

1 **Clinical course and risk factors for liver injury of severe and critical**  
2 **patients with COVID-19: a single-centered, retrospective,**  
3 **observational study**

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22

23 **Abstract**

24 **Background:** The information regarding the clinical course of COVID-19 patients  
25 with liver injury is very limited, especially in severe and critical patients. The  
26 objective of this study was to describe the characteristics and clinical course of  
27 patients admitted with severe and/or critical SARS-CoV-2 infection in liver function,  
28 as well as explore the risk factors that affect liver function in the enrolled COVID-19  
29 patients.

30 **Methods:** Information on clinical characteristics of 63 severe and critical patients  
31 with confirmed COVID-19 were collected and analyzed.

32 **Results:** The incidence of abnormal aspartate aminotransferase, alanine  
33 aminotransferase, and total bilirubin in the critical group was obviously higher than in  
34 the severe group (81.48%, 81.49%, 62.67%, and 45.71%, 63.88%, 22.86%,  
35 respectively,  $p < 0.05$ ). The time for liver parameters to reach their peak or trough was  
36 approximately 2-3 weeks. No significant difference was observed in cycle threshold  
37 values of open reading frame 1ab and nucleocapsid protein gene on admission or at  
38 the peak among liver injury group, abnormal group and normal group ( $p > 0.05$ ).

39 Patients with invasive ventilator, decreased percentage of neutrophil, lymphocyte and  
40 monocyte, and SOFA score  $\geq 2$  ( $p < 0.05$ ) were the independent factors associated  
41 with liver injury.

42 **Conclusions:** Abnormal liver tests are commonly observed in severe and critical  
43 patients with COVID-19. The time of 2-3 weeks after admission should be paid  
44 attention to patients with critical COVID-19 in case of the occurrence of liver injury.  
45 As independent risk factors for the occurrence of liver injury, regarding decreased  
46 ratio of neutrophils, lymphocytes and monocytes, the requirement of invasive  
47 ventilator, and SOFA score  $\geq 2$ , patients with these abnormal parameters should be of

48 particular concerned during hospitalization.

49 **Keywords:** Coronavirus disease 2019 (COVID-19) · Severe acute respiratory

50 syndrome coronavirus 2 (SARS-CoV-2) · Abnormal liver tests · Liver

51 injury · Pneumonia

52

### 53 **Background**

54 The pandemic of coronavirus disease 2019 (COVID-19), caused by severe acute

55 respiratory syndrome coronavirus 2 (SARS-CoV-2), poses an unprecedented threat to

56 public health[1-3]. Patients with COVID-19 are frequently associated with pulmonary

57 lesions, however, increasing data revealed that COVID-19 has systemic

58 manifestations affecting multiorgan system including liver injury, myocarditis,

59 thrombosis, and coagulation[4-7]. While the impact of COVID-19 on the liver

60 remains unclear, a considerable proportion of patients with elevated liver enzyme

61 have been reported [7-12]. Some studies found a mild [1-2 times the upper limit of

62 normal (ULN)] increase in transaminases, while severe liver injury also has been

63 reported[6,13].

64

65 However, the information regarding the clinical course of COVID-19 patients with

66 liver injury is very limited, especially in severe and critical patients. Knowledge of the

67 clinical characteristics of liver injury in this disease is vital to answering questions

68 about the therapy and management for patients infected with SARS-CoV-2. Thus, we

69 present a detailed characteristics and clinical course of patients admitted with severe

70 and/or critical SARS-CoV-2 infection in liver function and further to explore the

71 independent risk factors that affect liver function in enrolled patients.

72

73 **Methods**

74 **Study design**

75 This retrospective study included 63 severe and critical patients with confirmed  
76 COVID-19 hospitalized in Beijing Ditan Hospital from January 20<sup>th</sup> ,2020 to April  
77 06<sup>th</sup> ,2020. The diagnosis and severity assessment of COVID-19 were defined in  
78 accordance with Chinese management guideline for COVID-19 (version 7) released  
79 by National Health Commission of China. Patients meeting any one of the following  
80 should be considered as severe cases: oxygen saturation at rest  $\leq 93\%$  on room air;  
81 respiratory distress, or respiratory rate  $\geq 30$  breaths/min. Patients who occurred acute  
82 respiratory failure ( $\text{PaO}_2/\text{FiO}_2 < 300$ ,  $30 >$  breaths/min), shock, or any organ failure that  
83 required mechanical ventilation or intensive care management should be considered  
84 as critical cases. Acute respiratory distress syndrome (ARDS) was defined according  
85 to Berlin definition[14]. Cases were excluded for patients who were younger than 18  
86 years, as well as mild and moderate ill patients. This study was approved by the  
87 Institutional Review Board of Capital Medical University affiliated Beijing Ditan  
88 Hospital and patient-level informed consent was waived owing to its retrospective  
89 nature.

90

91 **Data collection**

92 The medical records of 63 patients were collected by the research team. Data on  
93 patients' demographics, comorbidities, vital signs, laboratory characteristics and  
94 treatment were acquired by the hospitalization management system.

95

96 **Laboratory examination and Liver test parameters**

97 On admission, laboratory parameters including peripheral leukocyte count,  
98 neutrophils, lymphocytes, monocytes, platelets, haemoglobin, hematocrit, C-reactive  
99 protein (CRP), International Normalized Ratio (INR), D-dimer, creatine kinase,  
100 aspartate aminotransferase (AST), alanine transaminase (ALT), total bilirubin (TBIL),  
101 serum albumin, and A/G (albumin/ globulin) ratio were collected. Since COVID-19 is  
102 an emerging infectious disease, consensus or guidance on the classifications of liver  
103 injury are lacking, we classified the pattern of liver test into three groups: normal liver  
104 test, abnormal liver test, and liver injury. Abnormal liver test was defined as the  
105 elevation of serum liver enzymes exceeding the upper limit of normal (ULN), that is,  
106  $AST > 40 \text{ U/L}$ ,  $ALT > 40 \text{ U/L}$ , and  $TBIL > 17.1 \text{ umol/L}$ . Liver injury was defined  
107 when ALT and/or AST over  $3 \times \text{ULN}$ , and/or TBIL over  $3 \times \text{UL}$ . Furthermore, the  
108 dynamic changes of liver enzymes, serum albumin, INR, and A/G ratio were also  
109 recorded.

110

### 111 **SARS-CoV-2 RNA Detection**

112 COVID-19 was diagnosed according to the cycle threshold (Ct) values of open  
113 reading frame 1ab (ORF1ab) and nucleocapsid protein (N) gene by RT-PCR assay.  
114 The assay was performed by the National Center for Disease Control or the Clinical  
115 Laboratory of Beijing Ditan hospital using a commercial kit (Daan, Guangzhou,  
116 China). The SARS-CoV-2 viral loads were measured by the copy number of the N  
117 gene from sputum samples or throat swabs of the COVID-19 cases. Ct values were  
118 negatively correlated with viral RNA copy numbers[15]. According to the instruction  
119 of RT-PCR kit, patients with Ct value less than 40 was considered as positive.

120

### 121 **Statistical analysis**

122 All statistical analyses were performed with SPSS 19.0 for Windows (IBM, USA).  
123 Continuous variables were described as mean and SD or median and IQR, and  
124 categorical variables as frequency and percentages. Normally distributed variables  
125 were analyzed using independent group t-test or one-way ANOVA, whereas the  
126 Mann-Whitney nonparametric were used for non-normally distributed variables.  
127 Categorical variables were conducted using the chi-square ( $\chi^2$ ) or Fisher's exact tests.  
128 Pearson's correlation coefficient was performed to assess the correlation between the  
129 severity of liver injury and laboratory results. Ordinal logistic regression analysis was  
130 conducted to evaluate the association of baseline characteristics with the severity of  
131 liver injury. Two-sided P values of less than 0.05 were considered statistically  
132 significant.

133

## 134 **Results**

### 135 **Baseline characteristics of enrolled patients with COVID-19**

136 The clinical characteristics of enrolled patients with COVID-19 are shown in Table 1.  
137 A total of 63 patients were enrolled in the study. The average age of 63 patients was  
138 56.75 years and 41 patients (65.08%) were male. According to the severity of the  
139 disease, subjects were classified as severe group (36, 57.14%) and critical group (27,  
140 42.86%). There was significant difference between two groups in oxygen therapy and  
141 drug use except the requirement of high flow oxygen or non-invasive ventilator. In  
142 terms of laboratory results, blood indices, including peripheral white cell count,  
143 neutrophil, C-reactive protein (CRP), BUN, and LDH (lactate dehydrogenase), in  
144 critical patients were significantly higher than in severe patients ( $p < 0.05$ ).  
145 Contrastingly, lymphocyte, percentage of monocyte, haemoglobin, and hematocrit in  
146 critical patients were significantly lower than in severe patients ( $p < 0.05$ ).

147

148 **Clinical features of enrolled patients with COVID-19 and liver function tests**  
149 **during hospitalization**

150 The serum liver enzyme parameters of enrolled patients were further analyzed.  
151 Results showed that there was no difference between both groups in AST, ALT,  
152 TBIL, INR, albumin, and A/G ratio on admission (Supplemental Table), whereas the  
153 peak values (or trough values) of these parameters have significant differences except  
154 ALT (Table 2, Figure 1a,b). The incidence of abnormal AST, ALT, and TBIL in the  
155 critical group was obviously higher than in the severe group (81.48%, 81.49%,  
156 62.67%, and 45.71%, 63.88%, 22.86%, respectively,  $p < 0.05$ ) during hospitalization.  
157 Based on the test of liver function, subjects were further classified as normal liver test  
158 (11, 17.46%), abnormal liver test (32, 50.79%), and liver injury group (20, 31.75%)  
159 (Table 3). Patients in the three groups were not significantly different in sex ratio and  
160 age. The CRP, percentage of neutrophil, BUN, and LDH in liver injury group were  
161 significantly higher than in non-liver group, while the percentage of lymphocyte and  
162 monocyte were on the contrary ( $p < 0.05$ ). Additionally, the oxygenation index of  
163 liver injury group was significantly lower than that of the other groups ( $p = 0.015$ ),  
164 while the CURB-65 score, SOFA score, the incidence of ARDS, and the requirement  
165 of invasive ventilator were significantly higher in the liver injury group than in the  
166 non-liver group ( $p < 0.05$ ) (Table 3, Figure 1c-f).

167

168 **Dynamic profile of liver function indicators and viral clearance**

169 To determine the dynamic changes of enrolled patients in liver function parameters  
170 and virus clearance, data of liver enzymes and Ct values were recorded during  
171 hospitalization. Results showed that the level of AST, TBIL, and INR was significant

172 higher in the critical group than in the severe group and the time for these indicators  
173 to reach their peak was approximately 2-3 weeks (Figure 2a-b, e). Compared with  
174 AST, the duration of TBIL was longer, which reached its peak at about 4 weeks, then  
175 gradually decreased. Conversely, the level of ALT, albumin and A/G ratio, was  
176 significant lower in the critical group than in the severe group, the time for these  
177 parameters to reach their trough was approximately 2-3 weeks as well (Figure 2b-c,  
178 f). As shown in Supplemental Figure 1a-f, the liver injury group has similar  
179 characteristics. Despite the level of Ct values in the critical group was higher than in  
180 the severe group (Figure 3a), there was no significant difference on admission or at  
181 the peak between severe group and critical group, as well as among liver injury group,  
182 abnormal group and normal group (Supplemental Figure 2a-d). The Ct values of  
183 patients with different groups decreased gradually during hospitalization and the time  
184 of virus clearance was approximately 2-3 weeks after admission (Figure 3a-b).

185

#### 186 **Independent factors associated with severity of liver function**

187 We further to assess the correlation between the severity of liver injury and laboratory  
188 results, as well as the independent factors associated with liver tests of abnormality or  
189 injury. As shown in Figure 4a-f, the severity of liver function were positively  
190 correlated with percentage of neutrophil, CRP, CURB-65 score and SOFA score, and  
191 negatively correlated with percentage of lymphocyte, percentage of monocyte (data  
192 were not shown), and oxygenation index. Variables with p-values of <0.05 in  
193 univariable analysis were included in the ordinal logistic analysis to identify the  
194 significant indicators affecting liver function in enrolled COVID-19 patients (Table  
195 4). Results revealed that SOFA score  $\geq 2$  [OR=165.41, 95% confidence interval (CI)=  
196 (1.57, 8.64); p=0.005] were factors positively associated with abnormality or injury



197 as indicated by liver tests. After adjustment for age, sex, and comorbidities, patients  
198 with invasive ventilator, the decreased percentage of neutrophil, lymphocyte and  
199 monocyte, and SOFA score  $\geq 2$  were the independent factors associated with liver  
200 tests of abnormality or injury.

201

## 202 **Discussion**

203 In this cohort with 63 cases, we demonstrate that abnormal liver tests are common in  
204 patients with severe and critical COVID-19. Patients with critical COVID-19 should  
205 be aware of the occurrence of liver injury in 2-3 weeks after admission. Particular  
206 attention should be paid to patients with decreased ratios of neutrophils, lymphocytes  
207 and monocytes, the requirement of invasive ventilator, and SOFA score  $\geq 2$  during  
208 hospitalization, as these are independent risk factors for the occurrence of liver injury.

209

210 The occurrence of liver enzyme elevation observed here is ranged from 22.86% to  
211 81.49% in severe and critical patients during hospitalization, which is higher than  
212 previous studies ranged from 14% to 53 % in patients including mildly and moderate  
213 COVID-19[16], mainly manifested by the elevated levels of ALT AST and TBIL  
214 accompanied by the slightly decreased albumin levels. Indeed, the increased liver  
215 enzymes were observed more commonly in the critical group than in the severe group.  
216 Interestingly, we observed that the levels of AST and TBIL were more higher in  
217 critical group than in severe group, while no difference were observed between both  
218 groups in ALT. Considering the elevated AST could be from muscle damage rather  
219 than directly reflecting liver injury and the levels of INR were primarily within the  
220 range of 1.5 in this study, the discovery that SARS-CoV-2 virus bind to angiotensin-

221 converting enzyme 2 (ACE2) on hepatocytes, especially on biliary epithelial cells,  
222 then cause liver injury[17] may partially explain the results in our patients. In other  
223 words, patients with liver injury in COVID-19 were more likely to cholestatic type  
224 rather than hepatocellular type.

225

226 Currently, the underlying mechanisms of COVID-19 related liver injury remain  
227 unclear. In fact, it may be multifactorial and individualized. First, a hyper-  
228 inflammatory response to COVID-19 may contribute to liver injury[11,18,19].  
229 Evidence is that the severity of liver function were positively correlated with the  
230 percentage of neutrophil and CRP, as well as negatively correlated with percentage of  
231 lymphocyte and monocyte, meanwhile, the decreased ratios of neutrophils,  
232 lymphocytes and monocytes are independent risk factors for the occurrence of liver  
233 injury. Hepatic inflammation involving activation of innate immune system  
234 accompanied by the cytokine storm is a well-established driver of liver injury[20].  
235 Notably, lymphopenia was commonly observed in COVID-19 studies and patients  
236 with lower counts of lymphocyte are more susceptible to fatal outcomes[21]. Second,  
237 whether SARS-CoV-2 can directly infect hepatocytes remain undetermined[19,22]. In  
238 present study, no significant difference was observed in Ct values on admission or at  
239 the peak among liver injury group, abnormal group and normal group. However, it is  
240 impossible to demonstrated whether the virus has an impact on liver cytopathy owing  
241 to the lack of liver biopsy and Ct values of the liver in situ. ACE2 is abundantly  
242 expressed in the hepatocytes, especially in biliary epithelial cells, and the liver may be  
243 a potential target for direct infection [17], that was however not yet demonstrated.  
244 Thus, the influence of SARS-CoV-2 on liver begs for further investigation. Third,  
245 hypoxia induced by COVID-19-related complications (i.e., acute respiratory distress

246 syndrome and multiple organ failure) may also induce hepatic ischemia and hypoxia-  
247 reperfusion dysfunction[23,24]. Evidence is that the severity of liver function were  
248 positively correlated with CURB-65 score and SOFA score, and negatively correlated  
249 with oxygenation index, meanwhile, the requirement of invasive ventilator and SOFA  
250 score  $\geq 2$  are independent risk factors for the occurrence of liver injury. Lastly, drug-  
251 elicited liver injury may also account for laboratory test abnormalities[25,26].  
252 However, there was no significant difference in drug use among liver injury group,  
253 abnormal group and normal group in this study, except the vasoactive drug. Besides,  
254 the vasoactive drug wasn't independent risk factor for the occurrence of liver injury in  
255 the logistic analysis. Thus, the enrolled drugs in this study may not directly induce  
256 liver injury.

257

258 Our results showed the time for liver parameters to reach their peak or trough was  
259 approximately 2-3 weeks, which is critical for clinical implication in management for  
260 patients infected with SARS-CoV-2. Thus, regular monitoring of liver function tests  
261 should be performed, particularly in patients with severe and critical COVID-19.

262

263 This study has some limitations. First, not all laboratory tests were collected in  
264 enrolled patients, including alkaline phosphatase, and gamma-glutamyl transferase  
265 owing to the retrospective design. Additionally, it is impossible to demonstrated  
266 whether the virus has an impact on liver cytopathy owing to the lack of liver biopsy  
267 and viral loads results of the liver in situ. Further studies should corroborate the  
268 pathogenic mechanism. Meanwhile, the relatively small sample size also a limitation  
269 of this study. Future studies are needed to enroll a larger sample sizes to strengthen  
270 the accuracy of the results.

271

## 272 **Conclusion**

273 In summary, abnormal liver tests are commonly observed in severe and critical  
274 patients with COVID-19. The time of 2-3 weeks after admission should be paid  
275 attention to patients with critical COVID-19 in case of the occurrence of liver injury.  
276 As independent risk factors for the occurrence of liver damage, regarding decreased  
277 ratio of neutrophils, lymphocytes and monocytes, the requirement of invasive  
278 ventilator, and SOFA score  $\geq 2$ , patients with these abnormal parameters should be of  
279 particular concern during hospitalization.

280

## 281 **Abbreviations**

282 COVID-19, coronavirus disease 2019; SARS-CoV-2, severe acute respiratory  
283 syndrome coronavirus 2; ALT, alanine aminotransferase; AST, aspartate  
284 aminotransferase; TBIL = total bilirubin; ARDS, acute respiratory distress syndrome;  
285 ECMO = extracorporeal membrane oxygenation; SOFA = Sequential Organ Failure  
286 Assessment; NLR = neutrophil to lymphocyte ratio; CRP = C-reactive protein; BUN  
287 = blood urea nitrogen; A/G = albumin/ globulin; INR = international normalized ratio;  
288 LDH = lactate dehydrogenase; Ct = cycle threshold

289

## 290 **Acknowledgments**

291 The authors gratefully acknowledge Gang Wan Ph.D. and Junnan Li Ph.D. for their  
292 assistance with data analysis. Thanks to all the front-line medical staff of Beijing Ditan

293 hospital for their bravery and efforts in SARS-CoV-2 prevention and control.

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295

#### 296 **Authors' contributions**

297 Jingyuan Liu, Chunjing Du and Siyuan Yang collected the clinical data. Lin Pu, Pan

298 Xiang and Ang Li interpreted the data. Chunjing Du and wrote the manuscript.

299 Jingyuan Liu proofread the manuscript. Ang Li calculated the statistics and proofread

300 the manuscript. All authors read and approved the final manuscript.

301

302 **Funding:** This work was supported by Beijing Municipal Science and technology

303 commission, China [Grant No: Z201100005420012].

304

#### 305 **Availability of data and materials**

306 The datasets used and/or analyzed during the current study are available from the

307 corresponding author on reasonable request.

308

#### 309 **Ethics approval and consent to participate**

310 This study was approved by the Institutional Review Board of Capital Medical

311 University affiliated Beijing Ditan Hospital and patient-level informed consent was

312 waived owing to its retrospective nature. The study was performed in accordance with

313 the ethical standards laid down in the 1964 Declaration of Helsinki and its later

314 amendments.

315

#### 316 **Consent for publication**

317 Not applicable

318

### 319 **Competing interests**

320 The authors declare that they have no competing interests.

321

322

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402

### 403 **Figure legends**

404 **Fig. 1** Caption: The liver function parameters and percentage of liver injury under  
405 different groups.

406 Fig. a: Description text: The peak values of AST, ALT, TBIL, and albumin between  
407 the severe group and the critical group.

408 Fig. b: Description text: The peak values of INR and the trough value of A/G ratio  
409 between the severe group and the critical group.

410 Fig. c: Description text: The percentage of liver injury between CURB-65 score  $<2$   
411 and CURB-65 score  $\geq 2$ .

412 Fig. d: Description text: Tthe percentage of liver injury between SOFA score  $<2$  and  
413 SOFA score  $\geq 2$ .

414 Fig. e: Description text: The percentage of liver injury between without ARDS and  
415 with ARDS.

416 Fig. f: Description text: The percentage of liver injury between without ventilator and  
417 with ventilator.

418

419 **Fig. 2** Caption: Dynamic profile of liver function indicators between the severe group  
420 and the critical group.

421 Fig. a: Description text: Dynamic changes of AST between the severe group and the  
422 critical group.



423 Fig. b: Description text: Dynamic changes of TBIL between the severe group and the  
424 critical group.

425 Fig. c: Description text: Dynamic changes of ALT between the severe group and the  
426 critical group.

427 Fig. d: Description text: Dynamic changes of albumin between the severe group and  
428 the critical group.

429 Fig. e: Description text: Dynamic changes of INR between the severe group and the  
430 critical group.

431 Fig. f: Description text: Dynamic changes of A/G ratio between the severe group and  
432 the critical group.

433

434 **Fig. 3** Caption: Dynamic changes of Ct values among differ groups.

435 Fig. a: Description text: Dynamic changes of Ct values between the severe group and  
436 the critical group.

437 Fig. b: Description text: Dynamic changes of Ct values among the normal group, the  
438 abnormal group, and the liver injury group.

439

440 **Fig. 4** Caption: the correlation between the severity of liver injury and laboratory results.

441 Fig. a: Description text: the correlation between the severity of liver injury and the  
442 percentage of neutrophil.

443 Fig. b: Description text: the correlation between the severity of liver injury and CRP.

444 Fig. c: Description text: the correlation between the severity of liver injury and CURB-

445 65 score.

446 Fig. d: Description text: the correlation between the severity of liver injury and SOFA  
447 score.

448 Fig. e: Description text: the correlation between the severity of liver injury and the  
449 percentage of lymphocyte.

450 Fig. f: Description text: the correlation between the severity of liver injury and  
451 oxygenation index.

452

453 **Supplemental Figure 1** Caption: Dynamic profile of liver function indicators among  
454 different groups.

455 Fig. a: Description text: Dynamic changes of ALT among the normal group, the  
456 abnormal group, and the liver injury group.

457 Fig. b: Description text: Dynamic changes of AST among the normal group, the  
458 abnormal group, and the liver injury group.

459 Fig. c: Description text: Dynamic changes of TBIL among the normal group, the  
460 abnormal group, and the liver injury group.

461 Fig. d: Description text: Dynamic changes of albumin among the normal group, the  
462 abnormal group, and the liver injury group.

463 Fig. e: Description text: Dynamic changes of INR among the normal group, the  
464 abnormal group, and the liver injury group.

465 Fig. f: Description text: Dynamic changes of A/G ratio among the normal group, the  
466 abnormal group, and the liver injury group.

467

468 **Supplemental Figure 2** Caption: the Ct values under different groups.

469 Fig. a: Description text: the Ct values on admission between the severe group and the  
470 critical group.

471 Fig. b: Description text: the peak values of Ct values between the severe group and the  
472 critical group.

473 Fig. c: Description text: the Ct values on admission among the normal group, the  
474 abnormal group, and the liver injury group.

475 Fig. d: Description text: the peak values of Ct values among the normal group, the  
476 abnormal group, and the liver injury group.