

1 **Oxysterols present in Alzheimer's disease brain induce synaptotoxicity by activating astrocytes:**  
2 **a major role for lipocalin-2**

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25

## 26 **Abstract**

27 **Background:** Among Alzheimer's disease (AD) brain hallmarks, the presence of reactive astrocytes  
28 was demonstrated to correlate with neuronal loss and cognitive deficits. Evidence indeed supports the  
29 role of reactive astrocytes as mediators of changes in neurons, including synapses. However, the  
30 complexity and the outcomes of astrocyte reactivity are far from being completely elucidated.

31 Another key role in AD pathogenesis is played by alterations in brain cholesterol metabolism.  
32 Oxysterols (cholesterol oxidation products) are crucial for brain cholesterol homeostasis, and we  
33 previously demonstrated that changes in the brain levels of various oxysterols correlate with AD  
34 progression. Moreover, oxysterols have been shown to contribute to various pathological mechanisms  
35 involved in AD pathogenesis.

36 In order to deepen the role of oxysterols in AD, we investigated whether they could contribute to  
37 astrocyte reactivity, and consequently impact on neuronal health.

38 **Methods:** Mouse primary astrocyte cultures were used to test the effect of two oxysterol mixtures,  
39 that represent the oxysterol composition respectively of mild or severe AD brains, on astrocyte  
40 morphology, markers of reactivity, and secretion profile. Co-culture experiments were performed to  
41 investigate the impact of oxysterol-treated astrocytes on neurons. Neuronal cultures were exposed to  
42 astrocyte conditioned media (ACM) deprived of lipocalin-2 (Lcn2) to investigate the contribution of  
43 this mediator to synaptotoxicity.

44 **Results:** Results showed that oxysterols induce a clear morphological change in astrocytes,  
45 accompanied by the upregulation of some reactive astrocyte markers, including Lcn2. Moreover,  
46 ACM analysis revealed a significant increase in the release of Lcn2, cytokines, and chemokines in

47 response to oxysterols. A significant reduction of postsynaptic density protein 95 (PSD95) and a  
48 concurrent increase in cleaved caspase-3 protein levels have been demonstrated in neurons co-  
49 cultured with oxysterol-treated astrocytes, pointing out that mediators released by astrocytes have an  
50 impact on neurons. Among these mediators, Lcn2 has been demonstrated to play a major role on  
51 synapses, affecting neurite morphology and decreasing dendritic spine density.

52 **Conclusions:** These data demonstrated that oxysterols present in the AD brain promote astrocyte  
53 reactivity, determining the release of several mediators that affect neuronal health and synapses. Lcn2  
54 has been shown to exert a key role in mediating the synaptotoxic effect of oxysterol-treated astrocytes.

55

56 **Keywords:** Oxysterols; Astrocytes; Astrocyte reactivity; Lipocalin-2; Neurons; Synapses;  
57 Synaptotoxicity; Alzheimer's disease.

58

## 59 **BACKGROUND**

60 Alzheimer's disease (AD) is a neurodegenerative disorder, that represents the most common form of  
61 dementia and affects millions of people worldwide [1]. The pathogenic hallmarks of AD are  
62 extracellular deposits of amyloid- $\beta$  ( $A\beta$ ) peptides in the form of senile plaques and intracellular  
63 neurofibrillary tangles (NFTs) made of hyperphosphorylated tau protein. These lesions are typically  
64 accompanied by gliosis, especially surrounding senile plaques [2]. Neuronal death and synapse loss  
65 are also observed; in particular, synaptic loss exceeds neuronal loss, meaning that remaining neurons  
66 also lose synapses. Synapse loss is indeed the best correlate of cognitive decline [3].

67 Astrocytes play a crucial role in maintaining brain homeostasis and their reaction to different  
68 kind of insults leads to a heterogeneous range of changes, known as "astrocyte reactivity" [4]. An  
69 increase in the number of reactive astrocytes is a typical histopathological feature of AD brain, and it  
70 correlates with cognitive decline and neuronal loss also in transgenic mouse models [5, 6]. Besides

71 morphological changes and the upregulation of common markers of reactivity (e.g. the glial fibrillary  
72 acidic protein, GFAP), reactive astrocytes show significant alterations in gene expression and  
73 functions, depending on the specific stimulus [7, 8]. However, the outcome of astrocyte reactivity is  
74 still somewhat controversial. Several studies highlighted that reactive astrocytes lose neuroprotective  
75 functions, including their ability to promote neurite growth, neuronal survival, and synapse formation  
76 [9, 10]. One of the mechanisms through which astrocytes could have an impact on neurons is by the  
77 altered release of different kinds of molecules including cytokines, chemokines, growth factors, and  
78 neurotransmitters. For instance, an increase in the release of inflammatory mediators by reactive  
79 astrocytes has been shown to affect neuronal viability, tau phosphorylation [9, 11], and synaptic  
80 function [12, 13]. Another mediator released by reactive astrocytes is lipocalin-2 (Lcn2), a protein  
81 identified as a pan-reactive astrocyte marker [8]. Lcn2 is a member of the lipocalin protein family,  
82 consisting of more than 20 proteins that transport small hydrophobic molecules (e.g. steroids, lipids,  
83 and retinoids) and it plays important roles in the immune response, cell migration and proliferation  
84 [14]. Increased levels of Lcn2 have been found in the entorhinal cortex and hippocampus of AD brain  
85 [15]. Emerging evidence indicates that Lcn2 in the brain is synthesized and secreted as an inducible  
86 factor by activated microglia, reactive astrocytes, neurons, and endothelial cells in response to  
87 inflammatory stimuli, infections or other insults [16, 17].

88 In addition to amyloid plaques and NFTs, Alois Alzheimer originally described the presence  
89 of “adipose inclusions” in the glial cells of AD brains, suggesting a malfunctioning of lipid  
90 metabolism [18]. A clear link between AD and lipid metabolism was established later by the  
91 identification of the  $\epsilon 4$  allele of apolipoprotein E (ApoE) as a strong genetic risk factor for AD [19].  
92 Moreover, in the last few decades, much other evidence supports a role for lipids, in particular  
93 cholesterol, in AD pathogenesis [20-22]. As the main lipid component of neuronal and glial  
94 membranes, as well as a key constituent of myelin, cholesterol plays an essential role in synapse  
95 formation, maintenance, and function [23, 24]. Astrocytes are the main producers of cholesterol in

96 the brain, that is delivered to neurons loaded into ApoE-containing lipoproteins. Brain cholesterol  
97 homeostasis is closely controlled by pathways regulating cholesterol biosynthesis, storage, and  
98 elimination; in particular, the main process responsible for cholesterol elimination is enzymatic  
99 oxidation to oxysterols that are able to cross the blood-brain barrier (BBB). Excess brain cholesterol  
100 is essentially oxidized to 24-hydroxycholesterol (24-OHC) by cholesterol 24-hydroxylase  
101 (CYP46A1), a member of the cytochrome P450 family mainly expressed by neurons; the additional  
102 hydroxyl group enables 24-OHC to flow into the circulation crossing the BBB [25]. Smaller amounts  
103 of other enzymatic oxysterols, such as 27-hydroxycholesterol (27-OHC), can be produced in the  
104 brain, as well as various oxysterols deriving from cholesterol non-enzymatic oxidation mediated by  
105 various compounds (e.g. free radical species, metal cations, and A $\beta$  peptides) [26, 27]. Oxysterols are  
106 not only cholesterol metabolites but they also play many regulatory functions, such as modulating  
107 cholesterol biosynthesis, inflammatory pathways, and the immune response [28]. We have previously  
108 demonstrated a correlation between changes in brain levels of various oxysterols and AD progression.  
109 Through analysis of the oxysterol composition in AD and aged-matched control cortex, we observed  
110 a significant increase in the levels of various enzymatic (e.g. 27-OHC) and non-enzymatic oxysterols  
111 (e.g. 7 $\alpha$ -hydroxycholesterol, 7 $\alpha$ -OHC; 7 $\beta$ -hydroxycholesterol, 7 $\beta$ -OHC; 7-ketocholesterol, 7-KC;  
112 5 $\alpha$ ,6 $\alpha$ -epoxycholesterol,  $\alpha$ -EPOX; 5 $\beta$ ,6 $\beta$ -epoxycholesterol,  $\beta$ -EPOX). In contrast, 24-OHC levels  
113 were markedly decreased compared to control brains, probably due to the decline in CYP46A1  
114 expression levels as a result of neuronal loss [27]. The effects of oxysterols are still controversial but  
115 growing evidence suggests that some of them (e.g. 27-OHC, 7-KC, 7 $\alpha$ - and 7 $\beta$ -OHC) may play a  
116 role in AD pathogenesis by inducing oxidative stress, inflammation [29], A $\beta$  formation and  
117 accumulation [30, 31], tau hyperphosphorylation [32], synaptic dysfunction [33], and cell death [34,  
118 35].

119 At present, data regarding the impact of oxysterols on astrocytes are limited and mostly related  
120 to the ability of some of them to affect brain cholesterol synthesis and transport in various astrocytic

121 cell lines [36, 37]. For instance, it has been shown that an increase in systemic levels of 27-OHC can  
122 disrupt brain cholesterol homeostasis in rats by reducing cholesterol synthesis and increasing its  
123 efflux, but also favouring brain cholesterol accumulation likely due to cell damage; moreover, spatial  
124 learning and memory deficits were described as a result [38]. 27-OHC was also observed to induce  
125 oxidative stress and to downregulate the antioxidant response in C6 glioma cells, leading to cell  
126 toxicity [39]. 24-OHC has been shown to affect redox homeostasis in human glial cells, although its  
127 impact may depend on the concentration [40]. Interestingly, the oxysterols 7-KC, 7 $\alpha$ -OHC, and 7 $\beta$ -  
128 OHC have been shown to inhibit cell growth and decrease viability in several neuronal and glial cell  
129 lines; in particular, 7-KC and 7 $\beta$ -OHC exhibited cytotoxic effects also in mixed glial murine primary  
130 cultures [35]. High concentrations of 7 $\beta$ -OHC have also been observed to induce toxic effects and  
131 morphological changes in an *in vitro* model of reactive astrocytes [41].

132         Since growing data support the involvement of oxysterols in several aspects of AD pathology  
133 and given the presence of reactive astrocytes in the disease, we wanted to investigate whether these  
134 compounds could impact on astrocyte reactivity, potentially compromising neuronal health. With the  
135 aim of mimicking the human AD brain oxysterol composition, we used two oxysterol mixtures, both  
136 including the main seven oxysterols previously quantified in cortical AD brain samples and  
137 representative of early or late stages of the disease [27], to investigate the effect of oxysterols in  
138 mouse cortical astrocytes and neuronal cultures.

139

## 140 **METHODS**

### 141 **Composition of oxysterol mixtures**

142 Cell cultures were treated with two oxysterol mixtures, whose compositions represent oxysterol  
143 amounts previously quantified in mild (Early AD mixture) or severe (Late AD mixture) AD brain  
144 samples [27]. Both oxysterol mixtures consist of the same seven oxysterols but in different

145 proportions. Early AD mixture composition: 24-OHC (52.9%), 27-OHC (3%), 7-KC (9.2%), 7 $\alpha$ -  
146 OHC (4.5%), 7 $\beta$ -OHC (19.2%),  $\alpha$ -EPOX (3%), and  $\beta$ -EPOX (8.2%). Late AD mixture composition:  
147 24-OHC (33.4%), 27-OHC (5.8%), 7-KC (12.7%), 7 $\alpha$ -OHC (5.4%), 7 $\beta$ -OHC (23.8%),  $\alpha$ -EPOX  
148 (4.9%), and  $\beta$ -EPOX (14%). Oxysterols were dissolved in absolute ethanol.

149 Oxysterols were provided as described: 24-OHC (5275, Medical Isotopes, Pehlam, NH,  
150 USA), 27-OHC (700061P), 7-KC (700015P), 7 $\alpha$ -OHC (700034P), 7 $\beta$ -OHC (700035P) (Avanti Polar  
151 Lipids, Alabaster, AL, USA),  $\alpha$ -EPOX (C4130-000), and  $\beta$ -EPOX (C5030-000) (Steraloids,  
152 Newport, RI, USA).

153

#### 154 **Primary cultures and co-cultures**

155 Primary astrocyte cultures were obtained from cerebral cortex of wild type CD1 mice on postnatal  
156 day 1-3 as previously described [42]. Briefly, after dissociation of the cortices, cells were re-  
157 suspended in growing medium (DMEM high glucose with glutaMAX, sodium pyruvate, 10% fetal  
158 bovine serum, 100 U/ml penicillin, 100  $\mu$ g/ml streptomycin) and seeded into T75 flasks, previously  
159 coated with poly-D-lysine (10  $\mu$ g/ml) for at least 1h at 37°C. Astrocytes were cultured for 7-14 days  
160 in a humidified 5% CO<sub>2</sub> incubator at 37°C, shaking them at 200 rpm overnight on days 3 and 7 to  
161 remove microglia and oligodendrocytes. The absence of microglial contamination was assessed by  
162 immunocytochemistry and Western blotting using antibodies that recognize the ionized calcium  
163 binding adaptor molecule 1 (Iba1, microglial marker) (Supplemental Fig. 1). Astrocyte-enriched  
164 cultures were then re-plated into 12-wells plates using trypsin and the medium was changed to  
165 Neurobasal serum-free medium (supplemented with 2% B-27, 2 mM glutaMAX, 100 U/ml penicillin,  
166 100  $\mu$ g/ml streptomycin) 24h before treatment. Astrocyte cultures were treated with the oxysterol  
167 mixtures (Early or Late at different concentrations) up to 24h. Vehicle (ethanol) was added to control  
168 astrocytes.

169 Primary neurons were obtained from cerebral cortex of wild type CD1 mouse embryos at  
170 embryonic day 15, according to a previously published protocol [43]. Briefly, after dissociation,  
171 cortices were washed twice in HBSS (without  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$ ) and homogenized in 1ml of Neurobasal  
172 serum-free medium (supplemented with 2% B-27, 2 mM glutaMAX, 100 U/ml penicillin, 100  $\mu\text{g}/\text{ml}$   
173 streptomycin). The suspension was then filtered using a 40  $\mu\text{m}$  cell strainer, live cells were counted  
174 and neurons were plated to a density  $5 \times 10^5$  viable cells per well of 6-wells plates previously coated  
175 with poly-D-lysine (10  $\mu\text{g}/\text{ml}$ ) for at least 1h at 37°C. After 1h, neuron adhesion was checked and the  
176 medium was changed. Cultures were maintained at 37°C with 5%  $\text{CO}_2$  in Neurobasal serum-free  
177 medium. Neuronal cultures were treated at 13-14 days *in vitro* (DIV). For neurite and spine analysis,  
178 neurons were transfected at 5-7 DIV with the plasmid peGFP-N1 (Clontech, Mountain View, CA,  
179 USA) using Lipofectamine 2000 (Invitrogen, Thermo Fisher Scientific, Waltham, MA, USA) and  
180 imaged live 24h after treatment at 14 DIV using an Opera Phenix microscope (Perkin Elmer,  
181 Waltham, MA, USA).

182 For co-culture experiments, primary astrocytes were plated into cell-culture inserts (0.4  $\mu\text{m}$   
183 pore membrane, Falcon, Corning, Corning, NY, USA), that allow the passage of small molecules in  
184 culture medium. Astrocytes were pre-treated with the Late AD mixture (10  $\mu\text{M}$ ) or vehicle (ethanol)  
185 for 12h, medium was changed to remove oxysterols, and inserts on which astrocytes were grown were  
186 added to neuron cultures for a further 24h.

187

### 188 **Cytotoxicity assay**

189 Cytotoxicity was assessed by measuring levels of lactate dehydrogenase (LDH) enzyme release into  
190 the media using Pierce LDH Cytotoxicity Assay Kit (Fisher Scientific, Thermo Fisher Scientific)  
191 according to the manufacturers' instructions. Some cells were lysed with 0.5% Triton X-100 and  
192 LDH content in the medium was measured in order to evaluate the maximum LDH amount released  
193 from dead cells. LDH release was calculated as a percentage of total LDH released by lysed cells.



194

195 **Gel electrophoresis and Western blotting**

196 After treatments, the cell culture media were collected, cells were washed with PBS and directly lysed  
197 with PBS containing sample buffer (NuPAGE LDS Sample Buffer 4X, Invitrogen), reducing agent  
198 (NuPAGE Sample Reducing Agent 10X, Invitrogen), protease inhibitor (complete Mini EDTA-Free  
199 Protease Inhibitor Cocktail, Roche, Basel, CH) and phosphatase inhibitor (PhosSTOP, Roche)  
200 cocktails.

201 Equal amounts of protein samples (20 µg) were boiled, separated by electrophoresis using  
202 10% precast gels (NuPAGE 10% Bis-Tris Protein Gels, Invitrogen) and then transferred to  
203 nitrocellulose membranes (Amersham Protran, GE Healthcare, Chicago, IL, USA). After blocking  
204 with Odyssey Blocking Buffer (LI-COR Biosciences, Lincoln, NE, USA) for 1h at room temperature,  
205 membranes were incubated with primary antibodies overnight at 4°C. The following primary  
206 antibodies were used: GFAP (Z0334, Dako, Agilent Technologies, Santa Clara, CA, USA),  
207 Lipocalin-2/NGAL (AF1857, R&D Systems, Minneapolis, MN, USA), SerpinA3N (AF4709, R&D  
208 Systems), cleaved caspase-3 (Asp175, 9661, Cell Signaling, Danvers, MA, USA), PSD95 (D74D3,  
209 Cell Signaling), Synapsin (6008-30, BioVision, Milpitas, CA, USA), Iba1 (019-19741, Wako  
210 Chemicals, Richmond, VA, USA) and  $\beta$ -actin (ab8226, Abcam, Cambridge, UK). After washing to  
211 remove unbound antibody with TBS-Tween20 0.05%, the appropriate fluorophore-coupled  
212 secondary antibody (1:10000, LI-COR Biosciences) was added for 1h at room temperature.  
213 Membranes were washed with TBS-Tween20 0.05% and scanned using an Odyssey infrared imaging  
214 system (LI-COR Biosciences). Band intensities were quantified using the Image Studio Software (LI-  
215 COR Biosciences) and normalized to the corresponding  $\beta$ -actin bands.

216 Similarly, to detect secreted Lcn2, 250 µl of culture media were concentrated using centrifugal  
217 filters (Amicon Ultra, Millipore, Merck, Darmstadt, DE); the protein concentration was calculated

218 using the Bradford Assay (Protein Assay Dye Reagent Concentrate, Bio-Rad Laboratories, Hercules,  
219 CA, USA), and samples were prepared as above to perform Western blotting of cell culture media.

220

## 221 **Immunocytochemistry**

222 Astrocytes were plated on coverslips (12 or 18 mm diameter, No. 1.5) into 12- or 24-wells plates.  
223 After treatment, cells were washed with PBS, fixed in 4% paraformaldehyde in PBS for 10 min at  
224 room temperature, and then washed again twice with PBS. Cells were permeabilized and blocked  
225 (4% goat serum, 0.1% Triton in PBS) for 1h at room temperature before incubating with the anti-  
226 GFAP (Z0334, Dako) and/or Iba1 (ab48004, Abcam) primary antibodies (4% goat serum in PBS)  
227 overnight at 4°C. The following day, cells were incubated with the appropriate secondary antibody  
228 conjugated with fluorescent probes for 1h at room temperature (Alexa Fluor 488 or 594, Invitrogen)  
229 and nuclei were stained with Hoescht 33258 (10 µg/ml in PBS, Sigma-Aldrich, St. Louis, MO, USA).  
230 Cells were imaged using an Eclipse Ti-E inverted Microscope (Nikon, Tokyo, JP) or LSM800  
231 confocal microscope (Carl Zeiss, Oberkochen, DE).

232

## 233 **Cytokine array**

234 Astrocyte culture media was collected and stored at -20°C prior to analysis of cytokine and chemokine  
235 content using Mouse Proteome Profiler arrays (Mouse Cytokine Array Panel A, R&D Systems),  
236 according to the manufacturers' instructions. Briefly, array membranes were incubated in blocking  
237 buffer for 1h at room temperature. Each sample of medium was incubated with the Detection  
238 Antibody Cocktail and this mix was then placed onto blocked membranes overnight at 4°C. After  
239 washes, membranes were incubated with IRDye 800CW Streptavidin (1:2000, LI-COR Biosciences)  
240 for 30 min at room temperature. Membranes were then scanned using an Odyssey infrared imaging  
241 system and the spot intensities were quantified using the Image Studio Software (LI-COR

242 Biosciences). Positive and negative control spots included in each membrane allowed quantitative  
243 analysis by densitometry and results were expressed as percentage change compared to control  
244 cultures.

245

#### 246 **Oxysterol quantification in astrocyte culture medium**

247 Astrocyte culture media (100  $\mu$ l) was added to a screw-capped vial sealed with a Teflon septum  
248 together with D7-7 $\alpha$ -hydroxycholesterol (50 ng), D7-7 $\beta$ -hydroxycholesterol (50 ng), D7-7-oxo-  
249 cholesterol (50 ng), D6-5 $\alpha$ -6 $\alpha$ -epoxicholesterol (50 ng), D6-5 $\beta$ -6 $\beta$ -epoxicholesterol, D6-24-  
250 hydroxycholesterol (250 ng), D6-27-hydroxycholesterol (50 ng) as internal standards, as well as 50  
251  $\mu$ l of butylated hydroxytoluene (5g/l) and 50  $\mu$ l of K3-EDTA (10 g/l) to prevent auto-oxidation. Each  
252 vial was then flushed with argon for 5 min to remove air. Alkaline hydrolysis, sterol extraction, and  
253 gas chromatography-mass spectrometry (GC-MS) analysis were performed as previously described  
254 [44].

255

#### 256 **RNA extraction and real-time RT-PCR**

257 Total RNA was extracted using TriFast reagent (Eurogold TriFast, EuroClone, Pero, IT) following  
258 the manufacturers' instructions. RNA was dissolved in RNase-free water with RNase inhibitors  
259 (SUPERase-In RNase inhibitor, Invitrogen). The amount and purity of the extracted RNA were  
260 assessed by using a NanoDrop ND-1000 spectrophotometer (Thermo Fisher Scientific). cDNA was  
261 synthesized by reverse transcription of 1  $\mu$ g of RNA by using a commercial kit and random primers  
262 (High-Capacity cDNA Reverse Transcription Kit, Applied Biosystems, Thermo Fisher Scientific)  
263 following the manufacturers' instructions.

264 Singleplex real-time RT-PCR was performed on 30 ng of cDNA by using TaqMan Gene  
265 Expression Assays for mouse Lcn2 (Mm01324470\_m1) and  $\beta$ -actin (Mm02619580\_g1), TaqMan

266 Fast Universal PCR Master Mix, and a 7500 Fast Real-Time PCR System (Applied Biosystems). The  
267 PCR cycling parameters were set up as previously described [31]. The fractional cycle number (Ct)  
268 was determined for each gene considered and results were then normalized to  $\beta$ -actin expression.  
269 Relative quantification of target gene expression was achieved with a mathematical method [45].

270

### 271 **Transient gene knockdown**

272 The transient knockdown of Lcn2 gene was performed by using a Small Interfering RNA (siRNA)  
273 (Silencer Select Pre-designed siRNA S69122, Ambion, Thermo Fisher Scientific) following the  
274 manufacturers' instructions. A non-targeting siRNA was used as a negative control (Silencer Select  
275 Negative Control #2 siRNA, Ambion). Briefly, astrocytes were plated into 12- or 24-wells plates and  
276 respectively 50  $\mu$ l or 100  $\mu$ l of a mix containing siRNA and transfection agent (Lipofectamine  
277 RNAiMAX Reagent, Invitrogen) in a 1:1 ratio was added to each well, in order to reach a final siRNA  
278 concentration of 100 nM and 3  $\mu$ l/ml of transfection agent. After 6h transfection, the medium was  
279 changed and astrocytes were treated with the Late AD mixture (10  $\mu$ M) for 12h. After treatment, cells  
280 were washed with PBS and fresh medium was added. Astrocyte conditioned media were then  
281 collected after another 24h. Protein and RNA extraction, as well as real-time RT-PCR, were  
282 performed as described above. The silencing efficiency, validated by real-time RT-PCR, was  
283 approximately 85% (Supplemental Fig. 2).

284

### 285 **Analysis of neuronal morphology**

286 The neuronal morphology of 14 DIV neurons previously transfected with the plasmid peGFP-N1  
287 (Clontech) on 5-7 DIV and treated on 13 DIV was assessed using high resolution digital images of  
288 live neurons taken using an Opera-Phenix microscope (Perkin-Elmer). Neurite complexity was  
289 analysed using Harmony software: total and maximum neurite length, and number of nodes and

290 extremities were quantified and compared between groups. NeuronStudio software (CNIC, Mount  
291 Sinai School of Medicine) was used for dendritic spine analysis. Spine density was defined as number  
292 of spines per micrometer of dendrite length. Dendritic spine densities were calculated from 20  
293 neurons/condition.

294

## 295 **Statistical analysis**

296 After performing a Shapiro-Wilk normality test, data were analysed using one-way ANOVA followed  
297 by Bonferroni *post hoc* test or Student's t-test (GraphPad Prism 7 Software, Graphpad Software, La  
298 Jolla, CA, USA). Results were considered statistically significant when  $P < 0.05$ . Data are represented  
299 as means  $\pm$  standard deviation.

300

## 301 **RESULTS**

302

### 303 **Oxysterol mixtures induce a morphological change in astrocytes**

304 Astrocyte reaction to certain stimuli is defined by a wide range of heterogeneous responses, including  
305 changes in morphology [7, 46]. To analyse the effect of oxysterols on astrocyte morphology and  
306 survival, cells were exposed to increasing concentrations of the Early and Late mixtures (1, 5 or 10  
307  $\mu\text{M}$ ). Exposure of primary astrocytes to 5 or 10  $\mu\text{M}$  of both oxysterol mixtures for 24h induced a  
308 clear change in their morphology with astrocytes adopting a more stellate “brain-like” and reactive  
309 appearance rather than the large, flat fibroblast-like morphology typical of unstimulated dissociated  
310 astrocytes in culture (Fig. 1B). Importantly, this was not accompanied by cell death since there were  
311 no significant increases in the abundance of LDH released into culture medium following treatment  
312 when compared to control cultures (Fig. 1A). Time course treatments showed that astrocytes started  
313 to display the typical signs of reactivity (increase in the number of GFAP positive processes and

314 stellate appearance) after 12h of exposure to 10  $\mu$ M of both Early and Late mixtures, with these  
315 changes becoming more evident 24h after treatment (Fig. 2).

316

### 317 **Oxysterol mixtures increase the synthesis of the pan-reactive astrocyte markers Lcn2 and** 318 **SerpinA3N**

319 To validate the induction of astrocytic reactivity by oxysterols, and to confirm that the morphological  
320 alteration is accompanied by functional changes, we determined whether treatment with the Early or  
321 Late AD mixtures led to an increase in the levels of some pan-reactive astrocyte markers [8].  
322 Exposure to both oxysterol mixtures (10  $\mu$ M) resulted in a marked increase in Lcn2 and serine  
323 protease inhibitor A3N (SerpinA3N) protein levels at 12h ( $P<0.0001$  and  $P<0.001$ ) and 24h  
324 ( $P<0.0001$ ) (Fig. 3), contemporaneous with morphological changes. These molecules are involved in  
325 the acute-phase response and were both identified as new reactive astrocyte markers [8, 47]. Total  
326 levels of GFAP were only slightly increased ( $P<0.05$ ) or remained unchanged in response to the  
327 mixtures (Fig. 3), suggesting that the observed morphological changes are not only dependent on the  
328 expression of GFAP.

329

### 330 **Oxysterol-induced reactivity triggers the release of Lcn2, cytokines, and chemokines by** 331 **astrocytes**

332 Astrocyte reactivity is typically characterized by the release of cytokines, chemokines, and other  
333 mediators, that could have several effects on the surrounding cells [48]. Therefore, we investigated  
334 whether oxysterol-mediated astrocyte reactivity was accompanied by altered release of mediators into  
335 the cell culture medium.

336 Since Lcn2 is secreted by reactive astrocytes [17, 49], we analysed its protein levels in  
337 astrocyte conditioned media (ACM) by Western blotting. We saw that the robust increase in

338 intracellular levels of Lcn2 is accompanied by a significant increase in the secretion of Lcn2 after 24h  
339 treatment with 10  $\mu$ M of both Early and Late oxysterol mixtures ( $P < 0.0001$ ) (Fig. 4A).

340 The amounts of 40 cytokines and chemokines in ACM samples were next evaluated using  
341 Proteome Profiler antibody arrays. The analysis showed a significant increase in the release of several  
342 mediators by astrocytes treated for 24h, especially with the Late AD oxysterol mixture (10  $\mu$ M). Some  
343 of the cytokines found to be increased in the medium of astrocytes treated with this oxysterol mixture  
344 were interleukin-1 $\beta$  (IL-1 $\beta$ ), soluble intercellular adhesion molecule-1 (sICAM-1) ( $P < 0.001$ ), IL-1 $\alpha$ ,  
345 IL-5, granulocyte colony-stimulating factor (G-CSF), and C-C motif chemokine 12 (CCL12)  
346 ( $P < 0.01$ ). In addition, the levels of CCL1, IL-7, IL-10, IL-13, IL-16, IL-17, and C-X-C motif  
347 chemokine 9 (CXCL9) were slightly but significantly increased compared to levels in control media  
348 ( $P < 0.05$ ) (Fig. 4B, C). In contrast, when astrocytes were exposed to the Early AD oxysterol mixture  
349 (10  $\mu$ M), only sICAM-1 ( $P < 0.01$ ), IL-1 $\alpha$ , and IL-1 $\beta$  ( $P < 0.05$ ) were found to be significantly increased  
350 relative to levels in control ACM, highlighting a different impact of the two oxysterol mixtures on  
351 astrocyte cytokine and chemokine release (Fig. 4C).

352

### 353 **Oxysterol-stimulated astrocytes compromise neuronal health**

354 It has previously been shown that astrocyte reactivity depends on the specific stimulus and that  
355 heterogeneous responses of reactive astrocytes involves different signaling pathways. The  
356 consequences of these alterations for astrocytic support of neuronal health requires further  
357 investigation [7, 47].

358 To test the effect of oxysterol-stimulated astrocytes on neuronal health, we performed co-  
359 culture experiments. GC-MS analysis of oxysterols in ACM showed that, although variable, a  
360 substantial proportion (8-54%) of some oxysterols remain in ACM 24h after treatment (Supplemental  
361 Fig. 3). Since these oxysterols are known to directly affect neuron viability [31, 33, 50], we co-

362 cultured neurons with astrocytes that had been pre-treated with oxysterols. As shown in Figure 5A,  
363 astrocytes grown on cell culture inserts were pre-treated for 12h with the Late AD oxysterol mixture  
364 (10  $\mu$ M) and then transferred to culture plates containing neurons for a further 24h: this allowed us  
365 to investigate the effect of mediators released from stimulated astrocyte on neurons, without  
366 transferring oxysterols that remained in ACM. In parallel, neuronal cultures were treated with the  
367 Late AD mixture or with ethanol for 24h in the absence of astrocytes, in order to assess the direct  
368 effect of the same oxysterol mixture on neurons.

369         Interestingly, Western blotting analysis showed a significant reduction of postsynaptic density  
370 protein 95 (PSD95) levels ( $P<0.05$ ), a scaffold protein important for postsynaptic density structure  
371 and function, in neurons cultured with astrocytes that had been previously exposed to oxysterols (Fig.  
372 5B). This reduction was accompanied by a significant increase in the amount of cleaved (active)  
373 caspase-3 ( $P<0.01$ ) (Fig. 5B), that was demonstrated to play various non-apoptotic roles in neurons  
374 including to affect synaptic functions [51]. A mild but not significant reduction of the pre-synaptic  
375 marker synapsin was also observed. Moreover, when neurons were directly treated with oxysterols,  
376 PSD95 ( $P<0.05$ ), cleaved caspase-3 ( $P<0.01$ ), and synapsin levels ( $P<0.05$ ) were all significantly  
377 altered (Fig. 5B), confirming previous results showing that oxysterols directly compromise neuron  
378 health [31, 33]. Importantly, all these results were obtained in the absence of significant neuronal  
379 death, as assessed by LDH release (Supplemental Fig. 4). Overall, these data suggest that factors  
380 released by astrocytes in response to the oxysterol mixture, whose composition is similar to AD brain  
381 oxysterol content, compromise synaptic and neuronal health without causing overt neurotoxicity.

382

383 **Lcn2 secreted by oxysterol-stimulated astrocytes affects neurite complexity and decreases**  
384 **dendritic spine density**



385 Lcn2 has previously been shown to affect synapses, neuronal health and morphology, [17, 52, 53].  
386 To investigate whether Lcn2 could play a role in mediating the effect of oxysterol-stimulated  
387 astrocytes on neurons, Lcn2 expression was silenced in astrocytes prior to determining the impact of  
388 ACM on neuron morphology. To further investigate the effects of ACM on synapses under these  
389 conditions, dendritic spine density was also evaluated.

390 For this purpose, astrocytes were transfected for 6h with Lcn2 or scrambled siRNA, and the  
391 medium removed prior to treatment with the Late AD mixture (10  $\mu$ M) for 12h. The medium was  
392 removed and fresh medium was added for another 24h, to obtain ACM without oxysterols. Both real-  
393 time RT-PCR (Fig. 6A) and Western blotting results (Fig. 6B) confirmed that siRNA-mediated Lcn2  
394 gene silencing prevents the increase in Lcn2 expression, synthesis, and release into the medium  
395 induced by the Late AD oxysterol mixture, compared to control conditions (scrambled siRNA).  
396 Interestingly, oxysterol-induced morphological changes were not prevented by Lcn2 gene silencing,  
397 suggesting that the pathway by which oxysterols induce astrocyte alterations is not dependent upon  
398 the presence of Lcn2 (Fig. 6C).

399 Next, ACM was added to cultured neurons to determine the impact of secreted Lcn2 on neuron  
400 morphology and dendritic spines. Medium from oxysterol-activated astrocytes transfected with the  
401 scrambled siRNA compromises neurite complexity, as shown by reduction of total and maximum  
402 neurite length, and the number of nodes, automatically identified by Harmony software ( $P < 0.05$ ) (Fig.  
403 7A). Importantly, the addition of ACM was also found to substantially reduce dendritic spine density  
404 ( $P < 0.001$ ) (Fig. 7B), further indicating a synaptotoxic effect of proteins secreted by oxysterol-  
405 stimulated astrocytes. All of these effects were prevented by silencing Lcn2, confirming a key role of  
406 Lcn2 in mediating the impact of oxysterol-stimulated astrocytes on neurite complexity and synaptic  
407 health (Fig. 7).

408

409 **DISCUSSION**

410 The pathophysiology of AD is still not fully understood, but evidence supports the involvement of  
411 many factors in sporadic AD onset, including inflammation and impaired cholesterol metabolism  
412 [54]. Microglia and astrocytes play a role in the neuroinflammation observed in AD and they are  
413 found in their activated state in affected brain regions, particularly surrounding amyloid plaques.  
414 Astrocyte reactivity is not an exclusive feature of AD brain but the association of reactive astrocytes  
415 with amyloid plaques is considered functionally significant. Indeed, post-mortem neuropathological  
416 studies have shown that the number of reactive astrocytes increases with disease progression [6, 55],  
417 and activated astrocytes and microglia correlate with dementia in AD [5].

418 Astrocyte reactivity leads to a heterogeneous range of phenotypic, transcriptomic, and  
419 functional changes, depending on the specific environmental stimulus [7, 8], including those related  
420 to ageing and disease [10, 56]. Here, we observed that application of various oxysterols, in the same  
421 proportions as those identified in early and late-stage AD brain, distinctly altered astrocyte  
422 morphology after 24h treatment. In particular, astrocytes adopted a more stellate appearance with  
423 many long and branched processes evident. In support of these data, 7 $\beta$ -OHC has previously been  
424 shown to induce morphological changes, characterized by process elongation, in cultured reactive  
425 astrocytes [41]. An increase in the number of processes is considered a reliable feature of reactivity,  
426 demonstrated in several cell culture models of reactive astrocytes [57, 58].

427 Reactive astrocytes were conventionally identified by GFAP immunoreactivity;  
428 however, it is noteworthy that different stimuli can lead to similar degrees of GFAP upregulation  
429 while causing substantially different changes in transcriptome profile and cell functions [7].  
430 Furthermore, unlike brain astrocytes, most astrocytes in culture are GFAP reactive as a result of the  
431 *in vitro* conditions, the absence of their usual environment, and loss of inhibitory influences of  
432 neurons [41]. Astrocytes treated with Early or Late AD oxysterol mixtures up to 24h showed a  
433 significant but mild increase in GFAP protein levels despite the extensive morphological changes

434 observed. The morphological changes we observed in response to oxysterol mixtures were, however,  
435 accompanied by a marked upregulation of Lcn2 and SerpinA3N, both proteins identified as “pan  
436 reactive” astrocytes markers [8], as well as by increased release of Lcn2 into culture medium. Lcn2,  
437 also known as neutrophil gelatinase-associated lipocalin (NGAL), is an acute phase protein with  
438 several roles [14] that is secreted by different kind of cells, including reactive astrocytes [16, 17]. It  
439 can act as an autocrine mediator of reactive astrogliosis, since it is able to induce morphological  
440 changes in primary astrocytes [57]. In addition, several studies showed that Lcn2 exerts synaptotoxic  
441 and neurotoxic effects, affecting neuronal viability, dendritic spine density and morphology, and  
442 ultimately cognitive functions [17, 49, 52, 53]. SerpinA3N, also known as alpha1-antichymotrypsin,  
443 is a protease inhibitor involved in the acute phase response, that is produced in various tissues  
444 including the brain, with reactive and aging astrocytes being the main producers of Lcn2 in the brain  
445 [8, 56]. Immunohistochemical analysis of AD brain samples showed that SerpinA3N co-localizes  
446 with amyloid plaques, reactive microglia and astrocytes [59]. Moreover, an increase in tau  
447 phosphorylation has been observed both in mice overexpressing SerpinA3N and in primary neurons  
448 treated with recombinant Lcn2, the latter also showing neurite degeneration and apoptosis [60].

449 It is well known that in many cases astrocyte activation is accompanied by an increase in the  
450 release of several soluble factors, including reactive oxygen species and cytokines [11, 48].  
451 Interestingly, 7 $\alpha$ ,25-dihydroxycholesterol has been shown to inhibit the lipopolysaccharide (LPS)-  
452 induced release of pro-inflammatory cytokines including IL-17/tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) in  
453 cultured human astrocytes [61]. Other oxysterols (e.g. 27-OHC) have been demonstrated to decrease  
454 LPS-induced expression of IL-6 and TNF- $\alpha$  mRNA in mouse primary glial cells [62]. However, there  
455 are no data on how oxysterols directly affect astrocyte secretion profile. Our cytokine array analysis  
456 showed that oxysterol treatment significantly increases the release of a wide range of cytokines and  
457 chemokines from primary astrocytes after 24h. Interestingly, this analysis highlighted a differential  
458 impact of the two oxysterol mixtures: the majority of the significant increases were observed in ACM

459 from astrocytes treated with the mixture that mimics late AD brain composition. Among the released  
460 mediators, inflammatory cytokines (IL-1 $\alpha$ , IL-1 $\beta$ , and IL-17), adhesion molecules (sICAM-1),  
461 growth factors and chemokines (IL-5, IL-7, IL-16, G-CSF, CCL1, CCL12, and CXCL9),  
462 immunoregulatory cytokines (IL-5 and IL-13), and anti-inflammatory cytokines (IL-10) were  
463 elevated. In particular, IL-1 $\beta$  and sICAM-1 were the most significantly increased. Astrocytes are both  
464 targets and effectors of cytokines and many other mediators that affect not only their immune and  
465 inflammatory cell functions but also their synapse-directed and neuronal functions [48]. IL-1 $\beta$  has  
466 been previously shown to induce reactive astrogliosis [10], to be released by reactive astrocytes and  
467 astrocytes from 5xFAD AD mouse model [63, 64], and to contribute to astrocyte-mediated neuronal  
468 death [65]; moreover, its levels are increased in AD brains [27, 66].

469 Using gene ontology classification, proteins involved in extracellular matrix modification and  
470 adhesion were identified as the gene class most represented in the transcriptome of reactive astrocytes  
471 [8]. ICAM-1 is a transmembrane glycoprotein belonging to the immunoglobulin family of adhesion  
472 molecules, expressed not only by endothelial and immune system cells [67] but also by astrocytes  
473 [8]. sICAM-1 is released by proteolytic cleavage of the transmembrane protein [64, 68]. Several  
474 studies have shown that the addition of sICAM-1 to different *in vitro* models activates pro-  
475 inflammatory cascades, including in astrocytes, and inflammatory mediators can in turn favour its  
476 release [69, 70]. Furthermore, sICAM-1 levels are increased in AD cerebrospinal fluid and correlate  
477 with various AD markers including total tau, phosphorylated tau, and cortical thinning [71, 72]. The  
478 data presented here provide the first evidence for a direct impact of oxysterols on astrocyte  
479 morphology, markers of reactivity, and secretion profile, and strongly suggest that oxysterol mixtures  
480 that mimic oxysterol composition in AD brain induce astrocyte reactivity.

481 The functional implications of astrocyte reactivity are various and very context-dependent.  
482 For instance, astrocytes have been shown to mediate A $\beta$ -induced neurotoxicity and tau  
483 phosphorylation, as well as to induce a decrease of synaptic markers; interestingly, the release of pro-

484 inflammatory cytokines from astrocytes seems to play a key role in some of these processes [11, 73].  
485 To investigate the consequences of oxysterol-mediated astrocytic reactivity on neurons, we exposed  
486 neurons to astrocytes that had previously been challenged with oxysterols. Our data indicated that  
487 neurons co-cultured with pre-treated astrocytes are characterized by a significant reduction in PSD95  
488 protein levels and a clear increase in cleaved caspase-3 protein levels, suggesting that oxysterol-  
489 induced reactivity is detrimental for neuronal health. Importantly, similar changes were obtained  
490 when neuronal cultures were directly treated with the Late AD mixture in the absence of astrocytes,  
491 confirming that oxysterols have a direct and negative effect on neuron health. PSD95 is a scaffold  
492 protein abundant in the postsynaptic density (PSD), that anchors N-methyl-D-aspartate receptor  
493 (NMDAR) as well as other proteins (e.g potassium channels, cell adhesion molecules) to the PSD. It  
494 is also involved in the assembly of specific signaling proteins, that act downstream of NMDAR [74].  
495 PSD95 is recognized to play an important role in synaptic plasticity and memory, and in-keeping with  
496 this its levels decrease in AD [5]. To the best of our knowledge, no studies have yet assessed the  
497 specific actions of oxysterol-treated astrocytes on PSD95 levels, however various mediators released  
498 by oxysterol-treated astrocytes have previously been shown to affect synapses, including Lcn2 and  
499 IL-1 $\alpha/\beta$  [52, 75, 76]. Indeed, the binding of cytokines and chemokines to their receptors leads to the  
500 activation of diverse signaling pathways [77], some of which are involved in PSD95 regulation [78].  
501 Concerning oxysterols, it has been demonstrated that 27-OHC reduces dendritic spine density and  
502 PSD95 levels in primary mouse hippocampal neurons [33], whereas 24-OHC affects synaptic  
503 plasticity via modulation of NMDAR [79]. Since oxysterols are able to directly modulate several  
504 pathways involved in PSD95 regulation [50], other oxysterols present into the mixture could be  
505 involved in the observed PSD95 reduction, even if their role needs further investigation. Moreover,  
506 the ability of oxysterols to alter membrane structure could affect transmembrane protein localization  
507 and function, thus modifying the integrity of the PSD structure [80, 81]. The increase in the levels of  
508 cleaved caspase-3 fragment further indicates that oxysterol-activated astrocytes are detrimental to  
509 neurons. Besides being an effector of apoptosis, caspase-3 was demonstrated to play various non-

510 apoptotic roles in neurons involved in both physiological processes and neurodegenerative diseases  
511 [51]. For instance, it is involved in the pathological cleavage of tau protein [82] and its activation  
512 correlates with dendritic spine loss and cognitive decline in the absence of neuronal cell death [83].  
513 Interestingly, it has previously been shown that increase in neuronal cleaved caspase-3 levels in  
514 response to A $\beta$  is exacerbated in the presence of reactive astrocytes, and that increased caspase-3  
515 activity is reduced upon pretreatment with an anti-inflammatory molecule *in vitro* [11] and *in vivo*  
516 [84]. These and other data highlight that neuronal caspase-3 can be activated by extracellular  
517 inflammatory mediators. Caspase-3 can also be activated by oxysterols, mainly those oxidized at C7  
518 position but also others [33, 50, 85-87].

519         In AD, synaptic loss is the parameter that best correlates with dementia [88, 89]; therefore,  
520 elucidating how synapses are lost is of great importance. This can be examined *in vitro* by monitoring  
521 dendritic spine density and/or neurite complexity. The direct effect of oxysterols on synaptic health  
522 has been partially investigated as stated above, but we were interested in assessing how oxysterol-  
523 activated astrocytes affect synapses. Our results indicate that ACM from oxysterol-activated  
524 astrocytes is synaptotoxic, as shown by significant decreases in the number of dendritic spines and  
525 reduced complexity of the neurites in primary neurons, as well as by PSD95 reduction as described  
526 above. Because Lcn2 is secreted upon oxysterol-induced astrocyte activation and has proven to be  
527 neurotoxic [17, 53], we tested whether we could revert the synaptotoxic effect of reactive astrocytes  
528 by suppressing Lcn2 expression and therefore reducing its secretion. Our results clearly demonstrate  
529 that dendritic spine density and neurites architecture are better preserved when Lcn2 expression is  
530 silenced prior to treating astrocytes with oxysterols. These data reveal a major role for Lcn2 in  
531 mediating the synaptotoxic effect of oxysterol-treated astrocytes. These findings extend previous  
532 studies showing that Lcn2 affects dendritic spine density and morphology [52], as well as contributing  
533 to hippocampal damage and cognitive impairment in a mouse model of vascular dementia [49].

534

535 **CONCLUSIONS**

536 Overall, these results describe the complexity of oxysterol effects on astrocytes and neurons. Of  
537 interest was our observation that astrocytes respond most strongly to oxysterol mixture representative  
538 of late AD brain in comparison to early AD-mimicking mixture. These data add to an emerging  
539 consensus that astrocyte responses are differentially altered at specific disease stages in response to  
540 various changes in the local environment. Indeed, we demonstrated that oxysterols induce a clear  
541 morphological change in astrocytes, that is accompanied by an increase in some reactive astrocyte  
542 markers and the release of several mediators. We also demonstrated that oxysterols are detrimental  
543 to neuronal health but do not cause overt neurotoxicity. Importantly, we have shown that oxysterol-  
544 activated astrocytes induce synaptotoxicity that is mediated by Lcn2. This study thus reveals new  
545 aspects of brain oxysterol effects on astrocytes and neurons, confirming their potential ability to  
546 contribute to AD pathogenesis, and providing support for further investigations into a potential role  
547 for Lcn2 as a novel therapeutic target in AD.

548

549 **ABBREVIATIONS**

550  $\alpha$ -EPOX: 5 $\alpha$ ,6 $\alpha$ -epoxycholesterol;  $\beta$ -EPOX: 5 $\beta$ ,6 $\beta$ -epoxycholesterol; 24-OHC: 24-  
551 hydroxycholesterol; 27-OHC: 27-hydroxycholesterol; 7-KC: 7-ketocholesterol; 7 $\alpha$ -OHC: 7 $\alpha$ -  
552 hydroxycholesterol; 7 $\beta$ -OHC: 7 $\beta$ -hydroxycholesterol; A $\beta$ : Amyloid- $\beta$ ; ACM: Astrocyte conditioned  
553 media; AD: Alzheimer's disease; ApoE: Apolipoprotein E; BBB: Blood-brain barrier; CCL: C-C  
554 motif chemokine; CXCL: C-X-C motif chemokine; CYP46A1: Cholesterol 24-hydroxylase; DIV:  
555 Days *in vitro*; GC-MS: Gas chromatography-mass spectrometry; G-CSF: Granulocyte colony-  
556 stimulating factor; GFAP: Glial fibrillary acidic protein; Iba1: Ionized calcium binding adaptor  
557 molecule 1; IL: Interleukin; Lcn2: Lipocalin-2; LDH: Lactate dehydrogenase, LPS:  
558 Lipopolysaccharide; NFTs: Neurofibrillary tangles; NGAL: Neutrophil gelatinase-associated  
559 lipocalin; NMDAR: N-methyl-D-aspartate receptor; PSD: Postsynaptic density; PSD95: Postsynaptic

560 density protein 95; SerpinA3N: Serine protease inhibitor A3N; sICAM-1: Soluble intercellular  
561 adhesion molecule-1; siRNA: Small interfering RNA; TNF- $\alpha$ : Tumor necrosis factor- $\alpha$ .

562

## 563 **DECLARATIONS**

### 564 **Ethics approval and consent to participate**

565 All animal procedures were in accordance with the European Communities Council Directive  
566 (86/609/EEC and 2010/63/EU), the UK Animals (Scientific Procedures) Act 1986, and the Italian  
567 Law for Care and Use of Experimental Animals (26/2016), with agreement from both the King's  
568 College London (Denmark Hill) Animal Welfare and Ethical Review Board and the Ethical  
569 Committee of the University of Turin.

570

### 571 **Consent for publication**

572 Not applicable

573

### 574 **Availability of data and materials**

575 All data generated or analysed during this study are included in this published article [and its  
576 supplementary information files].

577

### 578 **Competing interests**

579 The authors declare that they have no competing interests.

580



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585

586 **Author's contributions**

587 ES, VC and BGNP designed and performed experiments. ES and BGNP analysed samples and  
588 performed the statistical analysis. VL and CC performed GC-MS analysis and analysed data. ES and  
589 BGNP wrote the manuscript. AB, WN, and GL revised the manuscript. PG, WN, BGNP, and GL  
590 obtained the funding. ES, BGNP, GT and SG prepared the figures. All authors read and approved the  
591 final manuscript.

592

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595

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823

## 824 **FIGURE LEGENDS**

825 **Fig. 1 Dose-response experiments to test the effect of the oxysterol mixtures on astrocyte**  
826 **viability and morphology.** Primary astrocyte cultures were treated with the Early or Late AD  
827 oxysterol mixture (1, 5, or 10  $\mu$ M), or vehicle (ethanol) for 24h. (A) The bar graph shows the lactate  
828 dehydrogenase (LDH) release from treated astrocytes. Values are the proportion of LDH released

829 into medium relative to total LDH in lysed cells normalized to values for control media. Data are  
830 expressed as mean  $\pm$  SD from three different experiments (n=9, one-way ANOVA). **(B)** Astrocyte  
831 morphology was examined by immunocytochemistry using a glial fibrillary acidic protein (GFAP)  
832 antibody (red) and nuclei were stained with Hoechst 33258 (blue). Representative images from three  
833 experiments are shown. Cells were imaged using an LSM800 confocal microscope (Zeiss; 40X  
834 objective; scale bar: 100  $\mu$ m).

835

836 **Fig. 2 Oxysterol mixtures induce a clear morphological change in astrocytes.** Primary astrocytes  
837 were treated with 10  $\mu$ M of the Early or Late AD oxysterol mixture for up to 24h. Astrocyte  
838 morphology was examined by immunocytochemistry using a glial fibrillary acidic protein (GFAP)  
839 antibody (red) and nuclei were stained with Hoechst 33258 (blue). Representative images from three  
840 experiments are shown. Cells were imaged using an Eclipse Ti-E Microscope (Nikon; 20X objective;  
841 scale bar: 100  $\mu$ m).

842

843 **Fig. 3 Oxysterol mixtures increase the synthesis of pan-reactive astrocyte markers.** The glial  
844 fibrillary acidic protein (GFAP), serine protease inhibitor A3N (Serpina3N), and lipocalin-2 (Lcn2)  
845 protein levels were determined by Western blotting of lysates from primary astrocytes treated with  
846 10  $\mu$ M of the Early or Late AD oxysterol mixture for up to 24h. The amounts of proteins of interest  
847 were normalized to  $\beta$ -actin levels in the same sample and are represented as percentage of average  
848 control values. Data are expressed as mean values  $\pm$  SD of three different experiments (n=9, one-way  
849 ANOVA). \*\*\*\*P<0.0001, \*\*\*P<0.001, \*P<0.05 vs. control.

850

851 **Fig. 4 Oxysterol treatment increases the release of lipocalin-2 (Lcn2), cytokines and chemokines**  
852 **from astrocytes.** Astrocyte cultures were treated with the Early or Late AD oxysterol mixture (10

853  $\mu\text{M}$ ) for 24h. **(A)** Lcn2 protein levels in astrocyte conditioned media (ACM) were examined by  
854 Western blotting. Data are expressed as mean values  $\pm$  SD from three different experiments and are  
855 shown as percentage change from average control values (n=9, one-way ANOVA). \*\*\*\*P<0.0001  
856 vs control. **(B)** Mouse cytokine profiler antibody arrays were used to detect the amounts of 40  
857 mediators in ACM. Representative images of array membranes are shown, highlighted some of the  
858 cytokines found to be significantly increased in ACM from treated cells. The bar chart shows the  
859 cytokines significantly affected by oxysterol mixture treatments as percentage change from control.  
860 Data are expressed as mean values  $\pm$  SD from three different experiments (n=6, one-way ANOVA).  
861 \*\*\*P<0.001, \*\*P<0.01, and \*P<0.05 vs control.

862

863 **Fig. 5 Oxysterol-stimulated astrocytes compromise neuronal health.** Primary neurons (13 DIV)  
864 were treated with the Late AD oxysterol mixture (10  $\mu\text{M}$ ) for 24h or they were co-cultured for 24h  
865 with astrocytes grown on cell culture inserts that had been previously treated with the same oxysterol  
866 mixture for 12h. **(A)** Graphical representation of co-culture experiments. **(B)** The protein levels of the  
867 postsynaptic density protein 95 (PSD95), synapsin, and cleaved caspase-3 were determined by  
868 Western blotting. Data were normalized to the corresponding  $\beta$ -actin levels. Data are expressed as  
869 mean values  $\pm$  SD of three different experiments as percentage change from respective control (n=9,  
870 Student's t-test). \*\*P<0.01 and \*P<0.05 vs control.

871

872 **Fig. 6 Lipocalin-2 (Lcn2) gene silencing prevents Lcn2 upregulation and release into the**  
873 **medium.** Astrocyte cultures were transfected for 6h with Lcn2 or scrambled siRNA and then treated  
874 with the Late AD oxysterol mixture 10  $\mu\text{M}$  (Mix) for 12h. After treatment, the medium was changed  
875 and astrocytes were incubated with fresh medium for 24h. Transient Lcn2 gene knockdown was  
876 evaluated by **(A)** real-time RT-PCR and **(B)** Western blotting of both lysates and astrocyte

877 conditioned media (ACM) samples. Data were normalized to the corresponding  $\beta$ -actin levels. Data  
878 are expressed as mean values  $\pm$  SD from three different experiments as percentage change from  
879 control (n=9, one-way ANOVA). \*\*\*\*P<0.0001, \*\*\*P<0.001, and \*P<0.05 vs control; #####  
880 P<0.0001 vs oxysterol treated. (C) Astrocyte morphology was examined by immunocytochemistry  
881 using a glial fibrillary acidic protein (GFAP) antibody (red) and nuclei were stained with Hoechst  
882 33258 (blue). Representative images from three experiments are shown. Cells were imaged using an  
883 LSM800 confocal microscope (Zeiss, 40X objective; scale bar: 100  $\mu$ m).

884

885 **Fig. 7 Lipocalin-2 (Lcn2) secreted by oxysterol-stimulated astrocytes affects neurite complexity**  
886 **and decreases dendritic spine density.** Primary neurons were incubated for 24h with conditioned  
887 media from astrocytes transfected for 6h with Lcn2 or scrambled siRNA and then treated with the  
888 Late AD oxysterol mixture 10  $\mu$ M for 12h. After treatment, the medium was changed and astrocytes  
889 were incubated with fresh medium for 24h. High resolution digital images of live neurons were  
890 obtained using an Opera-Phenix microscope (Perkin-Elmer). (A) Neurite complexity was analysed  
891 using Harmony software. Total and maximum neurite length, number of nodes and extremities were  
892 quantified and compared between groups. Representative images are shown (scale bar: 100  $\mu$ m).  
893 Data are expressed as mean values  $\pm$  SD from three different experiments and were obtained from  
894 analysis of 20 neurons/condition (one-way ANOVA). \*P<0.05 vs control. (B) NeuronStudio software  
895 was used for dendritic spine analysis. Spine density was defined as number of spines per micrometer  
896 of dendrite length and was obtained from analysis of 20 neurons/condition (one-way ANOVA).  
897 Representative images are shown (scale bar: 25  $\mu$ m). Data are expressed as mean values  $\pm$  SD from  
898 three different experiments. \*\*\*P<0.001 vs control.

899

900 **ADDITIONAL FILES**

901 **Additional file 1**

902 TIFF

903 **Supplemental Fig. 1 Evaluation of astrocyte culture purity.** Astrocytic cultures were analysed by  
904 (A) immunocytochemistry and (B) Western blotting using antibodies against glial fibrillary acidic  
905 protein (GFAP, astrocytic marker) and ionized calcium binding adaptor molecule 1 (Iba1, microglial  
906 marker). Cells were imaged using an LSM800 confocal microscope (Zeiss, 40X objective; scale bar:  
907 100  $\mu\text{m}$ ).

908

909 **Additional file 2**

910 TIFF

911 **Supplemental Fig. 2 Validation of lipocalin-2 (Lcn2) silencing efficiency.** Astrocyte cultures were  
912 transfected for 6h with Lcn2 or scrambled siRNA, then the medium was changed and astrocytes were  
913 incubated with fresh medium for 36h. Transient Lcn2 gene knockdown was evaluated by real-time  
914 RT-PCR. Data were normalized to the corresponding  $\beta$ -actin levels. Data are expressed as mean  
915 values  $\pm$  SD of three different experiments (n=9, one-way ANOVA). \*\*\*\*P<0.0001 vs control.

916

917 **Additional file 3**

918 TIFF

919 **Supplemental Fig. 3 Oxysterol analyses in astrocyte culture media.** Astrocyte cultures were  
920 treated for 1, 3, 12 or 24h with the Early or Late AD oxysterol mixtures (10  $\mu\text{M}$ ). Astrocyte  
921 conditioned media was collected and the amounts of the seven oxysterols present in the mixtures were  
922 determined by gas chromatography-mass spectrometry (GC-MS). The graph shows the amounts of  
923 oxysterol in media expressed as percentage of the original oxysterol concentrations present in each

924 mixture. Data shown are averages of the measurements obtained from treatments with Early and Late  
925 AD mixtures. Data are expressed as mean values  $\pm$  SD from two different experiments (n=6).

926

927 **Additional file 4**

928 TIFF

929 **Supplemental Fig. 4 Oxysterol mixtures do not directly cause neuron death.** Neuronal viability  
930 was assessed in neurons treated with the Early or Late AD oxysterol mixture (10  $\mu$ M) for 24h. The  
931 bar graph shows the proportion of lactate dehydrogenase (LDH) released into medium relative to total  
932 LDH in lysed cells, normalized to values for control media. Data are expressed as mean values  $\pm$  SD  
933 from three different experiments (n=12, one-way ANOVA).

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