

# On Low-Dimensional Complex $\omega$ -Lie Superalgebras

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## Research Article

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# On Low-Dimensional Complex $\omega$ -Lie Superalgebras

Jia zhou and Liangyun Chen

**Abstract.** Let  $(g, [-, -], \omega)$  be a finite-dimensional complex  $\omega$ -Lie superalgebra. In this paper, we introduce the notions of derivation superalgebra  $\text{Der}(g)$  and the automorphism group  $\text{Aut}(g)$  of  $(g, [-, -], \omega)$ . We study  $\text{Der}^\omega(g)$  and  $\text{Aut}^\omega(g)$ , which are superalgebra of  $\text{Der}(g)$  and subgroup of  $\text{Aut}(g)$ , respectively. For any 3-dimensional or 4-dimensional complex  $\omega$ -Lie superalgebra  $g$ , we explicitly calculate  $\text{Der}(g)$  and  $\text{Aut}(g)$ , and obtain Jordan standard forms of elements in the two sets. We also study representation theory of  $\omega$ -Lie superalgebras and give a conclusion that all nontrivial non- $\omega$ -Lie 3-dimensional and 4-dimensional  $\omega$ -Lie superalgebras are multiplicative, as well as we show that any irreducible representation of the 4-dimensional  $\omega$ -Lie superalgebra  $P_{2,k}(k \neq 0, -1)$  is 1-dimensional.

**Keywords.**  $\omega$ -Lie superalgebra, derivations, automorphisms, representations.

## 1. Introduction

In 2007, Nurowski [6] introduced the notion of  $\omega$ -Lie algebras, which is related to the study of isoparametric hypersurfaces in Riemannian geometry (See Bobiński-Nurowski [1] and Nurowski [7]). A fundamental development of  $\omega$ -Lie algebras was made by Zusmanovich [12], whose results (See [12], Section 9, Theorem 1 and Theorem 2) show that nontrivial finite-dimensional  $\omega$ -Lie algebras are either low-dimensional or have a very degenerate structure. Nurowski [6] first gave a classification of 3-dimensional  $\omega$ -Lie algebras over the field of real numbers. In 2014, Chen-Liu-Zhang [2,3] obtained a classification of 3-dimensional and 4-dimensional complex  $\omega$ -Lie algebras. With the classification, Chen-Zhang-Zhang [4] calculated the derivation algebras and automorphism groups of low-dimensional complex  $\omega$ -Lie algebras, gave a sufficient and necessary condition for an  $\omega$ -Lie algebra with 1-dimensional module, and showed that any irreducible representation of the 3-dimensional  $\omega$ -Lie algebra  $C_\alpha(\alpha \neq 0, -1)$  is 1-dimensional.

The notation of  $\omega$ -Lie superalgebras (See Definition 1.1) was introduced by Zhou-Chen-Ma in [11]. As a  $\mathbb{Z}_2$ -graded vector space, an  $\omega$ -Lie superalgebra

$g = g_{\bar{0}} \oplus g_{\bar{1}}$  is an  $\omega$ -Lie algebra if  $g_{\bar{1}} = 0$  i.e.,  $g = g_{\bar{0}}$ . An  $\omega$ -Lie superalgebra, with the bilinear form  $\omega$ , becomes a Lie superalgebra if  $\omega \equiv 0$ . Hence we usually call Lie superalgebras trivial  $\omega$ -Lie superalgebras. Therefore,  $\omega$ -Lie superalgebras generalize both  $\omega$ -Lie algebras and Lie superalgebras.

The purpose of this article is to study representation theory, derivation algebra  $\text{Der}(g)$  and automorphism group  $\text{Aut}(g)$  for a finite-dimensional  $\omega$ -Lie superalgebra  $g$ . We introduce the notations of  $\omega$ -derivations and  $\omega$ -automorphisms and write  $\text{Der}^{\omega}(g)$  and  $\text{Aut}^{\omega}(g)$  for the sets consisting of  $\omega$ -derivations and  $\omega$ -automorphisms, respectively. We calculate  $\text{Der}(g)$ ,  $\text{Aut}(g)$ ,  $\text{Der}^{\omega}(g)$  and  $\text{Aut}^{\omega}(g)$ , study some Lie superalgebra properties of  $\text{Der}(g)$  as well as Lie group properties of  $\text{Aut}(g)$ , and obtain all Jordan standard forms of elements in  $\text{Der}(g)$  and  $\text{Aut}(g)$ , for any 3-dimensional or 4-dimensional complex  $\omega$ -Lie superalgebra  $g$ . We also study multiplicative  $\omega$ -Lie superalgebras, showing that any 3-dimensional or 4-dimensional nontrivial non- $\omega$ -Lie complex  $\omega$ -Lie superalgebra has 1-dimensional module, and prove that the only irreducible representation of the 4-dimensional  $\omega$ -Lie superalgebra  $P_{2,k}(k \neq 0, -1)$  is 1-dimensional.

We proceed as follows. In Section 2, we introduce  $\text{Der}(g)$ ,  $\text{Aut}(g)$ ,  $\text{Der}^{\omega}(g)$  and  $\text{Aut}^{\omega}(g)$  of  $\omega$ -Lie superalgebra  $g$  and observe that  $\text{Der}^{\omega}(g)$  (resp.  $\text{Aut}^{\omega}(g)$ ) is a subalgebra (resp. subgroup) of  $\text{Der}(g)$  (resp.  $\text{Aut}(g)$ ). In section 3, for the only nontrivial non- $\omega$ -Lie 3-dimensional complex  $\omega$ -Lie superalgebra  $H$ , we give the detailed arguments about  $\text{Der}(H)$  and  $\text{Aut}(H)$ . We show that  $\text{Aut}(H)$  is a connected matrix Lie group and  $\exp(\text{Der}_{\bar{0}}(H)) = \text{Aut}(H)$ . Section 4 is devoted to calculating  $\text{Der}(g)$  and  $\text{Aut}(g)$  when  $g$  is a 4-dimensional complex  $\omega$ -Lie superalgebra. Here, we study  $\text{Der}(P_{1,k})$  and  $\text{Aut}(P_{1,k})$  in detail, see Proposition 4.2 and Proposition 4.4. We also discuss the elementary properties such as nilpotency, solvability and commutativity of Lie superalgebra  $\text{Der}(g)$  and Lie group  $\text{Aut}(g)$ . In Section 5, the Jordan standard forms about elements in  $\text{Der}(g)$  and  $\text{Aut}(g)$  for any 3-dimensional or 4-dimensional complex  $\omega$ -Lie superalgebra  $g$  are calculated and listed in the tables. In Section 6, we give a sufficient and necessary condition for an  $\omega$ -Lie superalgebra with 1-dimensional module, and prove that all 3-dimensional and 4-dimensional nontrivial non- $\omega$ -Lie complex  $\omega$ -Lie superalgebras are multiplicative. Particularly, we show that any irreducible representation of the 4-dimensional  $\omega$ -Lie superalgebra  $P_{2,k}(k \neq 0, -1)$  is 1-dimensional.

Throughout this paper we assume that the ground field is the complex field  $\mathbb{C}$ . All representations, modules and vector spaces are finite-dimensional over  $\mathbb{C}$ . An element  $x \in g$  is called homogeneous if  $x$  is in  $g_{\bar{0}}$  or  $g_{\bar{1}}$ . For any homogeneous element  $x$  we shall use the standard notation  $|x| \in \mathbb{Z}_2 = \{\bar{0}, \bar{1}\}$  to indicate its degree.

## 2. Basic concepts and properties

**Definition 2.1.** [11] An  $\omega$ -Lie superalgebra  $g$  is a  $\mathbb{Z}_2$ -graded vector space  $g = g_{\bar{0}} \oplus g_{\bar{1}}$  with a multiplication  $[\cdot, \cdot] : g \times g \rightarrow g$  over  $\mathbb{K}$  and a bilinear form  $\omega : g \times g \rightarrow \mathbb{K}$  satisfying

- (1)  $[g_\alpha, g_\beta] \subseteq g_{\alpha+\beta}, \quad \forall \alpha, \beta \in \mathbb{Z}_2,$
- (2)  $[x, y] = -(-1)^{|x||y|}[y, x],$  (graded skew-symmetric)
- (3)  $(-1)^{|x||y|}[[y, z], x] + (-1)^{|y||z|}[[z, x], y] + (-1)^{|x||z|}[[x, y], z] = (-1)^{|x||y|}\omega(y, z)x + (-1)^{|y||z|}\omega(z, x)y + (-1)^{|x||z|}\omega(x, y)z,$  (graded  $\omega$ -Jacobi identity)
- (4)  $\omega(g_{\bar{0}}, g_{\bar{1}}) = 0,$  where  $x, y, z$  are homogeneous elements of  $g.$

**Definition 2.2.** Let  $g$  be an  $\omega$ -Lie superalgebra. An homogeneous linear map  $d \in \text{End}_\theta(g)$  is said to be a derivation of degree  $\theta$  if

$$d([x, y]) = [d(x), y] + (-1)^{\theta|x|}[x, d(y)], \quad \forall x, y \in g.$$

We denote the set of all derivations of degree  $\theta$  by  $\text{Der}_\theta(g).$   $\text{Der}(g) = \text{Der}_{\bar{0}}(g) \oplus \text{Der}_{\bar{1}}(g)$  provided with the Lie-super commutator is a subalgebra of  $\text{End}(g)$  and is called the derivation algebra of  $g.$

**Definition 2.3.** Let  $g$  be an  $\omega$ -Lie superalgebra. A linear map  $\rho : g \rightarrow g$  of even degree is called an automorphism of  $g$  if  $\rho([x, y]) = [\rho(x), \rho(y)], \quad \forall x, y \in g.$

*Remark 2.4.* The set  $\text{Aut}(g)$  of all automorphisms of  $g$  forms a closed Lie subgroup of the general linear group  $\text{GL}(g),$  which means that  $\text{Aut}(g)$  is a matrix Lie group, see Sagle-Walde ([8], Proposition 7.1). We call  $\text{Aut}(g)$  the automorphism group of  $g.$

**Definition 2.5.** Let  $g$  be an  $\omega$ -Lie superalgebra. A derivation  $d \in \text{Der}_\theta(g)$  is called an  $\omega$ -derivation of degree  $\theta$  if

$$\omega(d(x), y) + (-1)^{\theta|x|}\omega(x, d(y)) = 0, \quad \forall x, y \in g.$$

A automorphism  $\rho \in \text{Aut}(g)$  is called an  $\omega$ -automorphism of  $g$  if

$$\omega(x, y) = \omega(\rho(x), \rho(y)), \quad \forall x, y \in g.$$

The set consisting of all  $\omega$ -derivations is denoted by  $\text{Der}^\omega(g),$  and the set of all  $\omega$ -automorphisms of  $g$  is called  $\text{Aut}^\omega(g).$

Clearly,  $\text{Der}^\omega(g) \subseteq \text{Der}(g)$  and  $\text{Aut}^\omega(g) \subseteq \text{Aut}(g).$  However, the converse are not all true, see Chen et al. ([4], Proposition 5.1).

We also have the following two properties.

**Proposition 2.6.**  $\text{Aut}^\omega(g)$  is a subgroup of  $\text{Aut}(g).$

*Proof.* Similar to Proposition 2.3 in [4]. □

**Proposition 2.7.**  $\text{Der}^\omega(g)$  is a subalgebra of Lie superalgebra  $\text{Der}(g).$

*Proof.* We need only to show that  $[d, d'] = d \cdot d' - (-1)^{\theta\mu} d' \cdot d \in \text{Der}_{\theta+\mu}^{\omega}(g)$ , where  $d \in \text{Der}_{\theta}^{\omega}(g)$ ,  $d' \in \text{Der}_{\mu}^{\omega}(g)$ . Indeed, for any  $x, y \in g$ , we have

$$\begin{aligned}\omega([d, d'](x), y) &= \omega(d \cdot d'(x) - (-1)^{\theta\mu} d' \cdot d(x), y) \\ &= \omega(d \cdot d'(x), y) - (-1)^{\theta\mu} \omega(d' \cdot d(x), y) \\ &= -(-1)^{\theta(\mu+|x|)} \omega(d'(x), d(y)) + (-1)^{\mu|x|} \omega(d(x), d'(y)).\end{aligned}$$

On the other hand,

$$\begin{aligned}\omega(x, [d, d'](y)) &= \omega(x, d \cdot d'(y) - (-1)^{\theta\mu} d' \cdot d(y)) \\ &= \omega(x, d \cdot d'(y)) - (-1)^{\theta\mu} \omega(x, d' \cdot d(y)) \\ &= -(-1)^{\theta|x|} \omega(d(x), d'(y)) + (-1)^{\mu(|x|+\theta)} \omega(d'(x), d(y)).\end{aligned}$$

Thus

$$\omega([d, d'](x), y) + (-1)^{(\theta+\mu)|x|} \omega(x, [d, d'](y)) = 0.$$

So  $[d, d'] \in \text{Der}_{\theta+\mu}^{\omega}(g)$ . □

### 3. Derivations and Automorphisms of 3-dimensional $\omega$ -Lie superalgebras

**Theorem 3.1.** [11] *Any nontrivial complex 3-dimensional  $\omega$ -Lie superalgebra must be isomorphic to one the following algebras:*

$$L_1 : [x_1, x_2] = x_2, [x_2, x_3] = x_3, [x_1, x_3] = 0, \omega(x_1, x_2) = 1.$$

$$L_2 : [x_1, x_2] = 0, [x_1, x_3] = x_2, [x_2, x_3] = x_3, \omega(x_1, x_3) = 1.$$

$$A_k : [x_1, x_2] = x_1, [x_1, x_3] = x_1 + x_2, [x_2, x_3] = kx_1 + x_3, \omega(x_2, x_3) = -1.$$

$$B : [x_1, x_2] = x_2, [x_1, x_3] = x_2 + x_3, [x_2, x_3] = x_1, \omega(x_2, x_3) = 2.$$

$$C_k : [x_1, x_2] = x_2, [x_1, x_3] = kx_3, [x_2, x_3] = x_1, \omega(x_2, x_3) = 1 + k, k \neq 0, -1.$$

$$H : [x_1, x_2] = x_1, [x_1, y] = y, [x_2, y] = [y, y] = 0, \omega(x_1, x_2) = 1, \omega(y, y) = 0,$$

where  $x_1, x_2, x_3 \in g_{\bar{0}}$ ,  $y \in g_{\bar{1}}$ ,  $k \in \mathbb{C}$ .

The derivations and automorphisms of 3-dimensional  $\omega$ -Lie algebras ( $L_1, L_2, A_k, B, C_k$ ) have been computed explicitly in Chen et al. ([4], Table 1, Table 2), so here we need only to consider  $\text{Der}(H)$  and  $\text{Aut}(H)$ .

We first study the derivations of  $H$ . As in Theorem 2.1,  $H$  has a basis  $\{x_1, x_2, y\}$ , where  $x_1, x_2 \in g_{\bar{0}}$ ,  $y \in g_{\bar{1}}$ , and  $H$  is defined by  $[x_1, x_2] = x_1$ ,  $[x_1, y] = y$ ,  $[x_2, y] = [y, y] = 0$ ,  $\omega(x_1, x_2) = 1$ ,  $\omega(y, y) = 0$ . Let  $E_{i,j}$  be the  $n \times n$  matrix in which the  $(i, j)$ -entry is 1 and other entries are zero. It is clearly that the  $\{E_{i,j} \mid 1 \leq i, j \leq n\}$  is a basis for the linear space  $\text{gl}_n(\mathbb{C})$ .

**Proposition 3.2.**

$$(1) \text{ Der}(H) = \left\{ d \in \mathfrak{gl}_3(\mathbb{C}) \mid d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b & b & a \end{pmatrix}, a, b \in \mathbb{C} \right\}.$$

(2)  $\text{Der}(H)$  is a 2-dimensional soluble (but not nilpotent) Lie superalgebra.

$$(3) \text{ Der}^\omega(H) = \text{Der}(H).$$

*Proof.* (1) For any  $d \in \text{Der}(H)$ , one can get  $d = d_{\bar{0}} + d_{\bar{1}}$ , where  $d_{\bar{0}} \in \text{Der}_{\bar{0}}(H)$  and  $d_{\bar{1}} \in \text{Der}_{\bar{1}}(H)$ .

For  $d_{\bar{0}} \in \text{Der}_{\bar{0}}(H)$ , we assume that  $d_{\bar{0}} = (a_{i,j}) \in \mathfrak{gl}_3(\mathbb{C})$ . Suppose

$$\begin{aligned} d_{\bar{0}}(x_1) &= a_{11}x_1 + a_{21}x_2, \\ d_{\bar{0}}(x_2) &= a_{12}x_1 + a_{22}x_2, \\ d_{\bar{0}}(y) &= a_{33}y. \end{aligned}$$

Then we have that

$$\begin{aligned} a_{11}x_1 + a_{21}x_2 &= d_{\bar{0}}(x_1) = d_{\bar{0}}([x_1, x_2]) = [d_{\bar{0}}(x_1), x_2] + [x_1, d_{\bar{0}}(x_2)] \\ &= [a_{11}x_1 + a_{21}x_2, x_2] + [x_1, a_{12}x_1 + a_{22}x_2] \\ &= a_{11}x_1 + a_{22}x_1. \end{aligned}$$

Since  $x_1, x_2$  are linearly independent, we have  $a_{21} = a_{22} = 0$ .

Analogously, we have that

$$\begin{aligned} a_{33}y &= d_{\bar{0}}(y) = d_{\bar{0}}([x_1, y]) = [d_{\bar{0}}(x_1), y] + [x_1, d_{\bar{0}}(y)] \\ &= [a_{11}x_1 + a_{21}x_2, y] + [x_1, a_{33}y] = (a_{11} + a_{33})y \end{aligned}$$

and

$$\begin{aligned} 0 &= d_{\bar{0}}([x_2, y]) = [d_{\bar{0}}(x_2), y] + [x_2, d_{\bar{0}}(y)] \\ &= [a_{12}x_1 + a_{22}x_2, y] + [x_2, a_{33}y] = a_{12}y. \end{aligned}$$

This implies that  $a_{11} = a_{12} = 0$ . So  $d_{\bar{0}} = \text{diag}\{0, 0, 0, a_{33}\}$ , where  $a_{33} \in \mathbb{C}$ .

For  $d_{\bar{1}} \in \text{Der}_{\bar{1}}(H)$ , we assume that  $d_{\bar{1}} = (b_{i,j}) \in \mathfrak{gl}_3(\mathbb{C})$ . Suppose

$$\begin{aligned} d_{\bar{1}}(x_1) &= b_{31}y, \\ d_{\bar{1}}(x_2) &= b_{32}y, \\ d_{\bar{1}}(y) &= b_{13}x_1 + b_{23}x_2. \end{aligned}$$

We have

$$\begin{aligned} b_{31}y &= d_{\bar{1}}(x_1) = d_{\bar{1}}([x_1, x_2]) = [d_{\bar{1}}(x_1), x_2] + [x_1, d_{\bar{1}}(x_2)] \\ &= [b_{31}y, x_2] + [x_1, b_{32}y] = b_{32}y. \end{aligned}$$

Thus,  $b_{31} = b_{32} = 0$ .

Similarly,

$$\begin{aligned} b_{13}x_1 + b_{23}x_2 &= d_{\bar{1}}(y) = d_{\bar{1}}([x_1, y]) = [d_{\bar{1}}(x_1), y] + [x_1, d_{\bar{1}}(y)] \\ &= [b_{31}y, y] + [x_1, b_{13}x_1 + b_{23}x_2] = b_{23}x_1. \end{aligned}$$

Since  $x_1, x_2$  are linearly independent, we have  $b_{13} = b_{23} = 0$ .

We also know that

$$\begin{aligned} 0 &= d_{\bar{1}}([x_2, y]) = [d_{\bar{1}}(x_2), y] + [x_2, d_{\bar{1}}(y)] \\ &= [b_{32}y, y] + [x_2, b_{13}x_1 + b_{23}x_2] = -b_{13}x_1 \end{aligned}$$

and

$$\begin{aligned} 0 &= d_{\bar{1}}([y, y]) = [d_{\bar{1}}(y), y] - [y, d_{\bar{1}}(y)] \\ &= 2([b_{13}x_1 + b_{23}x_2, y]) = b_{13}y, \end{aligned}$$

Thus  $b_{13} = 0$ . Consequently,

$$d_{\bar{0}} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b_{31} & b_{31} & 0 \end{pmatrix}, \text{ where } b_{31} \in \mathbb{C}.$$

(2) Clearly,  $\{E_{33}\}$  is a basis of  $\text{Der}_{\bar{0}}(H)$ ,  $\{E_{31} + E_{32}\}$  is a basis of  $\text{Der}_{\bar{1}}(H)$ . Therefore,  $\{E_{33}, E_{31} + E_{32}\}$  is a basis of  $\text{Der}(H)$ , which means  $\text{Der}(H)$  is a 2-dimensional Lie superalgebra. Note that  $[E_{33}, E_{33}] = [E_{31} + E_{32}, E_{31} + E_{32}] = 0$ ,  $[E_{33}, E_{31} + E_{32}] = E_{31} + E_{32} \neq 0$ , so  $\text{Der}_{\bar{0}}(H)$  is abelian, while  $\text{Der}(H)$  is not abelian. We can get the conclusion that  $\text{Der}(H)$  is soluble but not nilpotent from the direct calculation and definitions of nilpotent and soluble Lie superalgebras.

Note that  $\text{Der}(H)$  is isomorphic to  $L_2^2 : [x, x] = 0, [x, y] = y, [y, y] = 0$ ,  $x, y$  is a basis with  $x \in (L_2^2)_{\bar{0}}$  and  $y \in (L_2^2)_{\bar{1}}$ , see Wang ([10], Chapter 3).

(3) For any  $d \in \text{Der}_{\rho}(H)$ , since  $\omega$  is bilinear, we need only to show for any basic element  $x, y \in H$ ,

$$\omega(d(x), y) + (-1)^{\theta|x|}\omega(x, d(y)) = 0. \quad (3.1)$$

Let  $d_{\bar{0}} \in \text{Der}_{\bar{0}}(H)$ , from (2) we have  $d_{\bar{0}}(x_1) = d_{\bar{0}}(x_2) = 0, d_{\bar{0}}(y) = a_{33}y$ , thus

$$\begin{aligned} \omega(d_{\bar{0}}(x_1), x_2) + \omega(x_1, d_{\bar{0}}(x_2)) &= \omega(0, x_2) + \omega(x_1, 0) = 0, \\ \omega(d_{\bar{0}}(x_1), y) + \omega(x_1, d_{\bar{0}}(y)) &= \omega(0, y) + \omega(x_1, a_{33}y) = 0, \\ \omega(d_{\bar{0}}(x_2), y) + \omega(x_2, d_{\bar{0}}(y)) &= \omega(0, y) + \omega(x_2, a_{33}y) = 0, \\ \omega(d_{\bar{0}}(y), y) + \omega(y, d_{\bar{0}}(y)) &= \omega(a_{33}y, y) + \omega(y, a_{33}y) = 0. \end{aligned}$$

Let  $d_{\bar{1}} \in \text{Der}_{\bar{1}}(H)$ , since  $d_{\bar{1}}(x_1) = b_{31}y, d_{\bar{1}}(x_2) = b_{32}y$  and  $d_{\bar{1}}(y) = 0$ , we have

$$\begin{aligned} \omega(d_{\bar{1}}(x_1), x_2) + \omega(x_1, d_{\bar{1}}(x_2)) &= \omega(b_{31}y, x_2) + \omega(x_1, b_{31}y) = 0, \\ \omega(d_{\bar{1}}(x_1), y) + \omega(x_1, d_{\bar{1}}(y)) &= \omega(b_{31}y, y) + \omega(x_1, 0) = 0, \\ \omega(d_{\bar{1}}(x_2), y) + \omega(x_2, d_{\bar{1}}(y)) &= \omega(b_{32}y, y) + \omega(x_2, 0) = 0, \\ \omega(d_{\bar{1}}(y), y) - \omega(y, d_{\bar{1}}(y)) &= \omega(d_{\bar{1}}(y), y) - \omega(d_{\bar{1}}(y), y) = 0. \end{aligned}$$

For other cases not mentioned above, the Equation (3.1) still holds from the fact that  $\omega$  is graded-anti-symmetric. So  $\text{Der}^{\omega}(H) = \text{Der}(H)$ .  $\square$

**Proposition 3.3.** (1)  $\text{Aut}(H) = \left\{ d \in \mathfrak{gl}_3(\mathbb{C}) \mid d = \text{diag}\{1, 1, a\}, 0 \neq a \in \mathbb{C} \right\}$ .

(2)  $\text{Aut}(H) = \text{Aut}^\omega(H)$ .

(3)  $\text{Aut}(H) = \exp(\text{Der}_0(H))$ , where  $\exp(-)$  denotes the matrix exponential.

(4)  $\text{Aut}(H)$  is a connected, abelian matrix Lie group.

*Proof.* (1) For any  $\sigma \in \text{Aut}(H)$ , we assume that  $\sigma = (a_{i,j}) \in \mathfrak{gl}_3(\mathbb{C})$  with  $\det(\sigma) \neq 0$ . Suppose

$$\sigma(x_1) = a_{11}x_1 + a_{21}x_2,$$

$$\sigma(x_2) = a_{12}x_1 + a_{22}x_2,$$

$$\sigma(y) = a_{33}y.$$

Firstly, we have

$$\begin{aligned} a_{11}x_1 + a_{21}x_2 = \sigma(x_1) &= \sigma([x_1, x_2]) = [\sigma(x_1), \sigma(x_2)] \\ &= [a_{11}x_1 + a_{21}x_2, a_{12}x_1 + a_{22}x_2] \\ &= a_{11}a_{22}x_1 - a_{12}a_{21}x_1 \end{aligned}$$

$$\Rightarrow a_{11} = a_{11}a_{22}, \quad a_{21} = 0.$$

And

$$a_{33}y = \sigma(y) = \sigma([x_1, y]) = [\sigma(x_1), \sigma(y)] = [a_{11}x_1 + a_{21}x_2, a_{33}y] = a_{11}a_{33}y$$

$$\Rightarrow a_{33} = a_{11}a_{33}.$$

Finally,

$$0 = \sigma([x_2, y]) = [\sigma(x_2), \sigma(y)] = [a_{12}x_1 + a_{22}x_2, a_{33}y] = a_{12}a_{33}y \Rightarrow a_{12}a_{33} = 0.$$

A direct computation shows that  $\sigma = \text{diag}\{1, 1, a\}$ , where  $a \neq 0$ ,  $a \in \mathbb{C}$ .

(2) For any  $\sigma \in \text{Aut}(H)$ , since  $\omega$  is bilinear, for basic elements  $x, y \in H$ , we prove that

$$\omega(\sigma(x), \sigma(y)) = \omega(x, y). \quad (3.2)$$

For  $\forall \sigma \in \text{Aut}(H)$ , since  $\sigma(x_1) = x_1$ ,  $\sigma(x_2) = x_2$ ,  $\sigma(y) = ay$ , we have

$$\omega(\sigma(x_1), \sigma(x_2)) = \omega(x_1, x_2) = 1,$$

$$\omega(\sigma(x_1), \sigma(y)) = \omega(x_1, ay) = 0,$$

$$\omega(\sigma(x_2), \sigma(y)) = \omega(x_2, ay) = 0,$$

$$\omega(\sigma(y), \sigma(y)) = \omega(ay, ay) = 0.$$

For other cases not mentioned above, the Equation (3.2) still holds from the fact that  $\omega$  is graded-anti-symmetric. So  $\text{Aut}^\omega(H) = \text{Aut}(H)$ .

(3) Since  $\text{Aut}(H)$  is a closed Lie subgroup of the general linear group  $\text{GL}(3, \mathbb{C})$ , see Sagle-Walde ([8], Proposition 7.1), so it is a matrix Lie group, according to Hall ([5], Definition 1.4).

Recall that the matrix exponential  $\exp: \mathfrak{gl}_3(\mathbb{C}) \rightarrow \text{GL}(3, \mathbb{C})$  was given by  $X \mapsto \sum_{k=0}^{\infty} \frac{X^k}{k!}$  for any  $3 \times 3$  complex matrix  $X$ . By Sagle-Walde ([8], Proposition 7.3(a)), we have  $\exp(tX) \in \text{Aut}(H)$  for any  $t \in \mathbb{R}$  and any



derivation  $X \in \exp(\text{Der}_{\bar{0}}(H))$ , which means  $\exp(\text{Der}_{\bar{0}}(H)) \subseteq \text{Aut}(H)$ . To show  $\exp(\text{Der}_{\bar{0}}(H)) = \text{Aut}(H)$ , we need only to show that  $\exp(\text{Der}_{\bar{0}}(H)) \supseteq \text{Aut}(H)$ .

For any  $\sigma = \text{diag}\{1, 1, a\} \in \text{Aut}(H)$ , since  $a \neq 0$ , it follows from elementary analysis that  $e^x = a$  has a nonzero solution in  $\mathbb{C}$ , i.e., there is a complex number  $0 \neq a_0 \in \mathbb{C}$  such that  $e^{a_0} = a$ . We consider  $d_{\bar{0}} = \text{diag}\{0, 0, a_0\} \in \text{Der}_{\bar{0}}(H)$  and show that

$$\begin{aligned}
\exp(d_{\bar{0}}) &= I_3 + d_{\bar{0}}/1! + d_{\bar{0}}^2/2! + d_{\bar{0}}^3/3! + \dots \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & a_0/1! + a_0^2/2! + a_0^3/3! + \dots \end{pmatrix} \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 + 1 + a_0/1! + a_0^2/2! + a_0^3/3! + \dots \end{pmatrix} \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & e^{a_0} - 1 \end{pmatrix} \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{a_0} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & a \end{pmatrix} = \sigma.
\end{aligned}$$

Therefore,  $\sigma \in \exp(\text{Der}_{\bar{0}}(H))$ .

(4) It follows from Hall ([5], §2.5.2) that the Lie algebra of a matrix Lie group  $\text{GL}(3, \mathbb{C})$  is just  $\mathfrak{gl}_3(\mathbb{C})$ . Hence, as the subgroup of  $\text{GL}(3, \mathbb{C})$ , and with  $\text{Der}_{\bar{0}}(H)$  as its Lie algebra, see Sagle-Walde ([8], Proposition 7.3(b)),  $\text{Aut}(H)$  is a connected matrix Lie group, according to Hall ([5], Definition 3.12).

Since  $\text{Aut}(H)$  is connected and its Lie algebra  $\text{Der}_{\bar{0}}(H)$  is abelian,  $\text{Aut}(H)$  is abelian by Sagle-walde ([8], §5, Exercise(2)).  $\square$

*Remark 3.4.* Consider the relationship between  $\text{Aut}(H)$  and  $\exp(\text{Der}(H))$ .

For  $d \in \text{Der}(H)$ ,  $d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b & b & a \end{pmatrix}$ . Suppose that  $a \neq 0$ ,  $b \neq 0$ , by

induction on  $k$ , we can show that  $d^k = a^{k-1}d$  for all  $k \in \mathbb{N}^+$ . Thus

$$\begin{aligned}
\exp(d) &= I_3 + d/1! + d^2/2! + d^3/3! + \dots \\
&= I_3 + \frac{d}{a}(a + a^2/2! + a^3/3! + \dots) \\
&= I_3 + \frac{d}{a}(-1 + 1 + a + a^2/2! + a^3/3! + \dots) \\
&= I_3 + \frac{d}{a}(e^a - 1) \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b & b & e^a - 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ b & b & e^a \end{pmatrix} \notin \text{Aut}(H).
\end{aligned}$$

Hence  $\text{Aut}(H) \subset \exp(\text{Der}(H))$  but  $\text{Aut}(H) \neq \exp(\text{Der}(H))$ .

#### 4. Derivations and Automorphisms of 4-dimensional $\omega$ -Lie superalgebras

The classification of 4-dimensional complex  $\omega$ -Lie algebras has been derived from Chen et al. ([3], Theorem 1.5). With the similar method appeared in [3], we obtained a classification of non- $\omega$ -Lie 4-dimensional complex  $\omega$ -Lie superalgebras, see ([11], Theorem 3.3 ).

**Theorem 4.1.** [3] *Any nontrivial 4-dimensional  $\omega$ -Lie algebra must be isomorphic to one of the following algebras:*

$$\{L_{1,1}, \dots, L_{1,8}, L_{2,1}, L_{2,2}, L_{2,3}, L_{2,4}, \tilde{B}, E_{1,k}(0, -1 \neq k), F_{1,k}(0, -1 \neq k), G_{1,k}, H_{1,k}, \tilde{A}_k, \tilde{C}_k(0, -1 \neq k)\}.$$

For all cases, the parameter  $k \in \mathbb{C}$ .

**Theorem 4.2.** [11] *Any nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebra must be isomorphic to one of the following algebras:*

$$P_{1,k} : [x_1, x_2] = x_2, [x_2, x_3] = x_3, [x_1, x_3] = [x_2, y] = [x_3, y] = [y, y] = 0, [x_1, y] = ky, \omega(x_1, x_2) = 1, \omega(y, y) = 0.$$

$$N_k : [x_1, x_2] = 0, [x_1, x_3] = x_2, [x_2, x_3] = x_3, [x_1, y] = ky, [x_2, y] = y, [x_3, y] = [y, y] = 0, [x_2, y] = y, \omega(x_1, x_3) = 1, \omega(y, y) = 0.$$

$$M_k : [x_1, x_2] = x_1, [x_1, x_3] = x_1 + x_2, [x_2, x_3] = kx_1 + x_3, [x_3, y] = -y, [x_1, y] = [x_2, y] = [y, y] = 0, \omega(x_2, x_3) = -1, \omega(y, y) = 0.$$

$$Q : [x_1, x_2] = x_2, [x_1, x_3] = x_2 + x_3, [x_2, x_3] = x_1, [x_1, y] = 2y, [x_2, y] = [x_3, y] = [y, y] = 0, \omega(x_2, x_3) = 2, \omega(y, y) = 0.$$

$$P_{2,k} : [x_1, x_2] = x_2, [x_1, x_3] = kx_3, [x_2, x_3] = x_1, [x_1, y] = (1+k)y, [x_2, y] = [x_3, y] = [y, y] = 0, \omega(x_2, x_3) = 1+k, \omega(y, y) = 0, k \neq 0, -1.$$

$$S_k : [x_1, y_1] = ky_1 + y_2, [x_2, y_1] = y_1, [y_1, y_1] = [y_1, y_2] = [y_2, y_2] = 0, [x_1, x_2] = x_2, [x_1, y_2] = ky_2, [x_2, y_2] = y_2, \omega(x_1, x_2) = 1, \omega(y_1, y_1) = \omega(y_1, y_2) = \omega(y_2, y_2) = 0.$$

$$T_k : [x_1, y_1] = (k-1)y_1, [x_2, y_1] = y_1 + y_2, [y_1, y_1] = [y_1, y_2] = [y_2, y_2] = 0, [x_1, x_2] = x_2, [x_1, y_2] = ky_2, [x_2, y_2] = y_2, \omega(x_1, x_2) = 1, \omega(y_1, y_1) = \omega(y_1, y_2) = \omega(y_2, y_2) = 0.$$

$$R_{t,k} : [x_1, y_1] = ty_1, [x_2, y_1] = y_1, [y_1, y_1] = [y_1, y_2] = [y_2, y_2] = 0, [x_1, x_2] = x_2, [x_1, y_2] = ky_2, [x_2, y_2] = y_2, \omega(x_1, x_2) = 1, \omega(y_1, y_1) = \omega(y_1, y_2) = \omega(y_2, y_2) = 0.$$

where  $x_1, x_2, x_3 \in g_{\bar{0}}$ ,  $y, y_1, y_2 \in g_{\bar{1}}$ ,  $k, t \in \mathbb{C}$ .

With the classification, Chen et al. (see [4], Table 3 and Table 4) summarized the characterizations of  $\text{Der}(g)$  and  $\text{Aut}(g)$  of all 4-dimensional complex  $\omega$ -Lie algebras. In this section, we compute derivations and automorphisms for all nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebras. We only give detailed proofs for the cases of  $P_{1,k}$ . Similar arguments can be applied to the remaining cases, so we omit them.

As in Theorem 4.2,  $P_{1,k}$  has a basis  $\{x_1, x_2, x_3, y\}$ , where  $x_1, x_2, x_3 \in g_{\bar{0}}$ ,  $y \in g_{\bar{1}}$ , and  $P_{1,k}$  is defined by  $[x_1, x_2] = x_2$ ,  $[x_2, x_3] = x_3$ ,  $[x_1, y] = ky$ ,  $[x_1, x_3] = [x_2, y] = [x_3, y] = [y, y] = 0$ ,  $\omega(x_1, x_2) = 1$ ,  $\omega(y, y) = 0$ .

We have the following results about  $\text{Der}(P_{1,k})$  and  $\text{Aut}(P_{1,k})$ .

**Proposition 4.3.** (1)

$$\text{Der}(P_{1,1}) = \left\{ d \in \mathfrak{gl}_4(\mathbb{C}) \mid d = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a & -a & b & 0 \\ e & f & 0 & c \end{pmatrix}, a, b, c, e, f \in \mathbb{C} \right\}.$$

$$\text{Der}(P_{1,k}) = \left\{ d \in \mathfrak{gl}_4(\mathbb{C}) \mid d = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a & -a & b & 0 \\ e & 0 & 0 & c \end{pmatrix}, a, b, c, e, f \in \mathbb{C} \right\}, (k \neq 1).$$

(2)  $\text{Der}(P_{1,k})$  is a soluble (but not nilpotent) Lie superalgebra.

(3)  $\text{Der}^\omega(P_{1,k}) = \text{Der}(P_{1,k})$ .

*Proof.* (1) For any  $d \in \text{Der}(H)$ , one can get  $d = d_{\bar{0}} + d_{\bar{1}}$ , where  $d_{\bar{0}} \in \text{Der}_{\bar{0}}(H)$  and  $d_{\bar{1}} \in \text{Der}_{\bar{1}}(H)$ .

Let  $d_{\bar{0}} = (a_{i,j}) \in \mathfrak{gl}_4(\mathbb{C})$ . Suppose

$$d_{\bar{0}}(x_1) = a_{11}x_1 + a_{21}x_2 + a_{31}x_3,$$

$$d_{\bar{0}}(x_2) = a_{12}x_1 + a_{22}x_2 + a_{32}x_3,$$

$$d_{\bar{0}}(x_3) = a_{13}x_1 + a_{23}x_2 + a_{33}x_3,$$

$$d_{\bar{0}}(y) = a_{44}y.$$

we get from the definition of derivations that

$$\begin{aligned} a_{12}x_1 + a_{22}x_2 + a_{32}x_3 &= d_{\bar{0}}(x_2) = d_{\bar{0}}([x_1, x_2]) \\ &= [d_{\bar{0}}(x_1), x_2] + [x_1, d_{\bar{0}}(x_2)] \\ &= [a_{11}x_1 + a_{21}x_2 + a_{31}x_3, x_2] + [x_1, a_{12}x_1 + a_{22}x_2 + a_{32}x_3] \\ &= a_{11}x_2 - a_{31}x_3 + a_{22}x_2. \end{aligned}$$

Since  $x_1, x_2, x_3$  are linearly independent, we have  $a_{11} = a_{12} = 0$ ,  $a_{32} + a_{31} = 0$ .

Analogously,

$$\begin{aligned} a_{13}x_1 + a_{23}x_2 + a_{33}x_3 &= d_{\bar{0}}(x_3) = d_{\bar{0}}([x_2, x_3]) = [d_{\bar{0}}(x_2), x_3] + [x_2, d_{\bar{0}}(x_3)] \\ &= [a_{12}x_1 + a_{22}x_2 + a_{32}x_3, x_3] + [x_2, a_{13}x_1 + a_{23}x_2 + a_{33}x_3] \\ &= a_{22}x_3 - a_{13}x_2 + a_{33}x_3. \end{aligned}$$

This leads to  $a_{13} = a_{22} = 0, a_{23} + a_{13} = 0$ . Note that

$$\begin{aligned} 0 = d_{\bar{0}}([x_1, x_3]) &= [d_{\bar{0}}(x_1), x_3] + [x_1, d_{\bar{0}}(x_3)] \\ &= [a_{11}x_1 + a_{21}x_2 + a_{31}x_3, x_3] + [x_1, a_{13}x_1 + a_{23}x_2 + a_{33}x_3] \\ &= a_{21}x_3 + a_{23}x_2. \end{aligned}$$

Thus  $a_{21} = 0, a_{23} = 0$ . Together with the above we can conclude that

$$d_{\bar{0}} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a_{31} & -a_{31} & a_{33} & 0 \\ 0 & 0 & 0 & a_{44} \end{pmatrix}.$$

Next we consider  $d_{\bar{1}} \in \text{Der}_{\bar{1}}(H)$ . Let  $d_{\bar{1}} = (b_{i,j}) \in \text{gl}_4(\mathbb{C})$  be such that

$$\begin{aligned} d_{\bar{1}}(x_1) &= b_{41}y, \\ d_{\bar{1}}(x_2) &= b_{42}y, \\ d_{\bar{1}}(x_3) &= b_{43}y, \\ d_{\bar{1}}(y) &= b_{14}x_1 + b_{24}x_2 + b_{34}x_3. \end{aligned}$$

Using the notation of derivations, we find that

$$\begin{aligned} b_{42}y = d_{\bar{1}}(x_2) &= d_{\bar{1}}([x_1, x_2]) = [d_{\bar{1}}(x_1), x_2] + [x_1, d_{\bar{1}}(x_2)] \\ &= [b_{41}y, x_2] + [x_1, b_{42}y] = kb_{42}y. \end{aligned}$$

Thus,  $(1 - k)b_{42} = 0$ .

Analogously,

$$\begin{aligned} b_{43}y = d_{\bar{1}}(x_3) &= d_{\bar{1}}([x_2, x_3]) = [d_{\bar{1}}(x_2), x_3] + [x_2, d_{\bar{1}}(x_3)] \\ &= [b_{42}y, x_3] + [x_2, b_{43}y] = 0. \end{aligned}$$

Then we have that  $b_{43} = 0$ .

Since

$$\begin{aligned} 0 = d_{\bar{1}}([x_2, y]) &= [d_{\bar{1}}(x_2), y] + [x_2, d_{\bar{1}}(y)] \\ &= [b_{42}y, y] + [x_2, b_{14}x_1 + b_{24}x_2 + b_{34}x_3] = -b_{14}x_2 + b_{34}x_3, \end{aligned}$$

and

$$\begin{aligned} 0 = d_{\bar{1}}([x_3, y]) &= [d_{\bar{1}}(x_3), y] + [x_3, d_{\bar{1}}(y)] \\ &= [b_{43}y, y] + [x_3, b_{14}x_1 + b_{24}x_2 + b_{34}x_3] = -b_{24}x_3, \end{aligned}$$

we find that  $b_{14} = b_{24} = b_{34} = 0$ . So

$$d_{\bar{1}} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ b_{41} & 0 & 0 & 0 \end{pmatrix} \quad (k \neq 1), \quad \text{or} \quad d_{\bar{1}} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ b_{41} & b_{42} & 0 & 0 \end{pmatrix} \quad (k = 1).$$

(2) Clearly,  $\{E_{31} - E_{32}, E_{33}, E_{44}\}$  is a basis of  $\text{Der}_{\bar{0}}(P_{1,k})$ ,  $\{E_{41}\}$  is a basis of  $\text{Der}_{\bar{1}}(P_{1,k})$  for  $k \neq 1$  and  $\{E_{41}, E_{42}\}$  a basis for  $k = 1$ . Note that  $[E_{31} - E_{32}, E_{44}] = [E_{33}, E_{44}] = 0$  while  $[E_{31} - E_{32}, E_{33}] = E_{31} - E_{32}$ , hence

$\text{Der}_{\bar{0}}(P_{1,k})$  is soluble but not nilpotent from the definitions of nilpotent and soluble Lie algebras, so is Lie superalgebra  $\text{Der}(P_{1,k})$  with similar arguments.

(3) For any  $d \in \text{Der}_{\theta}(P_{1,k})$ , since  $\omega$  is bilinear, we need only to show that for any basic element  $x, y$ ,

$$\omega(d(x), y) + (-1)^{\theta|x|}\omega(x, d(y)) = 0. \quad (4.1)$$

The Equation (4.1) follows by direct calculations, so we omit them. Therefore,  $\text{Der}^{\omega}(P_{1,k}) = \text{Der}(P_{1,k})$ .  $\square$

**Proposition 4.4.**  $\text{Der}(g) = \text{Der}^{\omega}(g)$  holds for any nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebra  $g$ .

*Proof.* Similar arguments with Proposition 4.2(3).  $\square$

**Proposition 4.5.**

$$(1) \text{Aut}(P_{1,k}) = \left\{ d \in \mathfrak{gl}_4(\mathbb{C}) \mid d = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ a & -a & b & 0 \\ 0 & 0 & 0 & c \end{pmatrix}, 0 \neq b, 0 \neq c \right\}.$$

$$(2) \text{Aut}(P_{1,k}) = \text{Aut}^{\omega}(P_{1,k}).$$

(3)  $\text{Aut}(P_{1,k}) = \exp(\text{Der}_{\bar{0}}(P_{1,k}))$ , where  $\exp(-)$  denotes the matrix exponential.

(4)  $\text{Aut}(P_{1,k})$  is a connected, soluble matrix Lie group.

*Proof.* (1) For any  $\sigma \in \text{Aut}(H)$ , we assume that  $\sigma = (a_{i,j}) \in \mathfrak{gl}_3(\mathbb{C})$  with  $\det(\sigma) \neq 0$ .

Suppose

$$\sigma(x_1) = a_{11}x_1 + a_{21}x_2 + a_{31}x_3,$$

$$\sigma(x_2) = a_{12}x_1 + a_{22}x_2 + a_{32}x_3,$$

$$\sigma(x_3) = a_{13}x_1 + a_{23}x_2 + a_{33}x_3,$$

$$\sigma(y) = a_{44}y.$$

Using the automorphism rule we obtain

$$\begin{aligned} a_{12}x_1 + a_{22}x_2 + a_{32}x_3 &= \sigma(x_2) = \sigma([x_1, x_2]) = [\sigma(x_1), \sigma(x_2)] \\ &= [a_{11}x_1 + a_{21}x_2 + a_{31}x_3, a_{12}x_1 + a_{22}x_2 + a_{32}x_3] \\ &= a_{11}a_{22}x_2 - a_{12}a_{21}x_2 + a_{21}a_{32}x_3 - a_{22}a_{31}x_3 \end{aligned}$$

$\Rightarrow a_{12} = 0, a_{22} = a_{11}a_{22}, a_{32} = a_{21}a_{32} - a_{22}a_{31}$ . Since

$$\begin{aligned} a_{13}x_1 + a_{23}x_2 + a_{33}x_3 &= \sigma(x_3) = \sigma([x_2, x_3]) = [\sigma(x_2), \sigma(x_3)] \\ &= [a_{12}x_1 + a_{22}x_2 + a_{32}x_3, a_{13}x_1 + a_{23}x_2 + a_{33}x_3] \\ &= a_{12}a_{23}x_2 - a_{13}a_{22}x_2 + a_{22}a_{33}x_3 - a_{23}a_{32}x_3, \end{aligned}$$

and

$$\begin{aligned} 0 &= \sigma(x_3) = \sigma([x_1, x_3]) = [\sigma(x_1), \sigma(x_3)] \\ &= [a_{11}x_1 + a_{21}x_2 + a_{31}x_3, a_{13}x_1 + a_{23}x_2 + a_{33}x_3] \\ &= a_{11}a_{23}x_2 - a_{13}a_{21}x_2 + a_{21}a_{33}x_3 - a_{23}a_{31}x_3, \end{aligned}$$

by comparing the coefficients of  $x_1$ ,  $x_2$  and  $x_3$ , we find that  $a_{11}a_{23} = a_{13}a_{21}$ ,  $a_{21}a_{33} = a_{23}a_{31}$ ,  $a_{13} = 0$ ,  $a_{23} = a_{12}a_{23}$  and  $a_{33} = a_{22}a_{33} - a_{23}a_{32}$ .

From a direct computation, we show that

$$\sigma = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ a_{31} & -a_{31} & a_{33} & 0 \\ 0 & 0 & 0 & a_{44} \end{pmatrix}, \text{ where } a_{33} \neq 0, a_{44} \neq 0.$$

(2) For any  $\sigma \in \text{Aut}(P_{1,k})$ , recall that  $\omega$  is bilinear, we need only to show

$$\omega(\sigma(x), \sigma(y)) = \omega(x, y) \quad (4.2)$$

holds for all basic elements  $x, y \in P_{1,k}$ . For  $\forall \sigma \in \text{Aut}(P_{1,k})$ , since  $\sigma(x_1) = x_1 + a_{31}x_3$ ,  $\sigma(x_2) = x_2 - a_{31}x_3$ ,  $\sigma(x_3) = a_{33}x_3$ ,  $\sigma(y) = a_{44}(y)$ , we have that

$$\begin{aligned} \omega(\sigma(x_1), \sigma(x_2)) &= \omega(x_1 + a_{31}x_3, x_2 - a_{31}x_3) = 1 = \omega(x_1, x_2), \\ \omega(\sigma(x_1), \sigma(x_3)) &= \omega(x_1 + a_{31}x_3, a_{33}x_3) = 0 = \omega(x_1, x_3), \\ \omega(\sigma(x_2), \sigma(x_3)) &= \omega(x_2 - a_{31}x_3, a_{33}x_3) = 0 = \omega(x_2, x_3), \\ \omega(\sigma(x_1), \sigma(y)) &= \omega(x_1 + a_{31}x_3, a_{44}y) = 0 = \omega(x_1, y), \\ \omega(\sigma(x_2), \sigma(y)) &= \omega(x_2 - a_{31}x_3, a_{44}y) = 0 = \omega(x_2, y), \\ \omega(\sigma(x_3), \sigma(y)) &= \omega(a_{33}x_3, a_{44}y) = 0 = \omega(x_3, y), \\ \omega(\sigma(y), \sigma(y)) &= \omega(a_{44}y, a_{44}y) = 0 = \omega(y, y). \end{aligned}$$

For other cases not mentioned above, the Equation (4.2) still holds from the fact that  $\omega$  is graded-anti-symmetric. So  $\text{Aut}^\omega(H) = \text{Aut}(H)$ .

(3) We see that  $\text{Aut}(P_{1,k})$  is a matrix Lie group because it is closed in the general linear group  $\text{GL}(4, \mathbb{C})$ , see Sagle-Walde ([8], Proposition 7.1).

Consider the matrix exponential  $\exp: \mathfrak{gl}_4(\mathbb{C}) \rightarrow \text{GL}(4, \mathbb{C})$  given by

$$X \mapsto \sum_{k=0}^{\infty} \frac{X^k}{k!}$$

for any  $4 \times 4$  complex matrix  $X$ . From Sagle-Walde ([8], Proposition 7.3(a)), we know that  $\exp(tX) \in \text{Aut}(P_{1,k})$  for any  $t \in \mathbb{R}$  and any derivation  $X \in \exp(\text{Der}_{\bar{0}}(P_{1,k}))$ , which means  $\exp(\text{Der}_{\bar{0}}(P_{1,k})) \subseteq \text{Aut}(P_{1,k})$ . In order to show  $\exp(\text{Der}_{\bar{0}}(P_{1,k})) = \text{Aut}(P_{1,k})$ , we now prove that  $\exp(\text{Der}_{\bar{0}}(P_{1,k})) \supseteq \text{Aut}(P_{1,k})$ .

For any  $\sigma = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ a & -a & b & 0 \\ 0 & 0 & 0 & c \end{pmatrix} \in \text{Aut}(P_{1,k})$ ,  $\sigma$  can be seen as a quasi-

diagonal matrix  $\sigma = \begin{pmatrix} A & 0 \\ 0 & c \end{pmatrix}$  with  $A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ a & -a & b \end{pmatrix}$  and  $c \in \mathbb{C}$ . Since

$c \neq 0$ , it follows from elementary analysis that  $e^x = c$  has a nonzero solution in  $\mathbb{C}$ , i.e., there is a complex number  $0 \neq c_0 \in \mathbb{C}$  such that  $e^{c_0} = c$ . According to Chen et al. ([4], Proposition 4.1(2)), for any  $3 \times 3$  invertible matrix  $A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ a & -a & b \end{pmatrix}$ , there exists a  $3 \times 3$  complex matrix  $A_0 =$

$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ a_0 & -a_0 & b_0 \end{pmatrix}$  such that  $\exp(A_0) = A$ . Therefore,  $\sigma = \begin{pmatrix} e^{A_0} & 0 \\ 0 & e^{c_0} \end{pmatrix}$ . Let

$d = \begin{pmatrix} A_0 & 0 \\ 0 & c_0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a_0 & -a_0 & b_0 & 0 \\ 0 & 0 & 0 & c_0 \end{pmatrix}$ , we obtain that  $\sigma = \exp(d)$ , see

Sagle-Walde([8], §2.2.1). Since  $d \in \text{Der}_0(P_{1,k})$ , we have  $\sigma \in \exp(\text{Der}_0(H))$ .

(4) Similar arguments with Proposition 2.3 (4) show that  $\text{Aut}(P_{1,k})$  is a connected matrix Lie group. Since the Lie algebra  $\text{Der}_0(P_{1,k})$  is soluble, it follows from Sagle-walde ([8], Theorem 10.9(b)) that  $\text{Aut}(P_{1,k})$  is soluble.  $\square$

For the remaining nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebras  $g$ , we summarize the characterizations about  $\text{Der}(g)$  and  $\text{Aut}(g)$  in Table 3 and Table 4, see **Appendix**.

## 5. Jordan standard forms of elements in $\text{Der}(g)$ and $\text{Aut}(g)$

In this section, we compute the Jordan standard forms of elements in derivation algebras and automorphism groups about 3-dimensional  $\omega$ -Lie superalgebra  $H$  and all 4-dimensional  $\omega$ -Lie superalgebras mentioned in Theorem 3.2. We only give the detailed proofs for the cases of  $P_{21}$  and  $R_{kk}$  since the arguments for the remaining cases of 4-dimensional  $\omega$ -Lie superalgebras are similar.

**Proposition 5.1.** *For any  $d \in \text{Der}(H)$ , the Jordan standard form of  $d$  is one of the following:*

$$(1) \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & a \end{pmatrix}; (2) \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \text{ where } a \in \mathbb{C}.$$

*Proof.* Since the characteristic polynomial of  $d$  is  $f(\lambda) = \lambda^2(\lambda - a)$ . Our arguments will be separated into two cases:  $a = 0$  and  $a \neq 0$ .

**Case 1**  $a = 0$ , which means  $f(\lambda) = \lambda^3$ . For  $\lambda = 0$ , let

$$B = A = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b & b & 0 \end{pmatrix}.$$

For the first case where  $b = 0$ , we see that  $J = 0$ .

For the second case where  $b \neq 0$ , we see that  $r(B) = 1, r(B^2) = r(B^3) = 0$ , therefore,

$$J = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

**Case 2**  $a \neq 0$ . In this case,  $f(\lambda) = \lambda^2(\lambda - a)$ . For  $\lambda = 0$ , let  $B = A = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b & b & a \end{pmatrix}$ . Since  $r(B) = r(B^2) = 1$ , we obtain that  $J = \text{diag}\{0, 0, a\}$ .  $\square$

**Proposition 5.2.** *For any  $\sigma \in \text{Aut}(H)$ , the Jordan standard form of  $\sigma$  is  $\text{diag}\{1, 1, a\}$ , where  $a \in \mathbb{C}$ .*

*Proof.* It is clearly since the representation matrix of any  $\sigma \in \text{Aut}(H)$  on some basis is a diagonal matrix.  $\square$

**Proposition 5.3.** *For any  $d \in \text{Der}(P_{21})$ , the Jordan standard form of  $d$  is one of the following:*

$$(1) \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & -a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}; (2) \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, \text{ where } a, b \in \mathbb{C}.$$

*Proof.* The characteristic polynomial of  $d$  is  $f(\lambda) = \lambda(\lambda - b)(\lambda^2 - a^2 - ec)$ .

**Case 1** Assume that  $a^2 + ec = 0$ , which means  $f(\lambda) = \lambda^3(\lambda - b)$ . Our arguments will be separated into two subcases:  $b = 0$ , and  $b \neq 0$ .

**Case 1.1** If  $b = 0$ , then  $f(\lambda) = \lambda^4$ . For  $\lambda = 0$ , let

$$B = A = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a & c & 0 \\ 0 & e & -a & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

For the first case where  $r(B) = 0$ , since  $a = e = c = 0$ , we see that  $J = 0$ .

For the second case where  $r(B) = 1$ , direct calculations show that

$$r(B^2) = 0 \text{ and } r(B^3) = 0, \text{ therefore, } J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$



**Case 1.2** If  $b \neq 0$ , then  $f(\lambda) = \lambda^3(\lambda - b)$ . For  $\lambda = 0$ , let

$$B = A = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a & c & 0 \\ 0 & e & -a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}.$$

For the first case where  $r(B) = 1$ , since  $a = e = c = 0$ , we obtain  $J = \text{diag}\{0, 0, 0, b\}$ .

For the second case where  $r(B) = 2$ , we see that  $r(B^2) = 1$ ,  $r(B^3) = 1$

and hence  $J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}$ .

**Case 2** Assume that  $a^2 + ec \neq 0$ . In this case  $f(\lambda) = \lambda(\lambda - b)(\lambda - \sqrt{a^2 + ec})(\lambda + \sqrt{a^2 + ec})$ . Our arguments will be separated into two subcases:  $b = 0$ , and  $b \neq 0$ .

**Case 2.1** If  $b = 0$ , then  $f(\lambda) = \lambda^2(\lambda - \sqrt{a^2 + ec})(\lambda + \sqrt{a^2 + ec})$ . For  $\lambda = 0$ , let  $B = A$ . Since  $r(B) = r(B^2) = 2$ , so  $J = \text{diag}\{0, -\sqrt{a^2 + ec}, \sqrt{a^2 + ec}, 0\}$ .

**Case 2.2** If  $b \neq 0$ , then  $f(\lambda) = \lambda(\lambda - b)(\lambda - \sqrt{a^2 + ec})(\lambda + \sqrt{a^2 + ec})$ .

For the first case where  $a^2 + ec = b^2$ , we see that  $f(\lambda) = \lambda(\lambda - b)^2(\lambda + b)$ .

For  $\lambda = b$ , let  $B = bE - A = \begin{pmatrix} b & 0 & 0 & 0 \\ 0 & -a + b & -c & 0 \\ 0 & -e & a + b & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ , direct calculations

show that  $B^2 = \begin{pmatrix} b^2 & 0 & 0 & 0 \\ 0 & -2b^2 - 2ab & -2cb & 0 \\ 0 & -2eb & 2b^2 + 2ab & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ . Since  $r(B) = 2$ ,  $r(B^2) =$

2, we have  $J = \text{diag}\{0, -b, b, b\}$ .

For the second case where  $a^2 + ec \neq b^2$ , since  $f(\lambda) = \lambda(\lambda - b)(\lambda - \sqrt{a^2 + ec})(\lambda + \sqrt{a^2 + ec})$ , we obtain that  $J = \text{diag}\{0, -\sqrt{a^2 + ec}, \sqrt{a^2 + ec}, b\}$   $\square$

**Proposition 5.4.** For any  $\sigma \in \text{Aut}(P_{21})$ , the Jordan standard form of  $\sigma$  is one of the following:

$$(1) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & 1/a & 0 \\ 0 & 0 & 0 & h \end{pmatrix}; (2) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & h \end{pmatrix}; (3) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & h \end{pmatrix},$$

where  $0 \neq a, h \in \mathbb{C}$ .

*Proof.* The characteristic polynomial of  $\sigma$  is  $f(\lambda) = (\lambda - 1)(\lambda - h)(\lambda^2 - (a + f)\lambda + 1)$ .

**Case 1** Assume that  $a + f = 2$ . In this case  $f(\lambda) = (\lambda - 1)^3(\lambda - h)$ . Our arguments will be separated into two subcases:  $h = 1$  and  $h \neq 1$ .

**Case 1.1** If  $h = 1$ , then  $f(\lambda) = \lambda^4$ . For  $\lambda = 1$ , let

$$B = A - E = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a-1 & b & 0 \\ 0 & e & f-1 & 0 \\ 0 & 0 & 0 & h-1 \end{pmatrix}.$$

For the first case where  $r(B) = 0$ , we see that  $a = f = 1$ ,  $e = b = 0$  and hence  $J = \text{diag}\{1, 1, 1, 1\}$ .

For the second case where  $r(B) = 1$ , it can be derived from  $r(B^2) = r(B^3) = 0$  that  $J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ .

**Case 1.2** If  $h \neq 1$ , then  $f(\lambda) = (\lambda-1)^3(\lambda-h)$ . For  $\lambda = 1$ , let  $B = A - E$ .

For the first case where  $r(B) = 1$ , we have  $a = f = 1$ ,  $e = b = 0$ . It follows from  $r(B^2) = 1$  that  $J = \text{diag}\{1, 1, 1, h\}$ .

For the second case where  $r(B) = 2$ , we see that  $r(B^2) = 1$ ,  $r(B^3) = 1$  and hence  $J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & h \end{pmatrix}$ .

**Case 2** Assume that  $a + f \neq 2$ . In this case  $f(\lambda) = (\lambda-1)(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - \frac{a+f-\sqrt{(a+f)^2-4}}{2})(\lambda-h)$ . Our arguments will be separated into three subcases:  $h = 1$ ,  $h = -1$ , and  $h \neq -1, 1$ .

**Case 2.1**  $h = 1$ , which means  $f(\lambda) = (\lambda-1)^2(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - \frac{a+f-\sqrt{(a+f)^2-4}}{2})$ .

**Case 2.1.1** If  $(a+f)^2 = 4$ , then  $a+f = -2$  and  $f(\lambda) = (\lambda-1)^2(\lambda+1)^2$ .

For  $\lambda = 1$ , let  $B = A - E$ , we have  $r(B) = 2$ ,  $r(B^2) = 2$ ; for  $\lambda = -1$ , let  $C = A + E = \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & a+1 & b & 0 \\ 0 & e & f+1 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix}$ .

For the first case where  $r(C) = 2$ , we obtain  $b = e = 0$ ,  $a = f = -1$ , and hence  $r(C^2) = 2$ , which gives  $J = \text{diag}\{1, -1, -1, 1\}$ .

For the second case where  $r(C) = 3$ , it follows from  $r(C^2) = r(C^3) = 2$  that  $J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$ .

**Case 2.1.2** If  $(a+f)^2 \neq 4$ , which means

$$f(\lambda) = (\lambda-1)^2(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - \frac{a+f-\sqrt{(a+f)^2-4}}{2}).$$

For  $\lambda = 1$ , let  $B = A - E$ , then we obtain  $r(B) = 2$  and  $r(B^2) = 2$ , which gives  $J = \text{diag}\{1, \frac{a+f+\sqrt{(a+f)^2-4}}{2}, \frac{a+f-\sqrt{(a+f)^2-4}}{2}, 1\}$ .

**Case 2.2**  $h = -1$ , so we have  $f(\lambda) = (\lambda - 1)(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - \frac{a+f-\sqrt{(a+f)^2-4}}{2})(\lambda + 1)$ .

**Case 2.2.1** Assume that  $(a + f)^2 = 4$ , which means  $a + f = -2$  and  $f(\lambda) = (\lambda - 1)(\lambda + 1)^3$ . For  $\lambda = -1$ , let  $B = A + E = \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & a+1 & b & 0 \\ 0 & e & f+1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ ,

$B^2 = \text{diag}\{4, (a+1)^2 + eb, (f+1)^2 + eb, 0\}$ ,

For the first case where  $r(B) = 1$ , we see that  $b = e = 0, a = f = -1$ . Direct calculations show  $r(B^2) = 1$ , so  $J = \text{diag}\{1, -1, -1, -1\}$ .

For the second case where  $r(B) = 2$ , we note that  $r(B^2) = r(B^3) = 1$  and hence  $J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$ .

**Case 2.2.2** Assume that  $(a + f)^2 \neq 4$ , which means  $f(\lambda) = (\lambda - 1)(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda + 1)$ . So  $J = \text{diag}\{1, \frac{a+f+\sqrt{(a+f)^2-4}}{2}, \frac{a+f-\sqrt{(a+f)^2-4}}{2}, -1\}$ .

**Case 2.3**  $h \neq -1, 1$ , in this case we obtain that  $f(\lambda) = (\lambda - 1)(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - \frac{a+f-\sqrt{(a+f)^2-4}}{2})(\lambda - h)$ . In this case, our arguments will be separated into two subcases:  $(a + f)^2 = 4$  and  $(a + f)^2 \neq 4$ .

**Case 2.3.1**  $(a + f)^2 = 4$ , which means  $a + f = -2$  and  $f(\lambda) = (\lambda - 1)(\lambda + 1)^2(\lambda - h)$ . For  $\lambda = -1$ , let  $B = A + E = \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & a+1 & b & 0 \\ 0 & e & f+1 & 0 \\ 0 & 0 & 0 & h+1 \end{pmatrix}$ ,

For the first case where  $r(B) = 2$ , we see that  $r(B^2) = 2$  and hence  $J = \text{diag}\{1, -1, -1, h\}$ .

For the second case where  $r(B) = 3$ , it follows from  $r(B^2) = r(B^3) = 2$  that  $J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & h \end{pmatrix}$ .

**Case 2.3.2**  $(a+f)^2 \neq 4$ , Note that  $f(\lambda) = (\lambda - 1)(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - h)$ .

For the first case where  $h^2 - (a+f)h + 1 = 0$ , we have  $f(\lambda) = (\lambda - 1)(\lambda - h)^2(\lambda - 1/h)$ . For  $\lambda = h$ , let  $B = A - hE = \begin{pmatrix} 1-h & 0 & 0 & 0 \\ 0 & a-h & b & 0 \\ 0 & e & f-h & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ . We obtain that  $r(B) = 2$  and  $r(B^2) = 2$ , so  $J = \text{diag}\{1, h, 1/h, h\}$ .

For the second case where  $h^2 - (a + f)h + 1 \neq 0$ , we see that  $f(\lambda) = (\lambda - 1)(\lambda - \frac{a+f+\sqrt{(a+f)^2-4}}{2})(\lambda - \frac{a+f-\sqrt{(a+f)^2-4}}{2})(\lambda - h)$  and this identity implies  $J = \text{diag}\{1, \frac{a+f+\sqrt{(a+f)^2-4}}{2}, \frac{a+f-\sqrt{(a+f)^2-4}}{2}, h\}$ .  $\square$

**Proposition 5.5.** *For any  $d \in \text{Der}(R_{kk})$ , the Jordan standard form of  $d$  is one of the following:*

$$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}; \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}; \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & b & 0 \\ 0 & 0 & 1 & b \end{pmatrix}; \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & b \end{pmatrix},$$

where  $a, b \in \mathbb{C}$ .

*Proof.* The characteristic polynomial of  $d$  is  $f(\lambda) = \lambda^2(\lambda^2 - (a+b)\lambda + ab - ec)$ .

**Case 1** Assume that  $ab = ec$  and  $a + b = 0$ . In this case  $f(\lambda) = \lambda^4$ .

$$\text{For } \lambda = 0, \text{ let } B^2 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ (k-1)fa + (k-1)he & fa + he & a^2 + ec & (a+b)e \\ (k-1)fc + (k-1)hb & fc + hb & (a+b)c & b^2 + ec \end{pmatrix},$$

$$B = A = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ (k-1)f & f & a & e \\ (k-1)h & h & c & b \end{pmatrix}. \text{ Our arguments will be separated into two}$$

subcases:  $ec = 0$  and  $ec \neq 0$ .

**Case 1.1**  $ec = 0$ , which means  $a = b = 0$ .

For the first case where  $r(B) = 0$ , we see that  $a = b = e = c = f = h = 0$ , and hence  $J = 0$ .

For the second case where  $r(B) = 1$ , it follows from  $r(B^2) = r(B^3) = 0$

$$\text{that } J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

For the third case where  $r(B) = 2$ , direct calculations show  $r(B^2) = 1$ ,

$$\text{hence we obtain that } J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

**Case 1.2** If  $ec \neq 0$ , then  $a \neq 0$ ,  $b \neq 0$  and  $a + b = 0$ . For  $\lambda = 0$ , let  $B = A$ .

For the first case where  $r(B) = 1$ , we obtain that  $\frac{f}{h} = \frac{a}{c} = \frac{e}{b}$ . It follows

$$\text{from } r(B^2) = r(B^3) = 0 \text{ that } J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

For the second case where  $r(B) = 2$ , we see that  $\frac{f}{h} \neq \frac{a}{c} = \frac{e}{b}$ , where  $f$  and  $h$  are not all zero. Since  $r(B^2) = r(B^3) = 1$ , we have  $J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$ .

**Case 2** Assume that  $ab = ec$  and  $a + b \neq 0$ , then  $f(\lambda) = \lambda^3(\lambda - (a + b))$ . For  $\lambda = 0$ , let  $B = A$ . In this case, our arguments will be separated into two subcases:  $r(B) = 1$  and  $r(B) = 2$ .

**Case 2.1** If  $r(B) = 1$ , then  $r(B^2) = 1$  and  $J = \text{diag}\{0, 0, 0, a + b\}$ .

**Case 2.2** If  $r(B) = 2$ , then  $\frac{f}{h} \neq \frac{a}{c} = \frac{e}{b}$ . since  $r(B^2) = 1$ , we obtain that

$$J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & a + b \end{pmatrix}.$$

**Case 3** Assume that  $ab \neq ec$ . In this case we obtain that  $f(\lambda) = \lambda^2(\lambda - \frac{a+b+\sqrt{(a-b)^2+4ec}}{2})(\lambda - \frac{a+b-\sqrt{(a-b)^2+4ec}}{2})$ . We could also separate our arguments into two subcases:  $(a - b)^2 + 4ec = 0$  and  $(a - b)^2 + 4ec \neq 0$ .

**Case 3.1** If  $(a - b)^2 + 4ec = 0$ , then  $f(\lambda) = \lambda^2(\lambda - \frac{a+b}{2})^2$ .

For  $\lambda = 0$ , let  $B = A$ , we obtain that  $r(B) = 2$ ,  $r(B^2) = 2$ . For  $\lambda = \frac{a+b}{2}$ , let  $C = A - \frac{a+b}{2}E$ , from direct calculations, we have

$$C = \begin{pmatrix} -\frac{a+b}{2} & 0 & 0 & 0 \\ 0 & -\frac{a+b}{2} & 0 & 0 \\ (k-1)f & -f & \frac{a-b}{2} & -e \\ (k-1)h & -h & -c & \frac{b-a}{2} \end{pmatrix},$$

and

$$C^2 = \begin{pmatrix} \frac{(a+b)^2}{4} & 0 & 0 & 0 \\ 0 & \frac{(a+b)^2}{4} & 0 & 0 \\ -(k-1)fb - (k-1)eh & fb + eh & \frac{(a-b)^2}{4} + ec & 0 \\ -(k-1)fc - (k-1)ah & fc + ah & 0 & \frac{(a-b)^2}{4} + ec \end{pmatrix}.$$

For the first case where  $r(C) = 2$ , it follows from  $r(C^2) = 2$  that  $J = \text{diag}\{0, 0, \frac{a+b}{2}, \frac{a+b}{2}\}$ .

For the second case where  $r(C) = 3$ , we see that  $r(C^2) = r(C^3) = 2$ ,

therefore,  $J = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{a+b}{2} & 0 \\ 0 & 0 & 1 & \frac{a+b}{2} \end{pmatrix}$ .

**Case 3.2** If  $(a - b)^2 + 4ec \neq 0$ , then  $f(\lambda) = \lambda^2(\lambda - \frac{a+b+\sqrt{(a-b)^2+4ec}}{2})(\lambda - \frac{a+b-\sqrt{(a-b)^2+4ec}}{2})$ . For  $\lambda = 0$ , let  $B = A$ , note that  $r(B) = 2$  and  $r(B^2) = 2$ , so we obtain the fact  $J = \text{diag}\{0, 0, \frac{a+b+\sqrt{(a-b)^2+4ec}}{2}, \frac{a+b-\sqrt{(a-b)^2+4ec}}{2}\}$ .  $\square$

**Proposition 5.6.** *For any  $\sigma \in \text{Aut}(R_{kk})$ , the Jordan standard form of  $\sigma$  is one of the following:*

$$(1) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & f \end{pmatrix}; (2) \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 1 & a \end{pmatrix}, \text{ where } 0 \neq a, f \in \mathbb{C}.$$

*Proof.* The characteristic polynomial of  $\sigma$  is  $f(\lambda) = (\lambda - 1)^2(\lambda^2 - (a + f)\lambda + af - eb)$ . Our arguments will be separated into three subcases:  $af - eb = 1$ ,  $a + f = 2$ ;  $af - eb = a + f - 1$ ,  $a + f \neq 2$  and  $af - eb \neq a + f - 1$ .

**Case 1** Assume that  $af - eb = 1$  and  $a + f = 2$ , in this case  $f(\lambda) = (\lambda - 1)^4$ . For  $\lambda = 1$ , let  $B = A - E = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a - 1 & 0 & 0 \\ 0 & 0 & a - 1 & b \\ 0 & 0 & e & f - 1 \end{pmatrix}$ , immediately,

$$B^2 = \text{diag}\{0, 0, (a - 1)^2 + eb, (f - 1)^2 + eb\}.$$

For the first case where  $r(B) = 0$ , note that  $a = f = 1$ ,  $e = b = 0$ , we have  $J = \text{diag}\{1, 1, 1, 1\}$ .

For the second case where  $r(B) = 1$ , we see that  $r(B^2) = 0$ . Therefore,

$$J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}.$$

**Case 2** Assume that  $af - eb = a + f - 1$  and  $a + f \neq 2$ , which means  $f(\lambda) = (\lambda - 1)^3(\lambda - (a + f - 1))$ . For  $\lambda = 1$ , let  $B = A - E$ , it is straightforward to show that  $r(B) = 1$  and  $r(B^2) = 1$ . Therefore,  $J = \text{diag}\{1, 1, 1, a + f - 1\}$ .

**Case 3** Assume that  $af - eb \neq a + f - 1$ . In this case  $f(\lambda) = (\lambda - 1)^2(\lambda - \frac{a+f+\sqrt{(a-f)^2+4eb}}{2})(\lambda - \frac{a+f-\sqrt{(a-f)^2+4eb}}{2})$ . Our arguments will be separated into two subcases:  $(a - f)^2 + 4eb = 0$  and  $(a - f)^2 + 4eb \neq 0$ .

**Case 3.1** If  $(a - f)^2 + 4eb = 0$ , then  $f(\lambda) = (\lambda - 1)^2(\lambda - \frac{a+f}{2})^2$  ( $a + f \neq 2$ ). For  $\lambda = 1$ , let  $B = A - E$ , we get  $r(B) = 2$ ,  $r(B^2) = 2$ ; For

$$\lambda = \frac{a+f}{2}, \text{ let } C = A - \frac{a+f}{2}E = \begin{pmatrix} 1 - \frac{a+f}{2} & 0 & 0 & 0 \\ 0 & 1 - \frac{a+f}{2} & 0 & 0 \\ 0 & 0 & \frac{a-f}{2} & b \\ 0 & 0 & e & \frac{f-a}{2} \end{pmatrix}, \text{ clearly,}$$

$$C^2 = \text{diag}\{(1 - \frac{a+f}{2})^2, (1 - \frac{a+f}{2})^2, 0, 0\}.$$

For the first case where  $r(C) = 2$ , it implies that  $r(C^2) = 2$ , so  $J = \text{diag}\{1, 1, \frac{a+f}{2}, \frac{a+f}{2}\}$ .

For the second case where  $r(C) = 3$ , since  $r(C^2) = 2$  and  $r(C^3) = 2$ , we

$$\text{obtain that } J = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{a+f}{2} & 0 \\ 0 & 0 & 1 & \frac{a+f}{2} \end{pmatrix}.$$

**Case 3.2** If  $(a - f)^2 + 4eb \neq 0$ , then we see that  $f(\lambda) = (\lambda - 1)^2(\lambda - \frac{a+f+\sqrt{(a-f)^2+4eb}}{2})(\lambda - \frac{a+f-\sqrt{(a-f)^2+4eb}}{2})$ . For  $\lambda = 1$ , let  $B = A - E$ , we have  $r(B) = r(B^2) = 2$ , so  $J = \text{diag}\{1, 1, \frac{a+f+\sqrt{(a+f)^2+4eb}}{2}, \frac{a+f-\sqrt{(a+f)^2+4eb}}{2}\}$ .  $\square$

The Jordan standard forms about elements in  $\text{Der}(g)$  and  $\text{Aut}(g)$  for the remaining nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebras are summarized in Table 5 and Table 6, see **Appendix**.

## 6. Representations of $\omega$ -Lie superalgebras

This section will give a sufficient and necessary condition for an  $\omega$ -Lie superalgebra with 1-dimensional module, prove that all 3-dimensional and 4-dimensional nontrivial non- $\omega$ -Lie complex  $\omega$ -Lie superalgebras are multiplicative, and show that any irreducible representation of the 4-dimensional  $\omega$ -Lie superalgebra  $P_{2,k}(k \neq 0, -1)$  is 1-dimensional, stemming from our previous work [11] in which fundamental results on representations and semidirect products of  $\omega$ -Lie superalgebras have been formulated.

**Definition 6.1.** [11] A representation of an  $\omega$ -Lie superalgebra  $g$  on a  $\mathbb{Z}_2$ -graded vector space  $V$  is an even-graded linear homomorphism  $\varphi : g \rightarrow \text{End}(V)$  satisfying  $\varphi([x, y]) = \varphi(x)\varphi(y) - (-1)^{|x||y|}\varphi(y)\varphi(x) + \omega(x, y)\text{id}$ , for all homogeneous element  $x, y \in g$ .

**Theorem 6.2.** [11] Let  $g$  be an  $\omega$ -Lie superalgebra,  $V$  be a  $\mathbb{Z}_2$ -graded vector space and  $\varphi$  a representation of  $g$  on  $V$ . The  $\mathbb{Z}_2$ -graded vector space  $g \oplus V$ , where  $(g \oplus V)_\gamma = g_\gamma \oplus V_\gamma$ , for  $\gamma \in \mathbb{Z}_2$ , provided with the following bracket and a bilinear form  $\omega$  defined respectively by

$$[(x_1, v_1), (x_2, v_2)] = ([x_1, x_2], \varphi(x_1)v_2 - (-1)^{|x_1||x_2|}\varphi(x_2)v_1),$$

$$\omega((x_1, v_1), (x_2, v_2)) = \omega(x_1, x_2), \quad \forall (x_1, v_1), (x_2, v_2) \in \text{hg}(g \oplus V),$$

is an  $\omega$ -Lie superalgebra. we call  $g \oplus V$  the semidirect product of the  $\omega$ -Lie superalgebra  $g$  and  $V$ .

**Proposition 6.3.**  $g$  is a finite-dimensional nontrivial  $\omega$ -Lie superalgebra over  $\mathbb{C}$ . Then  $\mathbb{C}$  is a 1-dimension  $g$ -module if and only if there exists a linear functional  $\tau \in g^*$  such that  $\omega(x, y) = \tau([x, y])$  for all  $x, y \in g$ .

*Proof.*  $\Rightarrow$  We define the  $\mathbb{Z}_2$ -gradation of vector space  $\mathbb{C}$  by  $\mathbb{C}_0 = \mathbb{C}$ ,  $\mathbb{C}_1 = 0$ . Suppose  $0 \neq c \in \mathbb{C}$ , since  $\mathbb{C}$  is a 1-dimension  $g$ -module, then for any homogeneous element  $x \in g$ , there exists a  $\tau : g \rightarrow \mathbb{C}$  such that  $\varphi(x)(c) = \tau(x) \cdot c$  (Obviously,  $\tau(g_1) = 0$ ). Since  $\varphi(g)$  on  $\mathbb{C}$  is bilinear,  $\tau \in g^*$  (the definition of dual space could be seen in [9]) is a linear functional. Thus for

any homogeneous element  $x, y \in g$ , we have

$$\begin{aligned} \tau([x, y]) \cdot c &= \varphi([x, y])(c) \\ &= \varphi(x)(\varphi(y)(c)) - (-1)^{|x||y|}\varphi(y)(\varphi(x)(c)) + \omega(x, y) \cdot c \\ &= \tau(x) \cdot (\tau(y) \cdot c) - (-1)^{|x||y|}\tau(y) \cdot (\tau(x) \cdot c) + \omega(x, y) \cdot c \\ &= \omega(x, y) \cdot c. \end{aligned}$$

Hence,  $\omega(x, y) = \tau([x, y])$  for all  $x, y \in g$ .

$\Leftarrow$  Since there exists a linear functional  $\tau \in g^*$  such that  $\omega(x, y) = \tau([x, y])$ , then we could define a map  $\varphi : g \rightarrow \text{End}(\mathbb{C})$  by  $\varphi(x)(c) := \tau(x) \cdot c$  for any  $x \in g$  and  $c \in \mathbb{C}$ . Clearly,  $\varphi$  is bilinear. Moreover,

$$\begin{aligned} \varphi([x, y])(c) &= \tau(x, y) \cdot c \\ &= \omega(x, y) \cdot c \\ &= \omega(x, y) \cdot c + \tau(x) \cdot (\tau(y) \cdot c) - (-1)^{|x||y|}\tau(y) \cdot (\tau(x) \cdot c) \\ &= \varphi(x)(\varphi(y)(c)) - (-1)^{|x||y|}\varphi(y)(\varphi(x)(c)) + \omega(x, y) \cdot c. \end{aligned}$$

Which means that  $\mathbb{C}$  is a  $g$ -module. □

*Remark 6.4.* Due to Chen et al. [4], an  $\omega$ -Lie algebra having 1-dimensional module is called multiplicative. Analogously, we call an  $\omega$ -Lie superalgebra with 1-dimensional module a multiplicative  $\omega$ -Lie superalgebra.

**Proposition 6.5.** *3-dimensional complex  $\omega$ -Lie superalgebra  $H$  is multiplicative.*

*Proof.* Suppose  $\{x_1, x_2, y\}$  is a basis for  $H$  and  $\{x_1^*, x_2^*, y^*\}$  a dual basis of  $H^*$ . We can take  $\tau = x_1^*$ , and see  $\tau([x_1, x_2]) = \omega(x_1, x_2) = 1$ . □

From Chen et al. ([4], Proposition 6.4) and Proposition 6.5, one can check that all 3-dimensional complex  $\omega$ -Lie superalgebras are multiplicative.

**Proposition 6.6.** *All nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebras are multiplicative.*

*Proof.* Since the classification of nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebras has been shown in ([11], Theorem 3.3), we need only to prove that  $P_{1,k}, N_k, M_k, Q, P_{2,k}, S_k, T_k, R_{t,k}$  are multiplicative. From Proposition 6.3, it suffices to find a linear functional  $\tau \in g^*$  such that  $\omega(x, y) = \tau([x, y])$  for all  $x, y \in g$ . Actually, Let  $\{x_1^*, x_2^*, x_3^*, y^*\}$  be a dual basis of  $g^*$  when  $g$  is  $P_{1,k}, N_k, M_k, Q, P_{2,k}$ , and let  $\{x_1^*, x_2^*, y_1^*, y_2^*\}$  be a dual basis when  $g$  is  $S_k, T_k, R_{t,k}$ . For  $P_{1,k}, N_k, S_k, T_k$  and  $R_{t,k}$ , such  $\tau$  could be  $x_2^*$ . For  $M_k$ , we can take  $\tau$  for  $-x_3^*$ . We can take  $\tau = 2x_1^*$  for  $Q$ , and  $\tau = (1 + k)x_1^*$  for  $P_{2,k}$ . □

**Theorem 6.7.** *Any irreducible  $P_{2,k}$ -module is 1-dimensional.*



*Proof.* Recall that  $P_{2,k} : [x_1, x_2] = x_2, [x_1, x_3] = kx_3, [x_2, x_3] = x_1, [x_1, y] = (1+k)y, [x_2, y] = [x_3, y] = [y, y] = 0, \omega(x_2, x_3) = 1+k, \omega(y, y) = 0, k \neq 0, -1$ . where  $x_1, x_2, x_3 \in L_{\bar{0}}, y \in L_{\bar{1}}$ . Since  $P_{2,k} = C_k \oplus \tilde{P}$ , where  $\tilde{P} = \{ty \mid t \in \mathbb{C}\}$ , and  $C_k$  is a 3-dimension  $\omega$ -Lie algebra mentioned in Chen et al. ([4], Theorem 2.1).

Suppose that  $V$  is an irreducible finite-dimensional  $P_{2,k}$ -module, so  $V$  is also a finite-dimensional  $C_k$ -module, which means that for every element  $x \in C_k, \varphi(x)$  is a linear map from  $V$  to itself. Since the linear map  $\varphi(x_2)$  is over  $\mathbb{C}$ , it must have an eigenvector  $v_0 \neq 0$ . Let  $\lambda_0$  be the corresponding eigenvalue satisfying  $\varphi(x_2)(v_0) = \lambda_0 v_0$ . We claim that for  $k \in \mathbb{N}^+$ ,

$$\varphi(x_1)(\varphi(x_2)^k(v_0)) = (\lambda_0 + k)\varphi(x_2)^k(v_0).$$

In fact, it follows from Definition 6.1 that

$$\begin{aligned} \varphi(x_1)(\varphi(x_2)(v_0)) &= \varphi([x_1, x_2])(v_0) + \varphi(x_2)(\varphi(x_1)(v_0)) - \omega(x_1, x_2)v_0 \\ &= \varphi(x_2)(v_0) + \varphi(x_2)(\lambda_0 v_0) \\ &= (\lambda_0 + 1)\varphi(x_2)(v_0). \end{aligned}$$

Suppose  $\varphi(x_1)(\varphi(x_2)^{k-1}(v_0)) = (\lambda_0 + k - 1)\varphi(x_2)^{k-1}(v_0)$ , by induction hypothesis, we have

$$\begin{aligned} \varphi(x_1)(\varphi^k(x_2)(v_0)) &= \varphi(x_1)(\varphi(x_2)(\varphi^{k-1}(x_2)(v_0))) \\ &= \varphi([x_1, x_2])(\varphi^{k-1}(x_2)(v_0)) + \varphi(x_2)(\varphi(x_1)(\varphi^{k-1}(x_2)(v_0))) \\ &\quad - \omega(x_1, x_2)\varphi^{k-1}(x_2)(v_0) \\ &= \varphi(x_2)(\varphi^{k-1}(x_2)(v_0)) + \varphi(x_2)(\varphi(x_1)(\varphi^{k-1}(x_2)(v_0))) \\ &= \varphi^k(x_2)(v_0) + \varphi(x_2)((\lambda_0 + k - 1)\varphi^{k-1}(x_2)(v_0)) \\ &= (\lambda_0 + k)\varphi^k(x_2)(v_0). \end{aligned}$$

This means that the vectors  $\{\varphi^k(x_2)(v_0) \mid k = 0, 1, 2, \dots\}$  are either zero or eigenvectors of  $\varphi(x_1)$ . Note that any two eigenvalues in  $\{\lambda_0 + k \mid k = 0, 1, 2, \dots\}$  are distinct, and since the eigenvectors corresponding to different eigenvalues are linear independent, we may find a minimal integer  $k_0$  such that  $\varphi^{k_0}(x_2)(v_0) \neq 0$  and  $\varphi^{k_0+1}(x_2)(v_0) = 0$ . Let  $v = \varphi^{k_0}(x_2)(v_0)$ ,  $\lambda = \lambda_0 + k_0$ , we see that  $\varphi(x_2)(v) = 0$  and  $\varphi(x_1)(v) = \lambda v$ . We also obtain  $\lambda \neq 0, \varphi(x_3)(v) = 0$ , according to Chen et al. ([4], Theorem 7.1).

From

$$\varphi([x_1, y])v = \varphi(x_1)(\varphi(y)v) - \varphi(y)(\varphi(x_1)v) + \omega(x_1, y)v.$$

$$\varphi([x_2, y])v = \varphi(x_2)(\varphi(y)v) - \varphi(y)(\varphi(x_2)v) + \omega(x_2, y)v,$$

and

$$\varphi([x_3, y])v = \varphi(x_3)(\varphi(y)v) - \varphi(y)(\varphi(x_3)v) + \omega(x_3, y)v,$$

we obtain  $\varphi(x_1)(\varphi(y)v) = (1+k+\lambda)\varphi(y)v$ ,  $\varphi(x_2)(\varphi(y)v) = \varphi(x_3)(\varphi(y)v) = 0$ . Combining with the equation

$$\varphi([x_2, x_3])(\varphi(y)v) = \varphi(x_2)(\varphi(x_3)(\varphi(y)v)) - \varphi(x_3)(\varphi(x_2)(\varphi(y)v)) + \omega(x_2, x_3)\varphi(y)v,$$

we obtain the fact that  $\varphi(y)v = 0$ .

Let  $V_0 = \{kv \mid k = 0, 1, 2, \dots\}$ , the subspace generated by  $v$ , then  $V_0 \neq \{0\}$ . To see that  $V_0$  is a  $P_{2,k}$ -submodule of  $V$ , we only have to show that  $V_0$  is stable under the action of  $\varphi(x_1)$ ,  $\varphi(x_2)$ ,  $\varphi(x_3)$  and  $\varphi(y)$ . Obviously, we have  $\varphi(x_1)(V_0) \subseteq V_0$ ,  $\varphi(x_2)(V_0) = \varphi(x_3)(V_0) = \varphi(y)(V_0) = \{0\} \subseteq V_0$  from the arguments above. Therefore,  $V_0$  is a nonzero  $P_{2,k}$ -submodule of  $V$ . Since  $V$  is irreducible, we have  $V = V_0$ , so  $\dim V = \dim V_0 = 1$ .  $\square$

### 7. Appendix

The characterizations about  $\text{Der}(g)$ ,  $\text{Aut}(g)$  and Jordan standard forms of elements in  $\text{Der}(g)$  and  $\text{Aut}(g)$  for the remaining nontrivial non- $\omega$ -Lie 4-dimensional  $\omega$ -Lie superalgebras are summarized in the following Table 3, Table 4, Table 5 and Table 6, without the detailed proofs.

Table 3: Derivations about 4-dimensional  $\omega$ -Lie superalgebras

$g$	Elements in $\text{Der}(g)$	dimensions	properties
$P_{1,1}$	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a & -a & b & 0 \\ e & f & 0 & c \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 3$ $\dim \text{Der}(g) = 5$	$\text{Der}_{\bar{0}}(g)$ : Soluble $\text{Der}(g)$ : Soluble
$P_{1,k}$ ( $k \neq 1$ )	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ a & -a & b & 0 \\ e & 0 & 0 & c \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 3$ $\dim \text{Der}(g) = 4$	$\text{Der}_{\bar{0}}(g)$ : Soluble $\text{Der}(g)$ : Soluble
$N_0$	$\begin{pmatrix} a & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -a & 0 \\ 0 & 0 & b & c \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 2$ $\dim \text{Der}(g) = 3$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Soluble
$N_k$ ( $k \neq 0$ )	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ k^2b & kb & b & a \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 1$ $\dim \text{Der}(g) = 2$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Soluble
$M_k$	$\begin{pmatrix} 0 & 2a & a & 0 \\ 0 & 0 & 2a & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 2$ $\dim \text{Der}(g) = 2$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Abelian
$Q$	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 2$ $\dim \text{Der}(g) = 2$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Abelian
$P_{2,1}$	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a & c & 0 \\ 0 & e & -a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 4$ $\dim \text{Der}(g) = 4$	$\text{Der}_{\bar{0}}(g)$ : Not Soluble $\text{Der}(g)$ : Not Soluble
$P_{2,k}$ ( $k \neq 1$ )	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & -a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}$	$\dim \text{Der}_{\bar{0}}(g) = 2$ $\dim \text{Der}(g) = 2$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Abelian

$T_k$	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ (k-2)c & c & a & 0 & 0 \\ (k-1)b-(k-2)c & b & 0 & b & a \end{pmatrix}$	$\dim\text{Der}_{\bar{0}}(g) = 1$ $\dim\text{Der}(g) = 3$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Soluble
$R_{k,k}$	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ (k-1)f & f & a & e & 0 \\ (k-1)h & h & c & b & 0 \end{pmatrix}$	$\dim\text{Der}_{\bar{0}}(g) = 4$ $\dim\text{Der}(g) = 6$	$\text{Der}_{\bar{0}}(g)$ : Not Soluble $\text{Der}(g)$ : Not Soluble
$R_{t,k}$ ( $t \neq k$ )	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ (t-1)f & f & a & 0 & 0 \\ (k-1)h & h & 0 & b & 0 \end{pmatrix}$	$\dim\text{Der}_{\bar{0}}(g) = 2$ $\dim\text{Der}(g) = 4$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Soluble
$S_1$	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & c & a & 0 & 0 \\ c & e & b & a & 0 \end{pmatrix}$	$\dim\text{Der}_{\bar{0}}(g) = 2$ $\dim\text{Der}(g) = 4$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Not Soluble
$S_k$ ( $k \neq 1$ )	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ (k-1)c & c & a & 0 & 0 \\ e & (e-c)/(k-1) & b & a & 0 \end{pmatrix}$	$\dim\text{Der}_{\bar{0}}(g) = 2$ $\dim\text{Der}(g) = 4$	$\text{Der}_{\bar{0}}(g)$ : Abelian $\text{Der}(g)$ : Soluble

Table 4: Automorphisms about 4-dimensional  $\omega$ -Lie superalgebras

$g$	Relations in $g$	Elements in $\text{Aut}(g)$	properties of $\text{Aut}(g)$
$P_{1,k}$	$[x_1, x_2] = x_2, [x_2, x_3] = x_3$ $[x_1, y] = ky, \omega(x_1, x_2) = 1$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ a & -a & b & 0 \\ 0 & 0 & 0 & c \end{pmatrix}, b \neq 0, c \neq 0$	Soluble
$N_0$	$[x_1, x_3] = x_2, [x_2, x_3] = x_3$ $[x_2, y] = y, \omega(x_1, x_3) = 1$	$\begin{pmatrix} a & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1/a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, a \neq 0, b \neq 0$	Abelian
$N_k$ ( $k \neq 0$ )	$[x_1, x_3] = x_2, [x_2, x_3] = x_3$ $[x_1, y] = ky, [x_2, y] = y$ $\omega(x_1, x_3) = 1$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & a \end{pmatrix}, a \neq 0$	Abelian
$M_k$	$[x_1, x_2] = x_1, [x_3, y] = -y,$ $[x_1, x_3] = x_1 + x_2,$ $[x_2, x_3] = kx_1 + x_3,$ $\omega(x_2, x_3) = -1$	$\begin{pmatrix} 1 & a & (a^2+a)/2 & 0 \\ 0 & 1 & a & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, b \neq 0$	Abelian
$Q$	$[x_1, x_2] = x_2, [x_1, y] = 2y,$ $[x_1, x_3] = x_2 + x_3,$ $[x_2, x_3] = x_1, \omega(x_2, x_3) = 2$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & a & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, b \neq 0$	Abelian
$P_{2,1}$	$[x_1, x_2] = x_2, [x_1, x_3] = x_3,$ $[x_2, x_3] = x_1, [x_1, y] = 2y,$ $\omega(x_2, x_3) = 2,$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a & b & 0 \\ 0 & e & f & 0 \\ 0 & 0 & 0 & h \end{pmatrix}, \begin{vmatrix} a & b \\ e & f \end{vmatrix} = 1$	Not soluble
$P_{2,k}$ ( $k \neq 1$ )	$[x_1, x_2] = x_2, [x_2, x_3] = x_1,$ $[x_1, x_3] = kx_3,$ $[x_1, y] = (1+k)y,$ $\omega(x_2, x_3) = 1+k,$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & 1/a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, a \neq 0, b \neq 0$	Abelian
$T_k$	$[x_1, y_1] = (k-1)y_1,$ $[x_2, y_1] = y_1 + y_2, [x_1, x_2] = x_2,$ $[x_1, y_2] = ky_2, [x_2, y_2] = y_2,$ $\omega(x_1, x_2) = 1$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & a \end{pmatrix}, a \neq 0$	Abelian
$R_{k,k}$	$[x_1, y_1] = ky_1, [x_2, y_1] = y_1,$ $[x_1, x_2] = x_2, [x_1, y_2] = ky_2,$ $[x_2, y_2] = y_2, \omega(x_1, x_2) = 1$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & b \\ 0 & 0 & e & f \end{pmatrix}, \begin{vmatrix} a & b \\ e & f \end{vmatrix} \neq 0$	Not soluble
$R_{t,k}$ ( $t \neq k$ )	$[x_1, y_1] = ty_1, [x_2, y_1] = y_1,$ $[x_1, x_2] = x_2, [x_1, y_2] = ky_2,$ $[x_2, y_2] = y_2, \omega(x_1, x_2) = 1$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, a \neq 0, b \neq 0$	Abelian



$P_{1,k}$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & b & 0 \\ 0 & 0 & 0 & c \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & c \end{pmatrix}, 0 \neq b, c \in \mathbb{C}.$
$N_0$	$\begin{pmatrix} a & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1/a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, 0 \neq a, b \in \mathbb{C}.$
$N_k (k \neq 0)$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & a \end{pmatrix}, 0 \neq a \in \mathbb{C}.$
$M_k$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, 0 \neq b \in \mathbb{C}.$
$Q$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, 0 \neq b \in \mathbb{C}.$
$P_{2,1}$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & 1/a & 0 \\ 0 & 0 & 0 & h \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & h \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & h \end{pmatrix}, 0 \neq a, h \in \mathbb{C}.$
$P_{2,k} (k \neq 1)$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & 1/a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, 0 \neq a, b \in \mathbb{C}.$
$T_k$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & a \end{pmatrix}, 0 \neq a \in \mathbb{C}.$
$R_{kk}$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & f \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 1 & a \end{pmatrix}, 0 \neq a, f \in \mathbb{C}.$
$R_{tk} (t \neq k)$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & b \end{pmatrix}, 0 \neq a, b \in \mathbb{C}.$
$S_k$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & a \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 1 & a \end{pmatrix}, 0 \neq a \in \mathbb{C}.$

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