

# Catalytic Conversion of Lignin in an Isopropanol/formic Acid Medium With NiMo Catalyst Promoted by W Species

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## Research Article

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1 Catalytic conversion of lignin in an isopropanol/formic acid medium  
2 with NiMo catalyst promoted by W species

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23 **Abstract**

24 **Background:** Large amounts of enzymatic hydrolysis lignin (EHL) are generated with  
25 the production of cellulosic bioethanol. Efficient degradation and upgrading of EHL is  
26 significant for the sustainable and stable development of energy supply.

27 **Results:** In this study, hydrodeoxygenation (HDO) of EHL to biofuels was carried out  
28 promoted by the *in situ* hydrogen donor produced from the decomposition of formic  
29 acid over NiMo catalysts. Results showed that active sites (derived from the support  
30 SiO<sub>2</sub>, W, and NiMo species) had remarkable effect on lignin conversion, and the highest  
31 oil yield (57.2 wt%) was gained over NiMo/W-SiO<sub>2</sub> catalyst.

32 **Conclusions:** The product evolution demonstrated that active metal sites (derived from  
33 NiMo species) favored hydrogenolysis and deoxygenation via leading *in situ* hydrogen  
34 to attack C-O-C bonds, while acid sites (derived from the support) adsorbed and  
35 activated chemical bonds in lignin, resulting in the linkage cleavage caused by the  
36 heating program. The obtained bio-oil was rich in alkyl guaiacols (6.7 wt%), containing  
37 stable chemical properties and high quality.

38 **Keywords:** Lignin; Formic acid; NiMo catalyst; HDO; Alkyl guaiacols

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## 45 **Background**

46 Nowadays, fossil-derived resources are the primary source of energy and commercial  
47 chemicals in human life. Therefore, the exploration of their substitutes has become a  
48 hotspot, especially for the development of bioenergy derived from biomass materials  
49 due to their low cost and broad distribution [1-3]. Lignocellulosic biomass, an abundant  
50 type of biomass, has drawn considerable attention due to its potential for liquid biofuels  
51 and valuable chemicals production [4]. Lignocellulosic biomass consists of three main  
52 components, namely cellulose (30-35 %), hemicellulose (20-35 %), and lignin (15-  
53 20 %) [5]. Among them, cellulose and hemicellulose can be effectively converted to  
54 gasoline, jet fuels, and diesel range hydrocarbons [6]. Lignin, a naturally aromatic  
55 polymer composed of three phenylpropane units (e.g., coniferyl, para-coumaryl, and  
56 sinapyl alcohols) which are cross-linked via various bonds (including  $\beta$ -O-4,  $\alpha$ -O-4, 4-  
57 O-5,  $\beta$ - $\beta$ ,  $\beta$ -1, and 5-5 bonds), can be a prospective resource of aromatic compounds  
58 (which are normally obtained from fossil-derived feed stocks). However, these aromatic  
59 compounds produced from lignin thermochemical treatment usually contain oxygen,  
60 which lowers their quality and limits their further application. Therefore, the  
61 elimination of oxygen in lignin-derived compounds is a challenge. At present,  
62 hydrodeoxygenation (HDO), using molecular hydrogen over a suitable catalyst, is an  
63 efficient hydrotreatment process to produce high-quality biofuels through effective  
64 lignin conversion and oxygen elimination.

65 During cellulosic bioethanol process, a large amount of residue rich in lignin,  
66 which is named as enzymatic hydrolysis lignin (EHL), is produced. Recently, the

67 pathway of EHL to biofuels is an attractive method to achieve its valuable application  
68 [7]. HDO is one of the most effective methods to degrade biomass to biofuels [8].  
69 During HDO, most oxygen-containing components and unsaturated chemical bonds  
70 will be converted into saturated hydrocarbons. Therefore, biofuels rich in hydrocarbons  
71 can be obtained after HDO process, which have more stable chemical properties and  
72 higher energy density. However, the traditional HDO process will consume large  
73 amounts of hydrogen, which is expensive and unsafe. Therefore, the utilization of  
74 internal hydrogen donors, known as *in situ* HDO process, is introduced into lignin  
75 conversion process [9, 10]. For instance, Li et al. reported the *in situ* HDO of  
76 enzymolysis lignin over NiMo based catalysts using aluminum-water reactions as *in*  
77 *situ* hydrogen donor, results illustrated that the yield of aromatic hydrocarbons in  
78 biofuels increased after *in situ* HDO process over NiMoS/Al<sub>2</sub>O<sub>3</sub> catalyst [11].

79 At present, most of *in situ* hydrogen donors are organic solvents, such as formic  
80 acid and alcohols. Furthermore, nickel-molybdenum (NiMo) based catalysts, a  
81 promising candidate for hydrotreatment process, have been widely investigated on the  
82 conversion of lignin and upgrading of lignin-derived oils. For example, Priharto et al.  
83 reported a HDO treatment of lignin-derived bio-oils over NiMo catalysts, and results  
84 showed that with assistance of high-pressure molecular hydrogen, the content of  
85 oxygen was reduced from 23 wt% in raw oil to 7.5-11.5 wt% in hydrotreated oil after  
86 HDO [12]. Moreover, numerous studies also focused on the HDO of lignin-derived  
87 model compounds, such as guaiacol, phenol, and acetophenone [4, 13-15]. Therefore,  
88 the investigation of *in situ* HDO of raw lignin and internal reaction mechanism is

89 meaningful and beneficial for the development of biofuels and bioenergy.

90 In this experiment, to explore the *in situ* HDO process of EHL with assistance of  
91 formic acid performed as internal hydrogen donor over NiMo catalysts, and to further  
92 study the effect of W species incorporation on lignin depolymerization, a functional  
93 SiO<sub>2</sub> was prepared to be used as the support of NiMo catalysts which were utilized in  
94 EHL depolymerization. Comprehensive characterization was conducted to evaluate the  
95 physical and chemical properties of synthesized supports and NiMo catalysts, and to  
96 figure out the internal reaction mechanism of lignin depolymerization.

## 97 **Results**

### 98 **Catalyst characterization**

99 **Fig. 1** N<sub>2</sub> adsorption-desorption isotherms of SiO<sub>2</sub>-NC (a, uncalcined), SiO<sub>2</sub>-C (b,  
100 calcined), Ti-SiO<sub>2</sub> (c), W-SiO<sub>2</sub> (d), and NiMo/W-SiO<sub>2</sub> (e). Respective pore size  
101 distributions of different samples (f: SiO<sub>2</sub>-NC, g: SiO<sub>2</sub>-C, h: Ti-SiO<sub>2</sub>, i: W-SiO<sub>2</sub>, and j:  
102 NiMo/W-SiO<sub>2</sub>).

103 N<sub>2</sub>-adsorption-desorption isotherms and pore size distribution of synthesized samples  
104 are presented in **Fig. 1**, and physical properties are shown in **Table 1**. As shown in **Fig.**  
105 **1**, samples all exhibit type IV adsorption isotherm with H3 loop after calcination,  
106 indicating their mesoporous structure. The isotherms of Ti-/W-SiO<sub>2</sub> and NiMo/W-SiO<sub>2</sub>  
107 are similar with that of SiO<sub>2</sub>-C with pronounced adsorption between 0.1 and 0.4, which  
108 is due to pore filling caused by capillary condensation [16]. This exhibits the presence  
109 of hexagonal cylindrical channels of synthesized support SiO<sub>2</sub> [17]. Meanwhile, for all  
110 samples (except for SiO<sub>2</sub>-NC) hysteresis loop is recorded, which is related to capillary

111 condensation in mesopores. However, the adsorption in the same range (0.1-0.4) for  
112 SiO<sub>2</sub>-NC is slow without obvious hysteresis loop, which is due to pore blocking cause  
113 by the presence of surfactant (CTMAB), therefore, causing the uneven distribution of  
114 pore size (**Fig. 1f**). Calcination at 550 °C is an effective method to remove CTMAB,  
115 leading to an increasing N<sub>2</sub> adsorption, an enlarged surface area (**Table 1**), and a  
116 uniform distribution of pore size (~ 30 Å) (**Figs. 1g**). Furthermore, variations in pore  
117 volume and pore size displayed in **Table 1** also indicate the change in textural properties  
118 after calcination. With the incorporation of Ti/W species, the surface area decreases  
119 from 654.2 to ~ 400 m<sup>2</sup> g<sup>-1</sup>, indicating the pore blocking, thus leading to a decrease in  
120 pore volume (from 0.5 to 0.3 cm<sup>3</sup> g<sup>-1</sup>) and a decline in N<sub>2</sub> adsorption (**Figs. 1h, i**).  
121 Moreover, with the addition of NiMo species, a further decrease in pore volume and  
122 surface area can be observed, which indicates the formation of metal particles blocking  
123 internal pores. However, **Fig. 1e** shows that N<sub>2</sub> adsorption of NiMo/W-SiO<sub>2</sub> increases  
124 significantly as compared to that of W-SiO<sub>2</sub>, which is due to the formation of different  
125 porous structures derived from metal particles dispersed on the support surface [18].

126 The SEM images show that before calcination, the support particles are dispersed  
127 uniformly covered by a white shell-like material (CTMAB), leading to pore blocking  
128 and slow N<sub>2</sub> adsorption (**Fig. 2a**). After calcination, the real morphology with sintering  
129 is presented in **Fig. 2b**, and many small particles (SiO<sub>2</sub> particles) can be found on its  
130 rough surface. With the addition of Ti/W species (**Figs. 2c, d**), the rough surface with  
131 small particles can be also detected, and no obvious metal particles are observed,  
132 demonstrating the uniform dispersion of metal particles without agglomeration,

133 corresponding to the result of XRD. However, as NiMo species were incorporated in  
134 the system, many particles with larger size can be found obviously in **Fig. 2e** to make  
135 the surface rougher, indicating the formation of Ni/Mo metal nanoparticles.

136 **Table 1** Physical properties and acid strength of synthesized catalysts.

137 **Fig. 2** SEM images of SiO<sub>2</sub>-NC (a), SiO<sub>2</sub>-C (b), Ti-SiO<sub>2</sub> (c), W-SiO<sub>2</sub> (d), and  
138 NiMo/W-SiO<sub>2</sub> (e).

139 The coordination environment of the incorporated Ti and W species was detected  
140 by UV-visible spectroscopy and spectra are presented in **Fig. 3**. No intense adsorption  
141 is observed for SiO<sub>2</sub> absorption spectra, indicating no obvious ligand to metal charge  
142 transfer (LMCT) within hexagonal structure of SiO<sub>2</sub>. However, in case of Ti-SiO<sub>2</sub>, a  
143 strong absorption can be found at around 251 nm, revealing a charge transfer from 2p  
144 orbital in tetrahedrally linked oxygen to 3d orbital in Ti species, which is a characteristic  
145 peak of tetrahedral coordination environment of Ti<sup>4+</sup> [19]. As for W-SiO<sub>2</sub>, no obvious  
146 peaks of W<sup>4+</sup> and W<sup>5+</sup> are observed between 400-700 nm, in other words, W species of  
147 W-SiO<sub>2</sub> mainly exists in the form of 6-valent oxide (WO<sub>3</sub>) [20, 21]. Therefore, an  
148 intense absorption at around 261 nm is due to LMCT between O<sup>2-</sup> ligands and W<sup>6+</sup>  
149 cations of WO<sub>3</sub> species linked to SiO<sub>2</sub> surface.

150 **Fig. 3** UV-visible diffuse reflectance spectra (DRS) of supports.

151 Meanwhile, FTIR spectra of supports are presented in **Fig. 4**. Obviously, all  
152 samples show a broad peak at 1089 cm<sup>-1</sup>, a sharp peak at 461 cm<sup>-1</sup>, and a weak one at  
153 806 cm<sup>-1</sup>, which are assigned to the internal asymmetric stretching, bending vibration,  
154 and symmetric stretching of Si-O-Si linkage, respectively [22-24]. In addition, the peak



155 located at  $1632\text{ cm}^{-1}$  is attributed to Si-OH group [25]. The curve of Ti/W-SiO<sub>2</sub> shows  
156 the presence of Ti/W-O-Si at around  $960\text{ cm}^{-1}$ , indicating that titanium/tungsten species  
157 exists in tetrahedral structure via coordination, corresponding to the result of UV-DRS.  
158 Additionally, incorporating metal oxides into SiO<sub>2</sub> support leads to the creation of  
159 acidity (both *L* and *B* acid). The *B* acid was partially attributed to the coordination of  
160 Si-OH group to *L* center. Therefore, the presence of Si-OH group is important for  
161 obtaining abundant acidic sites.

162 **Fig. 4** FTIR spectra of synthesized supports.

163 For measuring the acidity of synthesized samples, NH<sub>3</sub>-TPD was carried out. The  
164 results shown in **Fig. 5** present that, SiO<sub>2</sub>-C presents a sharp peak at 160 °C and a weak  
165 one at around 300 °C, which are assigned to weak acid sites, mainly originating from  
166 silicon center [26]. Grafting different metal oxides (TiO<sub>2</sub> and WO<sub>3</sub>) on support surface  
167 results in an increase in total amount of acidity (**Table 1**, increasing from 0.17 mmol g<sup>-1</sup>  
168 <sup>1</sup> for SiO<sub>2</sub>-C to ~ 0.4 mmol g<sup>-1</sup> for Ti/W-SiO<sub>2</sub>) [27]. W-SiO<sub>2</sub> exhibits a higher peak at  
169 around 160 °C as compared to SiO<sub>2</sub>-C, indicating weak acidic sites derived from  
170 hydroxyl group linked to silanol group which is associated with tungsten center via  
171 oxygen bridge (W-O-Si) [28]. On the other hand, similar peaks can be also observed  
172 for Ti-SiO<sub>2</sub>, which is lower than those of W-SiO<sub>2</sub>. It is suggested that with the  
173 incorporation of metal cations into silica, acidity (including *L* and *B* acidity) in these  
174 formed mixed oxides will be induced, which depends on the coordination of  
175 incorporated heteroatom and its amount of addition (**Table 1**, 6.82 wt% for W, 2.28 wt%  
176 for Ti) [29]. However, as Ti<sup>4+</sup> species has the same state as Si<sup>4+</sup>, thus leading to a weaker

177 effect for Ti-SiO<sub>2</sub>. Therefore, more acidity in W-SiO<sub>2</sub> (0.485 mmol g<sup>-1</sup>) has been  
178 detected than that in Ti-SiO<sub>2</sub> (0.339 mmol g<sup>-1</sup>). Catalyst support with higher acidity is  
179 more beneficial for supported metal dispersion, lignin-derived oxygen-containing  
180 groups adsorption, and C-O linkages breaking [30, 31], which make W-SiO<sub>2</sub> potential  
181 for utilization as a support of NiMo catalysts during HDO process.

182 **Fig. 5** NH<sub>3</sub>-TPD of SiO<sub>2</sub>-C (blue line), Ti-SiO<sub>2</sub> (red line), and W-SiO<sub>2</sub> (black line).

183 SA-XRD patterns of supports are shown in **Fig. 6a**. Except for SiO<sub>2</sub>-NC, all  
184 samples exhibit a sharp diffraction peak at (100) reflection plane of SiO<sub>2</sub>, indicating its  
185 ordered hexagonal mesoporous structure [19]. Moreover, this characteristic diffraction  
186 peak shifts from 2.1 ° for SiO<sub>2</sub>-NC to 2.8 ° for SiO<sub>2</sub>-C, indicating the structural change  
187 occurring after calcination. With the incorporation of Ti/W, no obvious shift appears in  
188 the diffraction peak at 2.8 °, confirming that the synthesized catalysts also show the  
189 textural properties of SiO<sub>2</sub>. Above observations are corresponding to the results  
190 obtained from BET and SEM. Additionally, two broad diffraction peaks are observed  
191 at 4 and 4.8 ° for SiO<sub>2</sub>-C, which are assigned as a long-range array of hexagonal  
192 cylindrical porous structure [19]. However, these two peaks are absent after metal  
193 incorporation, suggesting a deterioration of the hexagonal structure as a result of  
194 isomorphic substitution [32].

195 WA-XRD patterns of support and NiMo supported catalysts are shown in **Fig. 6b**.  
196 A broad peak at the range of 15-40 ° (2θ) is assigned to amorphous silica, while no  
197 significant crystalline phase of WO<sub>x</sub> is detected by WA-XRD, indicating the uniform  
198 dispersion of W species. On the other hand, for NiMo/SiO<sub>2</sub> diffraction peaks at 2θ =

199 23.4, 25.7, and 27.3 ° are observed and correspond to (110), (040), and (021) planes of  
200 MoO<sub>3</sub> (orthorhombic crystalline phase) [33]. As compared to NiMo/SiO<sub>2</sub>, no obvious  
201 peaks for Mo species and lower diffraction peaks at 37.3, 43.4, and 62.9 ° originate  
202 from the reflection planes of (111), (200), and (220) of cubic phase of NiO can be  
203 observed in NiMo/W-SiO<sub>2</sub> [34]. Above results indicate that the introduction of W  
204 species is beneficial for metal dispersion, especially for Mo species, which is  
205 corresponding to the result obtained in NH<sub>3</sub>-TPD.

206 For NiMo/W-SiO<sub>2</sub>, in order to get more information on doped species (Ni and Mo),  
207 especially for the non-detected one (Mo) by XRD analysis, Raman spectra have been  
208 performed. As shown in **Fig. 6c**, a pronounced peak located at 952 cm<sup>-1</sup> coupled with a  
209 weak one at 706 cm<sup>-1</sup> is detected which is due to the presence Ni species, while bands  
210 appearing at 821 and 896 cm<sup>-1</sup> indicate the generation of crystalline MoO<sub>3</sub> nanoparticles  
211 and the exist of MoO<sub>4</sub><sup>2-</sup>, respectively [35]. The presence of MoO<sub>4</sub><sup>2-</sup> derived from the  
212 depolymerization of Mo<sub>7</sub>O<sub>24</sub><sup>6-</sup> illustrates the improved dispersion of Mo species in  
213 NiMo/W-SiO<sub>2</sub>, leading to no obvious diffraction peaks appearing in XRD pattern [36-  
214 38].

215 The results of H<sub>2</sub>-TPR of NiMo/W-SiO<sub>2</sub> are shown in **Fig. 6d**. As depicted in **Fig.**  
216 **6d**, a sharp peak at around 300-500 °C is recorded, which is the characteristic adsorption  
217 of the reduction of Mo<sup>6+</sup> to Mo<sup>4+</sup> species, while one small peak at around 600-700 °C  
218 is assigned to the characteristic peak of NiO species reduction, which is bound to  
219 support surface weakly [39, 40].

220 **Fig. 6** (a) SA-XRD patterns of support and catalysts, (b) WA-XRD patterns of support

221 and NiMo supported catalysts, (c) Raman spectrum of NiMo/W-SiO<sub>2</sub>, and (d) H<sub>2</sub>-TPR  
222 profile of NiMo/W-SiO<sub>2</sub>.

### 223 **Lignin depolymerization**

224 In this section, the effects of NiMo species and W promoter on lignin depolymerization  
225 were evaluated via lignin conversion, yields of bio-oil, char, and residual lignin (wt%).  
226 As shown in **Fig. 7**, the highest yield of bio-oil (57.2 wt%) is obtained over NiMo/W-  
227 SiO<sub>2</sub> catalyst, while that over SiO<sub>2</sub> is only 18.2 wt%, indicating the worse performance  
228 of SiO<sub>2</sub> on lignin catalytic conversion. However, as compared to non-catalyst system,  
229 the introduction of SiO<sub>2</sub> also makes positive effect on lignin conversion and bio-oil  
230 production. For NiMo/SiO<sub>2</sub>, with the incorporation of NiMo species, the  
231 hydrotreatment ability is improved, resulting in the increase of bio-oil yield (from 18.2  
232 wt% for SiO<sub>2</sub> to 46.3 wt% for NiMo/SiO<sub>2</sub>) and a decrease in lignin residue (from 75.4  
233 wt% for SiO<sub>2</sub> to 45.8 wt% for NiMo/SiO<sub>2</sub>). It is suggested that the presence of active  
234 metal sites is beneficial for leading H• (derived from the decomposition of formic acid  
235 and alcoholic solvent) to attack oxygen-containing groups and C-O linkages, thus  
236 resulting in effective lignin depolymerization. Furthermore, the higher acidity produced  
237 by W promoter (as discussed via NH<sub>3</sub>-TPD) favors adsorption and activation of  
238 chemical bonds (including C-C and C-O bonds in lignin), resulting in the linkage  
239 cleavage caused by heating program [41-43]. Therefore, abundant acidity coupled with  
240 active metal sites make NiMo/W-SiO<sub>2</sub> more effective for lignin depolymerization. On  
241 the other hand, with the increase of acid strength, re-polymerization of lignin fragments  
242 to form char occurs easier, resulting in the slight increase of char yield ( $\leq 10$  wt%).

243 **Fig. 7** Catalytic evaluation of lignin depolymerization over different synthesized  
244 catalysts.

### 245 **Recyclability test of catalyst**

246 For testing the recyclability of catalyst, lignin depolymerization was performed at the  
247 same condition over NiMo/W-SiO<sub>2</sub> for five times and each experiment was conducted  
248 for three times, and catalytic evaluation is presented in **Table 2**. With the increase of  
249 catalyst recyclability, surface area decreases dramatically with a decrease in pore  
250 volume, that is due to the blocking of porous structure caused by the coke deposition.  
251 Therefore, previously textural properties reappear with 321.2 m<sup>2</sup> g<sup>-1</sup> of surface area and  
252 0.26 cm<sup>3</sup> g<sup>-1</sup> of pore volume after calcination. Although the catalyst NiMo/W-SiO<sub>2</sub>  
253 contains excellent metal anchoring capacity, deteriorated performance on lignin  
254 conversion indicates a worse catalytic ability of catalyst, which is due to the coverage  
255 of active metal and acidic sites after reaction. After calcination for catalyst reactivation,  
256 previously porous structure and catalytic sites all reappear, leading to an extra rise of  
257 bio-oil yield and lignin conversion. However, the catalytic performance of reactivation  
258 catalyst is worse than that of fresh one due to active phases sintering and leaching.

259 **Table 2.** Physical properties of spent catalyst and reactivated one.

## 260 **Discussion**

### 261 **Reaction mechanism**

262 **Fig. 8** presents the main phenolic monomers obtained from lignin depolymerization. A  
263 large proportion of oxygen-containing guaiacols (G5-7) are identified over SiO<sub>2</sub> (6.7  
264 wt%), leading to a low yield (1.0 wt%) of alkyl guaiacols (G1-4), indicating that less

265 hydrodeoxygenation pathway appears. With the addition of active metals (NiMo  
266 species), the hydrodeoxygenation ability of catalyst is improved, reflected in the  
267 increase of alkyl guaiacols yield (8.9 wt%). There are two main reasons for its  
268 improvement: (I)  $\text{Mo}^{4+}$  species in reduced Mo oxides formed at low reduction  
269 temperature performs as electron deficient active sites, absorbing oxygen-containing  
270 guaiacol molecule on catalyst surface via coordinating with oxygen atom of C-O bonds,  
271 thus resulting in the activation of C-O bonds [44, 45]. (II) Ni species promotes the  
272 activation of molecular  $\text{H}_2$  produced from the decomposition of formic acid and solvent  
273 [4]. Therefore, the cooperation between Ni and  $\text{Mo}^{4+}$  species enhances HDO  
274 performance of lignin depolymerization, thus leading to an increasing trend of alkyl  
275 guaiacols. Furthermore, the higher acidity provided by W promoter can further enhance  
276 the adsorption and activation of chemical bonds, leading to the further conversion of 2-  
277 methoxy-4-methylphenol to guaiacol via demethylation.

278 The depolymerization of lignin over NiMo/W-SiO<sub>2</sub> occurs through four main steps  
279 (**Fig. 9**): (I) direct depolymerization of lignin over SiO<sub>2</sub> with assistance of formic acid  
280 acting as internal hydrogen donor, (II) hydrodeoxygenation of oxygen-containing  
281 guaiacols over NiMo active species (the promotion of  $\text{H}_2$  activation from Ni species  
282 and the adsorption of C-O bonds from reduced Mo oxides), (III) adsorption, activation,  
283 and cleavage of C-O bonds over acidic sites (from support and W species), and (IV)  
284 further demethylation of 2-methoxy-4-methylphenol via the cooperation of NiMo  
285 species and acidic sites.

286 **Fig. 8** The main monomers (wt%) produced from lignin depolymerization over

287 NiMo/W-SiO<sub>2</sub> (G1: guaiacol, G2: 2-methoxy-4-methylphenol, G3: 4-ethylguaiacol,  
288 G4: 2-methoxy-4-(1-propyl)phenol, S1: 2,6-dimethoxyphenol, G5: vanillin, G6: 4-  
289 hydroxy-3-methoxyacetophenone, G7: 4-hydroxy-3-methoxypropiophenone).

290 **Fig. 9** Possible reaction mechanism of lignin depolymerization over supported metal  
291 catalysts with assistance of formic acid used as an internal hydrogen donor.

## 292 **Conclusions**

293 The *in situ* HDO process of EHL with internal hydrogen source provided from the  
294 decomposition of formic acid was investigated over NiMo/W-SiO<sub>2</sub> catalyst. Due to the  
295 heating effect, the *in situ* hydrogen provided from formic acid was driven by active  
296 metal sites to participate in lignin conversion, where Ni favored the introduction of  
297 hydrogen in hydrotreatment, and Mo species preferred adsorbing C-O-C bonds on  
298 catalyst surface. In the absence of active metal sites, the degradation of lignin was  
299 mainly derived from the functionality of acidic sites which favored the absorption and  
300 activation of chemical bonds in lignin, leading to an initial decomposition of lignin.

## 301 **Method**

### 302 **Materials**

303 Lignin used was enzymatic hydrolysis lignin (EHL, with Mw and Mn of 3259 and 1385  
304 g mol<sup>-1</sup>, respectively), which was friendly provided from Shandong Longli  
305 Biotechnology Co., Ltd. (Shandong, China). Before experiments, EHL was purified via  
306 an alkali pretreatment to obtain the extracted lignin, because the presence of cellulose  
307 and hemicellulose would cause a negative effect on lignin depolymerization and the  
308 processing steps were presented in our previous research [46]. After that, the extracted

309 lignin was dried at 105 °C for 12 h, and lignin used in the following experiment was  
310 assigned to extracted lignin. The proximate, ultimate, and component analyses of raw  
311 and extracted lignin are presented in **Table 3**.

312 **Table 3.** Proximate, ultimate, and component analyses of raw and extracted lignin.

### 313 **Synthesis of support**

314 Support SiO<sub>2</sub> was prepared according to a previous research with a molar ratio of  
315 2:1:0.2:0.25 of NaOH (95 %):SiO<sub>2</sub> (99.99 %):TMAOH (tetramethylammonium  
316 hydroxide, 25 % aqueous solution):CTMAB (cetyltrimethylammonium bromide, 99 %),  
317 respectively [48]. Typically, NaOH/SiO<sub>2</sub> solution (solution I) was obtained by the  
318 preparation of NaOH solution in 80 mL deionized water followed by the addition of  
319 SiO<sub>2</sub>. The mixed solution was stirred at 70 °C (± 2 °C) for 30 min to gain a transparent  
320 phase. Afterwards, continuous stir was maintained until the solution was cooled down  
321 to room temperature. Meanwhile, a surfactant solution (solution II) was produced with  
322 determined amount of TMAOH in 150 mL deionized water followed by the addition of  
323 CTMAB. After 30 min stir, solution I was dropwise added to solution II, and pH was  
324 adjusted to 8-10 using diluted H<sub>2</sub>SO<sub>4</sub> (0.25 mol L<sup>-1</sup>), and then the obtained suspension  
325 was kept at 70 °C (± 2 °C) for 2 h with magnetic agitation. Finally, the mixture was  
326 aged at room temperature for 24 h, and the gel generated later was separated by filtration  
327 and centrifuged for several times with deionized water until neutral, which was named  
328 as SiO<sub>2</sub>-NC (uncalcined). After being calcined at 550 °C for 8 h with a heating rate of  
329 1 °C min<sup>-1</sup>, the prepared sample was assigned to SiO<sub>2</sub>-C (calcined). Except for TMAOH  
330 (Aladdin, Shanghai, China), other chemical reagents were all purchased from Macklin



331 (Shanghai, China).

### 332 **Preparation of Ti/W incorporated SiO<sub>2</sub>**

333 The modification of synthesized support was carried out by wetness impregnation  
334 method [49], using titanium(IV) isopropoxide (Ti(OiPr)<sub>4</sub>, 99.9 %) and ammonium  
335 metatungstate hydrate ((NH<sub>4</sub>)<sub>6</sub>H<sub>2</sub>W<sub>12</sub>O<sub>40</sub>·xH<sub>2</sub>O, 99.5 %) as metal precursors. In a  
336 typical process, SiO<sub>2</sub>-C (5 g) was dispersed in 40 mL anhydrous ethanol (≥ 99.5 %),  
337 which initially dissolved determined amount of metal precursors to obtain nominal  
338 metal loading of 0.5 mmol Ti/W per SiO<sub>2</sub>. The obtained suspension was maintained at  
339 room temperature with magnetic stir for 8 h. After that, the sample was filtered and  
340 washed with anhydrous ethanol for three times and dried at 75 °C overnight. Calcination  
341 process was performed at 500 °C for 4 h with a heating rate of 1 °C min<sup>-1</sup>, and the  
342 obtained sample was named as Ti-/W-SiO<sub>2</sub>. All chemicals used were all purchased from  
343 Macklin (Shanghai, China).

### 344 **Preparation of NiMo supported catalyst**

345 NiMo supported catalysts on SiO<sub>2</sub> and W/SiO<sub>2</sub>, respectively, were prepared with total  
346 metal loading of 10 mmol Ni + Mo (Ni/Mo = 1:1, molar ratio) per support via co-  
347 impregnation method. In a typical synthesis, nickel(II) nitrate hexahydrate (99.9 %) and  
348 ammonium molybdate tetrahydrate (99.9 %) were employed as Ni and Mo precursors,  
349 respectively. After impregnation, the catalyst was dried at 105 °C for 6 h and calcined  
350 at 500 °C for 4 h with a heating rate of 1 °C min<sup>-1</sup>. Before their utilization, NiMo  
351 supported catalysts were all reduced at 400 °C under H<sub>2</sub> (5 % H<sub>2</sub> in N<sub>2</sub>). All chemicals  
352 used were purchased from Macklin (Shanghai, China).

353 **Support and catalyst characterization**

354 The physical properties (i.e., Brunauer-Emmett Teller (BET) surface area, pore volume,  
355 and pore size) and adsorption-desorption isotherms of synthesized samples were  
356 measured by a Tristar II series, micrometric analyzer at N<sub>2</sub> atmosphere (77K, -196 °C).  
357 Surface area was determined using BET method and the distribution of pore size was  
358 calculated by BJH (Barret-Joyner-Halenda) method. The surface morphology of  
359 samples was recorded by scanning electron microscopy (SEM). UV-visible spectra of  
360 supports were performed in the range of 200-800 nm on a UV-2401PC Shimadzu  
361 spectrophotometer coupled with an integrating diffuse reflectance sphere (ISR 240A)  
362 and using BaSO<sub>4</sub> as reference material. The FTIR (Fourier Transform Infrared) was  
363 conducted on FTIR spectrometer (VERTEX 70 series, Bruker) with sample powder  
364 dispersed in KBr. NH<sub>3</sub>-TPD (temperature programmed desorption) and H<sub>2</sub>-TPR  
365 (temperature programmed reduction) were all performed on a Micromeritics AutoChem  
366 II 2920 automatic analyzer coupled with a thermal conductivity detector. Before NH<sub>3</sub>  
367 adsorption, the sample was treated *in situ* at 500 °C for 30 min under He stream to  
368 eliminate water and other contaminants. Afterwards, the sample was cooled down to  
369 120 °C and contacted with He/NH<sub>3</sub> mixed gas (90/10, molar ratio) for 30 min with the  
370 flow rate of 20 mL min<sup>-1</sup>. NH<sub>3</sub> desorption process was conducted in He stream with the  
371 flow rate of 20 mL min<sup>-1</sup> at a heating rate of 10 °C min<sup>-1</sup> until reaching the temperature  
372 of 500 °C. Before H<sub>2</sub> reduction experiment, the sample was pretreated *in situ* at 500 °C  
373 for 2 h under air flow and cooled down to room temperature at Ar flow. The reduction  
374 process was conducted under Ar/H<sub>2</sub> mixture (90/10, molar ratio) with flow rate of 20

375 mL min<sup>-1</sup> at a heating rate of 10 °C min<sup>-1</sup> from room temperature to 800 °C. X-ray  
376 diffractions (XRD) of synthesized supports were conducted via small angle (SA) X-ray  
377 scattering diffractometer with Cu K $\alpha$  ( $\lambda=0.1542$  nm) (Bruker) in the degree range of  
378 0.6-8 ° with a step rate of 0.02 ° s<sup>-1</sup>. The wide angle (WA) XRD patterns of catalysts  
379 were obtained on an X-ray diffractometer (Bruker D8 advance) equipped with Cu K $\alpha$   
380 ( $\lambda=0.1542$  nm) radiation run at 40 kV and 40 mA between 2 and 80 ° with the same step  
381 rate of SA-XRD. Raman spectra of samples were recorded on a Renishaw in Via Raman  
382 Microscope spectrometer coupled with a laser source at 514 nm, at 200 mW power.

### 383 **Lignin depolymerization**

384 Lignin depolymerization experiment was performed in a stainless steel reactor with  
385 magnetic stir (100 mL). In a typical experiment, lignin (0.5 g) and catalyst (0.5 g) were  
386 added into isopropanol (50 mL,  $\geq 99.9$  %) with magnetic stir and dispersed by further  
387 ultrasonic treatment for 10 min. After that, 5 mL formic acid was mixed with the  
388 previous suspension with stir, and then the reactor was purged with He several times  
389 (but not being pressurized) to check for leaks and remove internal air, and heated from  
390 room temperature to 240 °C with a heating rate of 10 °C min<sup>-1</sup> for 8 h. At the end of  
391 reaction, the reactor was quenched with ice water and cooled down to room temperature.  
392 Each experiment was repeated for three times ensure the reproducibility.

393 The obtained products were in components of gas, liquid, and solid (including  
394 residual lignin, char, and catalyst). As the content of gaseous products was less than 1  
395 wt% (based on initial weight of lignin), thus they were ignored in the following analysis.  
396 In a typical separation step (**Fig. 10**), gaseous products were released into the air after

397 opening the reactor. Liquid and solid phases were separated by washing the reactor with  
398 30 mL dichloromethane (DCM, 99.5 %) for three times followed by filtration.  
399 Subsequently, lignin derived bio-oil was formed by evaporation at 55 °C and 160 mbar  
400 using a rotary evaporator to remove DCM, isopropanol, and water (generated during  
401 lignin depolymerization). Residual lignin was gained by washing solid phase with  
402 NaOH solution (20 mL, 0.25 mol L<sup>-1</sup>) for three times, and collected via acid  
403 precipitation with a HCl solution (0.10 mol L<sup>-1</sup>) followed by filtration. After being  
404 washed with deionized water and centrifuged for three times, the obtained unreacted  
405 lignin was dried at 105 °C for 12 h. The spent catalyst (including catalyst and char) was  
406 obtained after separating residual lignin from solid phase, and used for catalyst  
407 recyclability test. Finally, the reactivation of spent catalyst was carried out by  
408 calcination at 500 °C with a heating rate of 1 °C min<sup>-1</sup> for 2 h followed by H<sub>2</sub> flow  
409 reduction.

410 **Fig. 10** Separation steps of depolymerized phases.

#### 411 **Depolymerized products analysis and measurement**

412 The product analysis was performed by the application of gas chromatography-mass  
413 spectrometer (GC-MS, Agilent 7890 GC with an Agilent 5975 inert MS indicator,  
414 Agilent Technologies Inc., USA), coupled with a HP-INNOWAX capillary column (30  
415 m × 0.25 mm × 0.25 μm). The injection of sample was 1 μL, and the identification of  
416 depolymerized products was based on NIST library. In a typical temperature program,  
417 internal oven temperature was started from 60 °C, maintained for 2 min, to 250 °C with  
418 a heating rate of 10 °C min<sup>-1</sup>, and kept for 10 min. The quantitative measurement of

419 mainly recorded products was measured by external standard method using analytical  
420 standard chemicals (e.g., guaiacol, 2-methoxy-4-methylphenol, 4-ethylguaiacol, 2-  
421 Methoxy-4-(1-propyl)phenol, 2,6-Dimethoxyphenol, vanillin, 4-hydroxy-3-  
422 methoxyacetophenone, 4-hydroxy-3-methoxypropiophenone). All chemicals used as  
423 standards were purchased from Aladdin (Shanghai, China), and high-purity gas (He,  
424 99.999 %) used in the experiment was purchased from Nanjing Special Gas Factory  
425 Co., Ltd. (Nanjing, China).

426 Lignin conversion, yields of bio-oil, unreacted lignin, and char were determined by  
427 Eqs. (1)-(4), respectively. Furthermore, yields of main phenolic monomers (guaiacol,  
428 2-methoxy-4-methylphenol, 4-ethylguaiacol, 2-Methoxy-4-(1-propyl)phenol, 2,6-  
429 Dimethoxyphenol, vanillin, 4-hydroxy-3-methoxyacetophenone, 4-hydroxy-3-  
430 methoxypropiophenone) were obtained by Eq. (5).

$$\text{Lignin conversion (wt\%)} = \left( \frac{\text{weight of initial lignin} - \text{weight of residual lignin}}{\text{weight of initial lignin}} \right) \times 100\% \quad (1)$$

431 
$$\text{Bio-oil (wt\%)} = \left( \frac{\text{weight of bio-oil}}{\text{weight of initial lignin}} \right) \times 100\% \quad (2)$$

$$\text{Unreacted lignin (wt\%)} = \left( \frac{\text{weight of residual lignin}}{\text{weight of initial lignin}} \right) \times 100\% \quad (3)$$

$$\text{Char (wt\%)} = \left( \frac{\text{weight of solid residue} - \text{weight of catalyst}}{\text{weight of initial lignin}} \right) \times 100\% \quad (4)$$

$$\text{Phenolic monomer (wt\%)} = \left( \frac{\text{weight of a phenolic monomer}}{\text{weight of initial lignin}} \right) \times 100\% \quad (5)$$

432 Elemental analysis of lignin was conducted on CNH mode with a Vario EL III to  
433 determine the content of carbon, nitrogen and hydrogen, and that of oxygen was  
434 measured by difference.

## 435 **List of abbreviations**

436 EHL: enzymatic hydrolysis lignin; HDO: hydrodeoxygenation; SiO<sub>2</sub>-NC (uncalcined);

437 SiO<sub>2</sub>-C (calcined); LMCT: ligand to metal charge transfer; DRS: Diffuse Reflectance  
438 Spectra; TMAOH: tetramethylammonium hydroxide; CTMAB:  
439 cetyltrimethylammonium bromide; BET: Brunauer-Emmett Teller; BJH: Barret-  
440 Joyner-Halenda; SEM: Scanning Electron Microscopy; FTIR: Fourier Transform  
441 Infrared; NH<sub>3</sub>-TPD: NH<sub>3</sub> Temperature Programmed Desorption; H<sub>2</sub>-TPR: H<sub>2</sub>  
442 Temperature Programmed Reduction; XRD: X-Ray Diffractions; SA: Small Angle; WA:  
443 Wide Angle; DCM: dichloromethane; GC-MS: Gas Chromatography-Mass  
444 Spectrometer.

#### 445 **Declarations**

##### 446 **Ethics approval and consent to participate**

447 Not applicable

##### 448 **Consent for publication**

449 Not applicable

##### 450 **Availability of data and materials**

451 All data obtained in this study are included in this paper. Raw data are available on  
452 reasonable request.

##### 453 **Competing interests**

454 The authors declare that they have no competing interests.

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460 and J.C.; formal analysis, C.X.; investigation, X.G.; resources, X.G.; data curation, X.L.;  
461 writing-original draft preparation, X.L.; writing-review and editing, X.G.; visualization,  
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656 **Table object**

657 **Table 1** Physical properties and acid strength of synthesized catalysts.

Catalyst	$S_{\text{BET}}$ ( $\text{m}^2 \text{g}^{-1}$ )	$V_{\text{pore}}$ ( $\text{cm}^3$ $\text{g}^{-1}$ )	$S_{\text{pore}}$ ( $\text{\AA}$ )	Ti <sup>a</sup> (wt%)	W <sup>a</sup> (wt%)	Acidity ( $\text{mmol NH}_3 \text{g}^{-1}$ catalyst)			
						Total <sup>c</sup>	Weak <sup>b</sup>	Medium <sup>b</sup>	Strong <sup>b</sup>
SiO <sub>2</sub> -NC	28.1	0.07	42.1	-	-	-	-	-	-
SiO <sub>2</sub> -C	654.2	0.52	32.0	-	-	0.170	0.151 (88.8 %)	0.012 (7.1 %)	0.007 (4.1 %)
Ti-SiO <sub>2</sub>	375.7	0.32	31.7	2.28	-	0.339	0.308 (90.9 %)	0.024 (7.1 %)	0.007 (2.0 %)
W-SiO <sub>2</sub>	413.8	0.32	30.5	-	6.82	0.485	0.392 (80.8 %)	0.081 (16.7 %)	0.012 (2.5 %)

658  $S_{\text{BET}}$  - the surface area measured by BET method.

659  $V_{\text{pore}}$  - the volume of pore.

660  $S_{\text{pore}}$  - the size of pore.

661 <sup>a</sup> Determined by ICP-OES.

662 <sup>b</sup> Weak, medium, strong acidity (< 200 °C, ~ 300 °C, > 400 °C).

663 <sup>c</sup> Determined by NH<sub>3</sub>-TPD.

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668 **Table 2.** Physical properties of spent catalyst and reactivated one.



Catalyst	S <sub>BET</sub>	V <sub>pore</sub>	S <sub>pore</sub>	W <sup>a</sup>	Ni <sup>a</sup>	Mo <sup>a</sup>	Lignin	Bio-oil	Char
	(m <sup>2</sup> g <sup>-1</sup> )	(cm <sup>3</sup> g <sup>-1</sup> )	(Å)	(wt%)	(wt%)	(wt%)	conversion	(wt%)	(wt%)
		<sup>1)</sup>					(wt%)		
Fresh	325.8	0.27	30.1	6.82	28.5	40.1	66.3	57.2	9.1
Reuse-1	273.4	0.18	35.2	6.64	27.3	39.4	62.1	52.9	9.2
Reuse-2	241.2	0.16	37.1	6.55	27.1	39.1	60.3	51.6	8.7
Reuse-3	218.3	0.15	35.6	6.52	26.4	39.0	58.3	49.8	8.5
Reuse-4	211.2	0.17	34.9	6.44	26.1	38.5	54.9	46.7	8.2
Reuse-5	211.4	0.12	37.2	6.38	26.1	37.8	52.5	44.4	8.1
Reactivation	321.2	0.26	30.2	6.33	25.3	37.4	63.2	54.2	9.0

669 <sup>a</sup> Determined by ICP-OES.

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680 **Table 3.** Proximate, ultimate, and component analyses of raw and extracted lignin.

Sample	Proximate analysis <sup>a</sup> (wt%)				Ultimate analysis <sup>c</sup> (wt%)				Lignin content <sup>d, e</sup> (wt%)
	Moisture	Ash	Volatile matter	Fixed carbon <sup>b</sup>	C	H	O <sup>b</sup>	N	
Raw lignin	5.6	2.7	71.5	20.2	46.7	7.1	45.3	0.9	80.2
Extracted lignin	4.9	2.5	74.3	18.3	47.4	7.3	44.7	0.6	98.8

681 <sup>a</sup> Measured by ASTM Standard Test Method E. 1690-01.

682 <sup>b</sup> Calculated by difference.

683 <sup>c</sup> Ash and moisture free.

684 <sup>d</sup> On dry basis.

685 <sup>e</sup> Determined by Klason method [47].

# Figures

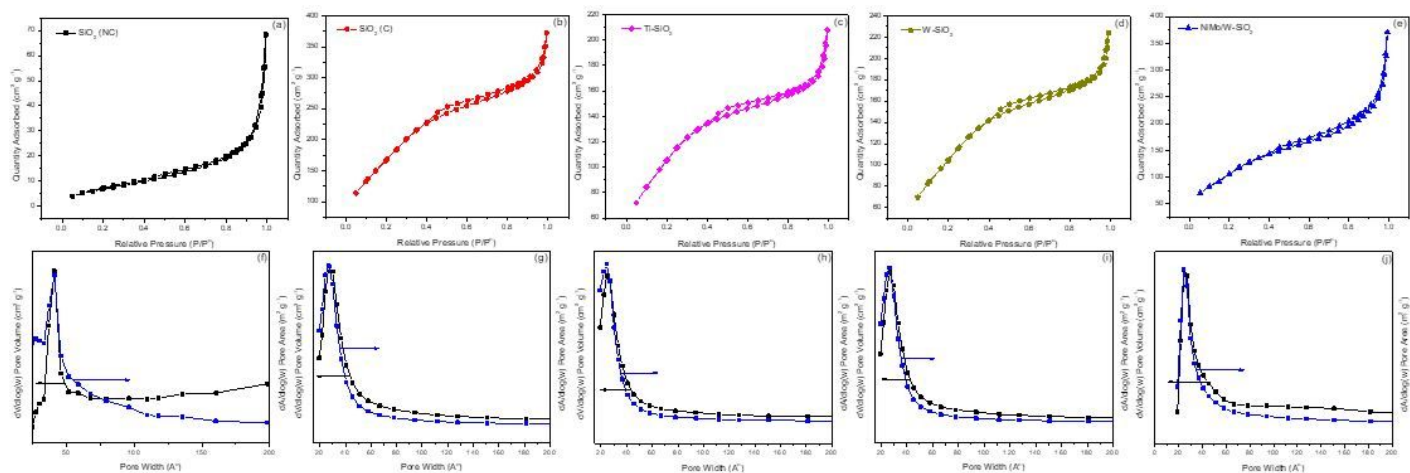


Figure 1

N<sub>2</sub> adsorption-desorption isotherms of SiO<sub>2</sub>-NC (a, uncalcined), SiO<sub>2</sub>-C (b, calcined), Ti-SiO<sub>2</sub> (c), W-SiO<sub>2</sub> (d), and NiMo/W-SiO<sub>2</sub> (e). Respective pore size distributions of different samples (f: SiO<sub>2</sub>-NC, g: SiO<sub>2</sub>-C, h: Ti-SiO<sub>2</sub>, i: W-SiO<sub>2</sub>, and j: NiMo/W-SiO<sub>2</sub>).

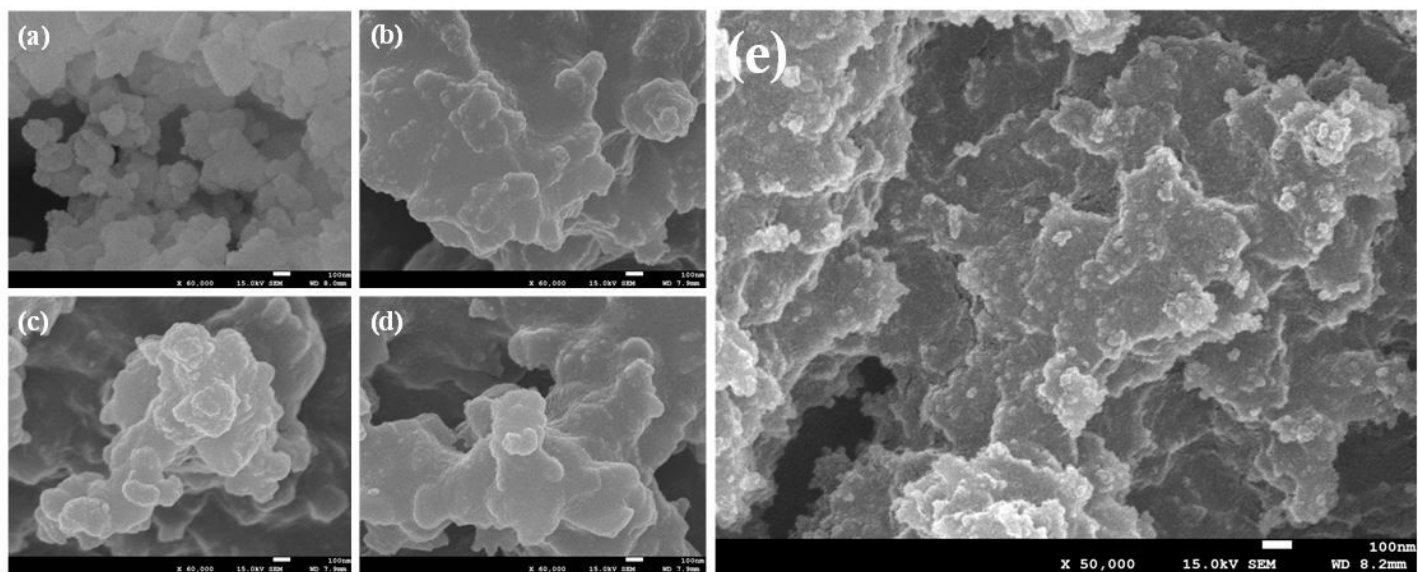


Figure 2

SEM images of SiO<sub>2</sub>-NC (a), SiO<sub>2</sub>-C (b), Ti-SiO<sub>2</sub> (c), W-SiO<sub>2</sub> (d), and NiMo/W-SiO<sub>2</sub> (e).

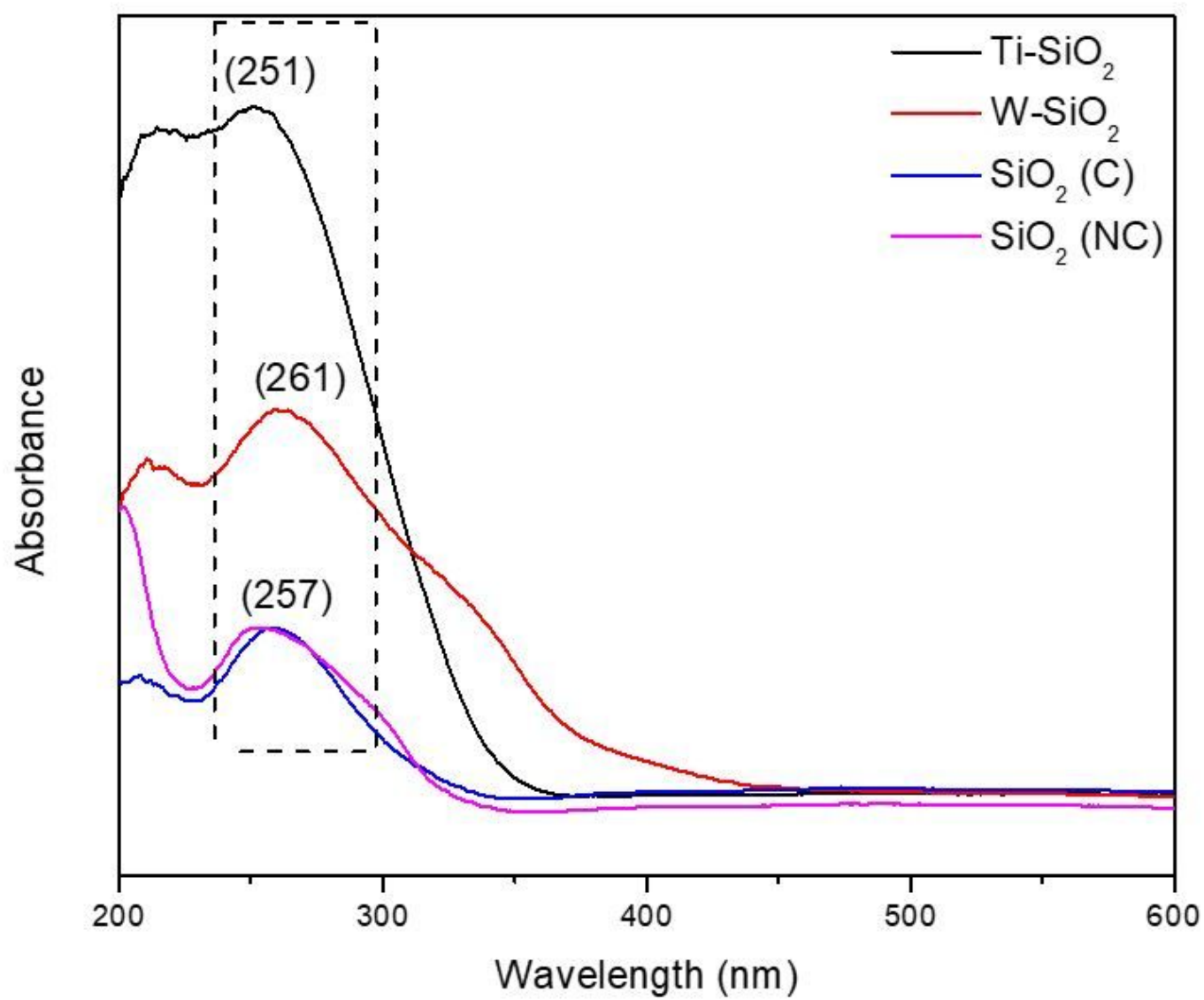


Figure 3

UV-visible diffuse reflectance spectra (DRS) of supports.

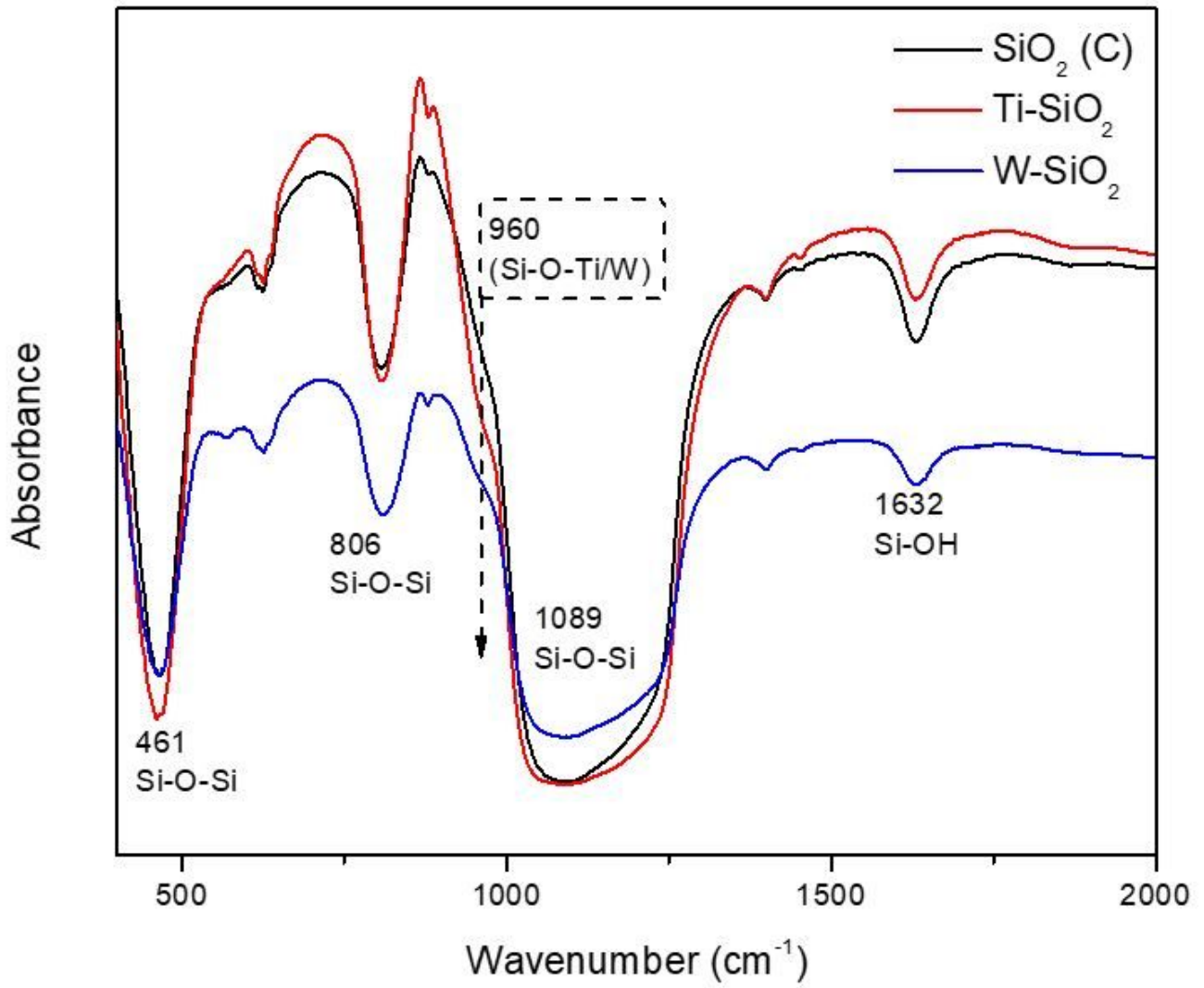


Figure 4

FTIR spectra of synthesized supports.

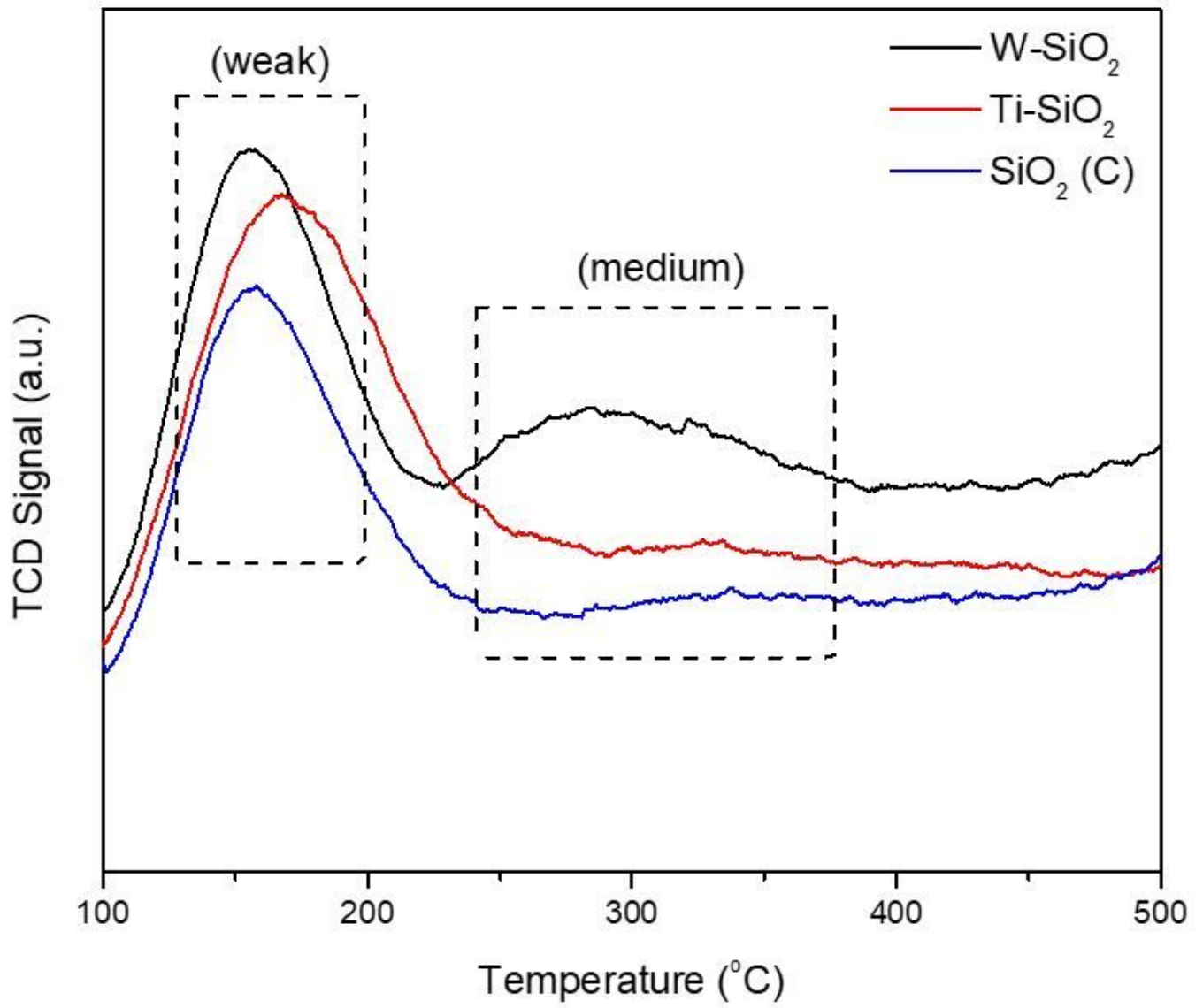
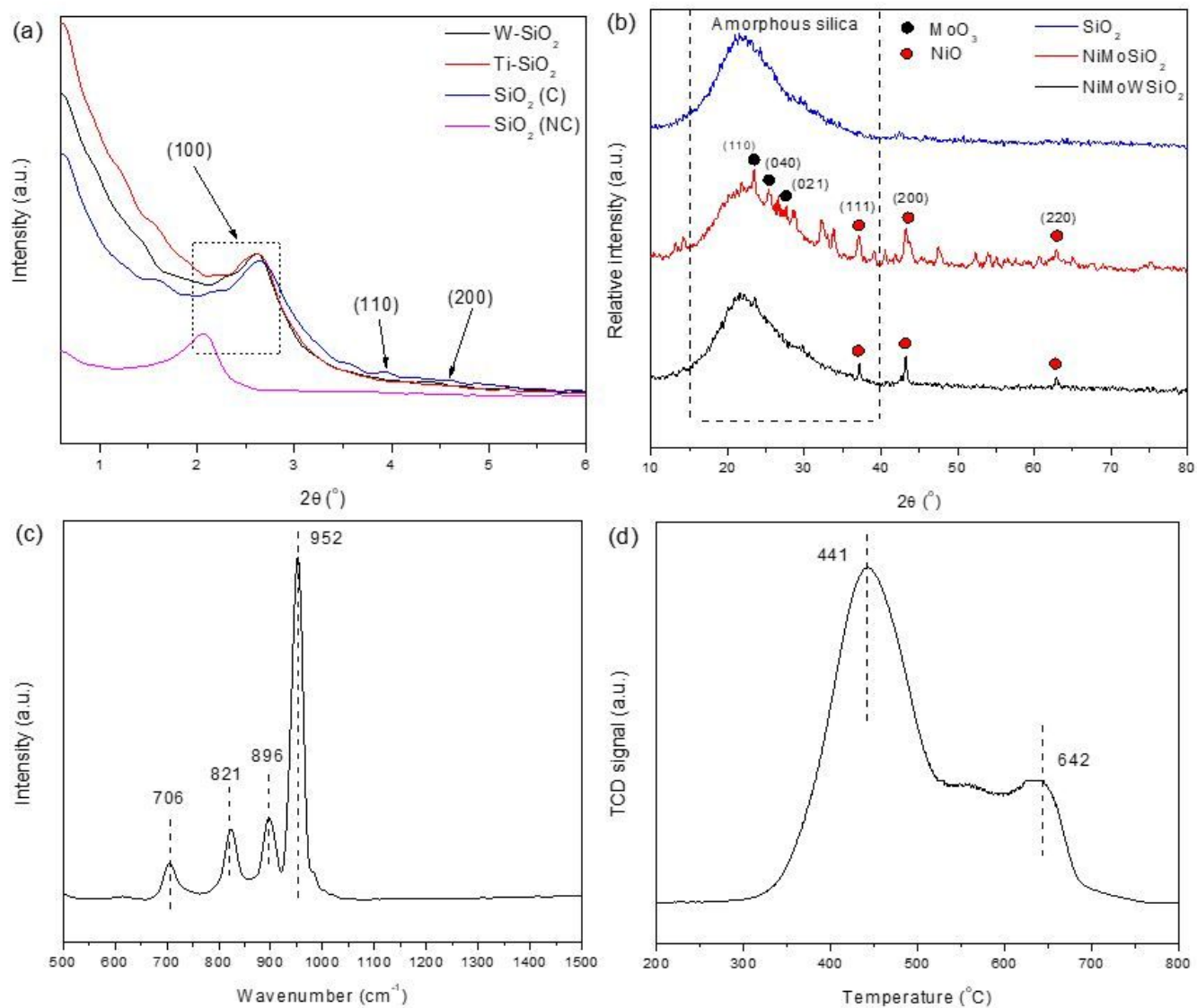


Figure 5

NH<sub>3</sub>-TPD of SiO<sub>2</sub>-C (blue line), Ti-SiO<sub>2</sub> (red line), and W-SiO<sub>2</sub> (black line).



**Figure 6**

(a) SA-XRD patterns of support and catalysts, (b) WA-XRD patterns of support and NiMo supported catalysts, (c) Raman spectrum of NiMo/W-SiO<sub>2</sub>, and (d) H<sub>2</sub>-TPR profile of NiMo/W-SiO<sub>2</sub>.

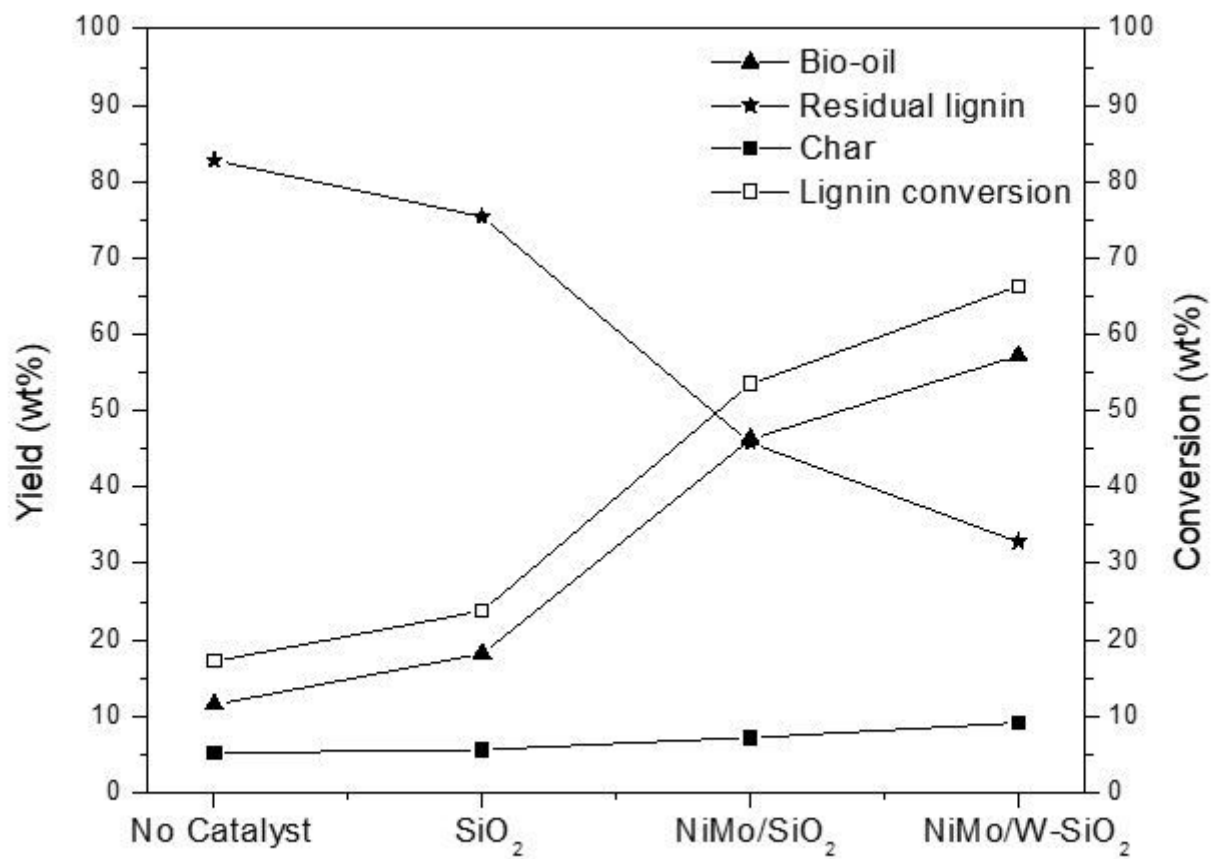
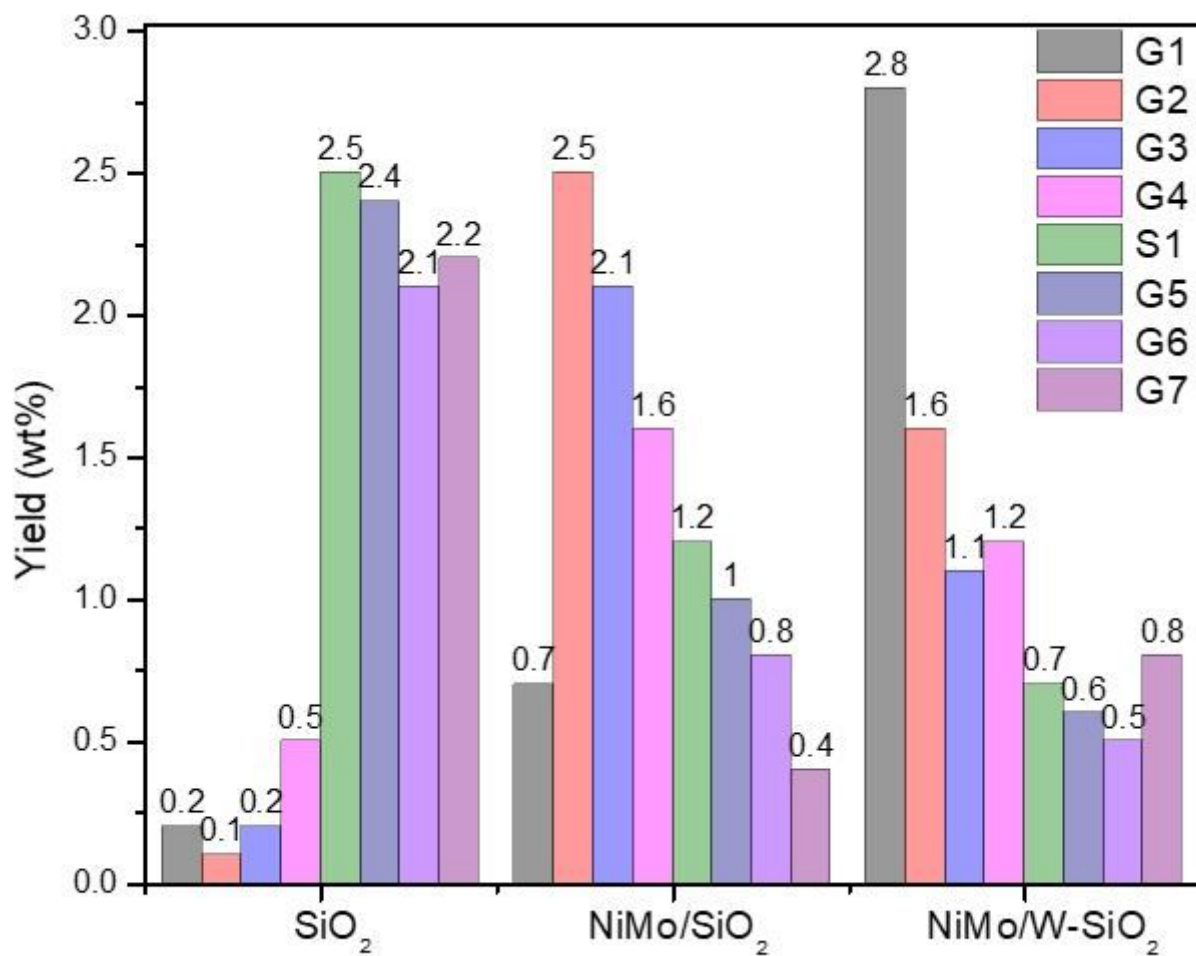


Figure 7

Catalytic evaluation of lignin depolymerization over different synthesized catalysts.





**Figure 8**

The main monomers (wt%) produced from lignin depolymerization over NiMo/W-SiO<sub>2</sub> (G1: guaiacol, G2: 2-methoxy-4-methylphenol, G3: 4-ethylguaiacol, G4: 2-methoxy-4-(1-propyl)phenol, S1: 2,6-dimethoxyphenol, G5: vanillin, G6: 4-hydroxy-3-methoxyacetophenone, G7: 4-hydroxy-3-methoxypropiofenone).

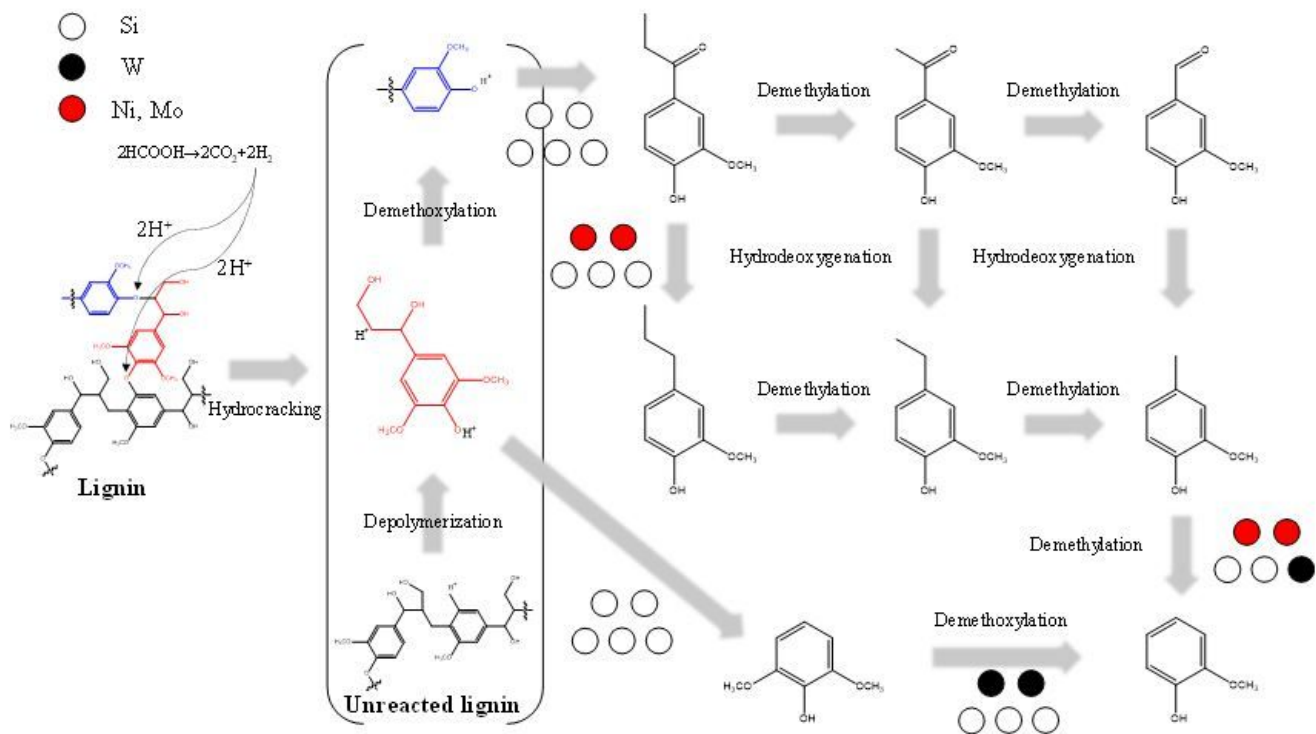


Figure 9

Possible reaction mechanism of lignin depolymerization over supported metal catalysts with assistance of formic acid used as an internal hydrogen donor.

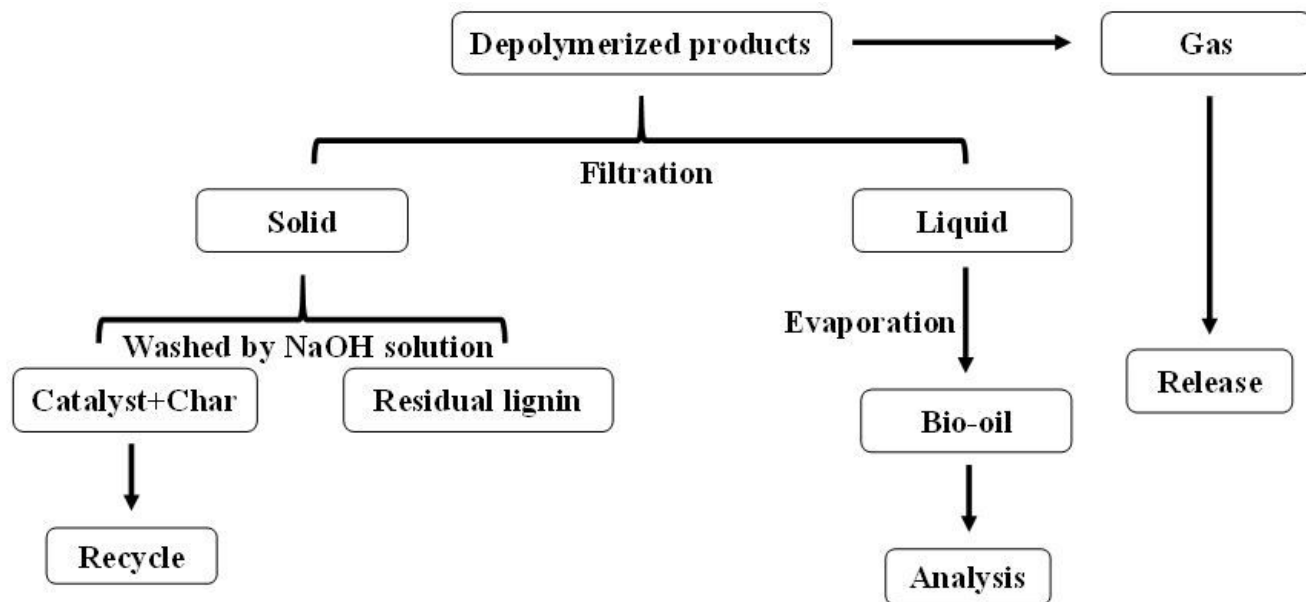


Figure 10

Separation steps of depolymerized phases.