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Prasannavenkatesan Theerthagiri (✉ prasannait91@gmail.com)

GITAM University Bengaluru

Usha Ruby A

GITAM University Bengaluru

Vidya J

GITAM University Bengaluru

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Diagnosis and Classification of the Diabetes Using Machine Learning Algorithms

Prasannavenkatesan Theerthagiri*, Usha Ruby A, Vidya J

Department of Computer Science and Engineering, GITAM School of Technology,

GITAM University Bengaluru, India. *Email: vprasann@gitam.edu

Abstract:

Diabetes mellitus is characterized as a chronic disease that may cause many complications. Machine learning algorithms are used to diagnose and predict diabetes. The learning-based algorithms play a vital role in supporting decision-making in disease diagnosis and prediction. In this paper, traditional classification algorithms and neural network-based machine learning are investigated for the diabetes dataset. Also, various performance methods with different aspects are evaluated for the K-nearest neighbor, Naive Bayes, extra trees, decision trees, radial basis function, and multilayer perceptron algorithms. It supports the estimation of patients who possibly suffer from diabetes in the future. This work shows that the multilayer perceptron algorithm gives the highest prediction accuracy with the lowest MSE of 0.19. The MLP gives the lowest false positive rate and false-negative rate with the highest area under curve of 86 %.

Keywords: Diabetes prediction, MLP, machine learning algorithm, classification of diabetes

1. Introduction

Diabetes (Diabetes Mellitus - DM) is one of the metabolic disorders with inappropriately raised blood glucose levels. The carbohydrates consumed will be turned into a type of sugar called glucose, and it will be released into the bloodstream. Insulin, a hormone that helps move glucose from the blood to cells. With this chronic condition, the pancreas will produce little or no insulin, and sometimes the produced insulin will not be absorbed by the cells; this is termed insulin resistance [1].

At present, diabetes is considered to be one of the lethal diseases across the globe, and people are being affected in a huge number. Around 422 million people are diabetic patients, and about 1.6 million deaths are attributed to diabetes every year. Over the past few decades, the number of cases and the prevalence of diabetes are steadily increasing [2].

DM is classified as type 1, type 2, and gestational diabetes. The condition where the pancreas will produce little or no insulin is type 1 diabetes. If the insulin is not absorbed by the cells or not produced in enough quantity, it is referred to as type 2 diabetes (T2D). During pregnancy, a high glucose level, a high sugar level would increase the risk of complications like hearing loss, dementia, heart diseases, stroke, depression, vision loss, retinopathy, neuropathy, and so on. Early detection plays a prominent role in disease detection. It is one of the crucial causes of cardiovascular diseases, and there is an immense need to support the medical decision-making process. Many researchers in different medical diagnoses have employed various machine learning techniques [3].

Most of the researchers heed medical expert systems, and there has been much contemplation in this field. The medical experts and data analysts collaborate continuously to make this system more accurate and, thus, useful in real life. Recent surveys by the World Health Organization indicated a tremendous increase in diabetic patients and the demise attributed to diabetes every year. So, early diagnosis of diabetes is a significant concern among researchers and medical practitioners. [19] Multitudinous computer-based detection systems were designed and outlined for analyzing and anticipating diabetes. The usual identifying process for diabetes takes time. Nevertheless, with the rise of machine learning, we can develop a solution to this intense issue [13].

To accurately predict the disorder, a good model that can represent the presence of diabetes through input characteristics is required. With a good model and an accurate detection technique, diagnosis can be made more efficient. Based on the prediction, medical practitioners can envision biomedical diagnosis using engineering tools that can automatically adapt to any unexpected future conditions. A long-term prediction algorithm can play a vital role in planning and provisioning. Intelligence systems can learn or adapt and modify functional dependencies in response to new experiences or changes in functional relationships [16].

2. Literature survey

Adel Al-Zebari et al. have compared the performance of various machine learning algorithms for diabetes detection. MATLAB classification learner tool has been used in this work including decision tree, discriminant analysis, SVM (Support Vector Machine), k-NN (k-Nearest Neighbor), Logistic regression, and ensemble learners, their variants with 26 classifiers are

considered. The results are evaluated on a 10-fold cross-validation basis and average classification accuracy is considered for performance measures [10].

G.A. Pethunachiyar used SVM with disparate kernel functions for the classification of diabetes. The simulation model of the proposed system includes 5 phases. After collecting the data, the selection process is carried out by rectifying the errors (inconsistency in data or missing values or wrong information). The data will be divided into training (70%) and testing dataset (30%). For efficient prediction, the SVM technique has been selected, and a model has been built. Test data is applied to the model in order to make the prediction. The linear, polynomial, and radial kernel-based SVM has been implemented in this work. The confusion matrix is used for calculating prediction accuracy. To evaluate three kernel functions, ROC (Receiver Operating Characteristic curve) is used. Linear kernel with SVM predicts more accurately compared to other kernels [11].

Pahulpreet Singh Kohli et al. have applied various machine learning techniques on three different disease datasets for disease prediction. Feature selection is carried out by backward modeling using the p-value test. The proposed model includes 4 phases: Initially, the dataset is explored in a Python environment. During data munging, the missing values are replaced with mean value and mode value for the continuous variable and categorical variable, respectively. The features are selected very cautiously to improve the performance of the model. The attributes are eliminated using the backward selection method (based on p-value, it is eliminated). After selecting the features, the model is refitted. Five algorithms, including decision tree, logistic regression, random forest, adaptive boosting, and support vector machine, were compared. The dataset has been divided into a training set(90%) and a test dataset(10%).In future data munging, selection of features and model fitting steps can be automated; pipeline structure for preprocessing data would improve results [12].

Samrat Kumar Dey et al. have developed a web application using Tensorflow for successful prediction of diabetes. This proposed model requires patient data for successful diagnosis, and the techniques like SVM, ANN (Artificial Neural Network), KNN and Naive Bayes are used to predict the disease. The dataset is divided into two parts: training and testing dataset. Preprocessing of data and data normalization would increase the accuracy of the model. Min Max Scaler normalization model is used to improve accuracy [13].

Sidong Wei et al. have done a comprehensive exploration of DNN (Deep Neural Network), Logistic regression, SVC (Support Vector Classifier), Naive Bayes, and Decision tree techniques to identify diabetes. This work has been carried out in 4 steps: Initially, the best preprocessor is identified for the classifier. Then the parameters are optimized. In the third step, these techniques are compared by accuracy, later relevance of these features are considered. The features like Plasma glucose concentration, age, and the number of times pregnant were found to be more significant [14].

S. Hari Krishnan et al. used machine learning techniques to measure the blood glucose level. A Photoplethysmograph (PPG) based system is used to determine the glucose parameters, using light sources of 3 different wavelengths. The light is illuminated on the skin at the wrist, and the reflected light is captured by a photodiode receiver. The same is conditioned, digitalized, and sent to Arduino UNO microcontroller. The PPG signal is derived by the microcontroller in accordance with the blood glucose values. The waveform is preprocessed and segmented in order to obtain the peak of the signal. To obtain the statistical features like mean, skewness, variance, kurtosis, standard deviation, and entropy, the Random forest technique is implemented on the acquired signal. The model is designed and trained to estimate the blood glucose from the features extracted. The future would focus on estimating the correlation of the feature sets with different machine learning techniques [15].

M. Shanthi et al. proposed and developed a model for diagnosing T2D using the ELM (Extreme Learning Machine) technique. The ELM mathematical model has one hidden layer feed-forward network, creating random hidden nodes. Parameters are randomly generated for the hidden nodes initially. The next output matrix is calculated, and then the network's optimal weight is given as the output. From the characteristics, input weight, and activation functions, the output is obtained. The activation functions available are a triangular basis, sine, hard-limit, and sigmoid. This model assists medical experts in forecasting T2D [16].

Sajratul Yakin Rubaiat et al. introduced an approach to predict type 2 diabetes using a neural network. This analysis is carried out in two methods: The first method involves data recovery followed by the selection of features. The selected features are inputted to MLP

(multilayer perceptron) neural network classifier. The second approach uses the K-means algorithm. The neural network-based method involves three steps such as data recovery (missing data are replaced with mean value to complete the dataset), selection of features (features with more impact on risk factor identification are selected), and Multilayer Perceptron Classifier (hyperparameters are selected. K-means reduces noise very effectively, and its output has been used as a feature for the model. The model can be trained using these two methods and predict whether a person has diabetes at an early stage. The first method is more efficient and requires less computation compared to k-means [17].

Maham Jahangir et al. presents a novel prediction framework that uses AutoMLP (automatic multilayer perceptron) combined with an outlier detection method. This method involves two stages: preprocessing of data with outlier detection following by training of AutoMLP. In the second stage, it is used to classify the data. Compared to the other architectures of the neural network, AutoMLP gives higher accuracy. The attributes like plasma glucose level, blood pressure, and the number of times pregnant are found to be more relevant [18].

Ali Mohebbi et al. used CGM (Continuous Glucose Monitoring) signals for adherence detection in diabetic patients. A considerable amount of signals were simulated using a T2D adapted version of the MVP (Medtronic Virtual Patient) model. Different classification algorithms were compared by using a comprehensive grid search. Logistic regression, Convolutional Neural Network (CNN), and Multi-Layer Perceptron techniques have been used in this work. CNN shows better performance in classification [19].

3. Methodology

3.1 Data Pre-processing and Cleaning

The Diabetes dataset from the Pima Indians Diabetes Database [40] is taken for the predictive analysis in this research work. The considered dataset was cleaned using the data preprocessing and data cleaning methodologies, then the resulted dataset has been considered for several number of experiments over different classification algorithms. The Pima Indians Diabetes Database contains the patient's details with diabetes status (Non-Diabetes and Diabetes). The vital patient's information is used to diagnose and predict Diabetes Mellitus among the population.

The considered Diabetes Mellitus dataset contains 768 records. The dataset contains features of patients such as 1) Number of times pregnant, 2) Plasma glucose concentration a 2 hours in an oral glucose tolerance test, 3) Diastolic blood pressure in mmHg, 4) Triceps skinfold thickness in mm, 5) 2-Hour serum insulin in $\mu\text{U/ml}$, 6) Body mass index (weight in kg/(height in m)²), 7) Diabetes pedigree function, 8) Age in years, and 9) Class variable (0 or 1) [40].

Table.1 Sample record of cleaned dataset

#Pregnant	Glucose	BP	BMI	DPF	Age	Class
8	183	64	23.3	0.672	32	Diabetes
1	89	66	28.1	0.167	21	Non-Diabetes
0	137	40	43.1	2.288	33	Diabetes
5	116	74	25.6	0.201	30	Non-Diabetes
3	78	50	31	0.248	26	Diabetes
2	197	70	30.5	0.158	53	Diabetes
4	110	92	37.6	0.191	30	Non-Diabetes
10	168	74	38	0.537	34	Diabetes
10	139	80	27.1	1.441	57	Non-Diabetes
1	189	60	30.1	0.398	59	Diabetes

The data preprocessing and cleaning process (data imputation-mean technique) removes the missing and outlier data values from the dataset. The resulted dataset after preprocessing is reduced to 722 records with three required relevant features of patient details. There are 722 patient details in the dataset, out of which 474 cases are in the class of 'Non-Diabetes,' and 248 cases are in the class of 'Diabetes' with 46 records are missing required essential values. Six numerical features from the dataset are taken as the input attributes, and one feature is considered as the output attribute. The patient's information is presented in Table.1.

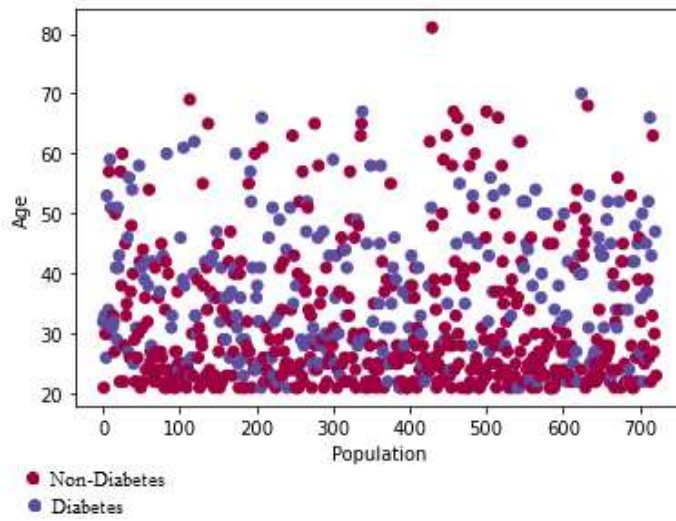


Fig.1 Population vs Age

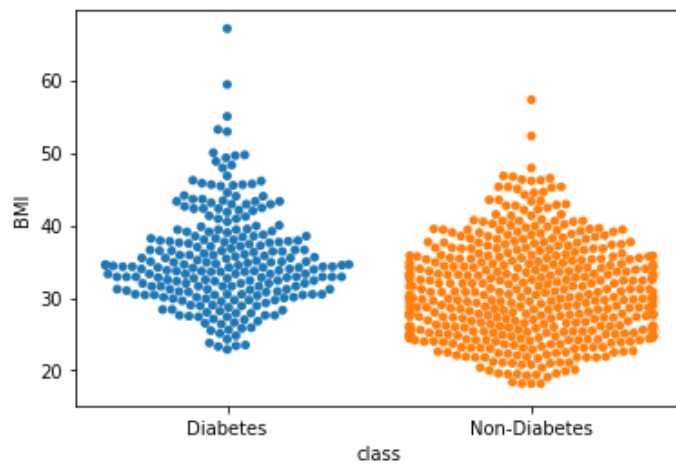


Fig.2 BMI vs Diabetes Classes

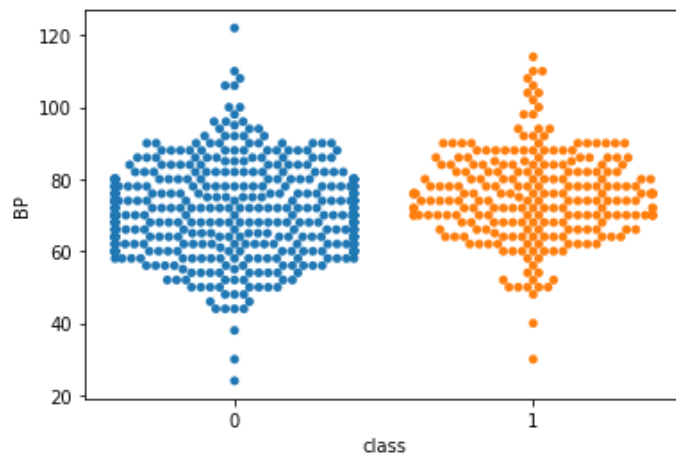


Fig.3 BP vs Diabetes Classes

The patient features such as the number of times pregnant, Plasma glucose concentration, Diastolic blood pressure, Body mass index (BMI), Diabetes pedigree function (DPF), age is considered input variables, and the class is taken as the output variable. Figure.1 illustrates the population with respect to age. Figure.2 and Figure.3 depict diabetes/non-diabetes populations with respect to BMI and BP.

This research work analysis the prediction of diabetes of non-diabetes patients using a different machine learning algorithm. Different classification models are applied to the diabetes dataset, and its performance in terms of accuracy, error rates, and area curves are evaluated. This work includes evaluating KNN, Naive Bayes, Extra Trees, Decision trees, Radial basis function, and Multilayer perceptron algorithms.

3.2 Machine Learning Algorithms

The KNN is one of the most straightforward supervised machine learning algorithms used to solve regression and classification problems. It assumes that similar things exist close. It assumes the similarity between the new data and the available data and assigns the new data to the category that is most similar to the available categories. The distance between data points is calculated using Euclidean distance; The distance between two points (X_1, Y_1) and $(X_2, Y_2) = \sqrt{(X_2 - X_1)^2 + (Y_2 - Y_1)^2}$, this gives the nearest neighbor [4].

Naive Bayes is one of the popular classification algorithms that are most widely used to get the base accuracy of the dataset. It assumes that all the variables present in the dataset are Navie (not correlated to each other). They are used in real-time prediction, multi-class prediction, spam filtering, sentimental analysis, text classification, a recommendation system, etc. Bayes rule determines the probability of the hypothesis. The formula used is: $P(A|B) = \frac{P(B|A)P(A)}{P(B)}$; where $P(A|B)$ refers to Posterior probability, $P(A)$ refers to Prior probability, $P(B)$ is the marginal probability, and $P(B|A)$ refers to Likelihood probability [5].

Extra tree (Extremely Randomized tree) classifier is a type of ensemble learning method that is based on decision trees. It will work by creating a huge number of unpruned decision trees from the training dataset. In the case of classification, prediction is carried out using majority voting, and in the case of regression to make a prediction, the prediction of decision trees [6].

The decision tree is a supervised machine learning technique that splits the data based on a parameter. The tree contains two entities, namely leaves and decision nodes. The leaves are the final outcomes, and the data is split into the decision nodes. The selection of the best attribute as the root node and sub-nodes is the main issue. Information gain and Gini index techniques can be used for attribute selection. Information gain is calculated using as follows: $Information\ gain = Entropy(S) - [(Weighted\ Avg) * Entropy(each\ feature)]$, Entropy metric is used to measure the impurity in the attribute. Entropy is calculated using this formula, $Entropy(S) = -P(yes)log_2 P(yes) - P(no)log_2 P(no)$.; S represents the number of samples, probability of yes and no are represented as P(yes) and P(no), respectively. It tells us the amount of information a feature provides about the class; with this information, the decision tree can be built. Gini index is calculated as follows: $Gini\ Index = 1 - \sum_j P_j^2$. It is the measure of purity or impurity used for decision tree creation in the Classification and Regression Tree (CART) algorithm. An attribute with a low Gini index is preferred. [7]

A radial basis function will assign a real value to every input from its domain, and the outcome will be an absolute value and cannot be negative (it's a measure of distance) $f(x) = f(|x|)$. Mainly it is used to approximate the functions. The sum $y(x) = \sum_{i=1}^N w_i \phi(|x - x_i|)$ represents radial basis function. These functions act as activation functions. [8].

Multi-Layered Perceptron is a simple, commonly used neural network model, referred to as "vanilla" neural networks. It can be used for various applications like spam detection, image identification, election voting predictions, and stock analysis [9].

4. Results and Discussions

This section summarizes the prediction results of the KNN, Naive Bayes, extra trees, decision trees, radial basis function, and multilayer perceptron algorithms. The k-fold cross-validation is one of the resampling procedures used to validate the machine learning models on the limited data sample. In this work, the 'k' value is chosen as 7. Therefore, it can be called a 7-fold cross-validation resampling method. The 7-fold cross-validation method intends to reduce the bias of the prediction model [23].

4.1 Performance Evaluation

Typically, the performance of the machine learning prediction algorithms measured by using some metrics based on the classification algorithm. In this work, the prediction results are evaluated by using the metrics such as accuracy, mean square error (MSE), root mean square error (RMSE), Kappa score, confusion matrix, the receiver operating characteristic area under curve (ROC_AUC), classification performance indices, sensitivity, specificity, and f1 score values [18, 20, 23].

In this work, the prediction accuracy (that is, whether the patient is diabetic or non-diabetic) of different machine algorithms (KNN, Naive Bayes, extra trees, decision trees, radial basis function, and multilayer perceptron algorithms) are determined. Each classification model has a different prediction accuracy based on its hyperparameters and a certain level of improvement over other prediction models. This work considers 70 % dataset for training and 30 % of the data samples for testing classification algorithms. In this work, each model's accuracy is compared, and its prediction results are summarized in Table.2.

Table.2 Accuracy score of classifiers

S. No	Classifier	Accuracy	Kappa	AU_ROC
1.	K-Neighbors Classifier (KNN)	71.7241	0.3973	0.77
2.	Decision Tree (DT)	66.8965	0.3125	0.64
3.	Naive Bayes	77.2413	0.5112	0.85
4.	Extra Trees	72.4137	0.3770	0.72
5.	Radial Basis Function	68.2758	0.3213	0.70
6.	Multi-Layer Perceptron (MLP)	80.6890	0.5740	0.86

In Table.2, the classification algorithms such as KNN, Naive Bayes, extra trees, decision trees, radial basis function, and multilayer perceptron algorithms have the prediction accuracy of 71.7241, 66.8965, 77.2413, 72.4137, 68.2758, and 80.6890, respectively. In contrast, the

multilayer perceptron algorithm predicts the diabetes cases (based on the number of pregnant, glucose level, BP, BMI, DPF, age, diabetes class) more accurately than the other algorithms.

The multilayer perceptron is the feed-forward artificial neural network. The MLP processes

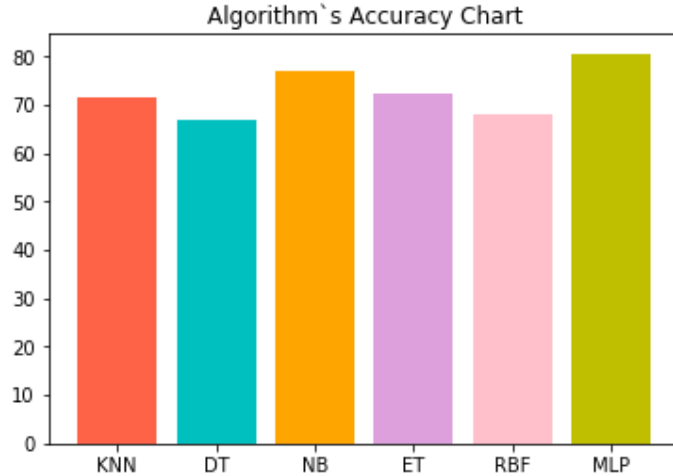


Fig.4 Prediction Accuracy

the diabetes dataset using non-linear activation functions with three layers of neurons: input, hidden, and output layer. The dataset was tested with several neuron values and classified the given dataset into two forms of classes as diabetes and non-diabetes patients with reduced errors. It works by mapping the given weighted inputs to the output of each neuron among the test data and training data. For each data points based on the error values, the testing datasets are classified. Such that the multilayer perceptron algorithm produces a higher classification rate than the other algorithms.

Table.3 Error metrics of classifiers

S. No	Classifier	MSE	RMSE
1.	K-Neighbors Classifier (KNN)	0.2827	0.5317
2.	Decision Tree (DT)	0.3310	0.5753
3.	Naive Bayes	0.2275	0.4770
4.	Extra Trees	0.2758	0.5252
5.	Radial Basis Function	0.3172	0.5632
6.	Multi-Layer Perceptron (MLP)	0.1931	0.4394

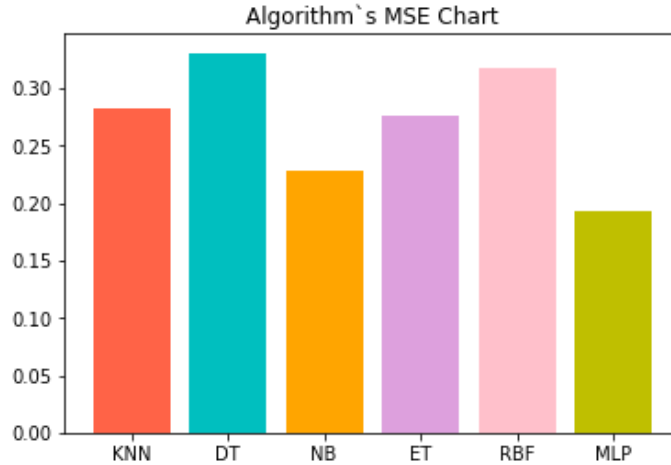


Fig.5 MSE rates

Cohen's kappa score for the multilayer perceptron algorithm is also high than other algorithms. Cohen's kappa score estimates the consistency of the classification algorithm based on its predictions. Figure.4 depicts the accuracy scores of different classification algorithms. From Figure.4, we can clearly see that the multilayer perceptron algorithm has the highest accuracy of

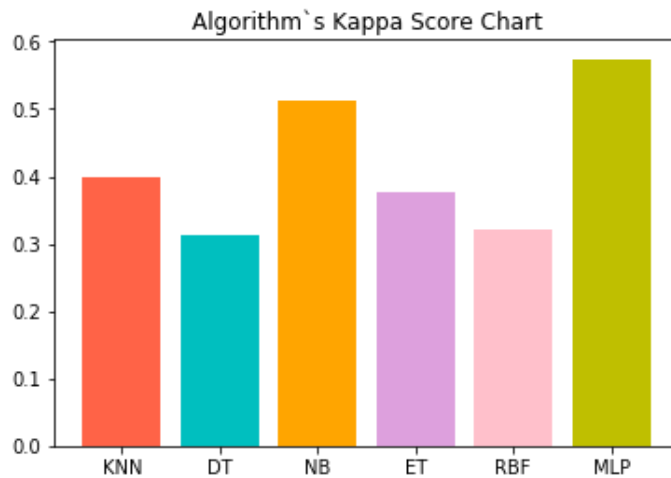


Fig.6 Cohen's kappa scores

80.68. The multilayer perceptron algorithm has 3.4 to 13.8 % of improved accuracy as compared to KNN, DT, NB, ET, and RBF algorithms. The MLP algorithm works by classifying the data point of the diabetes dataset based on the similarity.

Table.3 presents the performance error metrics of the various machine learning algorithms. The error metrics mean square error and root mean square error values for each algorithm are

evaluated. The KNN, Naive Bayes, extra trees, decision trees, radial basis function, and multilayer perceptron algorithms have the MSE error rate as 0.2827, 0.3310, 0.2275, 0.2758, 0.3172, and 0.1931, respectively. As per Figure.5, the multilayer perceptron algorithm produces the lowest error rate as 0.1931 on the prediction of accurate diabetes cases than the other algorithm. The multilayer perceptron algorithm classifies the testing dataset by output mapping between the new (testing) instance (x_i) and the existing (training) instance (y_j). Therefore, it results in lower error rates.

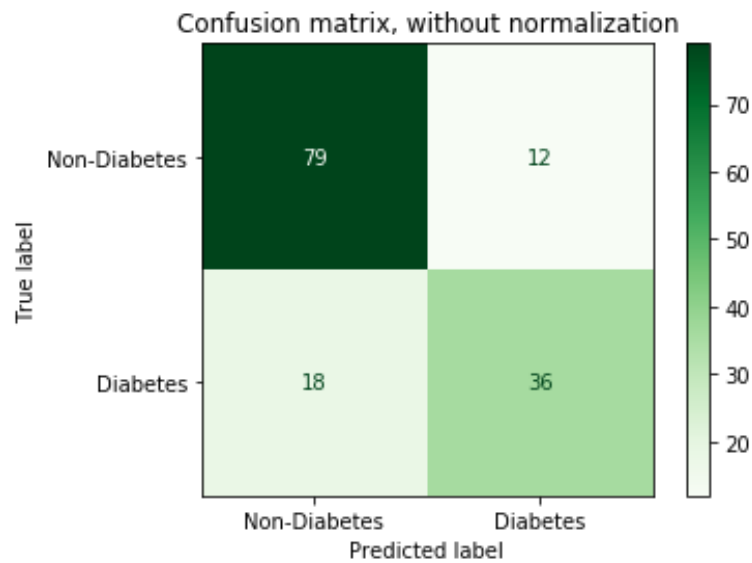


Fig.7 Normalized Confusion matrix

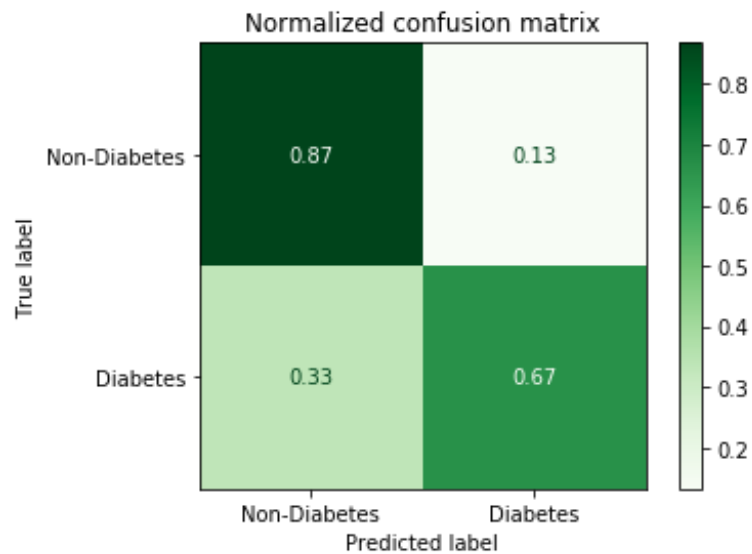


Fig.8 Confusion matrix (no normalization)

Similarly, the MLP's RMSE error rate also very low (0.43) as compared to the error rates of KNN (0.53), DT (0.57), NB (0.47), ET (0.52), and RBF (0.56) algorithms, as shown in Table.3. As depicted in Figure.6, the multilayer perceptron algorithm produces the highest consistency (Kappa score) among the evaluated algorithms as 0.57. The KNN, Naive Bayes, extra trees, decision trees, and radial basis function have 0.3973, 0.3125, 0.5112, 0.3770, and 0.3213, respectively.

Figure.7 illustrates the confusion matrix without normalization of the multilayer perceptron algorithm. In all classification algorithms, 30 % of the data samples are taken for testing with the 70 % training dataset. In this Figure, the x-axis represents the percentage of predicted values, and the y-axis represents the percentage of true values. It can be seen that the multilayer perceptron algorithm predicts 79 % (true positive) of the diabetes cases correctly, with 12 % (false positive) of misclassification. Similarly, in Figure.8, the confusion matrix with normalization is depicted.

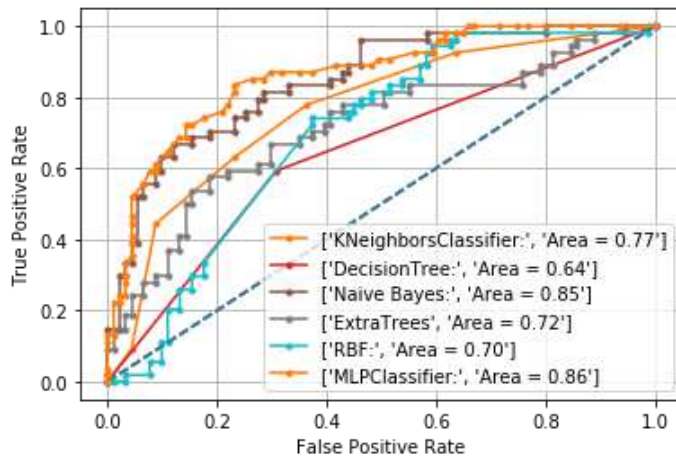


Fig.9 ROC_AUC Curve

Figure.9 is the pictorial representation between the false positive rate and true positive rate in the form ROC area under the curve. The multilayer perceptron algorithm produces the highest value of 0.86 as compared with KNN, Naive Bayes, extra trees, decision trees, and radial basis function algorithms. Figure.10 summarizes the performance metrics such as precision, recall, and confusion matrix of the multilayer perceptron algorithm.

The multilayer perceptron algorithm produces the precision (true positive rate) value of 0.82 for the non-diabetes cases and 0.78 for the deceased cases. The recall values for the non-diabetes

and diabetes cases are 0.89 and 0.67, respectively. Further, the F1 score for non-diabetes and diabetes cases is 0.85 and 0.75, respectively.

```

MSE: 0.19310344827586207
RMSE: 0.4394353744020411
MAE: 0.19310344827586207
R2 score: 0.17
Kappa_score: 0.5740663029794377
Accuracy: 80.6896551724138

Classification Report:

              precision    recall  f1-score   support

Non-Diabetes      0.82      0.89      0.85        91
Diabetes          0.78      0.67      0.72        54

   accuracy                   0.81       145
  macro avg              0.80      0.78      0.79       145
 weighted avg           0.80      0.81      0.80       145

Confusion matrix, without normalization
[[79 12]
 [18 36]]
Normalized confusion matrix
[[0.86813187 0.13186813]
 [0.33333333 0.66666667]]

```

Fig.10 Summary of Performance metrics scores of MLP algorithm

5. Conclusion

It is worth of studying much essential to accurately predicting and diagnosing any disease by using machine learning. This work explores different machine learning algorithms and the performances on the diabetes dataset. The results of machine learning algorithms KNN, Naive Bayes, extra trees, decision trees, and radial basis function are analyzed in this study. All the above algorithms have experimented with the prediction accuracy, MSE, RMSE, Kappa score, AUROC, precision, recall, and F1-score. The results show that MLP has a better performance with the Prima diabetes dataset. In addition, by comparing with the results of other classification algorithms, we can see that the MLP has better AUROC as 86 % among KNN, Naive Bayes, extra trees, decision

trees, and radial basis function. Thus, the results suggest that the MLP algorithm can able to diagnose and classify diabetic patients.

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Figures

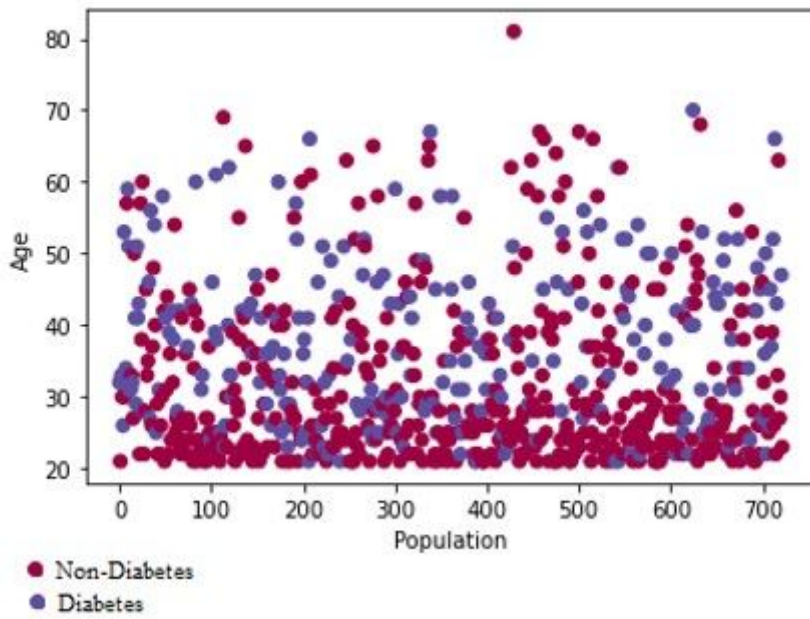


Figure 1

Population vs Age

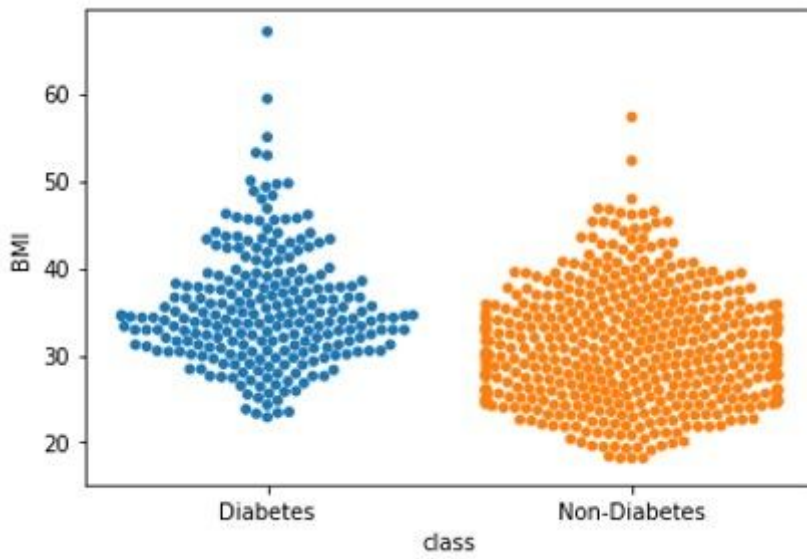


Figure 2

BMI vs Diabetes Classes

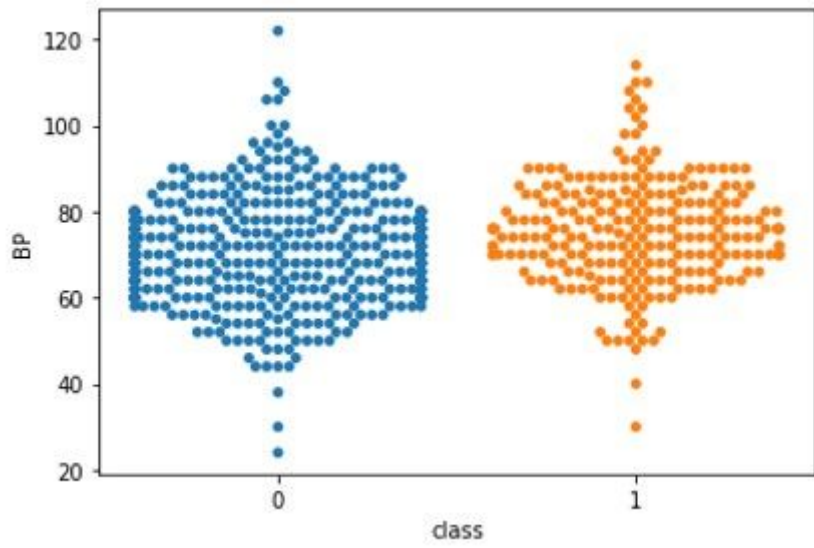


Figure 3

BP vs Diabetes Classes

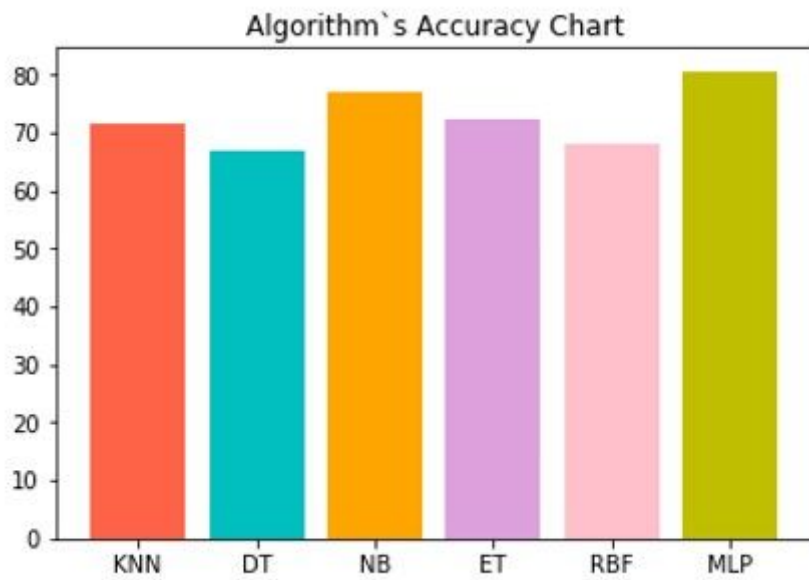


Figure 4

Prediction Accuracy

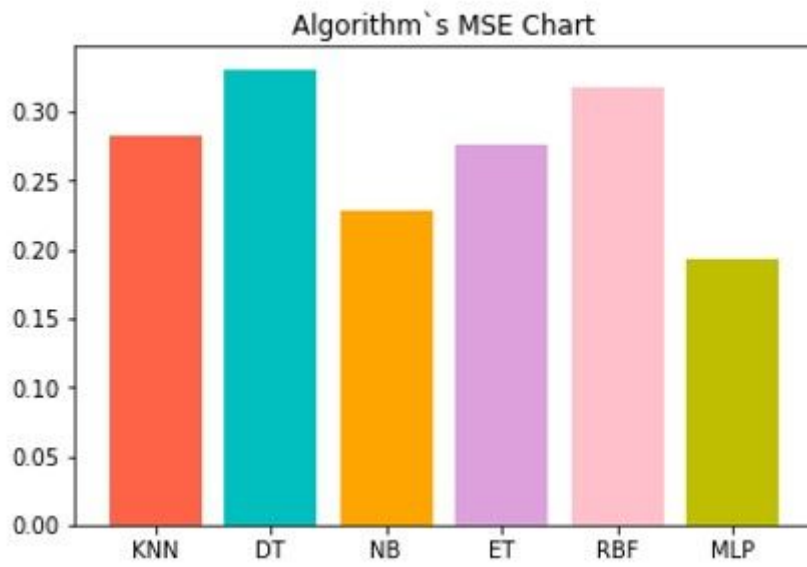


Figure 5

MSE rates

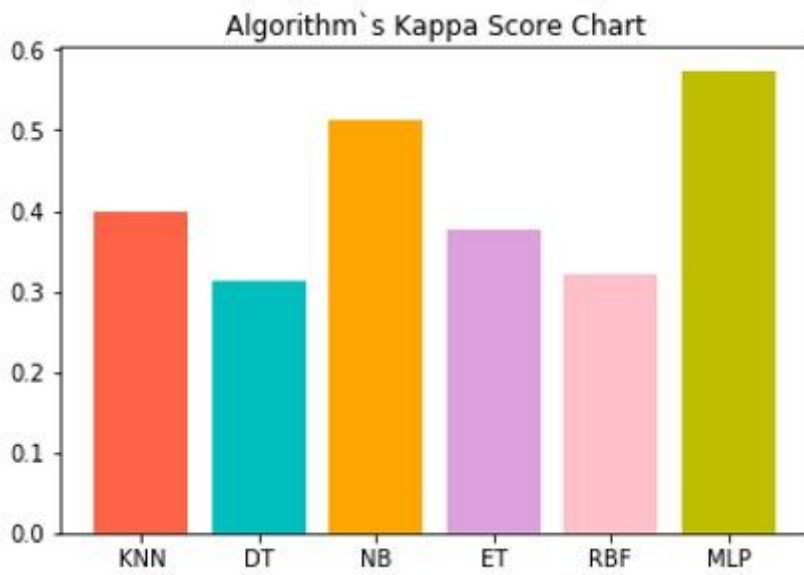


Figure 6

Cohen's kappa scores

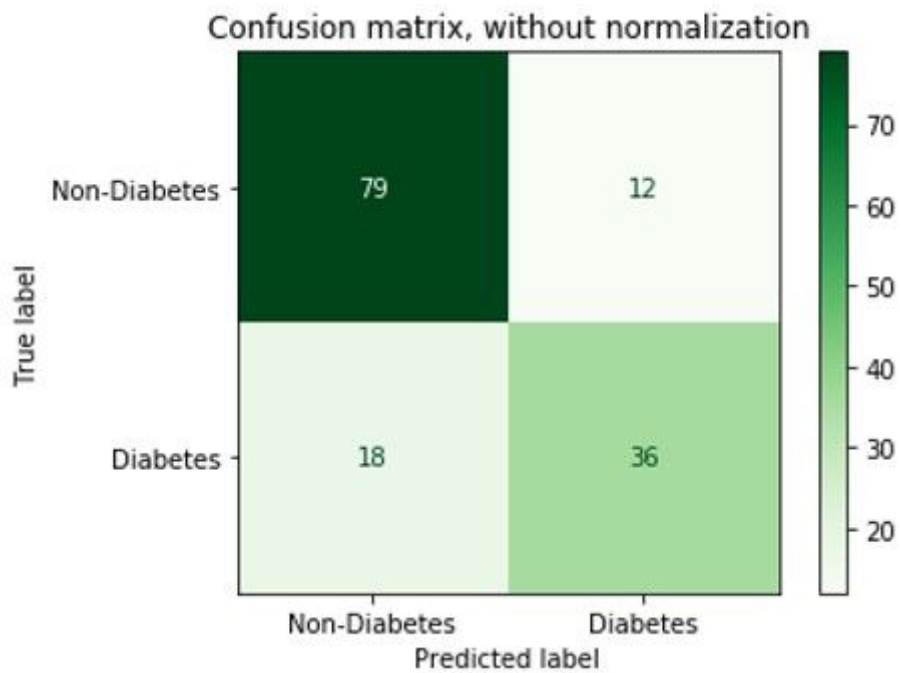


Figure 7

Normalized Confusion matrix

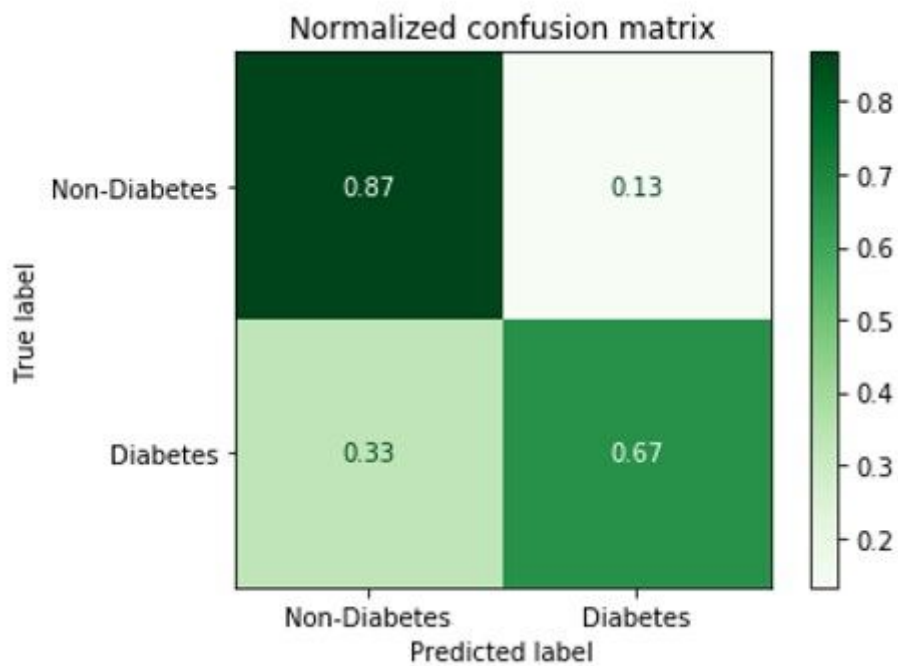


Figure 8

Confusion matrix (no normalization)

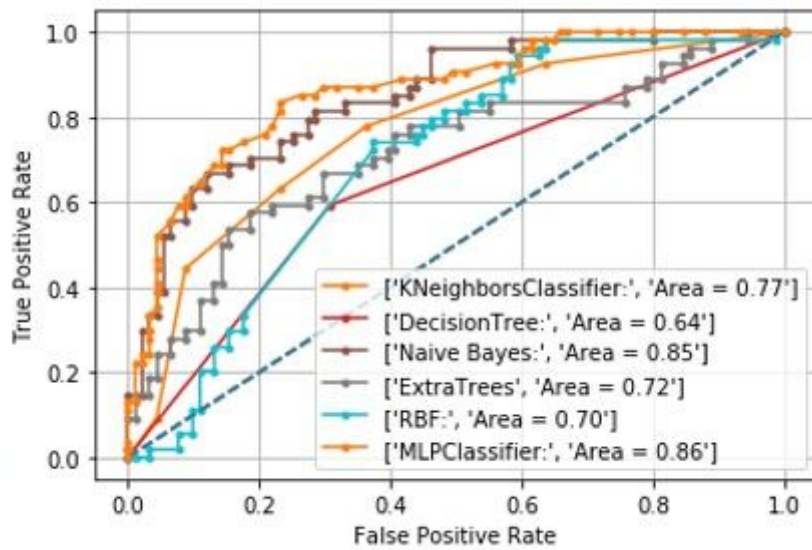


Figure 9

ROC_AUC Curve

```
MSE: 0.19310344827586207
RMSE: 0.4394353744020411
MAE: 0.19310344827586207
R2 score: 0.17
Kappa_score: 0.5740663029794377
Accuracy: 80.6896551724138
```

Classification Report:

	precision	recall	f1-score	support
Non-Diabetes	0.82	0.89	0.85	91
Diabetes	0.78	0.67	0.72	54
accuracy			0.81	145
macro avg	0.80	0.78	0.79	145
weighted avg	0.80	0.81	0.80	145

Confusion matrix, without normalization

```
[[79 12]
 [18 36]]
```

Normalized confusion matrix

```
[[0.86813187 0.13186813]
 [0.33333333 0.66666667]]
```

Figure 10

Summary of Performance metrics scores of MLP algorithm