

# The Effects of Mismatch between SPECT and CT Images on Quantitative Activity Estimation – A Simulation Study

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## Original research

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1 **The Effects of Mismatch between SPECT and CT Images on**  
2 **Quantitative Activity Estimation – A Simulation Study**

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20 **Background:** Quantitative activity estimation is essential in targeted radionuclide therapy  
21 dosimetry. Misregistration between SPECT and CT images at the same imaging time point due to  
22 patient movement degrades accuracy. This work aims to study the mismatch effects between CT  
23 and SPECT data on attenuation correction (AC), volume-of-interest (VOI) delineation and  
24 registration for activity estimation.

25 **Methods:** Nine 4D XCAT phantoms were generated at 1, 24, and 144 hrs post In-111 Zevalin  
26 injection, varying in activity distributions, body and organ sizes. Realistic noisy SPECT projections  
27 were generated by an analytical projection and reconstructed with quantitative OS-EM method. CT  
28 images were shifted from -5 to 5 voxels as well as according to clinical reference corresponding to  
29 SPECT images at each time point. For AC effect, mismatched CT images were used for AC in  
30 SPECT reconstruction while VOIs were mapped out from matched CTs. For VOI effect, target  
31 organs were mapped out using mismatched CTs with matched CTs for AC. For registration effect,  
32 non-rigid registrations were performed on sequential mismatched CTs to align corresponding  
33 SPECT images, with no AC and VOI mismatch. Bi-exponential curve fitting was performed to  
34 obtain time-integrated activity (TIA). Organ activity errors (%OAE) and TIA errors (%TIAE) were  
35 calculated.

36 **Results:** According to clinical reference, %OAE was larger for organs near ribs for AC effect, e.g.,  
37  $-2.58\% \pm 0.81\%$  for liver. For VOI effect, %OAE was larger for small and low uptake organs, e.g.,  
38  $-11.94\% \pm 10.34\%$  for spleen. %OAE was proportional to mismatch magnitude, e.g.,  $4.77\% \pm 1.41\%$ ,  
39  $12.01\% \pm 3.97\%$  and  $42.81\% \pm 6.38\%$  for 1-, 2-, and 5-voxel mismatch for lungs. For registration  
40 effect, %TIAE were larger when mismatch existed in more numbers of SPECT/CT images, while  
41 no substantial difference was observed when using mismatched CT at different time points for  
42 registration reference. %TIAE was highest for VOI, followed by registration and AC, e.g.,  
43  $37.61\% \pm 5.08\%$ ,  $14.25\% \pm 7.07\%$  and  $1.13\% \pm 0.90\%$  respectively for kidneys.

44 **Conclusions:** The mismatch between CT and SPECT images poses a significant impact on  
45 accuracy of quantitative activity estimation in dosimetry, attributed particularly from VOI  
46 delineation errors. It is recommended to perform registration between emission and transmission  
47 images at the same time point to ensure dosimetric accuracy.

48

49 **Keywords:** misregistration, targeted radionuclide therapy, SPECT/CT, attenuation correction,  
50 segmentation.

51 **1. Introduction**

52 Targeted radionuclide therapy (TRT) is an effective therapy for various types of cancers [1],  
53 using radionuclides labeled molecules to kill cancer cells with ionizing radiation by destroying their  
54 DNA in the cell nucleus and by “bystander” effects [2], resulting in tumor shrinkage. Compared to  
55 conventional chemotherapy, TRT aims to specifically deliver a lethal dose targeting cancerous cells  
56 with minimal collateral toxicity to the surrounding normal organs or tissues, which requires  
57 accurate drug biodistribution information prior and post treatment. Such information can be  
58 obtained by planar imaging or emission computed tomography, i.e., SPECT and PET [3].  
59 Sequential quantitative activity images at different time points or sometimes single time point for  
60 Y-90 radioembolization allow the estimation of time-integrated activity (TIA) for critical organs  
61 and tumors by fitting of time-activity curves (TACs) and obtain the area under the curves for further  
62 dose conversion.

63 Integrated CT in SPECT/CT can be used for attenuation correction (AC) in SPECT  
64 reconstruction to improve quantitative accuracy, and provide anatomical reference for the activity  
65 uptakes in general. For TRT dosimetry, CT data can be further used in segmentations of tumors  
66 and critical organs, or registrations to reduce the misalignments among serial scans [4, 5]. However,  
67 the accuracy of registration between SPECT and CT data is limited by certain voluntary and  
68 involuntary motion since CT scans take couple seconds while SPECT imaging needs at least several  
69 minutes. Voluntary variables are mainly due to patient movement between SPECT and CT scans  
70 as the patient position may change during the two acquisitions. Involuntary movements are mostly  
71 physiological activities, such as the beating of the heart, the peristalsis of the bowel [6] and the  
72 respiratory movements of the lungs and adjacent organs [7]. Respirations cause organ movement  
73 or deformation particularly in the upper abdominal [8] and lower thoracic regions [9, 10]. The  
74 SPECT and CT mismatch may manifest as patients may practice breath holding during CT scans,  
75 while SPECT images are acquired during free breathing, leading to data at different respiratory

76 positions being acquired. Such movements alter the appearance of organ shape, size, and location  
77 between the SPECT and CT imaging sessions, being responsible for the minor mismatches.  
78 Voluntary and involuntary mismatch errors not only cause artifacts in the SPECT data due to the  
79 use of misaligned CT for AC [11, 12], but also misleading anatomical localization for later  
80 segmentation required for dosimetric calculations. He et al. have studied the impact of  
81 SPECT/planar and CT misregistration at the same imaging time point and mis-definition of  
82 volume-of-interest (VOI) from manual segmentation on activity estimation [13]. In their study,  
83 VOI misregistration errors were generally larger than mis-definition errors, producing a  
84 considerable source of errors on activity estimations. For planar images, the quantitation error could  
85 be up to 8% for the kidneys with only 1 voxel VOI mismatch in z-direction between planar and CT  
86 images. Although SPECT images were less sensitive to the VOI misregistration errors than the  
87 planar images, the error was about -5% for the spleen if SPECT and CT images had 1 voxel VOI  
88 mismatch in y-direction. One limitation of their study is that only mismatch within 1 voxel, i.e.,  
89 4.42 mm, between SPECT and CT is evaluated, whereas the mean misregistration for integrated  
90 SPECT/CT at the same time point could reach  $10.2 \pm 4.3$  mm for 3 directions, with a range of 0-25.1  
91 mm [14].

92 Besides, for serial imaging sessions, CT images are usually used for registration and the  
93 resultant motion field will be used to align the corresponding SPECT images to reduce the  
94 misalignments at different time points [15]. Thus, a mismatch of SPECT and CT at the same time  
95 point would lead to TIA estimation errors when using CT images for registration reference. All the  
96 aforementioned errors will propagate to final TIA estimation, and are expected to accumulate for  
97 more number of imaging time points.

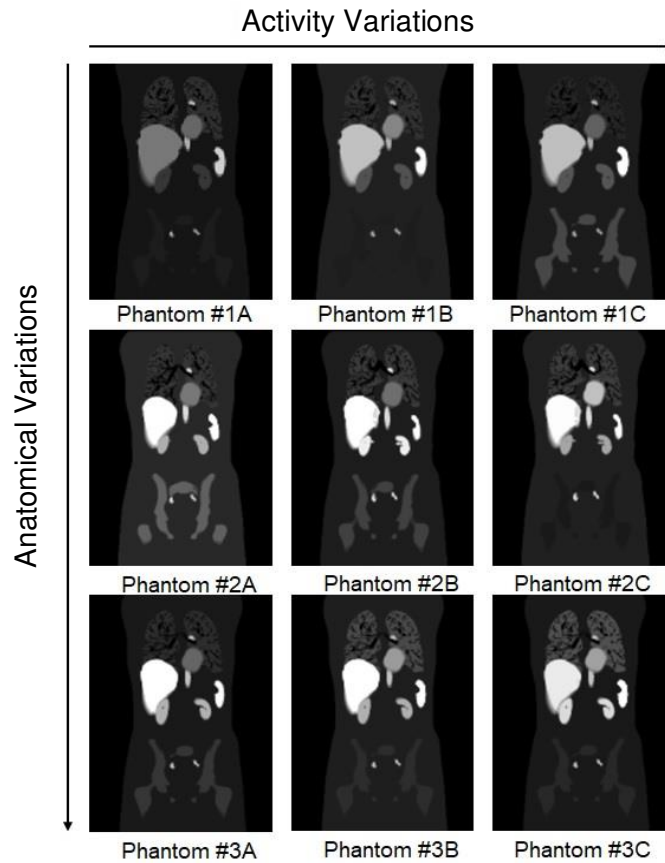
98 This study aims to systematically evaluate the impact of mismatch between CT and SPECT  
99 images in the following aspects using simulations: (i) AC; (ii) VOI definition; and (iii) registration  
100 among sequential SPECT/CT images.

101

## 102 **2. Materials and Methods**

### 103 **2.A. Phantom Population**

104 A population of nine 4D digital extended cardiac torso (XCAT) phantoms was used [16]. The  
105 XCAT phantoms with highly detailed body anatomies and physiological functions were generated  
106 using non-uniform rational B-spline (NURBS) and subdivision surfaces based on segmentation of  
107 patient datasets. It is an important imaging tool that allows modeling with user-defined parameters,  
108 i.e., anatomical variations, cardiac and respiratory motion, generating realistic multimodal imaging  
109 data close to the clinical studies. The phantoms used in this study varied in three anatomical  
110 variations with three respective In-111 Zevalin activity distributions (Figure 1), modeling an axial  
111 respiratory motion of 20 mm and 5 s period as well as normal cardiac motion. The activity  
112 distribution in the background remainder, kidneys, spleen, liver, heart, bone marrow and blood  
113 vessel was uniform except for the lungs since there was no airways activity. The time-varying  
114 activity and effective half-life of each organ were based on a set of clinical patient data [17] to  
115 simulate whole body SPECT scans covering from the thorax to the abdomen at 3 time points, i.e.,  
116 1, 24, and 144 hrs post-injection.



117

118 **Figure 1:** Sample activity maps of the 9 XCAT phantoms.

119

## 120 **2.B. Simulation and Quantitative Reconstruction**

121 An analytical projector of a medium energy general purpose (MEGP) collimator modeling  
 122 attenuation, scatter, and geometric collimator-detector-response (GCDR) was used [18] for the  
 123 simulations based on a GE Discovery VH Hawkeye SPECT/CT system with crystal thickness of  
 124 2.54 cm. Considering two photopeaks of In-111, i.e., 171 keV and 245 keV with abundances of  
 125 90.2% and 94% respectively, the attenuation maps with abundance weighted average energy of 210  
 126 keV for AC in reconstruction were generated [19]. The scatter was modeled by the effective source  
 127 scatter estimation (ESSE) method [20] and the GCDR was performed using an analytic formulation  
 128 proposed by Metz et al [21].



129 The noise-free projections were generated in 128 transaxial and 170 axial bins with 4.42 mm  
130 voxel size and 128 views over 360° acquisition, using phantoms with a voxel size of 2.21 mm. A  
131 system calibration factor of  $1.43 \times 10^{-4}$  counts  $s^{-1} Bq^{-1}$  was used to scale the projections to a  
132 clinical count level of 30 s/view acquisition time, which were then added with Poisson noise to  
133 obtain realistic noisy projections. The data were reconstructed using the OS-EM algorithm (8  
134 iterations and 16 subsets, i.e., 128 updates) with attenuation, ESSE and GCDR compensation.

135

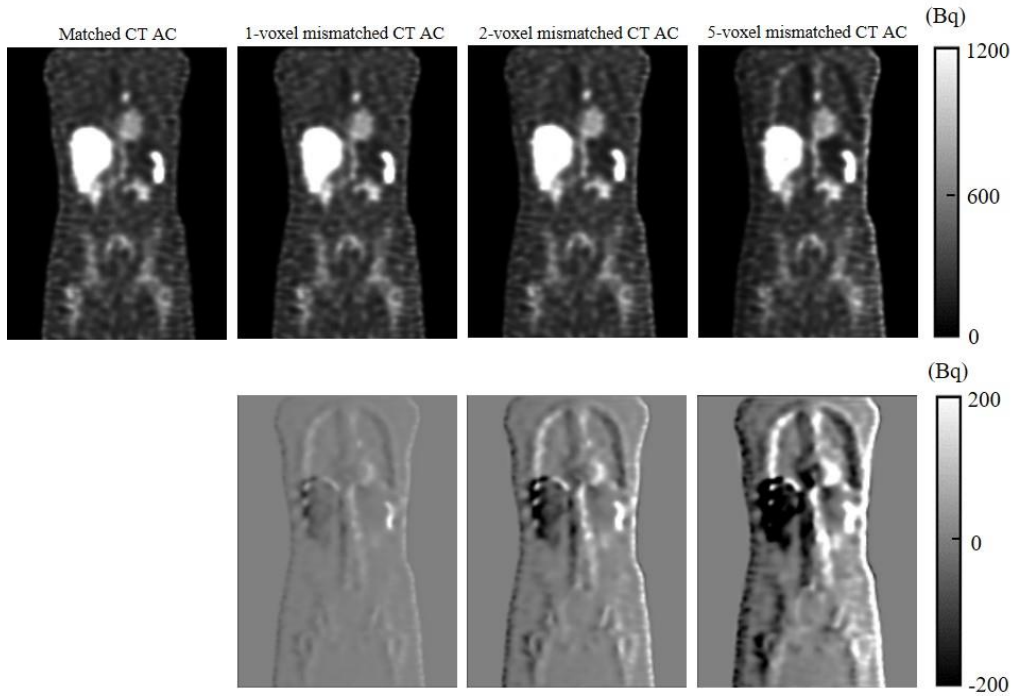
## 136 **2.C. Experimental Design**

### 137 **2.C.1. The Mismatched AC Effect**

138 The SPECT/CT mismatch effect on AC was evaluated on 1 time point for organ activity and  
139 3-time point for TIA estimation, respectively.

#### 140 2.C.1.a. Organ activity estimation

141 SPECT and its corresponding CT images at 24 hr time point for 9 phantoms were used for  
142 analysis. The CT image was first shifted from 0 to 5 voxels (0-22.1 mm) in anterior-to-posterior  
143 (y-), superior-to-inferior (z-) and lateral (x-) directions respectively as compared to the  
144 corresponding SPECT image. The increments were 0.1 voxel for 0 to 1 voxel, 0.2 voxel for 1 to 2  
145 voxels and 1 voxel for 2 to 5 voxels respectively. We also evaluated the random mismatch between  
146 CT and SPECT images where CT images were shifted randomly within the mean Euclidian distance  
147 of  $10.2 \pm 4.3$  mm ( $2.31 \pm 0.97$  voxels) and a maximum range of 25.1 mm (5.67 voxels) as compared  
148 to the corresponding SPECT images according to a clinical reference [14]. The noisy projections  
149 were reconstructed using the mismatched CT maps for AC (Figure 2). VOIs of the target organs,  
150 i.e., kidneys, spleen, liver and lungs were segmented from the matched CT images semi-  
151 automatically using an open-source software application ITK-SNAP [22] to map out the  
152 corresponding organs from the SPECT images to measure the organ activities.



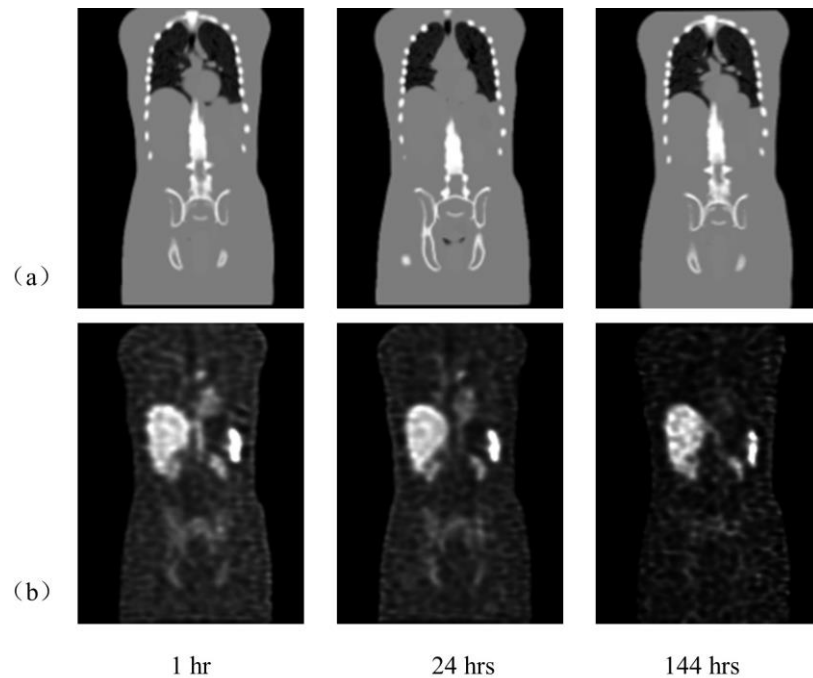
153

154 **Figure 2:** Top: Sample SPECT images using different CT maps for AC; Bottom: Difference images  
 155 as compared to the SPECT images using matched CT for AC.

156

157 2.C.1.b. TIA estimation

158 SPECT and CT images at 1, 24, and 144 hr time points for 9 phantoms were used for  
 159 evaluations, while misalignments were not modelled among images at different time points. CT  
 160 images at 3 time points were shifted randomly within the mean and maximal range of 2.31 voxels  
 161 and 5.67 voxels respectively as compared to the corresponding SPECT images, which were then  
 162 used for AC for the corresponding SPECT reconstructions (Figure 3). Voxel-based bi-exponential  
 163 curve fitting using the nonlinear least squares method under MATLAB 9.6 (The MathWorks Inc.,  
 164 Natick, USA) was performed on the sequential quantitative SPECT images over 3 time points to  
 165 obtain the TIA images, i.e., area under the curves by integration. VOIs segmented from the matched  
 166 CT images were used to map out the target organs from the TIA images.



167

168 **Figure 3:** The mismatched AC effect for TIA estimation: (a) mismatched CT images and (b) the  
 169 corresponding SPECT images at 3 different time points.

170

### 171 **2.C.2. The Mismatched VOI Delineation Effect**

172 Similar to the previous section, the mismatch effect on VOI delineation was investigated on  
 173 organ activity and TIA estimation errors.

#### 174 2.C.2.a. Organ activity estimation

175 The simulated noisy SPECT projections at 24-hr time point were reconstructed using the  
 176 matched CT maps for AC. Then the target organs on SPECT images were mapped out using  
 177 mismatched VOIs obtained from the shifted CT, generated as described in Section 2.C.1.a, to obtain  
 178 the organ activities.

#### 179 2.C.2.b. TIA estimation

180 The simulated noisy projections at 3 time points were reconstructed with corresponding  
181 matched CT maps for AC. Misalignments were not modeled among different time points.  
182 Considering the VOIs may apply on the sequential SPECT images or the TIA image directly, VOI  
183 mismatch was modeled in 1 and 3 time points respectively. For the 3-time-point VOI mismatch,  
184 target organs were mapped out from the SPECT image at each time point using the mismatched  
185 VOIs from shifted CT images as described in Section 2.C.1.b. Curve fitting and integration were  
186 then performed as described in the previous section on the segmented target organs to obtain their  
187 TIA. For the 1-time-point VOI mismatch, curve fitting and integration were first performed on the  
188 sequential SPECT images to obtain the TIA images. The target organs were then mapped out using  
189 mismatched VOI at 24-hr time point from the TIA images.

190

### 191 **2.C.3. The Mismatched Registration Effect**

192 To model the misalignment among sequential scans, the local organ deformation was  
193 performed for kidneys, spleen, liver, and stomach at 1 and 144 hrs time point, translated and rotated  
194 randomly within  $\pm 5$  pixels (11.05 mm) or degrees, while using the 24 hrs time point as the reference.  
195 The volume change was held within 5% for each organ except the stomach. The boundaries of the  
196 lungs were defined by the deformation of surrounding organs, i.e., liver and heart. Besides the local  
197 organ deformation, to simulate the whole body movement between scans, a rigid transformation  
198 within  $\pm 5$  pixels or degrees of translation or rotation was also modeled [23, 24].

#### 199 2.C.3.a. Accumulative mismatched effect

200 Sequential CT images of the 9 phantoms were shifted randomly as compared to their  
201 corresponding SPECT images for 1-, 2- and 3-time points respectively as described in 2.C.1.b. CT  
202 images were then non-rigidly registered to the “reference image”, i.e., 24-hr CT images, using the  
203 affine plus B-spline framework under the open-source program “Elastix” [25]. The resultant motion

204 vectors were then applied to align the corresponding SPECT images with matched CT AC. The  
205 TIA images were derived by curve fitting and integration of the SPECT images over 3 time points,  
206 using the same method as described in the previous sections. VOIs segmented from the matched  
207 CT images at the 24-hr time point were used to map out the target organs from the TIA images.

#### 208 2.C.3.b. Mismatch on reference images

209 Sequential CT images of the 9 phantoms were shifted randomly as compared to their  
210 corresponding SPECT images on 1, 24 or 144 hr-time point separately. The shifted CT images at 3  
211 different time points were used as “reference image” respectively for registrations. The resultant  
212 motion fields were used to align the corresponding SPECT images with matched CT AC. The effect  
213 of using different time point CT images as registration reference was also evaluated for sequential  
214 SPECT/CT images with no SPECT and CT mismatch for comparison. Curve fitting and integration  
215 were performed on the “registered” images to obtain the TIA images, and TIAs for target organs  
216 were then mapped out using matched VOIs at the reference time point.

217

### 218 **2.D. Data Analysis**

219 For the errors in organ activity estimation introduced by mismatched SPECT and CT images  
220 at 1 time point caused by AC and VOI delineation, the target organ activities ( $A_{w/mismatch}$ ) were  
221 compared to the reference ( $A_{ref}$ ), i.e., organ activities obtained with no misalignment between  
222 SPECT and CT images to calculate the organ activity errors (%OAE):

$$223 \quad \%OAE = \frac{A_{w/mismatch} - A_{ref}}{A_{ref}} \times 100\% \quad (1)$$

224 Positive values indicate overestimation and negative values indicate underestimation of the  
225 organ activity.

226 For the errors in TIA estimation caused by sequential mismatched SPECT and CT images, the  
227 absolute TIA error (%TIAE) for the target organs ( $TIA_{w/mismatch}$ ) was defined as the following  
228 equation, using sequential SPECT and CT images with no SPECT/CT mismatch and no  
229 misalignment between different time points for registration as reference ( $TIA_{ref}$ ):

$$230 \quad \%TIAE = \frac{|TIA_{w/mismatch} - TIA_{ref}|}{TIA_{ref}} \times 100\% \quad (2)$$

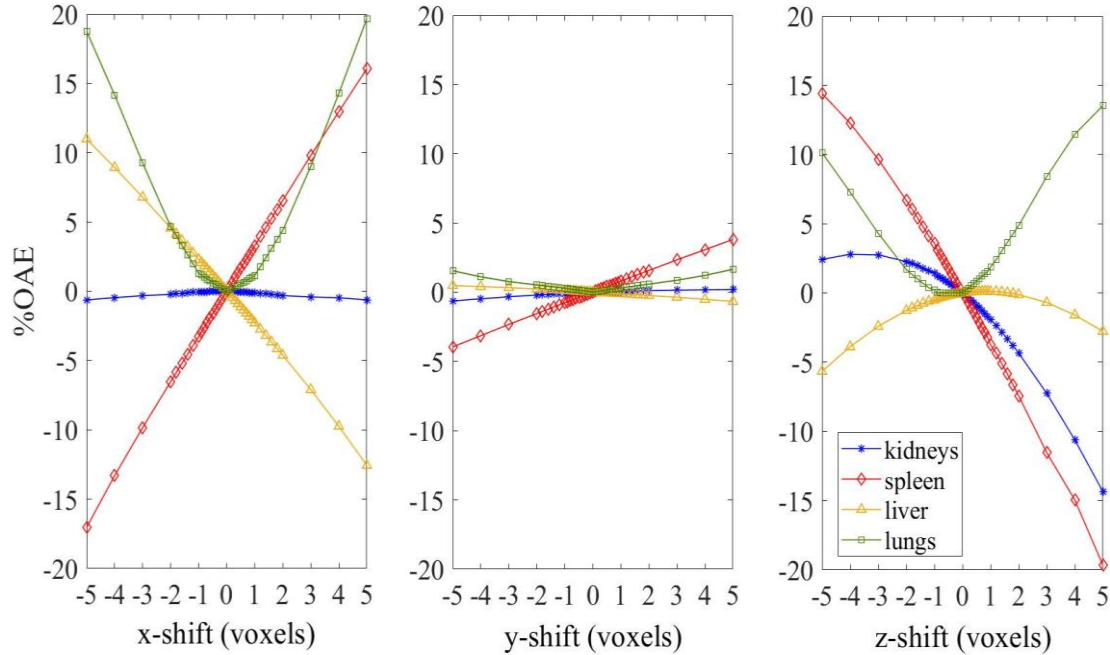
231 The %TIAE obtained from Section 2.C.1.b, 2.C.2.b and 3-time-point mismatch for registration  
232 in 2.C.3.a were compared to demonstrate the error magnitudes from AC, VOI delineation and  
233 registration for TIA measurement caused by SPECT and CT mismatch. Statistical analysis was  
234 performed using the paired t-test with Bonferonni correction by SPSS Version 24 (IBM Corp.,  
235 Armonk, NY, USA) for %TIAE. A p value < 0.05 was defined as significantly different.

236

### 237 **3. Results**

#### 238 **3.A. The Mismatched AC Effect**

239 Figure 4 shows the %OAE for target organs by the mismatched AC effect for Phantom #2A.  
240 The %OAE for the mismatch between SPECT and CT images along x- and z-directions were larger  
241 than y-direction for all target organs especially for spleen, liver and lungs, e.g., %OAE for spleen  
242 at 5 voxels were -17.04%, 3.81% and -19.55% for x-, y-, z-direction respectively. The mismatch of  
243 attenuation maps had larger errors for organs near ribs, e.g., spleen and lungs. The %OAE results  
244 of 9 phantoms were consistent (Table 1). The errors are generally <3% for mismatch according to  
245 the clinical reference.



246

247 **Figure 4:** %OAE introduced by shifting CT images from -5 to 5 voxels for the AC effects. Left: x-  
 248 direction; middle: y-direction; right: z-direction.

249

250 **Table 1:** Mean %OAE and standard deviation of 9 phantoms for the mismatched AC effect.

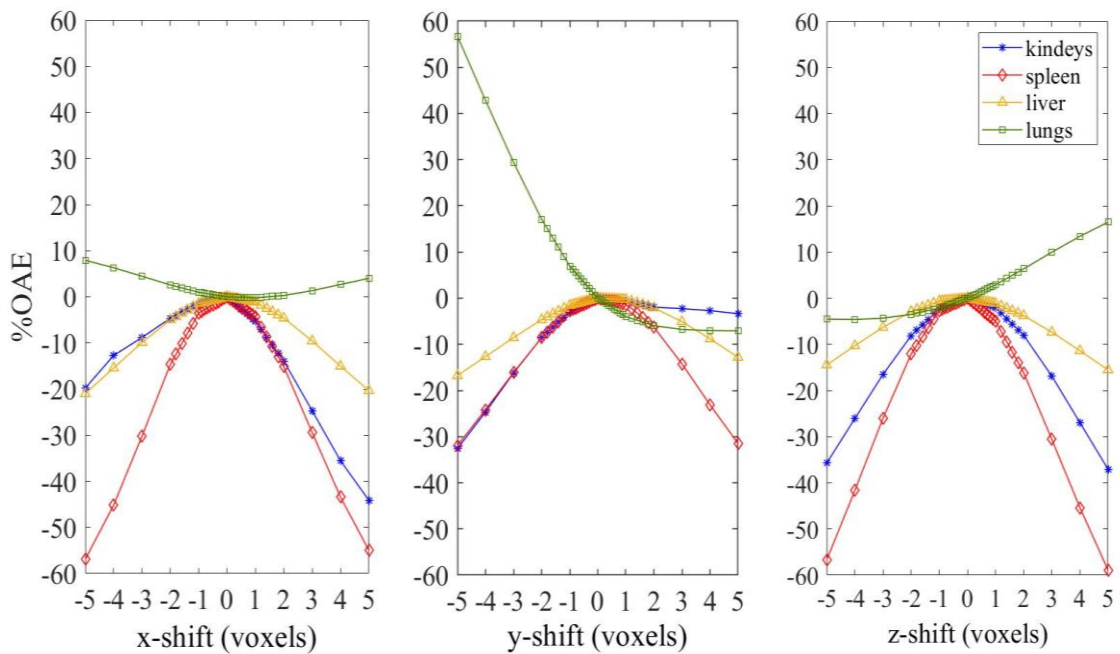
		kidneys	spleen	liver	lungs
x-shift	-1 voxel	-0.11%±0.06%	-4.01%±0.35%	2.73%±0.20%	1.17%±0.18%
	-2 voxels	-0.32%±0.12%	-8.00%±0.71%	5.46%±0.41%	4.25%±0.56%
	-5 voxels	-1.61%±1.25%	-20.20%±1.60%	13.43%±1.10%	17.30%±2.40%
y-shift	-1 voxel	-0.20%±0.07%	-0.37%±0.19%	0.20%±0.04%	0.19%±0.10%
	-2 voxels	-0.40%±0.13%	-0.83%±0.33%	0.31%±0.08%	1.06%±0.49%
	-5 voxels	-0.96%±0.25%	-2.28%±0.76%	0.55%±0.19%	4.38%±2.29%
z-shift	-1 voxel	1.54%±0.35%	3.43%±0.17%	-0.20%±0.15%	0.41%±0.09%
	-2 voxels	2.30%±0.58%	5.62%±0.54%	-0.62%±0.30%	1.67%±0.14%
	-5 voxels	3.03%±1.21%	11.84%±1.38%	-3.90%±0.84%	9.86%±1.35%
<b>Mismatch according to clinical reference</b>		<b>-0.72%±0.50%</b>	<b>2.13%±1.34%</b>	<b>-2.58%±0.81%</b>	<b>1.54%±0.28%</b>

251

252

253 **3.B. The Mismatched VOI Delineation Effect**

254 Figure 5 shows the %OAE for the mismatched VOI delineation effects for Phantom #2A.  
255 The %OAE for VOI effect was larger on small organs, i.e., kidneys and spleen, and organs with  
256 lower activity uptake, e.g., lungs. The results show that the mismatch errors were proportional to  
257 the magnitude of the CT mismatches. The %OAE results of 9 phantoms were consistent (Table 2).  
258 The errors could reach >10% for spleen for mismatch according to the clinical reference.



259  
260 **Figure 5:** %OAE introduced by shifting CT images from -5 to 5 voxels for the mismatched VOI  
261 delineation effects. Left: x-direction; middle: y-direction; right: z-direction.

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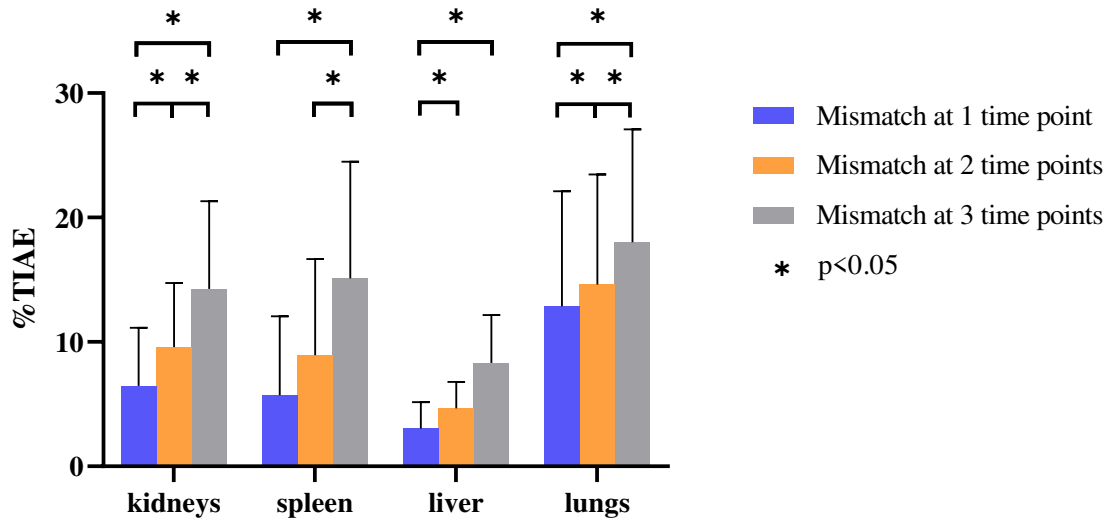
269 **Table 2:** Mean %OAE and standard deviation of 9 phantoms for mismatched VOI delineation effect.

		kidneys	spleen	liver	lungs
x-shift	-1 voxel	-1.08%±1.24%	-2.22%±0.92%	-1.47%±0.24%	0.59%±0.31%
	-2 voxels	-3.17%±1.65%	-10.02%±3.00%	-4.86%±0.54%	1.42%±0.93%
	-5 voxels	-16.37%±3.24%	-42.83%±7.94%	-19.79%±2.01%	4.82%±3.07%
y-shift	-1 voxel	-4.07%±1.16%	-1.81%±0.94%	-1.21%±0.37%	4.77%±1.41%
	-2 voxels	-9.58%±1.86%	-6.43%±1.80%	-3.88%±0.83%	12.01%±3.97%
	-5 voxels	-30.97%±4.14%	-26.43%±3.57%	-14.98%±2.07%	42.81%±6.38%
z-shift	-1 voxel	-1.94%±0.45%	-3.65%±1.00%	-0.84%±0.23%	-1.86%±0.47%
	-2 voxels	-7.15%±1.71%	-13.64%±2.69%	-3.11%±0.45%	-3.25%±1.24%
	-5 voxels	-32.46%±8.03%	-55.93%±6.58%	-13.83%±1.06%	-6.00%±3.94%
<b>Mismatch according to clinical reference</b>		<b>-9.14%±8.47%</b>	<b>-11.94%±10.34%</b>	<b>-4.38%±2.88%</b>	<b>5.97%±4.36%</b>

270

### 271 3.C. The Mismatched Registration Effect

272 Figure 6 shows the %TIAE results for different numbers of SPECT and CT mismatch existed  
 273 in a 3-time point study. For sequential imaging sessions, errors increased when the frequency of  
 274 mismatches between SPECT and CT images increased, e.g., %TIAE for kidneys was  
 275 6.47%±4.68%, 9.60%±5.14%, and 14.25%±7.07% respectively for 1-, 2-, and 3-time point  
 276 SPECT/CT mismatches. Significant differences were observed between mismatch at 1 and 3 time  
 277 points for all target organs.



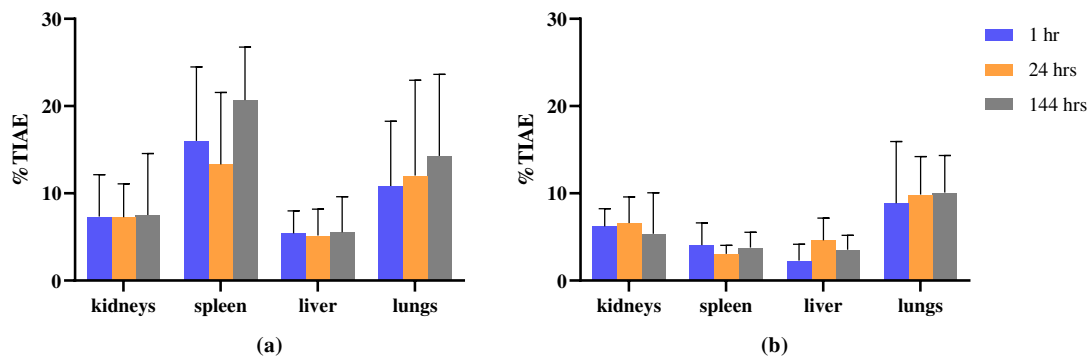
278

279 **Figure 6:** %TIAE for target organs with 1-, 2-, and 3-time-points SPECT/CT mismatch. All  
 280 results represent the average of 9 phantoms and error bars show the standard deviation.

281

282 Figure 7 shows the results of using the different time point CT as “reference image” for  
 283 registration for sequential CT images to then register the corresponding SPECT images. There was  
 284 no statistically significant difference among %TIAE for the CT reference at different time points,  
 285 no matter when using mismatched or matched CT as registration reference. The %TIAE was  
 286 generally larger for the mismatched CT registration (Figure 7a) as compared to matched CT  
 287 registration (Figure 7b). The %TIAE was smaller when mismatch occurs at 1- or 24-hr as compared  
 288 to 144-hr time point as the registration reference in this study (Figure 7a).

289

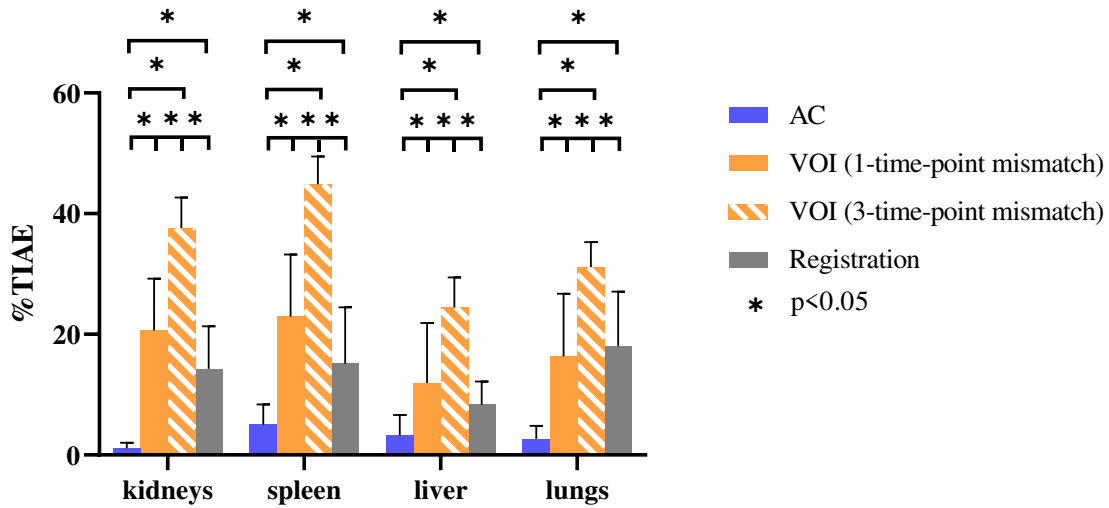


290

291 **Figure 7:** %TIAE for target organs when using (a) mismatched and (b) matched CT image at  
 292 different time points as registration reference. All results represent the average of 9 phantoms and  
 293 error bars show the standard deviation.

294

295 Figure 8 compares the %TIAE results for SPECT and CT mismatch for AC, VOI and  
 296 registration effects. The %TIAE for the AC effect was the smallest while the VOI effect for the 3-  
 297 time-point mismatch was the largest for all organs, e.g.,  $1.13\% \pm 0.90\%$ ,  $37.61\% \pm 5.08\%$  and  
 298  $14.25\% \pm 7.07\%$  respectively for mismatched AC, VOI (3-time-point mismatch) and registration  
 299 effects for kidneys. Even for VOI mismatch occurred in 1-time point, i.e., target organs mapped  
 300 out 1 time using mismatched VOI from the TIA images, its %TIAE was still generally larger than  
 301 3-time point AC and registration mismatch effects for most organs except for the lungs, where  
 302 registration mismatch affected more on their TIA estimation. In addition, the %TIAE for the 3-  
 303 time-point mismatched VOIs effect was larger as compared to the 1-time-point mismatched VOIs  
 304 for all organs as expected, e.g., %TIAE for spleen was  $44.86\% \pm 4.60\%$ ,  $22.94\% \pm 10.29\%$  for the  
 305 3- and 1-time point VOI mismatch respectively. Significant differences were observed among  
 306 different effects except for the registration and 1-time-point VOI mismatch effect.



307

308 **Figure 8:** %TIAE for different target organs with the AC, VOI and registration mismatch effects.

309 All results represent the average of 9 phantoms and error bars show the standard deviation.

310

#### 311 4. Discussion

312 In this work, the errors of mismatches caused by patient movement between CT and SPECT  
 313 scans at the same time point were evaluated systematically, including the %OAE for AC and VOI  
 314 effects at one scanning time point and the %TIAE from sequential images taken at different time  
 315 points. All results showed that the mismatches between CT and SPECT images influence activity  
 316 estimation and will further affect the TIA estimation. Goetze et al. [14] demonstrated the  
 317 misregistration frequently occurred between SPECT and CT images in myocardial perfusion  
 318 SPECT/CT, i.e., about two thirds of the clinical cases showed more than one voxel of  
 319 misregistration. They demonstrated that the mean misregistration was  $10.2 \pm 4.3$  mm, with a range  
 320 of 0–25.1 mm. Thus, besides fixed range of mismatch, CT images in this study were shifted as  
 321 compared to the SPECT images within the mean and maximal range (2.31 voxels, i.e., 10.2 mm  
 322 and 5.67 voxels, i.e., 25.1 mm respectively) according to their study.

323 The %OAE results revealed the trends and magnitude of errors in activity estimates resulting  
 324 from AC and VOI effects. The AC errors of the organs near ribs showed a larger impact compared

325 with the organs far from the ribs. This could be attributed to the larger attenuation coefficient of  
326 bone as compared to the soft tissues. For instance, when the CT maps is shifted to the right, the ribs  
327 could be moved into the liver region and would cause over attenuation compensation thus activity  
328 overestimation in the liver. On the contrary, if the CT is shifted to the left, the background could  
329 move into the liver, leading to the underestimation of the liver activity. Moreover, the ribs  
330 movement is not much in the y-direction as compared to other directions, thus the %OAE is  
331 relatively smaller for the AC mismatch effect in this direction.

332 For VOI mismatch effect, %OAE was larger for small organs, such as kidneys and spleen, and  
333 low uptake organs that are close to the high uptake organs, e.g., the lungs. For example, for VOI  
334 mismatch according to clinical reference, the %OAE can reach  $-11.94\% \pm 10.34\%$  for the spleen.  
335 All target organs except the lungs showed activity underestimation since the adjacent region had  
336 lower activities. Overestimation was expected for the lungs, since they were near to the heart and  
337 liver with higher activity. These results were in accordance with the results from another study [13].

338 For registration mismatch effect, we evaluated the errors for using mismatched CT images at  
339 different time points as the “reference image” and different numbers of mismatch occurred in  
340 sequential SPECT/CT. No significant difference was observed when using different time point  
341 images for registration reference, probably attributed to the fact that CTs at different imaging points  
342 possess similar image intensity while it is different in sequential SPECT images for SPECT-based  
343 registration [5]. For a 3-time point imaging study, %TIAE is  $>10\%$  for kidneys, spleen and lungs  
344 when SPECT and CT mismatch accumulates for 3 SPECT/CT sessions (Figure 6).

345 The VOI errors were larger than the AC errors in both organ activity and TIA estimation,  
346 especially for small organs, such as kidneys and spleen. The %ODE for kidneys and spleen were -  
347  $9.14\% \pm 8.47\%$  and  $-11.94\% \pm 10.34\%$  for 1-time point SPECT and CT random VOI mismatch,  
348 which were further accumulated in TIA calculation by sequential mismatched images, i.e.,  
349 the %TIAE for kidneys and spleen were  $37.61\% \pm 5.08\%$  and  $44.86\% \pm 4.60\%$  for 3-time-point  
350 mismatched VOI and  $20.62\% \pm 8.61\%$  and  $22.94\% \pm 10.29\%$  for 1-time-point mismatched VOI

351 respectively. The VOI errors were generally largest, followed by registration and AC errors in TIA  
352 estimation.

353         Simulation provides an effect means for evaluation with known truth, i.e., organ activity and  
354 time integrated activity in this study. Moreover, different mismatch schemes can be modeled based  
355 on the clinical reference [14]. In this study, rigid mismatch is modelled between the SPECT and  
356 CT, which should be a legitimate assumption for voluntary motion happened between the same  
357 time point SPECT and CT due to the relatively short time gap. However, nonrigid motion  
358 deformation may exist between the same time point SPECT and CT from involuntary motion, e.g.,  
359 respiratory motion, and also impact the dosimetric accuracy. For example, liver and lungs mis-  
360 registration between the mismatched SPECT/PET and CT due to respiration in Y-90 microsphere  
361 treatment planning or dose verification for primary or metastatic cancers in liver is commonly  
362 observed in the clinics, posing uncertainties for then dosimetric calculations. Associate results are  
363 published in our recent study [26]. However, registration between mismatched SPECT and CT due  
364 to respiration could be challenging due to the substantially inherent motion blur thus unclear organ  
365 boundaries from the static emission images. Respiratory gating and motion compensation could be  
366 a possible solution [27]. Although restraints and careful position could reduce patients' movement,  
367 our results indicate that non-negligible mismatches between SPECT and CT images at the same  
368 time point could affect the dosimetric accuracy >40% especially for small organs and low uptake  
369 organs (Figure 8), while the accuracy of organ activity estimation for quantitative SPECT exceeds  
370 90% with appropriate compensation [28]. Thus, alleviating the mismatch between transmission and  
371 emission images by registration is necessary to improve the AC, VOI delineation and the then CT-  
372 based registration among serial scans for TRT dosimetry. Lesions are not simulated in this study as  
373 for the maximal tolerated dose regime, absorbed dose for critical organs are more important.  
374 However, SPECT and CT mismatch impact on lesions are expected to be similar or even more  
375 severe to small organs, i.e., spleen in this study. Although this study is based on simulations with

376 In-111 Zevalin distribution, results should be applicable to other common clinical applications such  
377 as Lu-177 tracers nowadays.

378

## 379 **5. Conclusion**

380 This work studied the mismatch effects between SPECT and CT scans at the same time point,  
381 including the activity estimation errors caused by the AC and VOI delineation, and TIA estimation  
382 errors from AC, VOI delineation and registration from sequential SPECT/CT scans. Our results  
383 showed that dosimetric estimation errors increase as the mismatch magnitude increases. It was  
384 observed that the VOI errors were the largest, followed by registration and AC errors for dosimetric  
385 estimations, especially for the small organs and low uptake organs in this study. Quantitative errors  
386 could reach 40% for SPECT and CT mismatch modeled according to a clinical reference.  
387 Registration between the same time point SPECT and CT is recommended to enhance dosimetric  
388 accuracy.

389

## 390 **Abbreviations**

391 SPECT/CT: Single photon emission computed tomography/computed tomography;  
392 SPECT: Single photon emission computed tomography; CT: Computed tomography; AC:  
393 Attenuation correction; VOI: Volume-of-interest; GCDR: Geometric collimator-detector-  
394 response; TIA: Time-integrated activity; OAE: Organ activity error; TIAE: Time-  
395 integrated activity error; TRT: Targeted radionuclide therapy; TAC: time-activity curve;  
396 XCAT: 4D digital extended cardiac torso; MEGP: Medium energy general purpose; ESSE:  
397 Effective source scatter estimation; OS-EM: Ordered subset expectation maximization.

398

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401

### 402 **Authors' contributions**

403 Yingqing Lyu and Greta Mok were both the primary writers of the manuscript. Yingqing  
404 Lyu was mainly responsible for phantom generation, data collection and analysis while  
405 Greta Mok and Yue Chen were responsible for the simulation design, data interpretation  
406 and study integration.

407

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411

### 412 **Availability of data and materials**

413 The datasets used and analyzed during the current study are available from the  
414 corresponding author on reasonable request.

415

### 416 **Ethics approval and consent to participate**

417 Not applicable.

418

### 419 **Consent for publication**

420 Not applicable.

421

### 422 **Competing interests**



423 The authors declare that they have no competing interests.

424

425

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