

## RESEARCH

# A general framework for the inverse design of mesoscopic models based on the Boltzmann equation

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## Abstract

In this paper, we present a general framework for the inverse-design of mesoscopic models based on the Boltzmann equation. Starting from the single-relaxation-time Boltzmann equation with an additional source term, two model Boltzmann equations for two reduced distribution functions are obtained, each then also having an additional undetermined source term. Under this general framework and using Navier-Stokes-Fourier (NSF) equations as constraints, the structures of the distribution functions are obtained by the leading-order Chapman-Enskog analysis. Next, five basic constraints for the design of the two source terms are obtained in order to recover the Navier-Stokes-Fourier system in the continuum limit. These constraints allow for adjustable bulk-to-shear viscosity ratio, Prandtl number as well as a thermal energy source. The specific forms of the two source terms can be determined through proper physical considerations and numerical implementation requirements. By employing the truncated Hermite expansion, one design for the two source terms is proposed. Moreover, three well-known mesoscopic models in the literature are shown to be compatible with these five constraints. In addition, the consistent implementation of boundary conditions is also explored by using the Chapman-Enskog expansion at the NSF order. Finally, based on the higher-order Chapman-Enskog expansion of the distribution functions, we derive the complete analytical expressions for the viscous stress tensor and the heat flux. Some underlying physics can be further explored under this framework.

**Keywords:** Mesoscopic CFD methods; Boltzmann equation; inverse design; the Navier-Stokes-Fourier system; Chapman-Enskog analysis; structure of distribution function; thermal forcing; boundary condition; bulk viscosity; Prandtl number

## 1 Introduction

2 The Boltzmann equation is of vital importance in the kinetic theory of dilute  
3 gases [1]. The original collision operator in the Boltzmann equation is a complex  
4 integral term, which makes direct numerical simulation of the system very costly.  
5 The simplest choice is to replace the original collision operator with the Bhatnager-  
6 Gross-Krook (BGK) model [2]. It should be noted that the original collision op-  
7 erator is directly based on the physical description of molecule interactions while  
8 the BGK model describes the fact that the distribution of the molecules relaxes  
9 to the local equilibrium state through particle collisions without considering the  
10 detailed molecule interactions. It has long been recognized that such an approxima-  
11 tion works well beyond its theoretical limit as long as the relaxation time can be  
12 made to capture the relevant physics [3, 4].

13 By applying the Chapman-Enskog expansion to the Boltzmann equation with  
14 the BGK collision operator, the NSF system can be recovered, but with a unit  
15 Prandtl number which does not obey the physical reality. Hence, some improved  
16 models have been developed to overcome this limitation from different physical  
17 considerations, such as the Shakhov (SH) model [5], the ellipsoidal statistical (ES)  
18 model [6], the internal energy double-distribution-function (IEDDF) model [7], the  
19 total energy double-distribution-function (TEDDF) model [8], and the Rykov (R)  
20 model [9, 10, 11]. Further, we notice that, for example, the ratio between the bulk  
21 to shear viscosity in SH model is always less than  $2/D$ , where  $D$  is the dimension of  
22 the hydrodynamic velocity space. Therefore, for a fixed specific heat ratio, the SH  
23 model cannot be used to investigate the physical effect of the bulk-to-shear viscosity  
24 ratio.

25 Some merits and drawbacks of these models are briefly discussed below. The SH  
26 model may encounter a negative value of the particle distribution function because  
27 of the modified equilibrium distribution to accommodate arbitrary Prandtl number,  
28 while the ES model and the TEDDF model can avoid such unphysical deficiency.  
29 However, Chen *et al.* [12] showed that the SH model may yield more accurate so-  
30 lutions than that from the ES model in the transition regime and they proposed a  
31 generalized model which combines the advantages of the SH model and ES model.  
32 For both IEDDF and TEDDF models, two distribution functions are introduced  
33 with different relaxation times for the non-equilibrium part of the particle distri-

34 bution function because the momentum and energy have different relaxation time  
35 scales during the collision process as suggested by Wood [13]. In the TEDDF model,  
36 spatial and time derivatives of the hydrodynamic velocity are not involved in the  
37 source terms while they are involved in the source terms of the IEDDF model which  
38 may introduce some numerical errors and lead to some unphysical phenomena in  
39 fluid systems containing large spatial gradients. In the R model, by considering  
40 the elastic and non-elastic particle collision processes, the hydrodynamic flow vari-  
41 ables corresponding to the translational and rotational processes can be evaluated  
42 separately. Both the total internal energy and the total heat flux are the sum of  
43 the contributions from the two processes. The bulk-to-shear viscosity ratio can be  
44 modified in the R model through the ratio of the total number of translational and  
45 rotational collisions to that of rotational collisions.

46 During the past few decades, the BGK model has been widely used to simulate  
47 different flows such as homogeneous isotropic turbulence [14], turbulent channel  
48 flows [15] and multiphase flows [16], by different numerical approaches such as  
49 the lattice Boltzmann method (LBM) [17], the gas kinetic scheme (GKS) [18], the  
50 unified gas kinetic scheme (UGKS) [19], and the discrete unified gas kinetic scheme  
51 (DUGKS) [20, 21]. Recently, Liu *et al.* [22] claimed that the predictions based on  
52 the BGK model for highly nonequilibrium flows are only qualitatively correct in  
53 the transitional regime since the BGK model filters out the information of the  
54 detailed molecular-interaction processes. They compare the Boltzmann equation  
55 and its model equations through some test cases where the distribution functions  
56 are far from equilibrium. From these tests, they found that information contained  
57 in the nonequilibrium moments and the different relaxation rates of high- and low-  
58 speed molecules is essential in adjusting the behaviors of model collision terms.  
59 However, many existing works have shown that the BGK model is adequate in  
60 simulating many flows accurately for both the continuum and rarefied regimes [14,  
61 15, 23, 16, 24, 25].

62 In this paper, we focus on the inverse design of the source term in the model  
63 Boltzmann equation. Following the work done by Guo *et al.* [21], an adjustable  
64 parameter representing the internal degree of freedom of molecules is introduced  
65 to the Maxwellian equilibrium distribution function. We will demonstrate that the  
66 two source terms in the two reduced model Boltzmann equations can be redesigned

67 to attain the following objectives. First, the NSF system can be recovered in the  
 68 continuum limit by applying the Chapman-Enskog analysis. Second, the model  
 69 Boltzmann system can have flexible Prandtl number as well as adjustable bulk-to-  
 70 shear viscosity ratio. Third, an arbitrary thermal source/sink term can be added to  
 71 the internal energy equation.

72 The rest of the paper is organized as follows. In Section 2, the model Boltzmann  
 73 equation with an additional source term is introduced. By introducing two reduced  
 74 distribution functions, two reduced model Boltzmann equations are obtained. Some  
 75 notations and conventions are given in Section 3. In Section 4, the structures of the  
 76 Boltzmann equations are obtained by applying the first-order Chapman-Enskog  
 77 expansion. Next, five requirements for the two reduced source terms are given in  
 78 Section 5. In Section 6, we present one design for the two source terms by applying  
 79 the Hermite expansion to the two source terms. In Section 7, we show that the  
 80 SH model, the TEDDF model as well as the R model are compatible with the five  
 81 derived constraints. Then we discuss the derivation of the proper implementation of  
 82 the hydrodynamic boundary conditions in Section 8. Next, we derive the complete  
 83 analytical expression for the viscous stress and the heat flux based on the second-  
 84 order Chapman-Enskog expansion in the following three sections. Major conclusions  
 85 are drawn in Section XII. In Appendix A, we include the details on the Hermite  
 86 polynomials and Hermite expansion. Appendix B provides the widely used models  
 87 for the thermal cooling function and the shear viscosity in the literature. Appendix C  
 88 provides some details on the Chapman-Enskog expansion of the particle distribution  
 89 functions. Appendix D contains the derivations of the requirements for the two  
 90 reduced source terms. Appendix E documents some details on the Rykov model.

## 91 2 The reduced model Boltzmann system with source terms

92 The Boltzmann equation with an additional source term can be expressed as

$$93 \quad \frac{\partial f}{\partial t} + \boldsymbol{\xi} \cdot \nabla f + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} f = \Omega_f + S_f, \quad (1)$$

94 where  $f(\mathbf{x}, \boldsymbol{\xi}, \boldsymbol{\eta}, \zeta, t)$  is the particle distribution function,  $\mathbf{x} = (x_1, \dots, x_D)$  is the spa-  
 95 tial location,  $t$  is the time,  $\boldsymbol{\xi} = (\xi_1, \dots, \xi_D)$  is the particle velocity in  $D$ -dimensional  
 96 space,  $\boldsymbol{\eta} = (\eta_{D+1}, \dots, \eta_3)$  is the particle velocity in the remaining  $(3 - D)$  dimen-

97 sional space,  $\boldsymbol{\zeta} = (\zeta_1, \dots, \zeta_K)$  represents  $K$ -dimensional internal degree of freedom.  
 98  $\mathbf{a}$  represents the body force per unit mass, which can vary with the spatial loca-  
 99 tion  $\mathbf{x}$  and the time  $t$ . The single-relaxation-time Bhatnager-Gross-Krook (BGK)  
 100 model [2] is used for the collision operator, *i.e.*  $\Omega_f = (f^{eq} - f)/\tau$ .  $\tau = \mu/p$  is  
 101 the molecular relaxation time,  $\mu$  is the shear viscosity and  $p$  is the pressure.  $S_f$  is  
 102 a source term to be designed, which will allow for modification of both the fluid  
 103 Prandtl number  $Pr$  as well as the bulk viscosity  $\mu_V$ .

104 By assuming that the particle motion in  $(\boldsymbol{\eta}, \boldsymbol{\zeta})$  subspace is at local equilibrium,  
 105 the local Maxwellian equilibrium distribution function can be written as [21]

$$106 \quad f^{eq} = \frac{\rho}{(2\pi RT)^{(K+3)/2}} \exp\left(-\frac{c^2 + \eta^2 + \zeta^2}{2RT}\right), \quad (2)$$

107 where  $\rho$  is the density of the fluid,  $R$  is the specific gas constant,  $T$  is the tempera-  
 108 ture,  $\mathbf{c} = \boldsymbol{\xi} - \mathbf{u}$  is the peculiar velocity with  $\mathbf{u}$  being the hydrodynamic velocity. The  
 109 pressure  $p$  is related to the density  $\rho$  and the temperature  $T$  through an ideal-gas  
 110 equation of state (EOS), namely,  $p = \rho RT$ .

111 The conservative variables are defined as the moments of the particle distribution  
 112 function

$$113 \quad \rho = \int f d\boldsymbol{\xi} d\boldsymbol{\eta} d\boldsymbol{\zeta}, \quad \rho \mathbf{u} = \int \boldsymbol{\xi} f d\boldsymbol{\xi} d\boldsymbol{\eta} d\boldsymbol{\zeta},$$

$$114 \quad \rho E = \frac{1}{2} \rho u^2 + \rho \epsilon = \int \frac{\xi^2 + \eta^2 + \zeta^2}{2} f d\boldsymbol{\xi} d\boldsymbol{\eta} d\boldsymbol{\zeta}, \quad (3)$$

115 where  $\epsilon = C_v T$  is the internal energy per unit mass,  $C_v$  is the specific heat capacity  
 116 at constant volume,  $\rho E$  is the total energy per unit volume which is the sum of the  
 117 internal energy and the kinetic energy. All relations in Eq. (3) remain valid if  $f$  is  
 118 replaced by  $f^{eq}$ .  $C_v$  and the specific heat at constant pressure  $C_p$  are determined  
 119 by the number of the internal degrees of freedom,  $K$ , and the gas constant,  $R$ . By  
 120 integrating the energy moment of the equilibrium distribution, we can obtain

$$121 \quad C_v = \frac{(K+3)R}{2}, \quad C_p = \frac{(K+5)R}{2}, \quad (4)$$

122 which implies that the specific heat ratio and thus the Prandtl number are

$$123 \quad \gamma = \frac{C_p}{C_v} = \frac{K+5}{K+3}, \quad Pr = \frac{\mu C_p}{\kappa}, \quad (5)$$

124 where  $\kappa$  is the thermal conductivity.

125 In addition, by comparing the first-order moment of the model Boltzmann equa-  
126 tion with the Navier-Stokes equation, it can be shown that the viscous stress tensor  
127  $\boldsymbol{\sigma}$  is determined by the non-equilibrium part of the particle distribution function as

$$128 \quad \boldsymbol{\sigma} = - \int \mathbf{c} \mathbf{c} (f - f^{eq}) d\xi d\eta d\zeta, \quad (6)$$

129 and, by comparing the energy moment of the Boltzmann equation with the macro-  
130 scopic energy equation, the heat flux  $\mathbf{q}$  can be determined as

$$131 \quad \mathbf{q} = \frac{1}{2} \int \mathbf{c} (c^2 + \eta^2 + \zeta^2) f d\xi d\eta d\zeta. \quad (7)$$

132 The physical conservative requirements can be expressed through the moments of  
133 the collision operator  $\Omega_f$ , which reads

$$134 \quad \int \Omega_f d\xi d\eta d\zeta = 0, \quad \int \xi \Omega_f d\xi d\eta d\zeta = \mathbf{0},$$

$$135 \quad \int \frac{1}{2} (\xi^2 + \eta^2 + \zeta^2) \Omega_f d\xi d\eta d\zeta = 0. \quad (8)$$

136 Therefore, provided that the mass conservation and the momentum conservation  
137 laws are observed, we have the following basic requirements for the source term

$$138 \quad \int S_f d\xi d\eta d\zeta = 0, \quad \int \xi S_f d\xi d\eta d\zeta = \mathbf{0},$$

$$139 \quad \int \frac{1}{2} (c^2 + \eta^2 + \zeta^2) S_f d\xi d\eta d\zeta = -\rho \Lambda, \quad (9)$$

140 where  $\Lambda$  represents a source term applied to the macroscopic energy equation, an  
141 example of which is the thermal cooling or heating function, see Appendix B for  
142 details.

143 Physically, the evolution of the particle distribution function only depends on the  
 144  $D$ -dimensional particle velocity space. In order to remove the dependence of the  
 145 passive variables and also reduce the computational cost in the practical implemen-  
 146 tation, two independent, reduced distribution functions  $g$  and  $h$ , residing in lower  
 147 dimensional phase space, are introduced [21]

$$148 \quad g = \int f d\boldsymbol{\eta} d\boldsymbol{\zeta}, \quad h = \int (\eta^2 + \zeta^2) f d\boldsymbol{\eta} d\boldsymbol{\zeta}. \quad (10)$$

149 Therefore, the two model Boltzmann equations residing in lower dimensional space  
 150 can be obtained

$$151 \quad \frac{\partial g}{\partial t} + \boldsymbol{\xi} \cdot \nabla g + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} g = \Omega_g + S_g, \quad (11a)$$

152

$$153 \quad \frac{\partial h}{\partial t} + \boldsymbol{\xi} \cdot \nabla h + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} h = \Omega_h + S_h. \quad (11b)$$

154 In Eqs. (11a) and (11b), the collision operators are

$$155 \quad \Omega_g = \frac{g^{eq} - g}{\tau}, \quad \Omega_h = \frac{h^{eq} - h}{\tau}, \quad (12)$$

156 and the two source terms are given by

$$157 \quad S_g = \int S_f d\boldsymbol{\eta} d\boldsymbol{\zeta}, \quad S_h = \int (\eta^2 + \zeta^2) S_f d\boldsymbol{\eta} d\boldsymbol{\zeta}, \quad (13)$$

158 where the equilibrium distribution functions  $g^{eq}$  and  $h^{eq}$  are

$$159 \quad g^{eq} = \int f^{eq} d\boldsymbol{\eta} d\boldsymbol{\zeta} = \frac{\rho}{(2\pi RT)^{D/2}} \exp\left[-\frac{c^2}{2RT}\right], \quad (14a)$$

160

$$161 \quad h^{eq} = \int (\eta^2 + \zeta^2) f^{eq} d\boldsymbol{\eta} d\boldsymbol{\zeta} = (3 - D + K)RTg^{eq}. \quad (14b)$$

162 Based on Eq. (8), the conservation laws can be recasted in terms of the collision  
 163 operators  $\Omega_g$  and  $\Omega_h$ , as follows,

$$164 \quad \int \Omega_g d\xi = 0, \quad \int \xi \Omega_g d\xi = \mathbf{0}, \quad \int (\xi^2 \Omega_g + \Omega_h) d\xi = 0. \quad (15)$$

165 From Eq. (9), the two reduced source terms must satisfy the following require-  
 166 ments

$$167 \quad \int S_g d\xi = 0, \quad \int \xi S_g d\xi = \mathbf{0}, \quad \int \frac{1}{2} (c^2 S_g + S_h) d\xi = -\rho \Lambda. \quad (16)$$

168 In addition, from Eq. (3), we find that the conservative variables can be evaluated  
 169 as

$$170 \quad \rho = \int g d\xi, \quad \rho \mathbf{u} = \int \xi g d\xi, \quad \rho E = \frac{1}{2} \int (\xi^2 g + h) d\xi. \quad (17)$$

171 Moreover, from Eqs. (6) and (7), the viscous stress  $\boldsymbol{\sigma}$  and the heat flux  $\mathbf{q}$  become

$$172 \quad \boldsymbol{\sigma} = - \int \mathbf{c} \mathbf{c} (g - g^{eq}) d\xi, \quad \mathbf{q} = \frac{1}{2} \int \mathbf{c} (c^2 g + h) d\xi. \quad (18)$$

173 Several well-known models for the shear viscosity  $\mu$  and thermal cooling function  
 174  $\Lambda$  are briefly introduced in Appendix B.

### 175 **3 Notations and conventions**

176 For convenience, two time derivatives are introduced

$$177 \quad \frac{D}{Dt} \equiv \frac{\partial}{\partial t} + \boldsymbol{\xi} \cdot \boldsymbol{\nabla} + \mathbf{a} \cdot \boldsymbol{\nabla}_{\boldsymbol{\xi}}, \quad \frac{d}{dt} \equiv \frac{\partial}{\partial t} + \mathbf{u} \cdot \boldsymbol{\nabla}, \quad (19)$$

178 where  $D/Dt$  is the time derivative along the phase-space trajectory of a particle  
 179 subjected to a body force  $\mathbf{a}$  per unit mass and  $d/dt$  is the rate of change of a physical  
 180 quantity along the path of a fluid element in the physical space. Three variables  
 181 including the time  $t$ , the spacial location  $\mathbf{x}$ , and the particle velocity  $\boldsymbol{\xi}$  are assumed  
 182 to be independent when these time derivatives act on the distribution functions  
 183  $g(\mathbf{x}, \boldsymbol{\xi}, t)$  and  $h(\mathbf{x}, \boldsymbol{\xi}, t)$ .



184 In addition, a symmetrical tensor  $\mathbf{S}$  and an antisymmetric tensor  $\mathbf{\Omega}$  can be defined  
 185 based on the velocity gradient

$$186 \quad \mathbf{S} = \frac{1}{2} (\nabla \mathbf{u}^T + \nabla \mathbf{u}), \quad \mathbf{\Omega} = \frac{1}{2} (\nabla \mathbf{u}^T - \nabla \mathbf{u}), \quad (20)$$

187 where  $\mathbf{S}$  is called the strain rate tensor which describes the deformation of a fluid  
 188 element and  $\mathbf{\Omega}$  is called the rotation tensor representing the directionally-averaged  
 189 rate of local rotation.

190 The Newtonian constitutive relation for the viscous stress  $\boldsymbol{\sigma}^{(NS)}$  and the Fourier's  
 191 law for the heat flux  $\mathbf{q}^{(NS)}$  are, respectively,

$$192 \quad \boldsymbol{\sigma}^{(NS)} = 2\mu \left( \mathbf{S} - \frac{1}{D} (\nabla \cdot \mathbf{u}) \mathbf{I} \right) + \mu_V (\nabla \cdot \mathbf{u}) \mathbf{I}, \quad \mathbf{q}^{(NS)} = -\kappa \nabla T. \quad (21)$$

193 where  $\mathbf{I}$  represents the unit tensor of which the components are  $\delta_{ij}$  in the Cartesian  
 194 coordinate system.

195 In addition, the ratio of bulk to shear viscosity is defined as  $\chi = \mu_V / \mu$ .

## 196 4 The structure of the particle distribution functions

197 In the continuum flow limit, the relaxation time  $\tau$ , when normalized by the acoustic  
 198 time scale  $l_0/c_0$ , is proportional to the Knudsen number, where  $l_0$  is a system length  
 199 scale and  $c_0$  is the speed of the sound at a reference temperature  $T_0$ . Therefore,  $\tau$   
 200 may be taken as a small parameter in the Boltzmann equation. At the level of NSF  
 201 equations, terms higher than  $O(\tau)$  in the distribution functions can be neglected.

202 The derivatives of the equilibrium distribution function  $g^{eq}$  will be multiplied by  
 203  $\tau$  to form the  $O(\tau)$  terms in the distribution functions. Therefore, we only need to  
 204 evaluate them to  $O(1)$ . Direct evaluation yields the derivatives of the equilibrium  
 205 distribution function  $g^{eq}$  as

$$206 \quad \frac{\partial g^{eq}}{\partial t} = \left[ \frac{1}{\rho} \frac{\partial \rho}{\partial t} + \left( \frac{c^2}{2RT} - \frac{D}{2} \right) \frac{1}{T} \frac{\partial T}{\partial t} + \frac{\partial \mathbf{u}}{\partial t} \cdot \frac{\mathbf{c}}{RT} \right] g^{eq}, \quad (22a)$$

207

$$208 \quad \nabla g^{eq} = \left[ \frac{1}{\rho} \nabla \rho + \left( \frac{c^2}{2RT} - \frac{D}{2} \right) \frac{1}{T} \nabla T + \nabla \mathbf{u} \cdot \frac{\mathbf{c}}{RT} \right] g^{eq}, \quad (22b)$$

$$\nabla_{\xi} g^{eq} = -\frac{\mathbf{c}}{RT} g^{eq}. \quad (22c)$$

The three coefficients in three derivatives are found to be polynomials of the peculiar velocity  $\mathbf{c}$  and are related to the time  $t$  and spatial location  $\mathbf{x}$  through the relation  $\mathbf{c} = \boldsymbol{\xi} - \mathbf{u}$ .

By employing the Euler equations in Appendix D to replace the time derivatives with spatial derivatives of the hydrodynamic variables in Eq. (22a), we obtain the expression for  $Dg^{eq}/Dt$  to the leading order, as

$$\frac{Dg^{eq}}{Dt} = Gg^{eq} + O(\tau), \quad (23)$$

where the operator  $G$  contains three parts, *i.e.*  $G = G_1 + G_2 + G_3$ . They are given explicitly as

$$G_1 = \left( \frac{c^2}{2RT} - \frac{D+2}{2} \right) \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right), \quad (24a)$$

$$G_2 = \frac{\mathbf{c} \cdot \mathbf{S} \cdot \mathbf{c}}{RT} - \frac{1}{K+3} \left( \frac{c^2}{RT} + 3 - D + K \right) \nabla \cdot \mathbf{u}, \quad (24b)$$

$$G_3 = - \left( \frac{c^2}{2RT} - \frac{D}{2} \right) \frac{\Lambda}{C_v T}. \quad (24c)$$

Similarly, for  $Dh^{eq}/Dt$ , we have

$$\frac{Dh^{eq}}{Dt} = (G + \Phi_1) h^{eq} + O(\tau), \quad (25)$$

where the new operator  $\Phi_1$  is given as

$$\Phi_1 = \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) - \frac{2}{K+3} \nabla \cdot \mathbf{u} - \frac{\Lambda}{C_v T}. \quad (26)$$

228 Therefore, up to the order  $O(\tau)$  in the Chapman-Enskog expansion [1], the struc-  
 229 ture of the distribution functions  $g$  and  $h$  can be obtained and they are

$$230 \quad g = (1 - \tau G)g^{eq} + \tau S_g + O(\tau^2), \quad (27a)$$

231

$$232 \quad h = (1 - \tau G - \tau \Phi_1)h^{eq} + \tau S_h + O(\tau^2). \quad (27b)$$

## 233 5 Five basic requirements for the two source terms

234 Based on the structure of the distribution function, we shall now propose five basic  
 235 requirements for the two source terms,  $S_g$  and  $S_h$ . The requirements are given as  
 236 follows and the details for their derivations are included in Appendix D. If these  
 237 five requirements are satisfied up to the order of  $O(\tau)$ , then the NSF system can be  
 238 recovered by applying the Chapman-Enskog expansion to the two model Boltzmann  
 239 equations.

240 The first requirement comes from the continuity equation

$$241 \quad \int S_g d\xi = 0. \quad (28a)$$

242 The second requirement emerges from the continuity equation and the momentum  
 243 equation

$$244 \quad \int \mathbf{c} S_g d\xi = \int \xi S_g d\xi = \mathbf{0}. \quad (28b)$$

245 The third requirement is used to modify the bulk viscosity in the viscous stress  
 246 term, and it is

$$247 \quad \int \xi \xi S_g d\xi = \int \mathbf{c} \mathbf{c} S_g d\xi = - \left( \chi - \frac{2(3 - D + K)}{D(K + 3)} \right) p (\nabla \cdot \mathbf{u}) \mathbf{I} - \frac{p \Lambda}{C_v T} \mathbf{I}. \quad (28c)$$

248 The fourth requirement follows from the energy equation and it is

$$249 \quad \int S_h d\xi = - \int \xi^2 S_g d\xi - 2\rho \Lambda. \quad (28d)$$

250 The fifth requirement is expressed as

$$251 \quad \int \mathbf{c} S_h d\xi = \frac{2(1-Pr) \mathbf{q}^{(NS)}}{\tau} - \int \mathbf{c} c^2 S_g d\xi, \quad (28e)$$

252 which is needed to modify the heat flux and thus the resulting Prandtl number.

253 As a result the design constraints, Eqs. (28a) – (28e), the model Boltzmann  
254 equation will yield the following NSF system

$$255 \quad \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0, \quad (29a)$$

256

$$257 \quad \frac{\partial (\rho \mathbf{u})}{\partial t} + \nabla \cdot (\rho \mathbf{u} \mathbf{u}) = -\nabla p + \nabla \cdot \boldsymbol{\sigma}^{(NS)} + \rho \mathbf{a} + \mathbf{O}(\tau^2), \quad (29b)$$

258

$$259 \quad \frac{\partial (\rho E)}{\partial t} + \nabla \cdot (\rho E \mathbf{u}) = \\ 260 \quad -\nabla \cdot \mathbf{q}^{(NS)} - \nabla \cdot (p \mathbf{u}) + \nabla \cdot (\boldsymbol{\sigma}^{(NS)} \cdot \mathbf{u}) + \rho \mathbf{a} \cdot \mathbf{u} - \rho \Lambda + \mathbf{O}(\tau^2). \quad (29c)$$

261 The equation for the internal energy can be obtained from Eqs. (29b) and (29c),  
262 which reads

$$263 \quad \rho C_v \frac{dT}{dt} = -p \nabla \cdot \mathbf{u} - \nabla \cdot \mathbf{q}^{(NS)} + \boldsymbol{\sigma}^{(NS)} : \mathbf{S} - \rho \Lambda + \mathbf{O}(\tau^2). \quad (30)$$

## 264 **6 A possible design of the two reduced source terms**

265 There are many possible ways to design the specific form of the two source terms. By  
266 applying the Hermite expansion to the two source terms, a new mesoscopic model  
267 with both adjustable Prandtl number and bulk viscosity is proposed next. Any  
268 reasonable design of the two source terms should satisfy the five basic requirements  
269 presented in Eqs. (28a) to (28e).

270 We assume that the source terms,  $S_g$  and  $S_h$ , are functions of the spatial location  
271  $\mathbf{x}$ , the particle velocity  $\boldsymbol{\xi}$  and the time  $t$ , *i.e.*,  $S_g = S_g(\mathbf{x}, \boldsymbol{\xi}, t)$ ,  $S_h = S_h(\mathbf{x}, \boldsymbol{\xi}, t)$ .

272 Due to the desire to keep the order of Gauss-Hermite quadrature as low as feasible

273 in the numerical implementation, we further require

$$274 \quad \int \xi \xi \xi S_g d\xi = \mathbf{0}. \quad (31)$$

275 By using Eqs. (28b) and (31), we have

$$276 \quad \int S_g \mathcal{H}^{(3)}(\xi, T_0) d\xi = \mathbf{0}. \quad (32)$$

277 The Eqs. (28a)–(28c) can also be written as

$$278 \quad \int S_g \mathcal{H}^{(0)}(\xi, T_0) d\xi = 0, \quad \int S_g \mathcal{H}^{(1)}(\xi, T_0) d\xi = \mathbf{0},$$

$$279 \quad \int S_g \mathcal{H}^{(2)}(\xi, T_0) d\xi = - \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) \frac{p \nabla \cdot \mathbf{u}}{RT_0} \mathbf{I} - \frac{p}{RT_0} \frac{\Lambda}{C_v T} \mathbf{I}, \quad (33)$$

280 where  $T_0$  is a reference temperature and the velocity are scaled with  $\sqrt{RT_0}$ .

281 Therefore, by using Eqs. (32) and (33) and keeping the Hermite expansion (see  
282 Appendix A) of the source term  $S_g$  up to the third-order, we obtain

$$283 \quad S_g(\mathbf{x}, \xi, t) = \frac{1}{2!} \omega(\xi, T_0) \mathbf{a}^{(2)}(\mathbf{x}, t) : \mathcal{H}^{(2)}(\xi, T_0)$$

$$284 \quad = -\omega(\xi, T_0) \left[ \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) \frac{p \nabla \cdot \mathbf{u}}{2RT_0} + \frac{p}{2RT_0} \frac{\Lambda}{C_v T} \right] \left( \frac{\xi^2}{RT_0} - D \right). \quad (34)$$

285 From Eqs. (28a)–(28c) and Eq. (31), we can obtain

$$286 \quad \int c c^2 S_g d\xi = (D+2) \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) p \mathbf{u} \nabla \cdot \mathbf{u} + (D+2) \frac{p \Lambda}{C_v T} \mathbf{u}. \quad (35)$$

287 Combination of Eqs. (28d), (28e) and (35) yields

$$288 \quad \int \xi S_h d\xi = \frac{2(1-Pr) \mathbf{q}^{(NS)}}{\tau} + \mathbf{u} \int S_h d\xi - \int c c^2 S_g d\xi$$

$$289 \quad = \frac{2(1-Pr) \mathbf{q}^{(NS)}}{\tau} - 2 \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) p \mathbf{u} \nabla \cdot \mathbf{u} - 2 \cdot \frac{K+5}{K+3} \rho \Lambda \mathbf{u}. \quad (36)$$

290 Therefore, we obtain

$$291 \quad \int S_h \mathcal{H}^{(1)}(\boldsymbol{\xi}, T_0) d\boldsymbol{\xi} = \frac{2(1-Pr)\mathbf{q}^{(NS)}}{\tau\sqrt{RT_0}} \\ 292 \quad -2\left(\chi - \frac{2(3-D+K)}{D(K+3)}\right) \frac{\mathbf{u}}{\sqrt{RT_0}} p \nabla \cdot \mathbf{u} - 2 \cdot \frac{K+5}{K+3} \frac{\mathbf{u}}{\sqrt{RT_0}} \rho \Lambda. \quad (37)$$

293 Eqs. (28c) and (28d) together imply that

$$294 \quad \int S_h \mathcal{H}^{(0)}(\boldsymbol{\xi}, T_0) d\boldsymbol{\xi} = D \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) p \nabla \cdot \mathbf{u} + D \frac{p\Lambda}{C_v T} - 2\rho\Lambda. \quad (38)$$

295 Combination of Eqs. (37) and (38) yields one design for the source term  $S_h$

$$296 \quad S_h = \omega(\boldsymbol{\xi}, T_0) \left[ \begin{array}{l} \frac{2(1-Pr)\mathbf{q}^{(NS)} \cdot \boldsymbol{\xi}}{\tau RT_0} + \left( D - 2 \frac{\mathbf{u} \cdot \boldsymbol{\xi}}{RT_0} \right) \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) p \nabla \cdot \mathbf{u} \\ - 2 \left( \frac{3-D+K}{K+3} + \frac{K+5}{K+3} \frac{\mathbf{u} \cdot \boldsymbol{\xi}}{RT_0} \right) \rho \Lambda \end{array} \right]. \quad (39)$$

297 Eqs. (34) and (39) provide one possible choice for the two source terms.

## 298 **7 An examination of three existing mesoscopic models in our** 299 **design framework**

### 300 7.1 The Shakhov model

301 In this section, we will prove that the well-known Shakhov model [21, 5] can be  
302 considered as a special example in our general framework. Through the Chapman-  
303 Enskog analysis, it can be verified that the ratio of bulk viscosity to shear viscosity in  
304 the Shakhov model is  $\mu_V/\mu = 2(1/D - 1/(K+3))$ . In addition, no cooling function is  
305 considered, *i.e.*,  $\Lambda = 0$ . Therefore, the five general requirements (Eqs. (28a) to (28e))  
306 for the two source terms can be reduced as

$$307 \quad \int S_g d\boldsymbol{\xi} = 0, \quad \int \mathbf{c} S_g d\boldsymbol{\xi} = \mathbf{0}, \quad \int \mathbf{c} \mathbf{c} S_g d\boldsymbol{\xi} = \mathbf{0}, \quad \int S_h d\boldsymbol{\xi} = 0, \quad (40a)$$

308

$$309 \quad \int \mathbf{c} \mathbf{c}^2 S_g d\boldsymbol{\xi} + \int \mathbf{c} S_h d\boldsymbol{\xi} = \frac{2(1-Pr)\mathbf{q}^{(NS)}}{\tau}. \quad (40b)$$

310 By using Eq. (40), the source term  $S_g$  can be assumed as

$$311 \quad S_g = \frac{1}{3!} \omega(\mathbf{c}, T) \mathbf{a}^{(3)}(\mathbf{x}, t) : \mathcal{H}^{(3)}(\mathbf{c}, T), \quad (41)$$

312 where  $\omega(\mathbf{c}, T)$  is the peculiar-velocity-based weighting function,  $\mathbf{a}^{(3)}(\mathbf{x}, t)$  is the  
313 coefficient and  $\mathcal{H}^{(3)}(\mathbf{c}, T)$  is the third order Hermite polynomial.

314 Note that  $\mathbf{a}^{(3)}(\mathbf{x}, t) \equiv \int S_g \mathcal{H}^{(3)}(\mathbf{c}, T) d\boldsymbol{\xi}$  is also symmetrical with respect to the  
315 components of  $\mathbf{c}$  because  $\mathcal{H}^{(3)}(\mathbf{c}, T)$  remains unchanged under the permutation  
316 operation, the simplest way is to assume that the coefficient  $\mathbf{a}^{(3)}$  takes the following  
317 form,

$$318 \quad a_{ijk}^{(3)} = A_i \delta_{jk} + A_j \delta_{ki} + A_k \delta_{ij}, \quad (42)$$

319 where  $\mathbf{A}(\mathbf{x}, t) = (A_1, A_2, A_3)$  is a vector coefficient to be determined.

320 Substitution of Eq. (42) into Eq. (41) gives

$$321 \quad S_g = \frac{1}{2} \omega(\mathbf{c}, T) A_i \mathcal{H}_{ijj}^{(3)}(\mathbf{c}, T) = \frac{1}{2} \omega(\mathbf{c}, T) \mathbf{A} \cdot \frac{\mathbf{c}}{\sqrt{RT}} \left( \frac{c^2}{RT} - D - 2 \right), \quad (43)$$

322 Moreover, it can be shown that

$$323 \quad \int \mathbf{c} c^2 S_g d\mathbf{c} = (D + 2) \mathbf{A} (RT)^{3/2}. \quad (44)$$

324 Similarly, the source term  $S_h$  can be designed as

$$325 \quad S_h = \omega(\mathbf{c}, T) \mathbf{B} \cdot \mathcal{H}^{(1)}(\mathbf{c}, T) + \frac{1}{2} \omega(\mathbf{c}, T) \mathbf{C} \cdot \frac{\mathbf{c}}{\sqrt{RT}} \left( \frac{c^2}{RT} - D - 2 \right). \quad (45)$$

326 Therefore, the coefficient  $\mathbf{A}$  and  $\mathbf{B}$  should satisfy the following relation,

$$327 \quad (D + 2)(RT)^{3/2} \mathbf{A} + \sqrt{RT} \mathbf{B} = \frac{2(1 - Pr) \mathbf{q}^{(NS)}}{\tau}, \quad (46)$$

328 and  $\mathbf{C}$  is a vector coefficient to be determined.

329 If the coefficients  $\mathbf{A}$ ,  $\mathbf{B}$  and  $\mathbf{C}$  are chosen specifically as

$$\begin{aligned}
 330 \quad \mathbf{A} &= \frac{2(1-Pr)\mathbf{q}^{(NS)}}{5\tau(RT)^{3/2}}, \quad \mathbf{B} = \frac{2(3-D)(1-Pr)\mathbf{q}^{(NS)}}{5\tau(RT)^{1/2}}, \\
 331 \quad \mathbf{C} &= \frac{2(3-D+K)(1-Pr)\mathbf{q}^{(NS)}}{5\tau(RT)^{1/2}}, \quad (47)
 \end{aligned}$$

332 then Eq. (46) is satisfied and the two source terms  $S_g$  and  $S_h$  are given as

$$333 \quad S_g = \frac{1-Pr}{\tau} \frac{\mathbf{c} \cdot \mathbf{q}^{(NS)}}{5pRT} \left( \frac{c^2}{RT} - D - 2 \right) g^{eq}, \quad (48a)$$

334

$$335 \quad S_h = \frac{1-Pr}{\tau} \frac{\mathbf{c} \cdot \mathbf{q}^{(NS)}}{5p} \left( (3-D+K) \left( \frac{c^2}{RT} - D \right) - 2K \right) g^{eq}. \quad (48b)$$

336 In the Shakhov model, the source term  $S_f$  corresponding to the original distribu-  
 337 tion function  $f$  is given by

$$338 \quad S_f = \frac{f^{Pr}}{\tau} = \frac{1-Pr}{\tau} \frac{\mathbf{c} \cdot \mathbf{q}^{(NS)}}{5pRT} \left( \frac{c^2 + \eta^2}{RT} - 5 \right) f^{eq}. \quad (49)$$

339 Substitution of Eq. (49) into Eq. (13) yields the same results given in Eqs. (48a) and (48b).

340 Therefore, the Shakhov model is indeed just a special design of the source terms in  
 341 our general framework.

## 342 7.2 The total energy double-distribution-function model

343 The total energy double-distribution-function model (TEDDF) is originally pro-  
 344 posed by Guo et al. [8] and then generalized by Liu et al. [26] to simulate thermal  
 345 compressible flows. The TEDDF model is briefly introduced as follows. From the  
 346 original particle distribution function  $f$ , two new distribution functions  $g$  and  $b$  are  
 347 introduced.

$$348 \quad g = \int f d\boldsymbol{\eta} d\boldsymbol{\zeta}, \quad b = \frac{1}{2} \int (\xi^2 + \eta^2 + \zeta^2) f d\boldsymbol{\eta} d\boldsymbol{\zeta}. \quad (50)$$



349 Therefore, this kinetic system can be expressed as

$$350 \quad \frac{\partial g}{\partial t} + \boldsymbol{\xi} \cdot \nabla g + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} g = \frac{g^{eq} - g}{\tau_g}, \quad (51)$$

351

$$352 \quad \frac{\partial b}{\partial t} + \boldsymbol{\xi} \cdot \nabla b + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} b = \frac{b^{eq} - b}{\tau_b} + \left( \boldsymbol{\xi} \cdot \mathbf{u} - \frac{1}{2} u^2 \right) \frac{g - g^{eq}}{\tau_{bg}} + g \boldsymbol{\xi} \cdot \mathbf{a}, \quad (52)$$

353 where the relaxation times are computed as

$$354 \quad \tau = \tau_g = \mu/p, \quad \tau_b = \tau_g/Pr, \quad \tau_{bg} = \tau_b \tau_g / (\tau_g - \tau_b). \quad (53)$$

355 Through the Chapman-Enskog expansion, the bulk viscosity of this model is

$$356 \quad \mu_V = \left( \frac{2}{D} - \frac{2}{K+3} \right) \mu, \quad (54)$$

357 The equilibrium  $g^{eq}$  is the same as that in Eq. (14a). With  $h^{eq}$  defined in Eq. (14b),  
358 the equilibrium  $b^{eq}$  can be written as

$$359 \quad b^{eq} = \frac{1}{2} (\xi^2 g^{eq} + h^{eq}). \quad (55)$$

360 From Eq. (51), we find that the definition of  $g$  is the same as that in Eq. (10) and  
361 the relation  $2b = \xi^2 g + h$  holds. The expressions for the hydrodynamic variables are  
362 the same as those given in Eqs. (17) and (18). By using the reduced distribution  
363 functions introduced in Eq. (10), the kinetic system Eqs. (51) and (52) can be  
364 rewritten as

$$365 \quad \frac{\partial g}{\partial t} + \boldsymbol{\xi} \cdot \nabla g + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} g = \Omega_g, \quad (56a)$$

366

$$367 \quad \frac{\partial h}{\partial t} + \boldsymbol{\xi} \cdot \nabla h + \mathbf{a} \cdot \nabla_{\boldsymbol{\xi}} h = \Omega_h - (1 - Pr) (c^2 \Omega_g + \Omega_h). \quad (56b)$$

368 Therefore, the two source terms are

$$369 \quad S_g = 0, \quad S_h = -(1 - Pr)(c^2\Omega_g + \Omega_h). \quad (57)$$

370 Eq. (57) indicates that the source terms in the TEDDF model is designed based on  
371 the collision operators.

372 By noticing that the conservation law for the internal energy,

$$373 \quad \int (c^2\Omega_g + \Omega_h)d\xi = 0, \quad (58)$$

374 and the heat flux can be expressed as the moments of the collision operators,

$$375 \quad \mathbf{q} = -\frac{1}{2}\tau \int \mathbf{c}(c^2\Omega_g + \Omega_h)d\xi, \quad (59)$$

376 we find that the five general conditions given by Eqs. (28a) – (28e) are satisfied.

377 Therefore, we conclude that the TEDDF model is also a special design of the two  
378 source terms. Although two relaxation times are used to modify the Prandtl number,  
379 the TEDDF model is equivalent to a mesoscopic model with a single relaxation time.

### 380 7.3 The Rykov model

381 The well-known Rykov model for diatomic gases with rotational degrees of freedom  
382 is originally obtained by Rykov [9, 10]. Recently, Wu *et al.* [11] has generalized this  
383 model to polyatomic gases. The elastic and non-elastic collision processes are con-  
384 sidered respectively in this model. By integrating the particle distribution function  
385  $f$  with respect to the rotational energy  $e$ , the following two-equation kinetic system  
386 can be established.

$$387 \quad \frac{\partial f_0}{\partial t} + \boldsymbol{\xi} \cdot \nabla f_0 = \frac{1}{\tau Z} (f_0^r - f_0) + \frac{1}{\tau} \left(1 - \frac{1}{Z}\right) (f_0^t - f_0), \quad (60a)$$

388

$$389 \quad \frac{\partial f_1}{\partial t} + \boldsymbol{\xi} \cdot \nabla f_1 = \frac{1}{\tau Z} (f_1^r - f_1) + \frac{1}{\tau} \left(1 - \frac{1}{Z}\right) (f_1^t - f_1), \quad (60b)$$

390 where the equilibrium distribution functions corresponding to the elastic and  
 391 nonelastic processes are

$$392 \quad f_0^r = f_M(T) [1 - \omega_0 \mathbf{q}^t \cdot \mathbf{a}(T)], \quad f_0^t = f_M(T_t) [1 - \mathbf{q}^t \cdot \mathbf{a}(T_t)], \quad (61a)$$

393

$$394 \quad f_1^r = RT f_M(T) \left[ 1 - \omega_0 \mathbf{q}^t \cdot \mathbf{a}(T) + (1 - \delta) \omega_1 \frac{\mathbf{q}^r \cdot \mathbf{c}}{pRT} \right]$$

$$395 \quad = RT f_0^r + \omega_1 RT f_M(T) (1 - \delta) \frac{\mathbf{q}^r \cdot \mathbf{c}}{pRT}, \quad (61b)$$

396

$$397 \quad f_1^t = RT_r f_M(T_t) \left[ 1 - \mathbf{q}^t \cdot \mathbf{a}(T_t) + (1 - \delta) \frac{\mathbf{q}^r \cdot \mathbf{c}}{p_t RT_r} \right]$$

$$398 \quad = RT_r f_0^t + RT_r f_M(T_t) (1 - \delta) \frac{\mathbf{q}^r \cdot \mathbf{c}}{p_t RT_r}, \quad (61c)$$

399 with

$$400 \quad f_M(T) = \frac{\rho}{(2\pi RT)^{3/2}} \exp\left(-\frac{c^2}{2RT}\right), \quad \mathbf{a}(T) = \frac{2}{15} \frac{\mathbf{c}}{pRT} \left(\frac{5}{2} - \frac{c^2}{2RT}\right). \quad (61d)$$

401 Here  $f_0$  is the velocity distribution function and  $f_1$  is the distribution for rotational  
 402 energy.  $f_0^t$  and  $f_0^r$  denote the equilibrium distributions of the elastic and nonelastic  
 403 collision processes for  $f_0$ , respectively. Similarly,  $f_1^t$  and  $f_1^r$  denote the equilibrium  
 404 distributions of the elastic and nonelastic collision processes for  $f_1$ , respectively.  $f_M$   
 405 is the Maxwellian equilibrium distribution function.  $T_t$  is the translational temper-  
 406 ature corresponding to the translational degrees of freedom of particles,  $T_r$  is the  
 407 rotational temperature corresponding to the rotational degrees of freedom,  $T$  is the  
 408 total temperature in the local equilibrium state.  $\mathbf{q}^t$  is the translational heat flux  
 409 and  $\mathbf{q}^r$  is the rotational heat flux. The total heat flux is decomposed as  $\mathbf{q} = \mathbf{q}^t + \mathbf{q}^r$ .

410 The relaxation time  $\tau$  is related to the shear viscosity  $\mu$  and pressure  $p$  through the  
 411 relation  $\tau = \mu/p$  with  $p = \rho RT$ . Physically, the relaxation time  $\tau$  is related to the  
 412 translational temperature  $T_t$  instead of the rotational temperature  $T_r$ . Therefore,  
 413 in analogy to the case of a monatomic gas, the following assumption is used,

$$414 \quad \tau = \mu_t/p_t, \quad \mu_t = \mu(T_t), \quad p_t = \rho RT_t, \quad p_r = \rho RT_r. \quad (62)$$

415  $Z$  indicates the ratio of the total number of translational and rotational collisions  
 416 to that of rotational collisions. We will realize that  $Z$  is proportional to the ratio  
 417 of bulk to shear viscosity  $\chi$  and thus provides a reasonable physical interpretation  
 418 for the origin of bulk viscosity  $\mu_V$ .  $\delta = (\mu_t/\rho) D$ , where  $D$  is the gas self-diffusion  
 419 coefficient.  $\omega_0$  and  $\omega_1$  are two parameters which can be selected to achieve proper  
 420 relaxation of the heat fluxes.

421 The hydrodynamic variables are defined by the following relationships.

$$\begin{aligned}
 422 \quad \rho &= \int f_0 d\xi, \quad \rho \mathbf{u} = \int \xi f_0 d\xi, \\
 423 \quad \frac{3}{2} \rho RT_t &= \frac{1}{2} \int c^2 f_0 d\xi, \quad \rho RT_r = \int f_1 d\xi, \\
 424 \quad \frac{5}{2} \rho RT &= \frac{3}{2} \rho RT_t + \rho RT_r = \frac{1}{2} \int (c^2 f_0 + 2f_1) d\xi, \\
 425 \quad \mathbf{q}^t &= \int \frac{1}{2} c c^2 f_0 d\xi, \quad \mathbf{q}^r = \int c f_1 d\xi, \\
 426 \quad \mathbf{q} &= \mathbf{q}^t + \mathbf{q}^r = \frac{1}{2} \int c (c^2 f_0 + 2f_1) d\xi. \tag{63}
 \end{aligned}$$

427 In order to use our general results, we first notice  $D = 3$ ,  $K = 2$ ,  $\Lambda = 0$  in this  
 428 case. Then we introduce two new distribution functions,  $g = f_0$  and  $h = 2f_1$ .

429 Two new collision operators are defined as

$$430 \quad \Omega_g = \frac{1}{\tau} [f_M(T) - g], \quad \Omega_h = \frac{1}{\tau} [2RT f_M(T) - h]. \tag{64}$$

431 Two new source terms are given by

$$\begin{aligned}
 432 \quad S_g &= \frac{1}{\tau} \left[ \frac{1}{Z} f_0^r + \left( 1 - \frac{1}{Z} \right) f_0^t - f_M(T) \right], \\
 433 \quad S_h &= \frac{1}{\tau} \left[ \frac{2}{Z} f_1^r + 2 \left( 1 - \frac{1}{Z} \right) f_1^t - 2RT f_M(T) \right]. \tag{65}
 \end{aligned}$$

434 The expressions for the hydrodynamic variables in Eq. (63) can be rewritten in  
 435 terms of  $g$  and  $h$ , which are found to be the same as Eqs. (17) and (18). From  
 436 Eqs. (63) and (64), we confirm that the newly defined collision operators,  $\Omega_g$  and  
 437  $\Omega_h$ , still satisfy the conservative requirements in Eq. (15). Furthermore, it can be  
 438 shown that  $S_g$  and  $S_h$  indeed satisfy five basic requirements in Eqs. (28a) – (28e).  
 439 The details of proof are provided in Appendix E in which we can confirm that the

440 ratio of bulk to shear viscosity  $\chi$  is determined by the collision ratio  $Z$  through  
 441  $\chi = 4Z/15$ .

442 In addition, the Prandtl number can be identified as

$$443 \quad Pr = \frac{7R\mu}{2(\kappa^t + \kappa^r)} = \frac{\mu C_p}{\kappa}, \quad (66)$$

444 where the translational and rotational thermal conductivity coefficients  $\kappa^t$  and  $\kappa^r$  as  
 445 well as the total thermal conductivity coefficient are shown to be

$$446 \quad \kappa^t = \frac{15R\mu_t}{4A}, \quad A = 1 + 0.5 \frac{1 - \omega_0}{Z},$$

$$447 \quad \kappa^r = \frac{R\mu_t}{B}, \quad B = \delta + \frac{1}{Z} (1 - \delta) (1 - \omega_1),$$

$$448 \quad \kappa = \kappa^t + \kappa^r. \quad (67)$$

449 Therefore, we conclude that, from an inverse-design viewpoint, the Rykov model  
 450 is also compatible with our general design framework. One of the advantages of the  
 451 Rykov model is that the hydrodynamic variables being relevant to the translational  
 452 and rotational processes can be investigated separately by considering the elastic  
 453 and non-elastic collision processes. In comparison, the total hydrodynamic flow  
 454 variables can still be evaluated correctly in our inverse-design new mesoscopic model  
 455 through the adjustable parameters.

## 456 **8 Implementation of macroscopic hydrodynamic and** 457 **thermodynamic boundary conditions**

458 When numerically implementing mesoscopic methods based on a model Boltzmann  
 459 equation, a challenge is to properly determine the unknown distribution functions  
 460 near a solid boundary, such that the resulting scheme is fully consistent with the  
 461 NSF system near the boundary. Since the NSF system is derived from the Chapman-  
 462 Enskog expansion up to  $O(\tau)$ , it follows that the proper implementation of the  
 463 boundary condition should be based on a consistent application of the Chapman-  
 464 Enskog expansion up to  $O(\tau)$ . In the literature, this requirement is often not checked  
 465 and thus not met rigorously, leading to degradation of the accuracy of a mesoscopic  
 466 method. Furthermore, for thermal or compressible flows, as will be shown below,  
 467 the implementations of velocity and temperature boundary conditions, at the level

468 of the distribution functions, could be coupled. Source terms could also affect the  
 469 implementation details. Such fine points are not fully realized in the literature.  
 470 Below we shall explore the relations between the components of the distribution  
 471 functions (typically the distribution functions between two opposite particle velocity  
 472 directions after the particle velocity space is discretized).

473 By using the relation  $\mathbf{c} = \boldsymbol{\xi} - \mathbf{u}$ , the expression for  $G_1(\boldsymbol{\xi})$ ,  $G_2(\boldsymbol{\xi})$ ,  $G_3(\boldsymbol{\xi})$  and  $\Phi_1(\boldsymbol{\xi})$   
 474 in Eqs. (24a) – (24c) and (26) can be rewritten in terms of the particle velocity  $\boldsymbol{\xi}$ .

$$475 \quad G_1(\boldsymbol{\xi}) = G_{11}(\boldsymbol{\xi}) + G_{12}(\boldsymbol{\xi}), \quad (68a)$$

476 where

$$477 \quad G_{11}(\boldsymbol{\xi}) = \left( \frac{\xi^2 \boldsymbol{\xi}}{2RT} - \frac{D+2}{2} \boldsymbol{\xi} + \frac{\boldsymbol{\xi} \cdot \mathbf{u} \mathbf{u}}{RT} + \frac{u^2 \boldsymbol{\xi}}{2RT} \right) \cdot \left( \frac{1}{T} \boldsymbol{\nabla} T \right), \quad (68b)$$

478

$$479 \quad G_{12}(\boldsymbol{\xi}) = \left( -\frac{u^2 \mathbf{u}}{2RT} + \frac{D+2}{2} \mathbf{u} - \frac{\mathbf{u} \cdot \boldsymbol{\xi} \boldsymbol{\xi}}{RT} - \frac{\xi^2 \mathbf{u}}{2RT} \right) \cdot \left( \frac{1}{T} \boldsymbol{\nabla} T \right). \quad (68c)$$

480

$$481 \quad G_2(\boldsymbol{\xi}) = G_{21}(\boldsymbol{\xi}) + G_{22}(\boldsymbol{\xi}), \quad (69a)$$

482 where

$$483 \quad G_{21}(\boldsymbol{\xi}) = 2 \left( -\frac{\boldsymbol{\xi} \cdot \mathbf{S} \cdot \mathbf{u}}{RT} + \frac{1}{K+3} \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT} \boldsymbol{\nabla} \cdot \mathbf{u} \right), \quad (69b)$$

484

$$485 \quad G_{22}(\boldsymbol{\xi}) = \frac{\boldsymbol{\xi} \cdot \mathbf{S} \cdot \boldsymbol{\xi}}{RT} + \frac{\mathbf{u} \cdot \mathbf{S} \cdot \mathbf{u}}{RT} - \frac{1}{K+3} \left( \frac{\xi^2 + u^2}{RT} + 3 - D + K \right) \boldsymbol{\nabla} \cdot \mathbf{u}. \quad (69c)$$

486

$$487 \quad G_3(\boldsymbol{\xi}) = G_{31}(\boldsymbol{\xi}) + G_{32}(\boldsymbol{\xi}), \quad (70a)$$

488 where

$$489 \quad G_{31}(\boldsymbol{\xi}) = \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT} \frac{\Lambda}{C_v T}, \quad (70b)$$

490

$$491 \quad G_{32}(\boldsymbol{\xi}) = \left( -\frac{\xi^2 + u^2}{2RT} + \frac{D}{2} \right) \frac{\Lambda}{C_v T}. \quad (70c)$$

492

$$493 \quad \Phi_1(\boldsymbol{\xi}) = \Phi_{11}(\boldsymbol{\xi}) + \Phi_{12}(\boldsymbol{\xi}), \quad (71a)$$

494 where

$$495 \quad \Phi_{11}(\boldsymbol{\xi}) = \boldsymbol{\xi} \cdot \left( \frac{1}{T} \nabla T \right), \quad (71b)$$

496

$$497 \quad \Phi_{12}(\boldsymbol{\xi}) = -\mathbf{u} \cdot \left( \frac{1}{T} \nabla T \right) - \frac{2}{K+3} \nabla \cdot \mathbf{u} - \frac{\Lambda}{C_v T}. \quad (71c)$$

498 Obviously, we have  $G_{i1}(\boldsymbol{\xi}) = -G_{i1}(-\boldsymbol{\xi})$ ,  $G_{i2}(\boldsymbol{\xi}) = G_{i2}(-\boldsymbol{\xi})$  and  $\Phi_{11}(\boldsymbol{\xi}) =$   
 499  $-\Phi_{11}(-\boldsymbol{\xi})$ ,  $\Phi_{12}(\boldsymbol{\xi}) = \Phi_{12}(-\boldsymbol{\xi})$ , ( $i = 1, 2, 3$ ).

500 From Eqs. (27a) and (27b), we have

$$501 \quad \phi(\boldsymbol{\xi}) = (A_\phi(\boldsymbol{\xi}) - B_\phi(\boldsymbol{\xi}))\phi^{eq}(\boldsymbol{\xi}) + \tau S_\phi(\boldsymbol{\xi}) + O(\tau^2), \quad (72a)$$

502

$$503 \quad \phi(-\boldsymbol{\xi}) = (A_\phi(\boldsymbol{\xi}) + B_\phi(\boldsymbol{\xi}))\phi^{eq}(-\boldsymbol{\xi}) + \tau S_\phi(-\boldsymbol{\xi}) + O(\tau^2), \quad (72b)$$

504 where  $\phi = g$  or  $h$ . Obviously, the coefficients satisfy the relations  $A_\phi(\boldsymbol{\xi}) = A_\phi(-\boldsymbol{\xi})$   
 505 and  $B_\phi(\boldsymbol{\xi}) = -B_\phi(-\boldsymbol{\xi})$ . They can be expressed explicitly as follows,

$$506 \quad A_g(\boldsymbol{\xi}) = 1 - \tau G_{12}(\boldsymbol{\xi}) - \tau G_{22}(\boldsymbol{\xi}) - \tau G_{32}(\boldsymbol{\xi}), \quad (73a)$$

507

$$B_g(\boldsymbol{\xi}) = \tau G_{11}(\boldsymbol{\xi}) + \tau G_{21}(\boldsymbol{\xi}) + \tau G_{31}(\boldsymbol{\xi}), \quad (73b)$$

509

$$A_h(\boldsymbol{\xi}) = 1 - \tau G_{12}(\boldsymbol{\xi}) - \tau G_{22}(\boldsymbol{\xi}) - \tau G_{32}(\boldsymbol{\xi}) - \tau \Phi_{12}(\boldsymbol{\xi}), \quad (73c)$$

511

$$B_h(\boldsymbol{\xi}) = \tau G_{11}(\boldsymbol{\xi}) + \tau G_{21}(\boldsymbol{\xi}) + \tau G_{31}(\boldsymbol{\xi}) + \tau \Phi_{11}(\boldsymbol{\xi}). \quad (73d)$$

513 If the particle distribution function  $\phi(-\boldsymbol{\xi})$  is already known, then the particle  
 514 distribution function  $\phi(\boldsymbol{\xi})$  in the opposite direction can be obtained in the following  
 515 way. From Eqs. (72a) and (72b), we obtain a generalized bounce back scheme

$$\begin{aligned} & \phi(\boldsymbol{\xi}) - \beta \phi(-\boldsymbol{\xi}) \\ &= A_\phi(\boldsymbol{\xi})(\phi^{eq}(\boldsymbol{\xi}) - \beta \phi^{eq}(-\boldsymbol{\xi})) - B_\phi(\boldsymbol{\xi})(\phi^{eq}(\boldsymbol{\xi}) + \beta \phi^{eq}(-\boldsymbol{\xi})) \\ &+ \tau(S_\phi(\boldsymbol{\xi}) - \beta S_\phi(-\boldsymbol{\xi})) + O(\tau^2), \end{aligned} \quad (74)$$

519 where  $\beta$  is a coefficient to be determined. Specially, we can choose  $\beta = 1$  or  $\beta = -1$   
 520 in real implementation. For this purpose, we have to evaluate the sum or difference  
 521 of the equilibriums and source terms.

522 From the Hermite expansion of the equilibrium distribution functions given in  
 523 Appendix A, we have

$$\begin{aligned} & g^{eq}(\boldsymbol{\xi}) + g^{eq}(-\boldsymbol{\xi}) = 2\rho\omega(\boldsymbol{\xi}, T_0) \times \\ & \left\{ \begin{aligned} & 1 + \frac{1}{2} \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 - \frac{u^2}{RT_0} + (\theta - 1) \left( \frac{\xi^2}{RT_0} - D \right) \right] \\ & \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^4 - 6 \frac{u^2}{RT_0} \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 + 3 \left( \frac{u^2}{RT_0} \right)^2 \right. \\ & \left. + \frac{1}{24} \left[ + 6(\theta - 1) \left[ \left( \frac{\xi^2}{RT_0} - D - 4 \right) \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 + \frac{u^2}{RT_0} \left( D + 2 - \frac{\xi^2}{RT_0} \right) \right] \right. \right. \\ & \left. \left. + 3(\theta - 1)^2 \left[ \left( \frac{\xi^2}{RT_0} \right)^2 - 2(D + 2) \frac{\xi^2}{RT_0} + D(D + 2) \right] \right] \right\} \\ & + \text{high order terms}, \end{aligned} \right. \quad (75a)$$

526



$$\begin{aligned}
527 \quad & g^{eq}(\boldsymbol{\xi}) - g^{eq}(-\boldsymbol{\xi}) = 2\rho\omega(\boldsymbol{\xi}, T_0) \times \\
528 \quad & \left\{ \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} + \frac{1}{6} \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 - 3 \frac{u^2}{RT_0} + 3(\theta - 1) \left( \frac{\xi^2}{RT_0} - D - 2 \right) \right] \right\} \\
529 \quad & + \text{high order terms.} \tag{75b}
\end{aligned}$$

530

$$\begin{aligned}
531 \quad & h^{eq}(\boldsymbol{\xi}) + h^{eq}(-\boldsymbol{\xi}) \\
532 \quad & = 2\omega(\boldsymbol{\xi}, T_0)(3 - D + K)\rho RT \times \\
533 \quad & \left\{ 1 + \frac{1}{2} \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 - \frac{u^2}{RT_0} + (\theta - 1) \left( \frac{\xi^2}{RT_0} - D \right) \right] \right\} \\
534 \quad & + \text{high order terms,} \tag{75c}
\end{aligned}$$

535

$$\begin{aligned}
536 \quad & h^{eq}(\boldsymbol{\xi}) - h^{eq}(-\boldsymbol{\xi}) \\
537 \quad & = 2\omega(\boldsymbol{\xi}, T_0)(3 - D + K)\rho RT \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right) + \text{high order terms,} \tag{75d}
\end{aligned}$$

538 where  $\theta = T/T_0$  represents the temperature normalized by a reference temperature  
539  $T_0$ .

540 The sum and difference of the source terms depend on the specific form used. For  
541  $S_g$  given in Eq. (34) and  $S_h$  given in Eq. (39), we have

$$\begin{aligned}
542 \quad & S_g(\boldsymbol{\xi}) + S_g(-\boldsymbol{\xi}) \\
543 \quad & = -2\omega(\boldsymbol{\xi}, T_0) \left[ \left( \chi - \frac{2(3 - D + K)}{D(K + 3)} \right) \frac{p\nabla \cdot \mathbf{u}}{2RT_0} + \frac{p}{2RT_0} \frac{\Lambda}{C_v T} \right] \left( \frac{\xi^2}{RT_0} - D \right), \tag{76a}
\end{aligned}$$

544

$$545 \quad S_g(\boldsymbol{\xi}) - S_g(-\boldsymbol{\xi}) = 0, \tag{76b}$$

546

$$\begin{aligned}
547 \quad & S_h(\boldsymbol{\xi}) + S_h(-\boldsymbol{\xi}) \\
548 \quad & = \omega(\boldsymbol{\xi}, T_0) \left[ 2D \left( \chi - \frac{2(3 - D + K)}{D(K + 3)} \right) p\nabla \cdot \mathbf{u} - \frac{4(3 - D + K)}{K + 3} \rho\Lambda \right], \tag{76c}
\end{aligned}$$

549

$$\begin{aligned}
& S_h(\boldsymbol{\xi}) - S_h(-\boldsymbol{\xi}) \\
& = \omega(\boldsymbol{\xi}, T_0) \left[ \begin{array}{l} \frac{4(1 - Pr)\mathbf{q}^{(NS)} \cdot \boldsymbol{\xi}}{\tau RT_0} - 4 \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \left( \chi - \frac{2(3 - D + K)}{D(K + 3)} \right) p \nabla \cdot \mathbf{u} \\ - 4 \frac{K + 5}{K + 3} \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \rho \Lambda \end{array} \right]. \quad (76d)
\end{aligned}$$

552 Consider the three-dimensional ( $D = 3$ ) isothermal flow in the incompressible  
553 limit with constant temperature  $T_0$ . The internal degree of freedom is  $K = 0$  and  
554 the bulk viscosity is  $\mu_V = 0$ . No thermal cooling function is applied, *i.e.*  $\Lambda = 0$ . The  
555 source term  $S_g = 0$ . Then the Eq. (74) with  $\phi = g$  and  $\beta = 1$  can be simplified as

$$\begin{aligned}
& g(\boldsymbol{\xi}) - g(-\boldsymbol{\xi}) \\
& = 2\omega(\boldsymbol{\xi}, T_0)\rho \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right) + \frac{1}{3}\omega(\boldsymbol{\xi}, T_0)\rho \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right) \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 - 3 \frac{u^2}{RT_0} \right] \\
& + 4\omega(\boldsymbol{\xi}, T_0)\rho \frac{\mathbf{u} \cdot (\tau \mathbf{S}) \cdot \boldsymbol{\xi}}{RT_0} - 2\omega(\boldsymbol{\xi}, T_0)\rho \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right) \frac{\boldsymbol{\xi} \cdot (\tau \mathbf{S}) \cdot \boldsymbol{\xi}}{RT_0} \\
& + 2\omega(\boldsymbol{\xi}, T_0)\rho \tau (\nabla \cdot \mathbf{u}) \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right) \\
& + O(\tau Ma^4) + O(Ma^4) + O(\tau^2) + O(\tau^2 Ma^2). \quad (77)
\end{aligned}$$

561 In the lattice Boltzmann method [17], we first introduce a transformation as

$$\tilde{g}(\mathbf{x}, \boldsymbol{\xi}, t) = g(\mathbf{x}, \boldsymbol{\xi}, t) + \frac{\Delta t}{2\tau} (g(\mathbf{x}, \boldsymbol{\xi}, t) - g^{eq}(\mathbf{x}, \boldsymbol{\xi}, t)) - \frac{\Delta t}{2} \frac{\mathbf{a} \cdot \mathbf{c}}{RT_0} g^{eq}(\mathbf{x}, \boldsymbol{\xi}, t), \quad (78)$$

563 where  $\Delta t$  is the time step.

564 Next, in order to use the Gauss-Hermite quadrature for the evaluation of the  
565 integrals, we introduce another transformation as,

$$\tilde{g}(\mathbf{x}, \boldsymbol{\xi}_\alpha, t) = \frac{W(\boldsymbol{\xi}_\alpha)}{\omega(\boldsymbol{\xi}_\alpha, T_0)} \tilde{g}(\mathbf{x}, \boldsymbol{\xi}_\alpha, t), \quad (79)$$

567 where  $\alpha$  denotes the directions of the discrete velocities  $\boldsymbol{\xi}_\alpha$  and  $W_\alpha$  denotes the  
568 corresponding weight.

569 After some reorganization, the final result is

$$\begin{aligned}
570 & \tilde{g}(\mathbf{x}, \boldsymbol{\xi}_\alpha, t) - \tilde{g}(\mathbf{x}, -\boldsymbol{\xi}_\alpha, t) \\
571 & = 2\rho W_\alpha \frac{(\mathbf{u} - \frac{\Delta t}{2}\mathbf{a}) \cdot \boldsymbol{\xi}_\alpha}{RT_0} + \frac{1}{3}\rho W_\alpha \left( \frac{\boldsymbol{\xi}_\alpha \cdot \mathbf{u}}{RT_0} \right) \left[ \left( \frac{\boldsymbol{\xi}_\alpha \cdot \mathbf{u}}{RT_0} \right)^2 - 3 \frac{u^2}{RT_0} \right] \\
572 & + \frac{2\tau + \Delta t}{2\tau} \rho W_\alpha \left[ 4 \frac{\mathbf{u} \cdot (\boldsymbol{\tau}\mathbf{S}) \cdot \boldsymbol{\xi}_\alpha}{RT_0} - 2 \left( \frac{\boldsymbol{\xi}_\alpha \cdot \mathbf{u}}{RT_0} \right) \frac{\boldsymbol{\xi}_\alpha \cdot (\boldsymbol{\tau}\mathbf{S}) \cdot \boldsymbol{\xi}_\alpha}{RT_0} \right] \\
& \quad \left[ + 2\tau(\boldsymbol{\nabla} \cdot \mathbf{u}) \left( \frac{\boldsymbol{\xi}_\alpha \cdot \mathbf{u}}{RT_0} \right) \right] \\
573 & - \frac{\Delta t}{2} \rho W_\alpha \frac{\mathbf{a} \cdot \boldsymbol{\xi}_\alpha}{RT_0} \left[ \left( \frac{\boldsymbol{\xi}_\alpha \cdot \mathbf{u}}{RT_0} \right)^2 - \frac{u^2}{RT_0} \right] + \Delta t \rho W_\alpha \frac{\mathbf{a} \cdot \mathbf{u}}{RT_0} \frac{\boldsymbol{\xi}_\alpha \cdot \mathbf{u}}{RT_0} \\
574 & + O(\tau Ma^4) + O(Ma^4) + O(\tau^2) + O(\tau^2 Ma^2). \tag{80}
\end{aligned}$$

575 If we only keep the first term in Eq. (80), we can obtain

$$576 \quad \tilde{g}(\mathbf{x}, \boldsymbol{\xi}_\alpha, t) - \tilde{g}(\mathbf{x}, -\boldsymbol{\xi}_\alpha, t) = 2\rho W_\alpha \frac{(\mathbf{u} - \frac{\Delta t}{2}\mathbf{a}) \cdot \boldsymbol{\xi}_\alpha}{RT_0} + O(\tau^2, \tau Ma, Ma^2). \tag{81}$$

577 We note that the body force enters the implementation of the bounce-back scheme,  
578 which is not well documented in the literature. Furthermore, it must be cautioned  
579 that Eq. (81) is not fully consistent with the Chapman-Enskog expansion of the  
580 NSF system as the  $O(\tau)$  terms in Eq. (80) are not included. Luckily, in the special  
581 case of no-slip boundary  $\mathbf{u} = \mathbf{0}$ , the  $O(\tau)$  terms in Eq. (80) will disappear. Note  
582 that the source term and velocity could all enter the implementation of the thermal  
583 boundary conditions.

## 584 **9 High-order structure of the distribution functions**

585 The NSF equations, which are based on the continuum hypothesis, have been widely  
586 used in understanding flow behaviors in many natural and engineering problems.  
587 However, in some cases such as microchannel flows [27], compressible turbulence [28]  
588 and space vehicles in low earth orbits [29], the local Knudsen number may be finite  
589 such that the flow may lie in the continuum-transition regime locally. Therefore,  
590 the NSF equations are not adequate to capture the finite Knudsen number effect  
591 while the Boltzmann equation can describe the flows in all  $Kn$  number regimes.

592 In order to quantitatively estimate the departure from the local thermodynamic  
593 equilibrium and study the extended hydrodynamics, the second-order Chapman-

594 Enskog expansion of the particle distribution function is desired, which results in  
 595 the so-called "Burnett equations" [30]. The Burnett equations have been derived  
 596 from the original Boltzmann equation by applying the Chapman-Enskog expansion  
 597 [1] or the Grad's 13 momentum equations [31] by the iteration approach [32].  
 598 However, these theoretical results are seldom compared with those using the single-  
 599 relaxation-time BGK model. In addition, detailed derivations are less reported or  
 600 the final results are not presented in a general form. In this section, we will de-  
 601 rive the structure of the distribution functions up to the order  $O(\tau^2)$ . Then, the  
 602 complete analytical expressions for the viscous stress tensor and the heat flux are  
 603 obtained in the subsequent sections. Furthermore, by comparing our results with  
 604 those from Grad's 13 momentum equations, it is found that the mathematical form  
 605 of the viscous stress tensor and the heat flux can be fully determined in the single-  
 606 relaxation-time BGK model. The difference from the literature in the coefficients  
 607 could be attributed to different relaxation rates to the local equilibrium for different  
 608 moments used in the literature.

609 By using the Eqs. (29a), (29b) and (30), we obtain the expression for  $Dg^{eq}/Dt$   
 610 and  $Dh^{eq}/Dt$  to the order of  $O(\tau)$ ,

$$611 \quad \frac{Dg^{eq}}{Dt} = (G + L)g^{eq} + O(\tau^2), \quad \frac{Dh^{eq}}{Dt} = (G + \Phi_1 + L + \Phi_2)h^{eq} + O(\tau^2), \quad (82)$$

612 where  $G$  and  $\Phi_1$  have been given above,  $L$  and  $\Phi_2$  are given as

$$613 \quad L = \frac{\mathbf{c}}{\rho RT} \cdot (\nabla \cdot \boldsymbol{\sigma}^{(NS)}) + \left( \frac{c^2}{2RT} - \frac{D}{2} \right) \frac{1}{\rho C_v T} \left( \boldsymbol{\sigma}^{(NS)} : \mathbf{S} - \nabla \cdot \mathbf{q}^{(NS)} \right), \quad (83a)$$

614

$$615 \quad \Phi_2 = \frac{1}{\rho C_v T} \left( \boldsymbol{\sigma}^{(NS)} : \mathbf{S} - \nabla \cdot \mathbf{q}^{(NS)} \right). \quad (83b)$$

616 By applying the Chapman-Enskog expansion, we can obtain the structure of the  
617 particle distribution function as

$$\begin{aligned}
 618 \quad g &= g^{eq} - \tau \frac{Dg^{eq}}{Dt} + \tau \frac{D}{Dt} \left( \tau \frac{Dg^{eq}}{Dt} \right) + \tau S_g - \tau \frac{D(\tau S_g)}{Dt} + O(\tau^3) \\
 619 \quad &= (1 - \tau G)g^{eq} + \tau S_g \\
 620 \quad &+ \left( -\tau L + \tau \frac{D(\tau G)}{Dt} + \tau^2 G^2 \right) g^{eq} - \tau \frac{D(\tau S_g)}{Dt} + O(\tau^3), \quad (84a)
 \end{aligned}$$

621

$$\begin{aligned}
 622 \quad h &= h^{eq} - \tau \frac{Dh^{eq}}{Dt} + \tau \frac{D}{Dt} \left( \tau \frac{Dh^{eq}}{Dt} \right) + \tau S_h - \tau \frac{D(\tau S_h)}{Dt} + O(\tau^3) \\
 623 \quad &= (1 - \tau G - \tau \Phi_1) h^{eq} + \tau S_h - \tau (L + \Phi_2) h^{eq} + \tau \frac{D[\tau (G + \Phi_1)]}{Dt} h^{eq} \\
 624 \quad &+ \tau^2 (G + \Phi_1)^2 h^{eq} - \tau \frac{D(\tau S_h)}{Dt} + O(\tau^3). \quad (84b)
 \end{aligned}$$

625 The explicit expressions for some terms in Eqs. (84a) and (84b) are included in  
626 Appendix C.

## 627 **10 Viscous stress tensor up to $O(\tau^2)$**

628 When the local Knudsen number becomes finite, additional contributions from the  
629 non-equilibrium part of the distribution function result in the high-order compo-  
630 nents of the viscous stress tensor. Agarwal *et al.* [32] and Struchtrup [33] derived the  
631 viscous stress tensor up to the second-order for Maxwell molecules from the Grad's  
632 13 moment equations by the series expansion in terms of the shear viscosity. Chen  
633 *et al.* [4] obtained the expression of viscous stress tensor up to the second-order  
634 based on the single-relaxation-time BGK model. However, they mainly focus on the  
635 incompressible limit and the terms proportional to the density gradient, the temper-  
636 ature gradient and the velocity divergence have been neglected in their derivation.  
637 By making an analogy between the turbulent fluctuations and microscale thermal  
638 fluctuations, they show that the Reynolds stress obtained by the BGK-Boltzmann  
639 equation has model coefficients similar to some existing turbulence models. They  
640 also claimed that the turbulence phenomenon such as the secondary flow structures  
641 and rapid distortion processes [34] can be better understood according to the ki-  
642 netic theory. As an extension of Chen's work, the complete form of the viscous stress

643 tensor will be derived up to  $\mathbf{O}(\tau^2)$  using the single-relaxation-time BGK model con-  
 644 sidering the internal degree of freedom of molecules. Moreover, this new result will  
 645 be compared to that obtained by Agarwal et al. [32] for Maxwell molecules.

646 The general expression of the viscous stress tensor is given as follows.

$$\begin{aligned}
 647 \quad \boldsymbol{\sigma} &= - \int \mathbf{c}\mathbf{c} (g - g^{eq}) d\xi \\
 648 \quad &= \tau \int \mathbf{c}\mathbf{c} G g^{eq} d\xi - \tau \int \mathbf{c}\mathbf{c} S_g d\xi \\
 649 \quad &\quad + \tau \int \mathbf{c}\mathbf{c} L g^{eq} \boldsymbol{\xi} - \tau \int \mathbf{c}\mathbf{c} \frac{D(\tau G)}{Dt} g^{eq} d\xi - \int \mathbf{c}\mathbf{c} \tau^2 G^2 g^{eq} d\xi \\
 650 \quad &\quad + \tau \int \mathbf{c}\mathbf{c} \frac{D(\tau S_g)}{Dt} d\xi + \mathbf{O}(\tau^3). \tag{85}
 \end{aligned}$$

651 The first two terms in Eq. (85) will yield the Newtonian constitutive relation:

$$652 \quad \tau \int \mathbf{c}\mathbf{c} G g^{eq} d\xi - \tau \int \mathbf{c}\mathbf{c} S_g d\xi = \boldsymbol{\sigma}^{(NS)}. \tag{86}$$

653 The third term in Eq. (85) can be evaluated as

$$\begin{aligned}
 654 \quad &\tau \int \mathbf{c}\mathbf{c} L g^{eq} d\xi \\
 655 \quad &= \frac{2\tau}{K+3} \left( \boldsymbol{\sigma}^{(NS)} : \mathbf{S} - \nabla \cdot \mathbf{q}^{(NS)} \right) \mathbf{I} \\
 656 \quad &= \frac{4\mu\tau}{K+3} (\mathbf{S} : \mathbf{S}) \mathbf{I} + \frac{2\mu\tau}{K+3} \left( \chi - \frac{2}{D} \right) (\nabla \cdot \mathbf{u})^2 \mathbf{I} \\
 657 \quad &\quad - \frac{2\tau}{K+3} (\nabla \cdot \mathbf{q}^{(NS)}) \mathbf{I}. \tag{87}
 \end{aligned}$$

658 The fourth term in Eq. (85) can be written as the sum of the following two  
 659 integrals.

$$\begin{aligned}
 660 \quad &-\tau \int \mathbf{c}\mathbf{c} \frac{D\tau}{Dt} G g^{eq} d\xi \\
 661 \quad &= -\tau^2 p R T \left\{ \begin{aligned} &\left( \frac{1}{\tau} \nabla \tau \right) \left( \frac{1}{T} \nabla T \right) + \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{\tau} \nabla \tau \right) \\ &+ \left( \frac{1}{T} \nabla T \right) \cdot \left( \frac{1}{\tau} \nabla \tau \right) \mathbf{I} \end{aligned} \right\} \\
 662 \quad &\quad - 2\tau \frac{d\tau}{dt} p \mathbf{S} + \frac{2}{K+3} \tau \frac{d\tau}{dt} p (\nabla \cdot \mathbf{u}) \mathbf{I} + \tau \frac{d\tau}{dt} \frac{\Lambda}{C_v T} p \mathbf{I}, \tag{88a}
 \end{aligned}$$

$$\begin{aligned}
& - \int \mathbf{c} \mathbf{c} \tau^2 \frac{DG}{Dt} g^{eq} d\xi \\
& = -\tau^2 p R T \left\{ \begin{aligned} & \left( \frac{1}{\rho} \nabla \rho \right) \cdot \left( \frac{1}{T} \nabla T \right) \mathbf{I} \\ & + \left( \frac{1}{\rho} \nabla \rho \right) \left( \frac{1}{T} \nabla T \right) + \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{\rho} \nabla \rho \right) \\ & - \frac{D+4}{2} \left| \frac{1}{T} \nabla T \right|^2 \mathbf{I} - (D+4) \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{T} \nabla T \right) \\ & + \left( \frac{1}{T} \nabla^2 T \right) \mathbf{I} + 2 \left( \frac{1}{T} \nabla \nabla T \right) \end{aligned} \right\} \\
& + \left\{ \begin{aligned} & 2\tau^2 p [(\mathbf{S} : \mathbf{S}) \mathbf{I} + 2\mathbf{S} \cdot \mathbf{S} - \boldsymbol{\Omega} \cdot \mathbf{S} + \mathbf{S} \cdot \boldsymbol{\Omega}] \\ & - 2\tau^2 p \frac{d\mathbf{S}}{dt} + \frac{2\tau^2 p}{K+3} \frac{d(\nabla \cdot \mathbf{u})}{dt} \mathbf{I} \\ & - \frac{8}{K+3} \tau^2 p (\nabla \cdot \mathbf{u}) \mathbf{S} + \frac{2}{K+3} \left( \frac{D+2}{K+3} - 2 \right) \tau^2 p (\nabla \cdot \mathbf{u})^2 \mathbf{I} \end{aligned} \right\} \\
& + \left\{ \begin{aligned} & - 4\tau^2 p \frac{\Lambda}{C_v T} \mathbf{S} + 2 \left( \frac{D+2}{K+3} - 1 \right) \tau^2 p \frac{\Lambda}{C_v T} (\nabla \cdot \mathbf{u}) \mathbf{I} \\ & + \frac{D+2}{2} \tau^2 p \left( \frac{\Lambda}{C_v T} \right)^2 \mathbf{I} + \tau^2 p \frac{d}{dt} \left( \frac{\Lambda}{C_v T} \right) \mathbf{I} \end{aligned} \right\} + \mathcal{O}(\tau^3). \quad (88b)
\end{aligned}$$

The fifth term in Eq. (85) is

$$\begin{aligned}
& - \int \mathbf{c} \mathbf{c} \tau^2 G^2 g^{eq} d\xi \\
& = -\frac{D+6}{2} \tau^2 p R T \left\{ \left| \frac{1}{T} \nabla T \right|^2 \mathbf{I} + 2 \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{T} \nabla T \right) \right\} \\
& - \tau^2 p \left\{ \begin{aligned} & 2(\mathbf{S} : \mathbf{S}) \mathbf{I} + 8\mathbf{S} \cdot \mathbf{S} \\ & + \frac{2(D-2-2K)}{(K+3)^2} (\nabla \cdot \mathbf{u})^2 \mathbf{I} - \frac{16}{K+3} (\nabla \cdot \mathbf{u}) \mathbf{S} \end{aligned} \right\} \\
& + \tau^2 p \left\{ \begin{aligned} & 8\mathbf{S} - \frac{2(D+1-K)}{K+3} (\nabla \cdot \mathbf{u}) \mathbf{I} \end{aligned} \right\} \frac{\Lambda}{C_v T} \\
& - \frac{D+4}{2} \tau^2 p \left( \frac{\Lambda}{C_v T} \right)^2 \mathbf{I}. \quad (89)
\end{aligned}$$

673 Finally, we obtain the expression for the viscous stress tensor  $\boldsymbol{\sigma}$  as

$$\begin{aligned}
 674 \quad \boldsymbol{\sigma} &= \boldsymbol{\sigma}^{(NS)} - \frac{2\tau}{K+3} \left( \nabla \cdot \mathbf{q}^{(NS)} \right) \mathbf{I} \\
 &\quad - \tau^2 p RT \left\{ \begin{aligned}
 &\left( \frac{1}{\tau} \nabla \tau \right) \left( \frac{1}{T} \nabla T \right) + \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{\tau} \nabla \tau \right) \\
 &+ \left( \frac{1}{T} \nabla T \right) \cdot \left( \frac{1}{\rho} \nabla \rho \right) \mathbf{I} + \left( \frac{1}{\rho} \nabla \rho \right) \left( \frac{1}{T} \nabla T \right) \\
 675 \quad &+ \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{\rho} \nabla \rho \right) + \left( \frac{1}{\rho} \nabla \rho \right) \cdot \left( \frac{1}{T} \nabla T \right) \mathbf{I} \\
 &+ \left| \frac{1}{T} \nabla T \right|^2 \mathbf{I} + 2 \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{T} \nabla T \right) \\
 &+ \left( \frac{1}{T} \nabla^2 T \right) \mathbf{I} + 2 \left( \frac{1}{T} \nabla \nabla T \right)
 \end{aligned} \right\} \\
 &\quad + \tau^2 p \left\{ \begin{aligned}
 &- 2 \left( \frac{1}{\tau} \frac{d\tau}{dt} \right) \mathbf{S} + \frac{2}{K+3} \left( \frac{1}{\tau} \frac{d\tau}{dt} \right) (\nabla \cdot \mathbf{u}) \mathbf{I} \\
 &+ \frac{2}{K+3} \left[ \left( \chi - \frac{2}{D} \right) - \frac{2}{K+3} \right] (\nabla \cdot \mathbf{u})^2 \mathbf{I} \\
 676 \quad &+ \frac{8}{K+3} (\nabla \cdot \mathbf{u}) \mathbf{S} - 2 \frac{d\mathbf{S}}{dt} + \frac{2}{K+3} \frac{d(\nabla \cdot \mathbf{u})}{dt} \mathbf{I} \\
 &+ \frac{4}{K+3} (\mathbf{S} : \mathbf{S}) \mathbf{I} - 4 \mathbf{S} \cdot \mathbf{S} + 2 (\mathbf{S} \cdot \boldsymbol{\Omega} - \boldsymbol{\Omega} \cdot \mathbf{S})
 \end{aligned} \right\} \\
 &\quad + \tau^2 p \left\{ \begin{aligned}
 &4 \frac{\Lambda}{C_v T} \mathbf{S} + \frac{d}{dt} \left( \frac{\Lambda}{C_v T} \right) \mathbf{I} + \left( \frac{1}{\tau} \frac{d\tau}{dt} \right) \frac{\Lambda}{C_v T} \mathbf{I} \\
 677 \quad &- \frac{4}{K+3} \frac{\Lambda}{C_v T} (\nabla \cdot \mathbf{u}) \mathbf{I} - \left( \frac{\Lambda}{C_v T} \right)^2 \mathbf{I}
 \end{aligned} \right\} \\
 678 \quad &+ \tau \int \mathbf{c} \mathbf{c} \frac{D(\tau S_g)}{Dt} d\xi + \mathcal{O}(\tau^3), \tag{90}
 \end{aligned}$$

679 where the material derivative of the strain rate tensor  $d\mathbf{S}/dt$  can be derived from  
 680 the Euler equations, which reads

$$\begin{aligned}
 681 \quad \frac{d\mathbf{S}}{dt} &= -(\mathbf{S} \cdot \mathbf{S} + \boldsymbol{\Omega} \cdot \boldsymbol{\Omega}) \\
 682 \quad &+ RT \left[ \left( \frac{1}{\rho} \nabla \rho \right) \left( \frac{1}{\rho} \nabla \rho \right) + \frac{1}{2} \left( \frac{1}{\rho} \nabla \rho \right) \left( \frac{1}{T} \nabla T \right) + \frac{1}{2} \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{\rho} \nabla \rho \right) \right] \\
 683 \quad &- \frac{1}{\rho} \nabla \nabla p + \frac{1}{2} (\nabla \mathbf{a} + \nabla \mathbf{a}^T) + \mathcal{O}(\tau). \tag{91}
 \end{aligned}$$

684 The last term in Eq. (85) depends on the choice of the source term. For example,  
 685 for  $S_g$  given in Eq. (34), it can be evaluated as

$$686 \quad \tau \int \mathbf{c} \mathbf{c} \frac{D(\tau S_g)}{Dt} d\xi = -2\tau RT_0 \frac{\partial(\tau A_0)}{\partial t} \mathbf{I} + 2\tau RT_0 [\mathbf{u} \nabla(\tau A_0) + \nabla(\tau A_0) \mathbf{u}], \tag{92}$$



where  $A_0$  is

$$A_0 = \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) \frac{p \nabla \cdot \mathbf{u}}{2RT_0} + \frac{p}{2RT_0} \frac{\Lambda}{C_v T}. \quad (93)$$

687 Furthermore, by setting  $D = 3$ ,  $K = 0$ ,  $\chi = 0$ ,  $\Lambda = 0$  and neglecting all the  
688 terms proportional to the velocity divergence  $\nabla \cdot \mathbf{u}$ , the density gradient  $\nabla \rho$  and  
689 the temperature gradient  $\nabla T$ , it is found that  $S_g = 0$  and the following approximate  
690 result obtained by Chen *et al.* [4] can be reproduced from the Eq. (90), namely,

$$691 \quad \boldsymbol{\sigma} \approx 2\mu \mathbf{S} + \frac{4\mu\tau}{3} \mathbf{S} : \mathbf{S} \mathbf{I} - 4\tau^2 p \mathbf{S} \cdot \mathbf{S} + 2\tau^2 p (\mathbf{S} \cdot \boldsymbol{\Omega} - \boldsymbol{\Omega} \cdot \mathbf{S}) - 2\tau p \frac{d(\tau \mathbf{S})}{dt}. \quad (94)$$

692 For Maxwell molecules of which the shear viscosity is linearly proportional to the  
693 temperature, we have  $d\mu/dT = \mu/T$ . Therefore, the relations  $(1/\tau)\nabla\tau = -(1/\rho)\nabla\rho$   
694 and  $(1/\tau)d\tau/dt = -(1/\rho)d\rho/dt$  hold. Using the continuity equation, it follows that  
695  $(1/\tau)d\tau/dt = \nabla \cdot \mathbf{u}$ . Then, using our notations, the results obtained by Agarwal *et*  
696 *al.* [32] can be rewritten as

$$697 \quad \boldsymbol{\sigma} = 2\mu \left( \mathbf{S} - \frac{1}{3}(\nabla \cdot \mathbf{u}) \mathbf{I} \right) - \frac{10}{9} \frac{\mu^2}{p} (\nabla \cdot \mathbf{u})^2 \mathbf{I} + \frac{2}{3} \frac{\mu^2}{p} \mathbf{S} (\nabla \cdot \mathbf{u}) \\ 698 \quad + 4 \frac{\mu^2}{p} (\mathbf{S} : \mathbf{S}) \mathbf{I} - 4 \frac{\mu^2}{p} \mathbf{S} \cdot \mathbf{S} + 2 \frac{\mu^2}{p} (\mathbf{S} \cdot \boldsymbol{\Omega} - \boldsymbol{\Omega} \cdot \mathbf{S}) - 2 \frac{\mu^2}{p} \frac{d}{dt} \left( \mathbf{S} - \frac{1}{3}(\nabla \cdot \mathbf{u}) \mathbf{I} \right) \\ 699 \quad + \frac{\mu^2}{\rho T^2} |\nabla T|^2 \mathbf{I} - 3 \frac{\mu^2}{\rho T^2} (\nabla T) (\nabla T) + \frac{\mu^2}{\rho T} (\nabla^2 T) \mathbf{I} - 3 \frac{\mu^2}{\rho T} \nabla \nabla T + \mathcal{O}(\tau^3). \quad (95)$$

700 Moreover, Eq. (90) can be simplified as

$$701 \quad \boldsymbol{\sigma} = 2\mu \left( \mathbf{S} - \frac{1}{3}(\nabla \cdot \mathbf{u}) \mathbf{I} \right) - \frac{2}{9} \frac{\mu^2}{p} (\nabla \cdot \mathbf{u})^2 \mathbf{I} + \frac{2}{3} \frac{\mu^2}{p} \mathbf{S} (\nabla \cdot \mathbf{u}) \\ 702 \quad + \frac{4}{3} \frac{\mu^2}{p} (\mathbf{S} : \mathbf{S}) \mathbf{I} - 4 \frac{\mu^2}{p} \mathbf{S} \cdot \mathbf{S} + 2 \frac{\mu^2}{p} (\mathbf{S} \cdot \boldsymbol{\Omega} - \boldsymbol{\Omega} \cdot \mathbf{S}) - 2 \frac{\mu^2}{p} \frac{d}{dt} \left( \mathbf{S} - \frac{1}{3}(\nabla \cdot \mathbf{u}) \mathbf{I} \right) \\ 703 \quad + \frac{3}{2} \frac{\mu^2}{\rho T^2} |\nabla T|^2 \mathbf{I} - 2 \frac{\mu^2}{\rho T^2} (\nabla T) (\nabla T) + \frac{3}{2} \frac{\mu^2}{\rho T} (\nabla^2 T) \mathbf{I} - 2 \frac{\mu^2}{\rho T} \nabla \nabla T + \mathcal{O}(\tau^3). \quad (96)$$

704 From Eqs. (95) and (96), we observe that these two expressions share identical  
705 mathematical form up to the order  $\mathcal{O}(\tau^2)$  except for values of some coefficients. It  
706 is observed that the nonlinear terms  $\mathbf{S} \cdot \mathbf{S}$ ,  $\mathbf{S} \cdot \boldsymbol{\Omega} - \boldsymbol{\Omega} \cdot \mathbf{S}$  and  $\mathbf{S}(\nabla \cdot \mathbf{u})$  are exactly  
707 identical. Besides, the material derivative term  $d(\mathbf{S} - (\nabla \cdot \mathbf{u})/3\mathbf{I})/dt$  is also the same

708 and the terms related to the temperature gradient and temperature diffusion are  
 709 also very close to each other. The sign of corresponding coefficients is also the same,  
 710 which implies that the negative or positive contribution to the viscous stress tensor  
 711 can be qualitatively determined based on the BGK collision model. Moreover, it  
 712 is found that the viscous stress tensor can be changed by the body force effect  
 713 included in the material derivative term  $d(\mathbf{S} - (\nabla \cdot \mathbf{u})/3\mathbf{I})/dt$  at the second-order  
 714 expansion but not at the first-order. Therefore, we conclude that although the BGK  
 715 model only use single relaxation time to characterize the relaxation process to the  
 716 local equilibrium without considering rigorous collision interaction details, all the  
 717 dominant terms in the viscous stress tensor can be recovered compared to those  
 718 obtained from the Grad's 13 momentum equations.

## 719 **11 Heat flux up to $O(\tau^2)$**

720 Based on the second-order Chapman-Enskog expansion of the distribution func-  
 721 tions, the analytical expression for the heat flux  $\mathbf{q}$  is given by

$$\begin{aligned}
 722 \quad \mathbf{q} &= \frac{1}{2} \int \mathbf{c} (c^2 g + h) d\xi \\
 723 \quad &= \frac{1}{2} \int \mathbf{c} c^2 [g^{eq} - \tau G g^{eq} + \tau S_g] d\xi + \frac{1}{2} \int \mathbf{c} [h^{eq} - \tau(G + \Phi_1)h^{eq} + \tau S_h] d\xi \\
 724 \quad &+ \frac{1}{2} \int \mathbf{c} c^2 \left[ -\tau L g^{eq} + \tau \frac{D(\tau G)}{Dt} g^{eq} + \tau^2 G^2 g^{eq} - \tau \frac{D(\tau S_g)}{Dt} \right] d\xi \\
 725 \quad &+ \frac{1}{2} \int \mathbf{c} \left\{ \begin{array}{l} -\tau(L + \Phi_2)h^{eq} + \tau \frac{D(\tau G)}{Dt} h^{eq} + \tau^2(G + \Phi_1)^2 h^{eq} \\ + \tau \frac{D(\tau \Phi_1)}{Dt} h^{eq} - \tau \frac{D(\tau S_h)}{Dt} \end{array} \right\} d\xi + \mathbf{O}(\tau^3). \quad (97)
 \end{aligned}$$

726 Noticing Eqs. (28a)–(28e) and Eqs. (24a), (24b), (24c), (26), (83a), (83b), all the  
 727 integrals in Eq. (97) can be evaluated term by term. After some reorganization, we

728 obtain

$$\begin{aligned}
729 \quad \mathbf{q} = & \mathbf{q}^{(NS)} - \frac{1}{2}(K+5)\tau RT \nabla \cdot \boldsymbol{\sigma}^{(NS)} \\
730 \quad & + \tau^2 \rho (RT)^2 \left\{ \begin{aligned} & \frac{1}{2}(K+5) \left( \frac{1}{\tau} \frac{d\tau}{dt} \right) \left( \frac{1}{T} \nabla T \right) \\ & + (K+7) \mathbf{S} \cdot \left( \frac{1}{\tau} \nabla \tau \right) - \frac{K+7}{K+3} (\nabla \cdot \mathbf{u}) \left( \frac{1}{\tau} \nabla \tau \right) \end{aligned} \right\} \\
731 \quad & + \tau^2 \rho (RT)^2 \left\{ \begin{aligned} & 2(K+7) \mathbf{S} \cdot \left( \frac{1}{T} \nabla T \right) - \frac{3K+19}{K+3} (\nabla \cdot \mathbf{u}) \left( \frac{1}{T} \nabla T \right) \\ & + (K+5) \mathbf{S} \cdot \left( \frac{1}{\rho} \nabla \rho \right) - \frac{K+5}{K+3} (\nabla \cdot \mathbf{u}) \left( \frac{1}{\rho} \nabla \rho \right) \\ & + (K+7) \nabla \cdot \mathbf{S} - 2 \frac{K+6}{K+3} \nabla (\nabla \cdot \mathbf{u}) + (K+5) \boldsymbol{\Omega} \cdot \left( \frac{1}{T} \nabla T \right) \end{aligned} \right\} \\
732 \quad & + \tau^2 \rho (RT)^2 \left\{ \begin{aligned} & - \frac{3}{2}(K+5) \nabla \left( \frac{\Lambda}{C_v T} \right) - (K+5) \left( \frac{1}{\tau} \nabla \tau \right) \frac{\Lambda}{C_v T} \\ & - \frac{1}{2}(K+5) \left( \frac{1}{\rho} \nabla \rho \right) \frac{\Lambda}{C_v T} - \frac{5}{2}(K+5) \left( \frac{1}{T} \nabla T \right) \frac{\Lambda}{C_v T} \end{aligned} \right\} \\
733 \quad & - \frac{1}{2} \int \mathbf{c} \mathbf{c}^2 \tau \frac{D}{Dt} (\tau S_g) d\xi - \frac{1}{2} \int \mathbf{c} \tau \frac{D}{Dt} (\tau S_h) d\xi + \mathcal{O}(\tau^3), \tag{98}
\end{aligned}$$

734 where the time and spatial derivatives of the relaxation time are given by

$$\begin{aligned}
735 \quad \frac{1}{\tau} \frac{d\tau}{dt} = & \left( \gamma - \frac{2}{K+3} \frac{T}{\mu} \frac{d\mu}{dT} \right) (\nabla \cdot \mathbf{u}) + \left( 1 - \frac{T}{\mu} \frac{d\mu}{dT} \right) \frac{\Lambda}{C_v T} + \mathcal{O}(\tau), \\
736 \quad \frac{1}{\tau} \nabla \tau = & - \left( 1 - \frac{T}{\mu} \frac{d\mu}{dT} \right) \frac{1}{T} \nabla T - \frac{1}{\rho} \nabla \rho. \tag{99}
\end{aligned}$$

737 The results in Eq. (98) are briefly discussed here. The first term is the Fourier's  
738 law. The second term is determined by the divergence of the viscous stress tensor.  
739 The third term is caused by the variation of the particle relaxation time in both  
740 space and time. The fourth term is composed of the coupling terms between the  
741 strain rate, rotation rate, temperature gradient and density gradient as well as the  
742 divergence of the strain rate. The fourth term represents the contributions from the  
743 terms relevant to the thermal energy source. The last two integrals depend on the  
744 specific form of the source terms  $S_g$  and  $S_h$  used in different models.

745 Similar to what we have done for the viscous stress tensor, by setting  $D = 3$ ,  $K =$   
746  $0$ ,  $\chi = 0$ ,  $\Lambda = 0$  and  $S_g = 0$ , a comparison would also be performed for heat flux for  
747 Maxwell molecules. The result obtained by Agarwal *et al.* [32] can be reformulated

748 as

$$\begin{aligned}
749 \quad \mathbf{q} = & -\frac{15}{4}\mu R \nabla T + 15\frac{\mu^2}{\rho} \mathbf{S} \cdot \left(\frac{1}{T} \nabla T\right) - 3\frac{\mu^2}{\rho} \mathbf{S} \cdot \left(\frac{1}{\rho} \nabla \rho\right) \\
750 \quad & -\frac{25}{8}\frac{\mu^2}{\rho} (\nabla \cdot \mathbf{u}) \left(\frac{1}{T} \nabla T\right) + \frac{\mu^2}{\rho} (\nabla \cdot \mathbf{u}) \left(\frac{1}{\rho} \nabla \rho\right) \\
751 \quad & + 3\frac{\mu^2}{\rho} \nabla \cdot \mathbf{S} - \frac{19}{4}\frac{\mu^2}{\rho} \nabla(\nabla \cdot \mathbf{u}) + \frac{45}{4}\frac{\mu^2}{\rho} \boldsymbol{\Omega} \cdot \left(\frac{1}{T} \nabla T\right) + \mathcal{O}(\tau^3). \quad (100)
\end{aligned}$$

752 Correspondingly, Eq. (98) can be simplified as

$$\begin{aligned}
753 \quad \mathbf{q} = & -\frac{15}{4}\mu R \nabla T + 9\frac{\mu^2}{\rho} \mathbf{S} \cdot \left(\frac{1}{T} \nabla T\right) - 2\frac{\mu^2}{\rho} \mathbf{S} \cdot \left(\frac{1}{\rho} \nabla \rho\right) \\
754 \quad & -\frac{13}{6}\frac{\mu^2}{\rho} (\nabla \cdot \mathbf{u}) \left(\frac{1}{T} \nabla T\right) + \frac{2}{3}\frac{\mu^2}{\rho} (\nabla \cdot \mathbf{u}) \left(\frac{1}{\rho} \nabla \rho\right) \\
755 \quad & + 2\frac{\mu^2}{\rho} \nabla \cdot \mathbf{S} - \frac{7}{3}\frac{\mu^2}{\rho} \nabla(\nabla \cdot \mathbf{u}) + 5\frac{\mu^2}{\rho} \boldsymbol{\Omega} \cdot \left(\frac{1}{T} \nabla T\right) \\
756 \quad & -\frac{1}{2} \int c\tau \frac{D(\tau S_h)}{Dt} d\xi + \mathcal{O}(\tau^3). \quad (101)
\end{aligned}$$

757 Again, Eqs. (100) and (101) share the same mathematical form and the same sign  
758 for each contribution up to the order  $\mathcal{O}(\tau^2)$ . In our model,  $S_h$  is mainly designed  
759 to modify the Prandtl number and thermal energy source. Note that we keep the  
760 term relevant to  $S_h$  in Eq. (101) but it can be evaluated once the specific form of  
761  $S_h$  is given.

## 762 12 Conclusions

763 In this paper, a general framework for the inverse design of mesoscopic models  
764 has been presented. The design began with a model Boltzmann equation in a high  
765 dimensional phase space and with an undetermined source term. Then two reduced  
766 model Boltzmann equations in a lower-dimensional phase space (of dimension 2D)  
767 are introduced, each containing a source term. First, it is found that there are  
768 many possible ways to design the source terms in order to recover the NSF system  
769 in the continuum limit, as long as five newly-derived requirements for the two source  
770 terms are met. These source terms allow for flexible Prandtl number, bulk-to-shear  
771 viscosity ratio, and a thermal energy source/sink term.

772 Second, based on the Hermite expansion, we have provided one design for the  
773 two source terms. This newly introduced model has been utilized to simulate de-

774 caying compressible isotropic turbulence [35] and forced compressible isotropic tur-  
775 bulence [36], achieving results in excellent agreement with those based on solving  
776 the NSF system [28].

777 Third, three existing models, namely, the Shakhov model, the total energy double-  
778 distribution-function model, and the Rykov model, have been shown to be special  
779 designs of the two source terms under the same five constraints. This indicates  
780 that the source terms can be designed from different physical considerations and  
781 numerical implementation requirements.

782 Furthermore, by applying the first-order Chapman-Enskog expansion to the dis-  
783 tribution functions, we discuss the structures of the distribution functions and the  
784 implementation of bounce back boundary conditions. These results can be used  
785 to improve the implementation of hydrodynamic boundary conditions in terms of  
786 the distribution functions, namely, constructing the missing distributions from the  
787 known distribution near a solid boundary, in both laminar and turbulent flows.

788 Finally, we have obtained the complete analytical expressions for the viscous stress  
789 tensor and the heat flux based on the second-order Chapman-Enskog expansion of  
790 the distribution functions, generalizing the previous results in the incompressible  
791 limit. These new results have been compared with those obtained from Grad's 13  
792 momentum equations, which demonstrates that the final structure of the viscous  
793 stress tensor and heat flux can be fully determined by the single-relaxation-time  
794 BGK model except for differences in the values of some coefficients. We expect that  
795 the incorrect values of the coefficients can also be corrected by adding higher-order  
796 source terms, just like we did for heat flux and bulk viscosity; although the design  
797 of such higher order source terms involves more sophisticated derivations. It would  
798 be desirable to explore underlying physics associated with the second-order terms  
799 especially in compressible turbulence, in the future using DNS data. The second-  
800 order terms may also provide a way to assess the difference between NSF flows and  
801 the flows governed by the model Boltzmann equation.

## 802 Appendix A: Hermite polynomials and Hermite expansion

803 In  $D$ -dimensional Cartesian coordinate system, the  $n$ -th order Hermite polynomials  
804 is defined by [37, 38].

$$805 \quad \mathcal{H}^{(n)}(\boldsymbol{\xi}, T_0) \equiv \left(\sqrt{RT_0}\right)^n \frac{(-1)^n}{\omega(\boldsymbol{\xi}, T_0)} \nabla^n \omega(\boldsymbol{\xi}, T_0), \quad (102)$$

806 where  $\nabla^n = \nabla_{\boldsymbol{\xi}} \nabla_{\boldsymbol{\xi}} \cdots \nabla_{\boldsymbol{\xi}}$  implies that  $\mathcal{H}^{(n)}(\boldsymbol{\xi}, T_0)$  is a symmetrical tensor of rank-  
807  $n$ . The weighting function is  $\omega(\boldsymbol{\xi}, T_0) = \frac{1}{(2\pi RT_0)^{D/2}} \exp\left(-\frac{\boldsymbol{\xi}^2}{2RT_0}\right)$ .

808 The zeroth- to the third-order Hermite polynomials are expressed as

$$809 \quad \mathcal{H}^{(0)}(\boldsymbol{\xi}, T_0) = 1, \quad \mathcal{H}^{(1)}(\boldsymbol{\xi}, T_0) = \frac{\boldsymbol{\xi}}{\sqrt{RT_0}}, \quad (103a)$$

$$811 \quad \mathcal{H}^{(2)}(\boldsymbol{\xi}, T_0) = \frac{\boldsymbol{\xi}}{\sqrt{RT_0}} \frac{\boldsymbol{\xi}}{\sqrt{RT_0}} - \mathbf{I}, \quad (103b)$$

$$813 \quad \mathcal{H}^{(3)}(\boldsymbol{\xi}, T_0) = \frac{\boldsymbol{\xi}}{\sqrt{RT_0}} \frac{\boldsymbol{\xi}}{\sqrt{RT_0}} \frac{\boldsymbol{\xi}}{\sqrt{RT_0}} - \frac{[\boldsymbol{\xi}\boldsymbol{\delta}]}{\sqrt{RT_0}}, \quad (103c)$$

814 where  $[\boldsymbol{\xi}\boldsymbol{\delta}]_{ijk} = \xi_i \delta_{jk} + \xi_j \delta_{ki} + \xi_k \delta_{ij}$ . It should be noted that Hermite polynomials  
815 of different orders are orthonormal to each other in the following sense.

$$816 \quad \int \omega(\boldsymbol{\xi}, T_0) \mathcal{H}_i^m(\boldsymbol{\xi}, T_0) \mathcal{H}_j^n(\boldsymbol{\xi}, T_0) d\boldsymbol{\xi} = \delta_{mn} \delta_{ij}^n, \quad (104)$$

817 where  $\mathbf{i}$  represents an abbreviation of  $i_1 i_2 \cdots i_n$  and  $\delta_{ij}^n$  is equal to one if and only  
818 if  $\mathbf{i}$  is a permutation of  $\mathbf{j}$ .

819 Similarly, we can define another three sets of Hermite polynomials  $\mathcal{H}^{(n)}(\boldsymbol{\xi}, T)$ ,  
820  $\mathcal{H}^{(n)}(\mathbf{c}, T_0)$  and  $\mathcal{H}^{(n)}(\mathbf{c}, T)$  and the corresponding weighting functions  $\omega(\boldsymbol{\xi}, T)$ ,  
821  $\omega(\mathbf{c}, T_0)$  and  $\omega(\mathbf{c}, T)$ .

822 For any square integrable function  $f(\mathbf{x}, \boldsymbol{\xi}, t)$ , it can be expressed in terms of Her-  
823 mite polynomials as follows:

$$824 \quad f(\mathbf{x}, \boldsymbol{\xi}, t) = \omega(\boldsymbol{\xi}) \sum_{n=0}^{\infty} \frac{1}{n!} \mathbf{a}^{(n)}(\mathbf{x}, t) : \mathcal{H}^{(n)}(\boldsymbol{\xi}), \quad (105)$$

825 where the coefficients  $\mathbf{a}^{(n)}(\mathbf{x}, t) = \int f(\mathbf{x}, \boldsymbol{\xi}, t) \mathcal{H}^{(n)}(\boldsymbol{\xi}) d\boldsymbol{\xi}$ . In the inverse design pro-  
826 cess of the source terms, the weighting function  $\omega(\boldsymbol{\xi})$  can be chosen as one of

827  $\omega(\boldsymbol{\xi}, T_0)$ ,  $\omega(\boldsymbol{\xi}, T)$ ,  $\omega(\mathbf{c}, T_0)$  and  $\omega(\mathbf{c}, T)$ . Correspondingly, the Hermite polynomials  
 828  $\mathcal{H}^{(n)}(\boldsymbol{\xi})$  can be chosen as  $\mathcal{H}^{(n)}(\boldsymbol{\xi}, T_0)$ ,  $\mathcal{H}^{(n)}(\boldsymbol{\xi}, T)$ ,  $\mathcal{H}^{(n)}(\mathbf{c}, T_0)$ , or  $\mathcal{H}^{(n)}(\mathbf{c}, T)$ .

829 The Hermite expansion of the particle equilibrium distribution  $g^{eq}$  function can  
 830 be written as

$$\begin{aligned}
 831 \quad g^{eq}(\mathbf{x}, \boldsymbol{\xi}, t) = \rho \omega(\boldsymbol{\xi}, T_0) \times & \\
 \left\{ \begin{aligned} & 1 + \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} + \frac{1}{2} \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 - \frac{u^2}{RT_0} + (\theta - 1) \left( \frac{\xi^2}{RT_0} - D \right) \right] \\ & + \frac{1}{6} \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right) \left[ \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 - 3 \frac{u^2}{RT_0} + 3(\theta - 1) \left( \frac{\xi^2}{RT_0} - D - 2 \right) \right] \\ & + \frac{1}{24} \left\{ \begin{aligned} & \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^4 - 6 \left( \frac{u^2}{RT_0} \right) \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 + 3 \left( \frac{u^2}{RT_0} \right)^2 \\ & + 6(\theta - 1) \left[ \left( \frac{\xi^2}{RT_0} - D - 4 \right) \left( \frac{\boldsymbol{\xi} \cdot \mathbf{u}}{RT_0} \right)^2 \right] \\ & + \frac{u^2}{RT_0} \left( D + 2 - \frac{\xi^2}{RT_0} \right) \\ & + 3(\theta - 1)^2 \left[ \left( \frac{\xi^2}{RT_0} \right)^2 - 2(D + 2) \frac{\xi^2}{RT_0} + D(D + 2) \right] \end{aligned} \right\} \end{aligned} \right\} & \\
 832 & \\
 833 \quad + \text{high order terms,} & \qquad \qquad \qquad (106a)
 \end{aligned}$$

834 where the normalized temperature  $\theta = T/T_0$ .

## 835 **Appendix B: The models for thermal cooling function and shear** 836 **viscosity**

837 In a compressible turbulence, the kinetic energy input at large scales is converted  
 838 into the internal energy at small scales. This can be removed by large-scale thermal  
 839 cooling function to prevent the internal energy from increasing.

The cooling function typicallys take a power law form [28].

$$\Lambda_1 = \sigma_1 T^0, \quad \Lambda_2 = \sigma_2 T^2, \quad \Lambda_3 = \sigma_3 T^4. \qquad (107)$$

The shear viscosity is determined by the intermolecular interactions and molecular thermal motions. For air, the shear viscosity increases with temperature, which introduces an additional effect of thermal field on the hydrodynamic velocity field. Two well-known models are briefly discussed here. The first one is called hard-sphere

(HS) model [21, 25], namely,

$$\frac{\mu}{\mu_0} = \left( \frac{T}{T_0} \right)^\omega, \quad (108)$$

where  $T_0$  is a reference temperature,  $\mu_0 = \mu(T_0)$  is the reference shear viscosity at the reference temperature and the constant exponent  $\omega$  depends on the intermolecular interaction model. The second one is called the Sutherland's law [28, 39], which can be written as

$$\frac{\mu}{\mu_0} = \frac{1.4042 (T/T_0)^{1.5}}{(T/T_0) + 0.40417}. \quad (109)$$

840 It has been verified from the experimental data that the HS model has a maximum  
 841 relative error of about 5% for extreme cases of  $T \rightarrow 0.55$  and  $T \rightarrow 3$  while the  
 842 Sutherland's law has a maximum relative error of 2.0% at  $T = 3$  and less than  
 843 0.52% at  $T = 0.55$ .

### 844 **Appendix C: Chapman-Enskog expansion of the particle** 845 **distribution function**

846 The explicit expressions of some terms in Eqs. (84a) and (84b) are given in this  
 847 Appendix.

$$848 \quad \tau^2 \frac{DG_1}{Dt} = \tilde{A}_1 + \tilde{A}_2 + \tilde{A}_3 + \tilde{A}_4, \quad (110)$$

849 where the terms  $\tilde{A}_1, \tilde{A}_2, \tilde{A}_3$  and  $\tilde{A}_4$  are expressed as

$$850 \quad \begin{aligned} \tilde{A}_1 &= \tau^2 \frac{1}{2RT^2} \nabla T \cdot \frac{D(c^2 \mathbf{c})}{Dt} \\ 851 &= -\tau^2 \frac{\mathbf{c} \cdot \mathbf{S} \cdot \mathbf{c}}{RT} \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) - \tau^2 \frac{c^2}{2RT} \mathbf{c} \cdot \nabla \mathbf{u} \cdot \left( \frac{1}{T} \nabla T \right) \\ 852 &+ \tau^2 \mathbf{c} \mathbf{c} : \left( \frac{1}{\rho} \nabla \rho \right) \left( \frac{1}{T} \nabla T \right) + \tau^2 \mathbf{c} \mathbf{c} : \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{T} \nabla T \right) \\ 853 &+ \frac{1}{2} \tau^2 c^2 \left( \frac{1}{\rho} \nabla \rho \right) \cdot \left( \frac{1}{T} \nabla T \right) + \frac{1}{2} \tau^2 c^2 \left| \frac{1}{T} \nabla T \right|^2 + O(\tau^3), \end{aligned} \quad (111a)$$



854

$$\begin{aligned}
855 \quad \tilde{A}_2 &= \tau^2 c^2 \mathbf{c} \cdot \frac{D}{Dt} \left( \frac{1}{2RT^2} \nabla T \right) \\
856 \quad &= -\tau^2 \frac{c^2}{RT} \mathbf{c} \mathbf{c} : \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{T} \nabla T \right) + \frac{1}{K+3} (\nabla \cdot \mathbf{u}) \tau^2 \frac{c^2}{RT} \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) \\
857 \quad &+ \tau^2 \frac{c^2}{RT} \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) \frac{\Lambda}{C_v T} - \tau^2 \frac{c^2}{2RT} \mathbf{c} \cdot \nabla \mathbf{u} \cdot \left( \frac{1}{T} \nabla T \right) \\
858 \quad &+ \tau^2 \frac{c^2}{2RT} \mathbf{c} \mathbf{c} : \left( \frac{1}{T} \nabla \nabla T \right) \\
859 \quad &- \frac{1}{K+3} \tau^2 \frac{c^2}{RT} \mathbf{c} \cdot \nabla (\nabla \cdot \mathbf{u}) - \tau^2 \frac{c^2}{2RT} \frac{1}{C_v T} \mathbf{c} \cdot \nabla \Lambda + O(\tau^3), \tag{111b}
\end{aligned}$$

860

$$\begin{aligned}
861 \quad \tilde{A}_3 &= -\tau^2 \frac{D+2}{2} \frac{D}{Dt} \left( \frac{1}{T} \nabla T \right) \cdot \mathbf{c} \\
862 \quad &= \frac{D+2}{2} \tau^2 \mathbf{c} \mathbf{c} : \left( \frac{1}{T} \nabla T \right) \left( \frac{1}{T} \nabla T \right) - \frac{D+2}{2} \tau^2 \mathbf{c} \mathbf{c} : \left( \frac{1}{T} \nabla \nabla T \right) \\
863 \quad &+ \frac{D+2}{2} \tau^2 \mathbf{c} \cdot \nabla \mathbf{u} \cdot \left( \frac{1}{T} \nabla T \right) + \frac{D+2}{K+3} \tau^2 \mathbf{c} \cdot \nabla (\nabla \cdot \mathbf{u}) \\
864 \quad &+ \frac{D+2}{2} \tau^2 \mathbf{c} \cdot \nabla \left( \frac{\Lambda}{C_v T} \right) + O(\tau^3), \tag{111c}
\end{aligned}$$

865

$$\begin{aligned}
866 \quad \tilde{A}_4 &= -\tau^2 \frac{D+2}{2} \left( \frac{1}{T} \nabla T \right) \cdot \frac{D\mathbf{c}}{Dt} \\
867 \quad &= \frac{D+2}{2} \tau^2 \mathbf{c} \cdot \nabla \mathbf{u} \cdot \left( \frac{1}{T} \nabla T \right) - \frac{D+2}{2} \tau^2 RT \left( \frac{1}{T} \nabla T \right) \cdot \left( \frac{1}{\rho} \nabla \rho \right) \\
868 \quad &- \frac{D+2}{2} \tau^2 RT \left| \frac{1}{T} \nabla T \right|^2 + O(\tau^3). \tag{111d}
\end{aligned}$$

869

$$\begin{aligned}
870 \quad & \tau^2 \frac{DG_2}{Dt} = \\
871 \quad & -2\tau^2 \frac{\mathbf{c}\mathbf{c}}{RT} : (\nabla \mathbf{u} \cdot \mathbf{S}) + 2\tau^2 \mathbf{c} \cdot \mathbf{S} \cdot \left( \frac{1}{\rho} \nabla \rho \right) + 2\tau^2 \mathbf{c} \cdot \mathbf{S} \cdot \left( \frac{1}{T} \nabla T \right) \\
872 \quad & -\tau^2 \frac{\mathbf{c} \cdot \mathbf{S} \cdot \mathbf{c}}{RT} \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) + \frac{4}{K+3} \tau^2 \frac{\mathbf{c} \cdot \mathbf{S} \cdot \mathbf{c}}{RT} \nabla \cdot \mathbf{u} + \tau^2 \frac{\mathbf{c} \cdot \mathbf{S} \cdot \mathbf{c}}{RT} \frac{\Lambda}{C_v T} \\
873 \quad & -\frac{2}{K+3} \tau^2 \mathbf{c} \cdot \left( \frac{1}{\rho} \nabla \rho \right) \nabla \cdot \mathbf{u} - \frac{2}{K+3} \tau^2 \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) \nabla \cdot \mathbf{u} \\
874 \quad & + \frac{1}{K+3} \tau^2 \frac{c^2}{RT} \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) \nabla \cdot \mathbf{u} - \frac{2}{(K+3)^2} \tau^2 \frac{c^2}{RT} (\nabla \cdot \mathbf{u})^2 \\
875 \quad & -\frac{1}{K+3} \tau^2 \frac{c^2}{RT} (\nabla \cdot \mathbf{u}) \frac{\Lambda}{C_v T} \\
876 \quad & + \tau^2 \left[ \frac{\mathbf{c}\mathbf{c}}{RT} - \frac{1}{K+3} \left( \frac{c^2}{RT} + 3 - D + K \right) \mathbf{I} \right] : \frac{D\mathbf{S}}{Dt} + O(\tau^3). \tag{112}
\end{aligned}$$

$$877 \quad \tau^2 \frac{DG_3}{Dt} = \tilde{B}_1 + \tilde{B}_2 + \tilde{B}_3, \tag{113}$$

878 where  $\tilde{B}_1$ ,  $\tilde{B}_2$  and  $\tilde{B}_3$  are

$$\begin{aligned}
879 \quad & \tilde{B}_1 = -\tau^2 \frac{\mathbf{c}}{RT} \cdot \frac{D\mathbf{c}}{Dt} \frac{\Lambda}{C_v T} \\
880 \quad & = \tau^2 \frac{\mathbf{c} \cdot \mathbf{S} \cdot \mathbf{c}}{RT} \frac{\Lambda}{C_v T} - \tau^2 \mathbf{c} \cdot \left( \frac{1}{\rho} \nabla \rho + \frac{1}{T} \nabla T \right) \frac{\Lambda}{C_v T} + O(\tau^3), \tag{114a}
\end{aligned}$$

881

$$\begin{aligned}
882 \quad & \tilde{B}_2 = \tau^2 \frac{c^2}{2RT^2} \frac{DT}{Dt} \frac{\Lambda}{C_v T} \\
883 \quad & = \tau^2 \frac{c^2}{2RT} \mathbf{c} \cdot \left( \frac{1}{T} \nabla T \right) \frac{\Lambda}{C_v T} - \frac{2}{K+3} \tau^2 \frac{c^2}{2RT} (\nabla \cdot \mathbf{u}) \frac{\Lambda}{C_v T} \\
884 \quad & -\tau^2 \frac{c^2}{2RT} \left( \frac{\Lambda}{C_v T} \right)^2 + O(\tau^3), \tag{114b}
\end{aligned}$$

885

$$\begin{aligned}
886 \quad & \tilde{B}_3 = -\tau^2 \left( \frac{c^2}{2RT} - \frac{D}{2} \right) \frac{D}{Dt} \left( \frac{\Lambda}{C_v T} \right) \\
887 \quad & = -\tau^2 \left( \frac{c^2}{2RT} - \frac{D}{2} \right) \left( \frac{d}{dt} \left( \frac{\Lambda}{C_v T} \right) + \mathbf{c} \cdot \nabla \left( \frac{\Lambda}{C_v T} \right) \right) + O(\tau^3). \tag{114c}
\end{aligned}$$

$$\begin{aligned}
& \tau^2 \frac{D\Phi_1}{Dt} \\
& = \tau^2 \left\{ \begin{aligned} & -\mathbf{c} \cdot \nabla \mathbf{u} \cdot \left( \frac{1}{T} \nabla T \right) + RT \left( \frac{1}{\rho} \nabla \rho \right) \cdot \left( \frac{1}{T} \nabla T \right) \\ & + RT \left| \frac{1}{T} \nabla T \right|^2 + \mathbf{c} \cdot \frac{d}{dt} \left( \frac{1}{T} \nabla T \right) + \mathbf{c} \cdot \nabla \left( \frac{1}{T} \nabla T \right) \cdot \mathbf{c} \\ & - \frac{d}{dt} \left( \frac{2}{K+3} \nabla \cdot \mathbf{u} + \frac{\Lambda}{C_v T} \right) \\ & - \mathbf{c} \cdot \nabla \left( \frac{2}{K+3} \nabla \cdot \mathbf{u} + \frac{\Lambda}{C_v T} \right) \end{aligned} \right\} + O(\tau^3). \quad (115)
\end{aligned}$$

## Appendix D: Derivations of the requirements for the source terms

The Euler equations can be obtained by assuming that  $g = g^{eq} + O(\tau)$  when evaluating the viscous stress and the heat flux. This leads to  $\boldsymbol{\sigma} \sim O(\tau)$  and  $\mathbf{q} \sim O(\tau)$ .

Therefore, the Euler equations are

$$\begin{aligned}
& \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0, \\
& \rho \left( \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) = -\nabla p + \rho \mathbf{a} + O(\tau), \\
& \rho C_v \frac{dT}{dt} = -p \nabla \cdot \mathbf{u} - \rho \Lambda + O(\tau). \quad (116)
\end{aligned}$$

Taking the first order moments of Eq. (11a) gives

$$\frac{\partial}{\partial t} \left( \int \boldsymbol{\xi} g d\boldsymbol{\xi} \right) + \nabla \cdot \left( \int \boldsymbol{\xi} \boldsymbol{\xi} g d\boldsymbol{\xi} \right) = \rho \mathbf{a} + \int \boldsymbol{\xi} S_g d\boldsymbol{\xi}. \quad (117)$$

The first term in Eq. (117) can be evaluated as

$$\frac{\partial}{\partial t} \left( \int \boldsymbol{\xi} g d\boldsymbol{\xi} \right) = \frac{\partial}{\partial t} (\rho \mathbf{u}). \quad (118)$$

The second term in Eq. (117) can be evaluated as

$$\begin{aligned}
& \int \boldsymbol{\xi} \boldsymbol{\xi} g d\boldsymbol{\xi} = \int \mathbf{c} \mathbf{c} (g - g^{eq}) d\boldsymbol{\xi} + \int \mathbf{c} \mathbf{c} g^{eq} d\boldsymbol{\xi} + \rho \mathbf{u} \mathbf{u} \\
& = -\boldsymbol{\sigma} + p \mathbf{I} + \rho \mathbf{u} \mathbf{u}. \quad (119)
\end{aligned}$$

905 By substituting of Eqs. (118) and (119) into Eq. (117), we have

$$906 \quad \frac{\partial(\rho\mathbf{u})}{\partial t} + \nabla \cdot (\rho\mathbf{u}\mathbf{u}) = -\nabla p + \nabla \cdot \boldsymbol{\sigma} + \rho\mathbf{a} + \int \boldsymbol{\xi} S_g d\boldsymbol{\xi}. \quad (120)$$

907 Therefore, the Eq. (28b) is derived.

908 Noticing that  $\mathbf{c} = \boldsymbol{\xi} - \mathbf{u}$  and  $\boldsymbol{\xi}\boldsymbol{\xi} = \mathbf{c}\mathbf{c} + \mathbf{u}\mathbf{c} + \mathbf{c}\mathbf{u} + \mathbf{u}\mathbf{u}$ , we have

$$909 \quad \int \mathbf{c} S_g d\boldsymbol{\xi} = \mathbf{0}, \quad \int \boldsymbol{\xi}\boldsymbol{\xi} S_g d\boldsymbol{\xi} = \int \mathbf{c}\mathbf{c} S_g d\boldsymbol{\xi}. \quad (121)$$

910 By using the Eq. (27a), we can make a closure of the viscous stress,

$$\begin{aligned} 911 \quad \boldsymbol{\sigma} &= - \int \mathbf{c}\mathbf{c} (g - g^{eq}) d\boldsymbol{\xi} = - \int \mathbf{c}\mathbf{c} \left( -\tau \frac{Dg^{eq}}{Dt} + \tau S_g \right) d\boldsymbol{\xi} + \mathbf{O}(\tau^2) \\ 912 \quad &= \tau \int \mathbf{c}\mathbf{c} G_1 g^{eq} d\boldsymbol{\xi} + \tau \int \mathbf{c}\mathbf{c} G_2 g^{eq} d\boldsymbol{\xi} \\ 913 \quad &+ \tau \int \mathbf{c}\mathbf{c} G_3 g^{eq} d\boldsymbol{\xi} - \tau \int \mathbf{c}\mathbf{c} S_g d\boldsymbol{\xi} + \mathbf{O}(\tau^2). \end{aligned} \quad (122)$$

914 All the integrals in the RHS of Eq. (122) can be evaluated term by term.

$$915 \quad \tau \int \mathbf{c}\mathbf{c} G_1 g^{eq} d\boldsymbol{\xi} = \mathbf{0}, \quad (123a)$$

916

$$917 \quad \tau \int \mathbf{c}\mathbf{c} G_2 g^{eq} d\boldsymbol{\xi} = \tau p \left[ 2\mathbf{S} - \frac{2}{K+3} (\nabla \cdot \mathbf{u}) \mathbf{I} \right], \quad (123b)$$

918

$$919 \quad \tau \int \mathbf{c}\mathbf{c} G_3 g^{eq} d\boldsymbol{\xi} = -\frac{p\tau\Lambda}{C_v T} \mathbf{I}. \quad (123c)$$

920 Substitution of Eq. (123a) to Eq. (123c) into Eq. (122) yields

$$\begin{aligned} 921 \quad \boldsymbol{\sigma} &= 2\mu \left( \mathbf{S} - \frac{1}{D} (\nabla \cdot \mathbf{u}) \mathbf{I} \right) + \mu_V (\nabla \cdot \mathbf{u}) \mathbf{I} \\ 922 \quad &- \left( \chi - \frac{2(3-D+K)}{D(K+3)} \right) \mu (\nabla \cdot \mathbf{u}) \mathbf{I} - \frac{\tau p \Lambda}{C_v T} \mathbf{I} - \tau \int \mathbf{c}\mathbf{c} S_g d\boldsymbol{\xi} + \mathbf{O}(\tau^2). \end{aligned} \quad (124)$$

923 Hence, in order to recover the Newtonian constitutive law (see Eq. (21)), the  
 924 Eq. (28c) must be satisfied. The resulting momentum equation is the Eq. (29b).

925 Similarly, combining the second-order moment of Eq. (11a) and the zeroth-order  
 926 moment of the Eq. (11b) yields the following equation,

$$927 \quad \frac{\partial(\rho E)}{\partial t} + \frac{1}{2} \nabla \cdot \left( \int \boldsymbol{\xi} (\xi^2 g + h) d\boldsymbol{\xi} \right) = \rho \mathbf{a} \cdot \mathbf{u} + \frac{1}{2} \int (\xi^2 S_g + S_h) d\boldsymbol{\xi}. \quad (125)$$

928 The integral in the second term of Eq. (125) is

$$\begin{aligned} 929 \quad & \frac{1}{2} \int \boldsymbol{\xi} (\xi^2 g + h) d\boldsymbol{\xi} \\ 930 \quad &= \frac{1}{2} \int \mathbf{c} (c^2 g + h) d\boldsymbol{\xi} + \mathbf{u} \cdot \int \mathbf{c} c g^{eq} d\boldsymbol{\xi} + \mathbf{u} \cdot \int \mathbf{c} c (g - g^{eq}) d\boldsymbol{\xi} + \mathbf{u} \cdot \frac{1}{2} \int (\xi^2 g + h) d\boldsymbol{\xi} \\ 931 \quad &= \mathbf{q} + \rho E \mathbf{u} + p \mathbf{u} - \boldsymbol{\sigma} \cdot \mathbf{u}. \end{aligned} \quad (126)$$

932 Therefore, substituting Eqs. (28d) and (126) into Eq. (125) gives the energy equation  
 933 (see Eq. (29c)).

934 By using the Eqs. (27a) and (27b), we can make a closure of the heat flux term,

$$\begin{aligned} 935 \quad \mathbf{q} &= \frac{1}{2} \int \mathbf{c} (c^2 g + h) d\boldsymbol{\xi} \\ 936 \quad &= \frac{1}{2} \int \mathbf{c} c^2 \left( g^{eq} - \tau \frac{Dg^{eq}}{Dt} + \tau S_g \right) d\boldsymbol{\xi} + \frac{1}{2} \int \mathbf{c} \left( h^{eq} - \tau \frac{Dh^{eq}}{Dt} + \tau S_h \right) d\boldsymbol{\xi} + \mathbf{O}(\tau^2) \\ 937 \quad &= -\frac{1}{2} \tau \int \mathbf{c} c^2 G g^{eq} d\boldsymbol{\xi} - \frac{1}{2} \tau \int \mathbf{c} G h^{eq} d\boldsymbol{\xi} - \frac{1}{2} \tau \int \mathbf{c} \Phi_1 h^{eq} d\boldsymbol{\xi} \\ 938 \quad &\quad + \frac{1}{2} \tau \int \mathbf{c} c^2 S_g d\boldsymbol{\xi} + \frac{1}{2} \tau \int \mathbf{c} S_h d\boldsymbol{\xi} + \mathbf{O}(\tau^2). \end{aligned} \quad (127)$$

939 Because of

$$940 \quad \int \mathbf{c} c^2 G_1 g^{eq} d\boldsymbol{\xi} = (D+2) \rho (RT)^2 \left( \frac{1}{T} \nabla T \right), \quad (128a)$$

941

$$942 \quad \int \mathbf{c} c^2 G_2 g^{eq} d\boldsymbol{\xi} = \mathbf{0}, \quad (128b)$$

943

$$944 \quad \int \mathbf{c} c^2 G_3 g^{eq} d\boldsymbol{\xi} = \mathbf{0}, \quad (128c)$$

945 we obtain

$$946 \quad -\frac{1}{2}\tau \int \mathbf{c}c^2 G g^{eq} d\boldsymbol{\xi} = -\frac{1}{2}\tau (D+2) \rho (RT)^2 \left( \frac{1}{T} \boldsymbol{\nabla} T \right). \quad (129)$$

947 Because of

$$948 \quad \int \mathbf{c}G_1 g^{eq} d\boldsymbol{\xi} = \int \mathbf{c}G_2 g^{eq} d\boldsymbol{\xi} = \int \mathbf{c}G_3 g^{eq} d\boldsymbol{\xi} = \mathbf{0}, \quad (130a)$$

949 we have

$$950 \quad -\frac{1}{2}\tau \int \mathbf{c}G h^{eq} d\boldsymbol{\xi} = \mathbf{0}. \quad (131)$$

951 Further, we have

$$952 \quad -\frac{1}{2}\tau \int \mathbf{c}\Phi_1 h^{eq} d\boldsymbol{\xi} = -\frac{1}{2}\tau (3-D+K) \rho (RT)^2 \left( \frac{1}{T} \boldsymbol{\nabla} T \right). \quad (132)$$

953 By substituting of Eqs. (129), (131) and (132) into Eq. (127), we obtain

$$954 \quad \mathbf{q} = -\frac{(K+5)R}{2} p \tau \boldsymbol{\nabla} T + \frac{1}{2}\tau \int \mathbf{c}c^2 S_g d\boldsymbol{\xi} + \frac{1}{2}\tau \int \mathbf{c}S_h d\boldsymbol{\xi} + \mathcal{O}(\tau^2) \\ 955 \quad = \mathbf{q}^{(NS)} - (1-Pr) \mathbf{q}^{(NS)} + \frac{1}{2}\tau \int \mathbf{c}c^2 S_g d\boldsymbol{\xi} + \frac{1}{2}\tau \int \mathbf{c}S_h d\boldsymbol{\xi} + \mathcal{O}(\tau^2). \quad (133)$$

956 Therefore, we have derived the fifth requirement for the source term in Eq. (28e)

957 and the resulting energy equation is given in Eq. (29c).

## 958 **Appendix E: Details in the derivations of the Rykov model**

959 Here we prove that the two source terms in Eq. (65) should satisfy the five general  
960 requirements.

961 From the Euler equations for the Rykov model, the time derivative for the trans-  
962 lational temperature  $T_t$  and rotational temperature  $T_r$  are

$$963 \quad \frac{\partial T_t}{\partial t} = -\mathbf{u} \cdot \boldsymbol{\nabla} T_t - \frac{2}{3} T_t \boldsymbol{\nabla} \cdot \mathbf{u} + \frac{1}{\tau Z} (T - T_t) + \mathcal{O}(\tau), \quad (134)$$

964

$$\frac{\partial T_r}{\partial t} = -\mathbf{u} \cdot \nabla T_r + \frac{1}{\tau Z} (T - T_r) + O(\tau). \quad (135)$$

966 The first requirement for the source term is satisfied because of

$$\begin{aligned} \int S_g d\xi &= \frac{1}{\tau} \left[ \frac{1}{Z} \int f_0^r d\xi + \left(1 - \frac{1}{Z}\right) \int f_0^t d\xi - \int f_M(T) d\xi \right] \\ &= \frac{1}{\tau} [\rho - \rho] = 0. \end{aligned} \quad (136)$$

969 The second requirement for the source term is satisfied because of

$$\int \xi S_g d\xi = \frac{1}{\tau} \left[ \frac{1}{Z} \int \mathbf{c} f_0^r d\xi + \left(1 - \frac{1}{Z}\right) \int \mathbf{c} f_0^t d\xi - \int \mathbf{c} f_M(T) d\xi \right] = \mathbf{0}. \quad (137)$$

971 According to Eqs. (136) and (137), we have

$$\int \xi \xi S_g d\xi = \int \mathbf{c} \mathbf{c} S_g d\xi. \quad (138)$$

973 Since

$$\int \mathbf{c} \mathbf{c} f_0^r d\xi = p\mathbf{I}, \quad \int \mathbf{c} \mathbf{c} f_0^t d\xi = p_t\mathbf{I}, \quad \int \mathbf{c} \mathbf{c} f_M(T) d\xi = p\mathbf{I}, \quad (139)$$

975 therefore,

$$\int \mathbf{c} \mathbf{c} S_g d\xi = \frac{1}{\tau} \left[ \frac{1}{Z} p\mathbf{I} + \left(1 - \frac{1}{Z}\right) p_t\mathbf{I} - p\mathbf{I} \right] = -\frac{1}{\tau} \left(1 - \frac{1}{Z}\right) (p - p_t) \mathbf{I}. \quad (140)$$

977 From Eqs. (134) and (135), we have

$$\begin{aligned} T - T_t &= \frac{2}{5} (T_r - T_t) \\ &= \frac{4}{15} \tau Z T_t \nabla \cdot \mathbf{u} + O(\tau^2) \\ &= \frac{4}{15} \tau Z T \nabla \cdot \mathbf{u} + O(\tau^2). \end{aligned} \quad (141)$$

981 Therefore, we obtain

$$\begin{aligned}
 982 \quad p - p_t &= \rho R(T - T_t) \\
 983 \quad &= \frac{4}{15} Z \mu_t \nabla \cdot \mathbf{u} + O(\tau^2) \\
 984 \quad &= \frac{4}{15} Z \mu \nabla \cdot \mathbf{u} + O(\tau^2). \tag{142}
 \end{aligned}$$

985 Substituting Eq. (142) into (140), we have

$$986 \quad \int \xi \xi S_g d\xi = \int \mathbf{c} \mathbf{c} S_g d\xi = - \left( \frac{4}{15} Z - \frac{4}{15} \right) p (\nabla \cdot \mathbf{u}) \mathbf{I} + O(\tau). \tag{143}$$

987 Therefore, the third requirement for the source term is proved. The ratio of bulk to  
 988 shear viscosity is proportional to the collision ratio, *i.e.*,  $\chi = 4Z/15$ .

989 From Eq. (140), we have

$$990 \quad \int \xi^2 S_g d\xi = \int c^2 S_g d\xi = -\frac{3}{\tau} \left( 1 - \frac{1}{Z} \right) (p - p_t). \tag{144}$$

991 From the definition of  $S_h$  in Eq. (65), we have

$$\begin{aligned}
 992 \quad \int S_h d\xi &= \frac{2}{\tau} \left[ \frac{1}{Z} \int f_1^r d\xi + \left( 1 - \frac{1}{Z} \right) \int f_1^t d\xi - RT \int f_M(T) d\xi \right] \\
 993 \quad &= \frac{2}{\tau} \left[ \frac{1}{Z} p + \left( 1 - \frac{1}{Z} \right) p_r - p \right] = -\frac{2}{\tau} \left( 1 - \frac{1}{Z} \right) (p - p_r). \tag{145}
 \end{aligned}$$

994 Because of

$$995 \quad p - p_r = -\frac{3}{2} (p - p_t), \tag{146}$$

996 we arrive at

$$997 \quad \int S_h d\xi = \frac{3}{\tau} \left( 1 - \frac{1}{Z} \right) (p - p_t). \tag{147}$$

998 From Eqs. (144) and (147), the fourth requirement is satisfied.



999 We note that the following integrals can be carried out directly.

$$\begin{aligned}
 1000 \quad \int \mathbf{c} f_1^t d\xi &= (1 - \delta) \mathbf{q}^r, \quad \int \mathbf{c} f_1^r d\xi = \omega_1 (1 - \delta) \mathbf{q}^r, \quad \int \mathbf{c} f_M(T) d\xi = \mathbf{0}, \\
 1001 \quad \int \mathbf{c} c^2 f_0^t d\xi &= \frac{2}{3} \mathbf{q}^t, \quad \int \mathbf{c} c^2 f_0^r d\xi = \frac{2}{3} \omega_0 \mathbf{q}^t, \quad \int \mathbf{c} c^2 f_M(T) d\xi = \mathbf{0}. \quad (148)
 \end{aligned}$$

1002 Hence, we have

$$1003 \quad \int \mathbf{c} S_h d\xi = \frac{2}{\tau} \left[ \mathbf{q}^r - \left( \delta + \frac{1}{Z} (1 - \omega_1) (1 - \delta) \right) \mathbf{q}^r \right], \quad (149)$$

1004 and

$$1005 \quad \int \mathbf{c} c^2 S_g d\xi = \frac{2}{\tau} \left[ \mathbf{q}^t - \frac{2}{3} \left( 1 + 0.5 \frac{1 - \omega_0}{Z} \right) \mathbf{q}^t \right]. \quad (150)$$

1006 By applying the Chapman-Enskog expansion, we can prove that the heat fluxes  
 1007 are given by

$$\begin{aligned}
 1008 \quad \mathbf{q}^t &= -\kappa^t \nabla T_t + O(\tau^2) = -\kappa^t \nabla T + O(\tau^2), \\
 1009 \quad \mathbf{q}^r &= -\kappa^r \nabla T_r + O(\tau^2) = -\kappa^r \nabla T + O(\tau^2), \\
 1010 \quad \mathbf{q} &= -\kappa \nabla T + O(\tau^2), \quad (151)
 \end{aligned}$$

1011 where the transport coefficients  $\kappa^t$ ,  $\kappa^r$  and  $\kappa$  are given in Eq. (67).

1012 Combining Eqs. (149), (150) and (151) gives

$$1013 \quad \int \mathbf{c} S_h d\xi + \int \mathbf{c} c^2 S_g d\xi = \frac{2}{\tau} (1 - Pr) \mathbf{q} + O(\tau), \quad (152)$$

1014 where the Prandtl number is  $Pr = \mu C_p / \kappa = 7R\mu / 2\kappa$ . Therefore, the fifth require-  
 1015 ment is satisfied.

#### 1016 Abbreviations

1017 NSF: Navier-Stokes-Fourier; CFD: Computational fluid dynamics; BGK: Bhatnagar-Gross-Krook; SH: Shakhov; ES:  
 1018 Ellipsoidal statistical; IEDDF: Internal energy double-distribution-function; TEDDF: Total energy  
 1019 double-distribution-function; R: Rykov; LBM: Lattice Boltzmann method; GKS: Gas kinetic scheme; UGKS: Unified  
 1020 gas kinetic scheme; DUGKS: Discrete unified gas kinetic scheme; EOS: Equation of state; DNS: Direct numerical  
 1021 simulation

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**1025 Author's contributions**

1026 Tao Chen developed the derivations, drafted and edited the manuscript. Lian-Ping Wang conceptualized the  
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1028 manuscript, acquired funding for this research, and served as the corresponding author. Jun Lai checked the  
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**1036 Availability of data and materials**

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**1038 Competing interests**

1039 The authors declare that they have no competing interests.

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