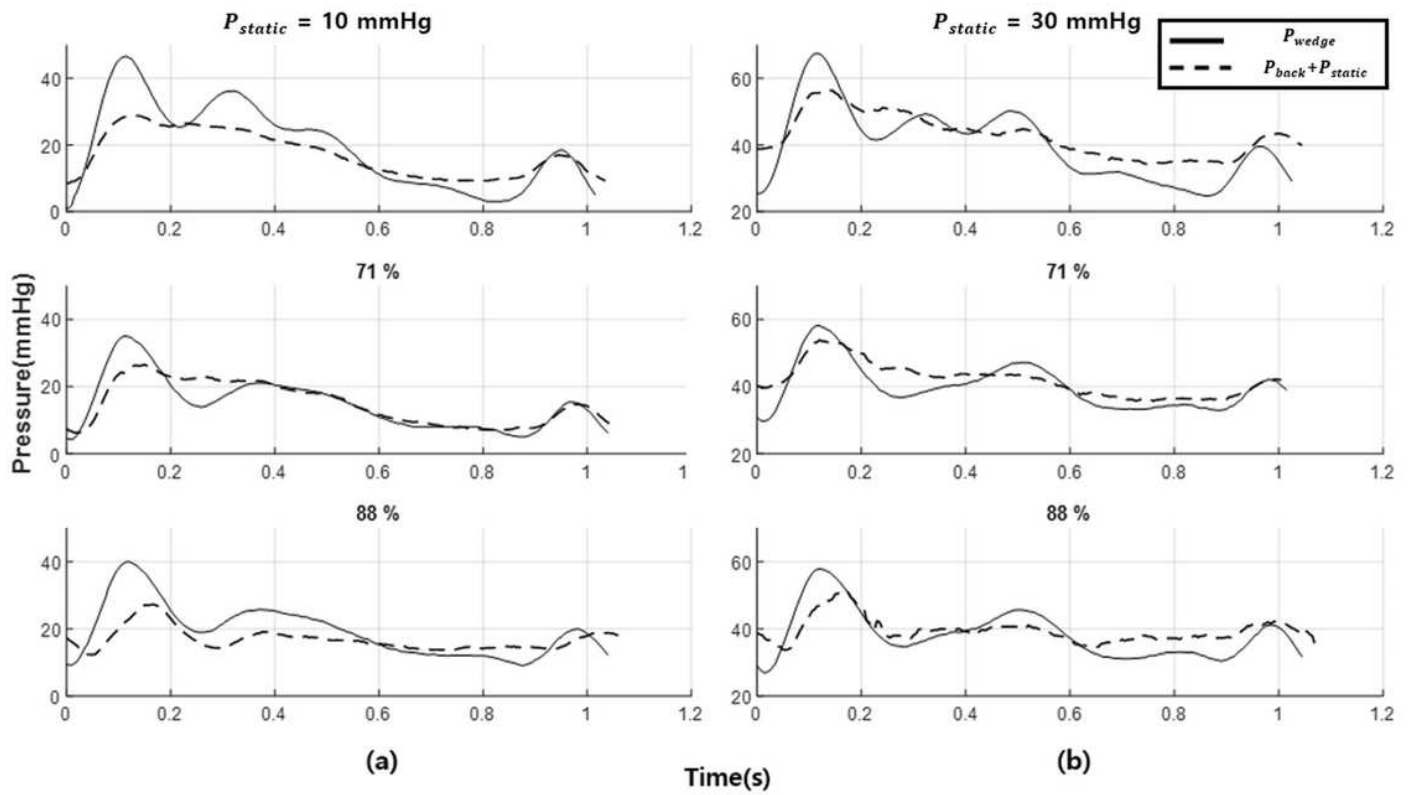


Figure 4

The waveform of P_{wedge} and P_{back} . The static pressure was (a) 10 and (b) 30 mmHg at stenosis 48%. Each static pressure was added to P_{back} .

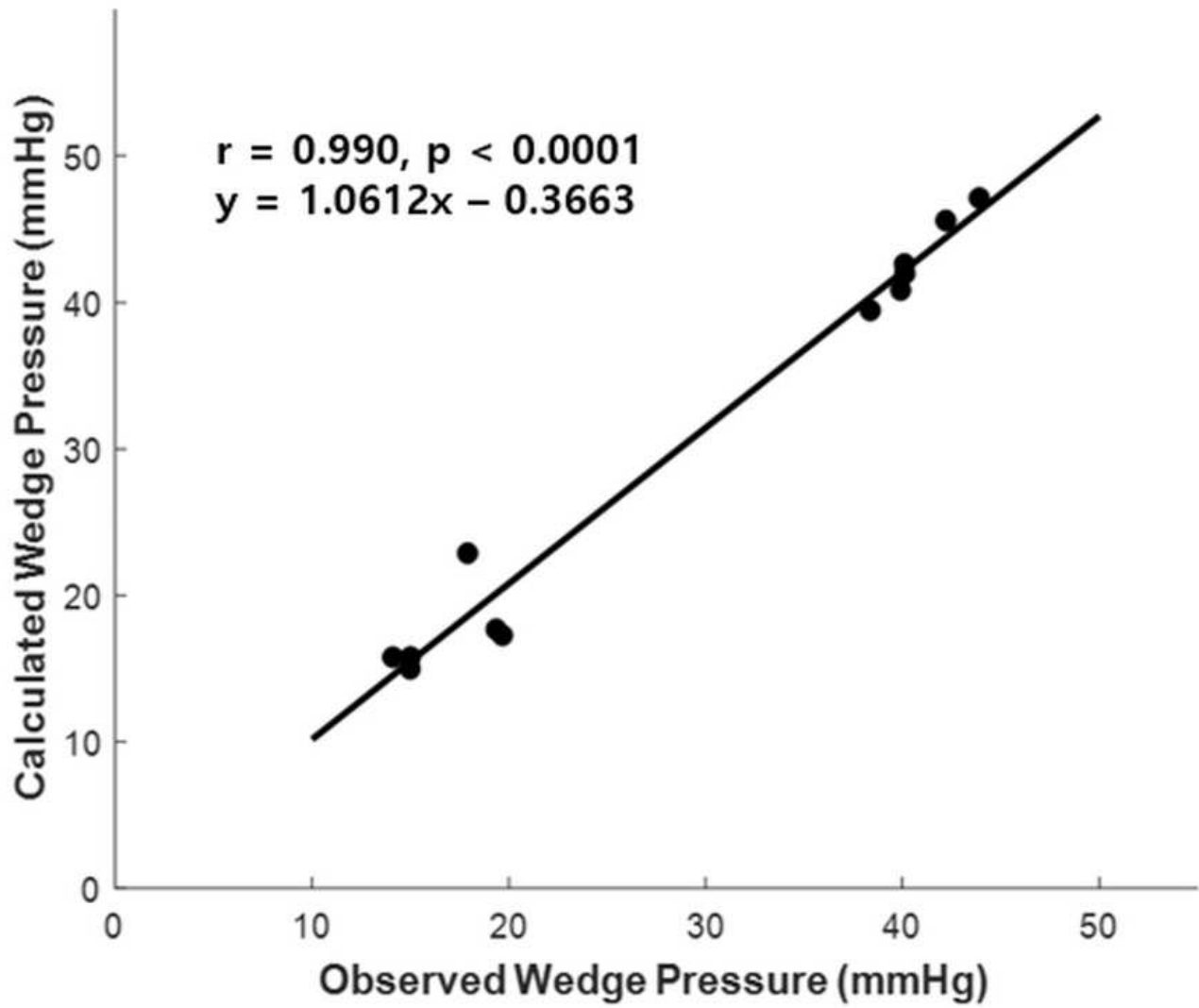


Figure 5

The correlation between observed wedge pressure(P_{wedge}) and calculated wedge pressure($P_{\text{back}}+P_{\text{static}}$). The correlation was high ($r = 0.990$, $p < 0.0001$) and slope was 1.0612.

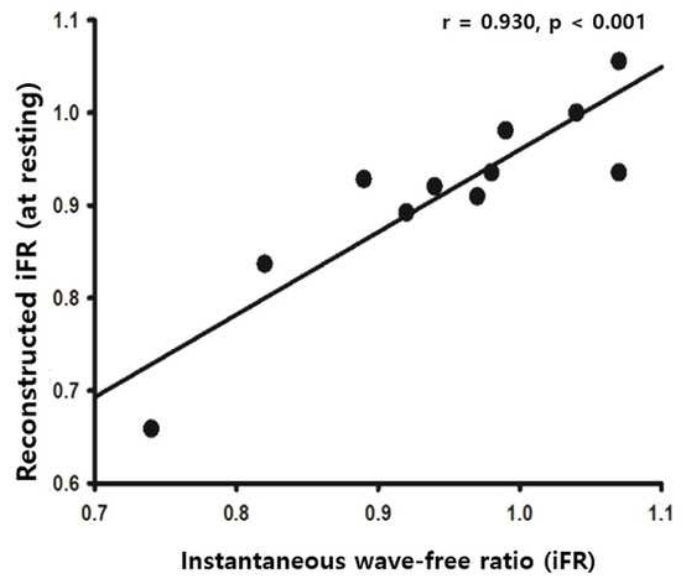
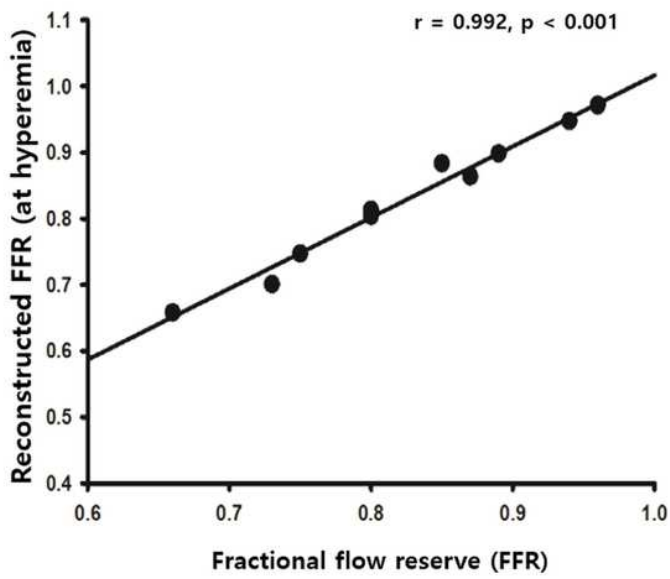


Figure 6

Correlation between (a) FFR and reconstructed FFR, (b) iFR and reconstructed iFR. Both graphs show a high correlation.