

A Comprehensive Evaluation of Early Predictors of Disease Progression in Patients with COVID-19: A Case Control Study

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Abstract

Background: The 2019 coronavirus disease (COVID-19) has become an unprecedented public health crisis with nearly 16 million confirmed cases and 630,000 deaths worldwide.

Methods: We retrospectively investigated the demographic, clinical, laboratory, radiological and treatment data of COVID-19 patients consecutively enrolled from January 18 to May 15, 2020, in Taihe and Jinzhou central hospital.

Results: Of all 197 patients, the median age was 66.5 years (IQR 7-76), and 120 (60.9%) patients were males. We identified 88 (44.7%) of 197 COVID-19 patients as the disease progression (aggravation) cases. The aggravation cases tend to have more medical comorbidity: hypertension (34.1%), diabetes (30.7%), and presented with dyspnea (34.1%), neutrophilia (60.2%), and lymphocytopenia (73.9%), compared with those without. And the patients with disease progression showed significantly higher level of Fibrinogen (Fbg), D-dimer, IL-6, C-reactive protein (CRP), procalcitonin (PCT), and serum ferritin, and were more prone to develop organ damage in the liver, kidney, and heart ($P < 0.05$). Multivariable regression showed that advanced age, comorbidities, lymphopenia, and elevated level of Fbg, lactate dehydrogenase (LDH), Cardiac troponin (CTnI), IL-6, serum ferritin were the significant predictors of disease progression. Further, we investigated antibody responses to SARS-CoV-2 and found that the levels of IgM and IgG were significantly higher in the disease progression cases compared to non-progression cases from 3 weeks after symptom onset. In addition, the disease progression group tended to peak later and has a more vigorous IgM/IgG response against SARS-CoV-2. Further, we performed Kaplan-Meier analysis and found that 61.6% of patients had not experienced ICU transfer or survival from hospital within 25 days from admission.

Conclusions: Investigating the potential factors of advanced age, comorbidities and elevated level of IL-6, serum ferritin and Kaplan-Meier analysis enables early identification and management of patients with poor prognosis. Detection of the dynamic antibody may offer vital clinical information during the course of SARS-CoV-2 and provide prognostic value for patients infection.

1. Introduction

In December 2019, the outbreak of unidentified pneumonia has aroused great attention all over the world, which is not only an epidemic but even a disaster (1). On January 27 of 2020, the World Health Organization (WHO) issued worldwide surveillance and vigilance of the highly contagious respiratory diseases and formulated the first edition of prevention and control strategies (2). Sequence analysis of the coronavirus from lower respiratory tract samples has shown a structure typical to that of other coronaviruses such as SARS coronavirus and MERS coronavirus (3, 4). And then the WHO named the unidentified Coronavirus as SARS-CoV-2 and the pneumonia of unknown origin as COVID-19 (5, 6). SARS-CoV-2 belongs to a unique clade of the *sarbecovirus* subgenus of the *Orthocoronavirinae* subfamily (7, 8).

Evidence has been found that SARS-CoV-2 is extremely contagious to humans, and could be transmitted through respiratory droplets, contact, and even via fecal-oral transmission (9).

The clinical spectrum of SARS-CoV-2 pneumonia ranges from mild to critically ill cases. As reported in the literature, patients with COVID-19 mainly presented with fever, cough, fatigue, myalgia, dyspnea (10). Most patients represented various degrees of abnormality on imaging, and the moderate and severe phenotypes were always associated with pronounced imaging abnormalities (9, 11). Several studies have shown that the majority of patients were considered to have a favorable prognosis, however, elderly men and those with underlying diseases including hypertension, diabetes, chronic obstructive pulmonary disease (COPD), had a higher risk of developing acute respiratory distress syndrome (ARDS), which may be the leading cause of death (12, 13). Therefore, investigate the risk factors associated with disease progression are therefore greatly warranted. In this study, we investigated the clinical characteristics and relevant factors associated with the outcomes of patients with SARS-CoV-2 infection, which may provide considerable value for the early identification of individuals who are at risk of disease progression and who are most likely to benefit from intensive care treatment. We believe our findings will give further details to the epidemic situation and clinical characteristics of this novel coronavirus.

2. Materials And Methods

Study participants and design

This study was conducted in accordance with the Declaration of Helsinki and was approved by the Institutional Review Board of Taihe and Jinzhou central hospital, and all participants provided written informed consent before data were collected retrospectively. All of the patients were consecutively enrolled from January 18 to May 15, 2020, in Taihe and Jinzhou central hospital, who had been confirmed with COVID-19 infection according to World Health Organization interim guidance.

Data collection

We reviewed the clinical medical records of all patients to collect the demographic data and clinical and laboratory findings of the patients. All data including age, sex, occupation, symptoms (fever, fatigue, dry cough, myalgia, dyspnea, etc.), underlying comorbidities (COPD, cancer, hypertension, and diabetes), laboratory results (complete blood cell counts, creatinine, liver function tests, and inflammatory markers), treatment, and the outcomes data were retrospectively extracted with standardized data collection forms. If the variable were not available from electronic medical records or needed further clarification, we would clarify the details with the attending doctors and other health care providers. Clinical outcomes were followed up to Mar 15, 2020.

To identify the confirmed case, nasal and pharyngeal swab samples were obtained from patients at admission and were tested using the real-time reverse transcriptase polymerase-chain-reaction (RT-PCR). The exact date of onset was defined as the day when the symptom was noticed. A weekly assessment of disease progression was performed that provided details about the patients' clinical status. All study

participants were divided into the disease progression group and non-progression group based on the specific criteria: (1). Progression group (aggravation): a higher body temperature than before, aggravated symptoms, and varied progression of imaging examination manifestation; (2). Non-progression group (non-aggravation): body temperature decreasing, symptom and imaging improvement, or no significant change in body temperature, respiratory symptoms, and imaging manifestation. ARDS and shock were defined according to the interim guidance of WHO for the novel coronavirus. Acute kidney injury was identified and classified based on the highest serum creatinine level or urine output criteria according to the kidney disease improving global outcomes classification. The cardiac injury was diagnosed if serum levels of cardiac biomarkers (e.g. Troponin I) were above the 99th percentile upper reference limit, or new abnormalities were shown in electrocardiography and echocardiography. Finally, a total of 197 subjects were enrolled in the study and divided into two groups: 88 cases with disease progression and 109 cases without disease progression.

Statistical analysis

Categorical variables were expressed as frequencies and numbers (%) and analyzed using the Chi-squared test or Fisher's exact test. The continuous variables were presented as median or interquartile ranges (IQR) values and Two-sided unpaired t-test or Mann–Whitney test was used as appropriate to compare groups. All statistical analyses and graphs were generated and plotted using GraphPad Prism (version 7.0) and SPSS (Statistical Package for the Social Sciences version 21.0). A confidence level of $p < 0.05$ was considered statistically significant for all analyses.

3. Results

Demographic characteristics

In this study, a total of 197 patients with COVID-19 were confirmed from Taihe Hospital and Jinzhou central hospital. The demographic and clinical characteristics are shown in Table 1. The median age of the patients was 66.5 years (IQR 7–76), and 97 (49.2%) patients were older than 60 years. 120 (60.9%) patients were males. And less than 20% of patients had a smoking history. Of the 197 patients, 72 (36.5%) had at least one underlying chronic diseases, including hypertension (25.9%), diabetes (20.8%), COPD (18.8%), cardiovascular disease (10.2%), cerebrovascular diseases (9.1%), malignancy (8.1%), chronic kidney disease (7.6%), chronic liver disease (10.2%), HIV infection (4.6%). Further, COVID-19 patients with ACEI/ARB therapy were enrolled, and we found that about 50.7% of patients had taken ACEI/ARB in the progression group, which is much higher than that of the control group (25%). In addition, of these patients, 36 (18.3%) were infected medical staff.

Table 1
Clinical characteristics of the two groups

Clinical characteristics	Total patients (n = 197)	Non-aggravation (n = 109)	Aggravation (n = 88)	<i>p</i> value
Age (year, IQR)	66.5 (7–76)	60 (47.5–67)	53 (32–64)	< 0.001
Male (n/%)	120 (60.9%)	59 (54.1%)	61 (69.3%)	< 0.001
Medical workers (n/%)	36 (18.3%)	33 (30.3%)	3 (3.40%)	< 0.001
Smoking history (n/%)	31 (15.74%)	14 (12.84%)	17 (19.32%)	0.473
Comorbidity (n/%)				
Hypertension	51 (25.9%)	21 (19.3%)	30 (34.1%)	0.018
Diabetes	41 (20.8%)	14 (12.8%)	27 (30.7%)	0.002
ACEI or ARB(n = 92)	38/92(41.3%)	9/35 (25.7%)	29/57(50.7%)	0.003
COPD	37 (18.8%)	13 (11.9%)	24 (27.3%)	0.006
Cardiovascular disease	22 (11.2%)	9 (8.3%)	13 (14.8%)	0.149
Cerebrovascular disease	18 (9.1%)	7 (6.4%)	11 (12.5%)	0.141
Cancer	16 (8.1%)	10 (9.2%)	6 (6.8%)	0.547
Chronic liver disease	20 (10.2%)	9 (8.3%)	11 (12.5%)	0.626
Chronic kidney disease	15 (7.6%)	9 (8.3%)	6 (6.8%)	0.705
HIV infection	9 (4.6%)	4 (3.7%)	5 (5.7%)	0.501
Signs and symptoms at admission (n/%)				
Fever	159 (80.7%)	89 (81.7%)	70(79.5%)	0.82
Dry cough	157 (79.7%)	88(80.7%)	69(78.4%)	0.687
Productive cough	40 (20.3%)	18(16.5%)	22(25.0%)	0.16
Nasal congestion	15 (7.6%)	10(9.2%)	5(5.7%)	0.358
Rhinorrhea	9 (4.6%)	6(5.5%)	3(3.4%)	0.484
Myalgia or arthralgia	45(22.8%)	21(19.3%)	24(27.3%)	0.805

Data are median (IQR), n (%).

Clinical characteristics	Total patients (n = 197)	Non-aggravation (n = 109)	Aggravation (n = 88)	p value
Headache and dizziness	29 (14.7%)	16(14.7%)	13(14.8%)	0.985
Runny nose	9 (4.6%)	6(5.5%)	3(3.4%)	0.484
Fatigue	125 (63.5%)	63(57.8%)	62(70.5%)	0.067
Chest distress	76 (38.6%)	34(31.2%)	42(47.7%)	0.018
Chest pain	15 (7.6%)	6(5.5%)	9(10.2%)	0.214
Chills	23 (11.7%)	10(9.2%)	13(14.8%)	0.224
Sneeze	8 (4.1%)	5(4.6%)	3(3.4%)	0.677
Dyspnea	46 (23.4%)	16(14.7%)	30(34.1%)	0.001
Abdominal pain	19 (9.6%)	9(8.3%)	10(11.4%)	0.463
Nausea or vomiting	22 (11.2%)	12(11.%)	10(11.4%)	0.937
Conjunctival hyperemia	11 (5.6%)	5(4.6%)	6(6.8%)	0.498
Oxygen partial pressure(%)	94 (87–97)	97(94–99)	88(80–93)	< 0.001
Onset of symptom to hospital admission	5(3–8)	5(3–9)	5(3–7)	0.4
Data are median (IQR), n (%).				

Signs and symptoms of the patients on admission are shown in Table 1. The most common symptoms at the onset of illness were fever (81.5%), dry cough (79.7%), followed by fatigue (63.5%), chest distress (38.6%), dyspnea (23.4%). The majority of patients (76.6%) had both fever and cough. 89 (45.3%) had a fever with fatigue, and 72 (36.8%) patients had a fever with dyspnea; The less common symptoms were rhinorrhea (4.6%), runny nose (7.6%), and chest pain (7.6%). Notably, we found that 24 (12.7%) patients represented at least one gastrointestinal symptom including abdominal pain (9.6%) nausea or vomiting (11.2%). Besides, 11 (5.6%) patients were clinically characterized by conjunctival hyperemia. Though, these digestive and ocular symptoms were less common in COVID-19 patients, special attention should be paid to the care of this unique group of patients. The median time from onset to hospital admission was 5.0 days (3.0–8.0).

Laboratory findings

The laboratory findings of the confirmed patients are summarized in Table 2. Of 197 patients, 62 (31.5%) cases showed an increased presence of neutrophils, and 92 (46.7%) cases had lymphocytopenia. Severe

patients showed liver injury with elevated level of aspartate aminotransferase (AST, 34%), alanine aminotransferase (ALT, 36.5%), total bilirubin (TBIL, 7.6%), direct bilirubin (DBIL, 12.2%), Albumin (17.3%). Approximately one-quarter of patients exhibited myocardial injury with elevated lactate dehydrogenase (LDH, 52.4%), myoglobin (MYO, 27.1%), Cardiac Troponin I (CTnI, 35.6%), N-terminal-pro-B-type natriuretic peptide (ProBNP, 23.5%). Some patients exhibited kidney injury indicated by elevated plasma urea (30.5%) and serum creatinine (10.2%). Some patients showed increased high sensitivity C-reactive protein (CRP, 54.4%) and procalcitonin (PCT, 32.6%). In addition, some patients showed coagulation dysfunction with elevated prothrombin time (PT, 17.9%), activated partial thromboplastin time (APTT, 24.1%), D-dimer (27.2%), fibrinogen (Fbg, 21.3%).

Table 2
Initial Laboratory Indices of Patients with COVID-19.

Variables	Normal range	No. of patients	Median (IQR)	No. of patients with value deviation (%)
Hematologic *10 ⁹ /L				
White blood cells	3.5–9.5	197	5.78 (4.56–9.13)	68(34.5%) ^a
Neutrophils	1.8–6.3	197	3.94 (2.48–8.14)	62(31.5%) ^a
Lymphocytes	1.1–3.2	197	1.17 (0.71–1.78)	92(46.7%) ^b
Platelets	125–350	197	197 (156–266)	37(18.8%) ^b
CD3	723–2737	162	812 (395–1128)	77(47.5%) ^b
CD4	404–1612	177	427 (190–615)	83(46.9%) ^b
CD8	220–1129	173	291 (151–460)	66(38.2%) ^b
CD16 + CD56	80–610	153	154 (117–251)	23(19%) ^b
CD19	84–724	167	154 (105–254)	66(39.5%) ^b
Biochemical				
AST, U/L	15–40	197	24 (16–38)	67(34%) ^a
ALT, U/L	9–50	197	27(18–55)	72(36.5%) ^a
ALP, U/L	10–60	197	58 (48.4–79)	68(34.5%) ^a
Total bilirubin, μ mol/L	0–23	197	8.9 (6.8–11.65)	15(7.6%) ^a
Direct bilirubin μ mol/L	0–8	197	4.6 (4–7.4)	24(12.2%) ^a
Albumin, g/L	40.0–55.0	197	37 (32.9–39.5)	34(17.3%) ^a
PCT, mg/L	< 0.05	184	0.09 (0.04–1.44)	60(32.6%) ^a
BUN, mmol/L	3.1–8	197	4.63 (3.95–7.35)	60(30.5%) ^a

^aAbove reference. ^b Below reference. Data are median (IQR), n (%).

Variables	Normal range	No. of patients	Median (IQR)	No. of patients with value deviation (%)
Creatinine, $\mu\text{mol/L}$	57–97	197	55 (49–99)	20(10.2%) ^a
Creatinine kinase, μM	< 171	178	62 (40-114.75)	17(9.6%) ^a
CK-MB, U/L	< 25	176	1.7 (1-5.21)	48(27.3%) ^a
MYO, $\mu\text{g/L}$	0-100	192	56.6 (33.8–113)	52(27.1%) ^a
CTnI, ng/mL	0-0.04	146	0.016(0.006–0.08)	52(35.6%) ^a
ProBNP		149	106.5 (38.1–551)	35(23.5%) ^a
LDH, U/L	125–243	189	246 (172–375)	99(52.4%) ^a
Potassium, mmol/L	3.5–5.5	191	140 (138–142)	91(47.6%) ^a
Sodium, mmol/L	135–145	191	3.89 (3.6–4.37)	51(26.7%) ^a
PT, s	9.4–12.5	195	11.8 (11.1–12.5)	35(17.9%) ^a
APTT, s	25.1–36.5	190	28.9 (26.3–31)	28(24.1%) ^a
D-dimer, mg/mL	0-0.55	195	0.8 (0.3–6.12)	53(27.2%) ^a
Fbg, g/L	2–4	183	3.33 (2.68–4.83)	39(21.3%) ^a
Inflammation immunologic related indices				
CRP, mg/L	0–10	169	14.1 (5–73)	92(54.4%) ^a
Serum ferritin, ng/mL	< 300	197	276 (230–445)	67(34.0%) ^a
Procalcitonin, ng/mL	< 0.1	174	0.34 (0.06–1.49)	60(32.6%) ^a
IL-6, pg/L	\leq 20	197	18.6 (14.5–27)	71(36.6%) ^a
IL-10, pg/L	\leq 5.9	197	5.58 (4.2–10.2)	76(38.6%) ^a
TNF, pg/L	\leq 5.5	197	4.8 (4.2–6.6)	62(31.5%) ^a
^a Above reference. ^b Below reference. Data are median (IQR), n (%).				

There existed many differences in laboratory parameters between patients with disease progression and non-progression patients in Table 3. In the aggravation group, the total lymphocyte was significantly decreased in comparison with the non-aggravation group ($P = 0.001$). The cell count of the lymphocyte subtype was then further analyzed, which revealed that the count of $CD3^+$, $CD4^+$ and $CD8^+$ T cell in aggravation group was significantly lower than that of the non-aggravation group ($P < 0.05$), but there was no difference in the counts of $CD16^+$ and $CD19^+$ cells ($P > 0.05$). We also found that the number of neutrophils was notably increased in the patients of aggravation group ($P < 0.001$). Blood biochemical examination results suggested that patients with disease progression demonstrated higher levels of AST, Albumin, BUN, Creatinine, CK-MB, CTnI, ProBNP, LDH. At present, some researchers reported that the coagulation function would be dysregulation with the aggravation of the disease. We concluded that the levels of D-dimer, and Fbg were remarkably elevated in the aggravation patients. Table 3. also revealed the differences in inflammation immunologic related indices between the two groups. It suggested that the level of CRP, serum ferritin, procalcitonin, and IL-6 were significantly higher in the aggravation group.

Table 3
Laboratory findings of patients infected with COVID-19.

Variables	Non-aggravation (n = 109)	Aggravation (n = 88)	<i>p</i> value
Hematologic *10⁹/L			
White blood cells	5.47 (3.56–7.18)	6.8 (4.44–13.13)	0.06
Neutrophils	2.81 (2.31–4.06)	7.75 (4.32–11.77)	< 0.001
Lymphocytes	1.56 (1.12–1.96)	0.755 (0.5–1.12)	0.001
Platelets	229 (159–266)	192 (150–218)	0.014
CD3	985 (812–1311)	395 (113–641)	0.001
CD4	558 (400–804)	235 (198–401)	0.001
CD8	378 (274–526)	191 (73–307)	< 0.001
CD16 + CD56	104 (70–196)	98 (58–183)	0.13
CD19	152 (117–251)	154 (76–256)	0.176
Biochemical			
AST, U/L	22 (15–33)	26 (21-48.5)	0.01
ALT, U/L	26(13–54)	29(23–55)	0.06
ALP, U/L	55.7 (45–73)	58 (48.4–73)	0.783
Total bilirubin, μmol/L	9 (6.6–11.3)	8.6(7-13.79)	0.318
Direct bilirubin μmol/L	4 (3.9-5)	7.8 (4.6–11.4)	0.001
Albumin, g/L	38.8 (37-41.8)	35.9 (30.1–34.4)	0.16
BUN, mmol/L	4.04 (3.924.89)	7.82 (4.64–11.4)	0.001
Creatinine, μmol/L	52 (49–72)	69 (45.3-120.8)	0.04
Creatinine kinase, μM	60 (39–89)	69 (54.5–158)	0.055
CK-MB, U/L	1.02 (0.48–3.6)	2.5 (1.58–6.92)	0.001
MYO, μg/L	89 (21.4–97.4)	97.8 (48.3–234)	0.071
CTnI, ng/mL	0.006(0.005–0.03)	0.034 (0.015–0.426)	0.001
ProBNP	38.1 (30.5–234)	209 (44–921)	< 0.001
LDH, U/L	199(161–253)	355 (264–589)	0.002
Data are median (IQR), n (%).			

Variables	Non-aggravation (n = 109)	Aggravation (n = 88)	<i>p</i> value
Potassium, mmol/L	116 (103–140)	121 (88–140)	0.314
Sodium, mmol/L	3.94 (3.7–4.63)	3.7 (3.3–4.27)	0.065
Coagulation function			
PT, s	11.2 (11-11.9)	12 (11.7–13.4)	0.059
APTT, s	27.9 (25.4–30.5)	29.05 (26.9–32)	0.119
D-dimer, mg/mL	0.32 (0.15–0.8)	6.03 (0.9–18.6)	0.001
Fbg, g/L	2.87 (2.18–4.3)	3.63 (3.0-4.94)	0.001
Inflammation immunologic related indices			
CRP, mg/L	5 (1-15.5)	26.6 (10.7–122)	0.001
Serum ferritin, ng/mL	258 (208–303)	288 (254–699)	0.001
Procalcitonin, ng/mL	0.037 (0.027–0.06)	1.06 (0.11–3.26)	0.01
IL-6, pg/L	16.7 (14.4–20.4)	25 (17.6–64.5)	0.002
IL-10, pg/L	5.57 (4.2–11.4)	6.1 (4.64–9.95)	0.42
TNF, pg/L	4.64 (4.12-6)	4.9 (4.32–8.4)	0.245
Data are median (IQR), n (%).			

Chest imaging features and pathogens examination

The Chest imaging features on admission are summarized in Table 4. The median interval between symptom onset and CT examination was 7 days. Of the 197 patients with a chest CT scan on admission, the majority (164, 83.2%) showed abnormal results, consisting of 107 cases (59.4%) of multiple ground-glass opacities and 115 cases (72.3%) of no bilateral lobular and subsegmental consolidation areas. 64.4% of patients showed diffuse infiltration or white lung in both lungs, and about 42.4% had diffuse patch shadow with interstitial involvement. Compared with the patients of non-aggravation, the aggravation group showed more bilateral ground-glass opacity and subsegmental areas of consolidation as well as the lung interstitial involvement. Most of the lesions were localized in the periphery then the center follows and the last was both the periphery and center of the lung (105, 53.3% vs 18, 9.1% vs 74, 37.6%). In the non-aggravation patients, the lesions were more localized in the periphery rather than the center of the lung. However, the lesions would spread to the center of bronchus and gradually to the whole lung in aggravation patients. Therefore, the lesion was more likely located in both the periphery and center of the lung in the disease progression group (54.5% vs 23.9%). The SARS-CoV-2 PCR assay demonstrated that 167 (84.8%) cases showed positive results at the first test, and 30 (15.2%) cases

showed negative results. Besides SARS-CoV-2, we also detected other pathogens within the same patients, including epsteinbarr virus (EBV, 22, 13.90%), mycoplasma pneumonia (32, 16.20%), influenza B virus (28, 14.2%), parainfluenza virus (17, 8.6%), cytomegalovirus (CMV, 15, 7.6%). There were no significant differences between the disease progression group and the control group.

Table 4
Radiological data and pathogens test of the patients.

Clinical characteristics	Total patients (n = 197)	Non-aggravation (n = 109)	Aggravation (n = 88)	P
Chest Imaging features	164 (83.2%)	87 (79.8%)	77 (87.5%)	0.151
Ground-glass opacity	107 (59.4%)	59 (68.6%)	48 (54.5%)	0.01
Consolidation	115 (72.3)	62 (65.3%)	53 (82.8%)	0.015
Bilateral infiltration	103 (64.4%)	47 (51.1%)	56 (82.4%)	0.01
Interstitial involvement	61 (42.4%)	32 (35.6%)	29 (53.7%)	0.03
Lesion location				
Peripheral	105(53.3%)	75 (79.3%)	30 (19.3%)	< 0.001
Central	18 (9.1%)	8 (6.7%)	10 (5.8%)	
Both peripheral and central	74 (37.6%)	26 (39.6%)	48 (14.9%)	
Pathogens test				
SARS-CoV-2 PCR assay+	167 (84.8%)	93 (85.3%)	74 (84.1%)	0.81
SARS-CoV-2 PCR assay±	30 (15.2%)	16 (14.7%)	14 (15.9%)	0.78
EBV	22 (13.9%)	15 (15.2%)	7 (11.9%)	0.754
Mycoplasma pneumonia	32 (16.2%)	23 (21.1%)	9 (10.2%)	0.426
Influenza B virus	28 (14.2%)	15 (13.8%)	13(14.8%)	0.84
Parainfluenza virus	17 (8.6%)	9 (8.3%)	8 (9.1%)	0.836
CMV	15 (7.6%)	8 (7.3%)	7 (8%)	0.871
Data are median (IQR), n (%).				

Nucleic acid testing is the standard method for the diagnosis of COVID-19 infections. However, some research suggested that this method usually showed lower positive rates due to poor RNA stability,

different specimen position, and quality. Therefore, the IgM-IgG combined assay was recommended to increase the sensitivity of COVID-19 diagnoses especially in patients with suspected SARS-CoV-2 infection. In this study, the dynamic changes of IgM-IgG antibody levels were detected. The specific IgG and IgM antibodies can be detected 4–7 days after onset of illness. The IgM antibody titers increased sharply and notably in the initial two weeks and peaked at 1–2 week after symptom onset and significantly declined after 21 days. The IgG antibodies titers increased over time peaking at 4–5 week after onset of illness, and then maintained higher levels for the whole observation period (Fig. 1a). In addition, we compared the IgM-IgG levels between the two groups and found that there was no difference in the levels of IgM between the aggravation group and the non-aggravation group during the first two weeks. After that, the aggravation group tended to have a more vigorous IgM response against SARS-CoV-2 and displayed a higher peak, which suggested that serum IgM antibody levels were significantly correlated with disease progression from day 3-week onward (Fig. 1b). However, the levels of IgG in aggravation group were markedly lower compared to the non-aggregation group in the early stage of infection, and then it experienced a rapid growth and exceed those of the non-aggravation group Fig. 1c.

Treatments and clinical outcomes

All of the confirmed patients were isolated and treated in a negative pressure ward with applicable protective equipment. Table 5. includes details of the outcomes associated with COVID-19 infections and treatments administered. Most patients received combination therapy with oxygen support, antibiotics, antiviral, and glucocorticoids. 139 (68%) patients received multiple antiviral treatments including cephalosporins, quinolones, carbapenems, tigecycline. More than one intravenous antibiotic was given to 65 (42.8%) patients and 87 (57.2%) received an antibiotic only. The patients prescribed antibiotics treatment with a duration of 3–21 days (median, 5 days [IQR 3–6]. Most patients (155, 83.8%) were given antibiotic treatment including ganciclovir, oseltamivir, ritonavir, and lopinavir with a median duration of 5 days [IQR 3–8]. Among the 86 patients who required systemic glucocorticoid therapy (methylprednisolone, dexamethasone) over the treatment period, 49 (57%) patients were given low-dose glucocorticoids and 37 (43%) were given standard-dose glucocorticoids at the initiation of treatment. The median time on glucocorticoid therapy was 7.5 days [IQR 5–13]. 21 (61.4%) received Chinese traditional medicine treatment, which has been demonstrated to play an important role in resistance to viral infections. The duration of Chinese medicine treatment was 9 days [IQR 6.5–15]. Of the 197 patients, more than two-thirds of the patients required oxygen therapy. 105 (55.6%) patients were treated with high-flow oxygen. 43(44.8%) were given non-invasive mechanical ventilation to assist ventilation for 4 days [IQR 5–13]. And 23(25.6%) patients used invasive mechanical ventilation (4d [IQR 2–10]) respectively. The study also indicated that antibiotics, corticosteroids and oxygen therapy were necessary more often in the aggregation patients than in the non-aggregation patients (50.5% vs 89.8%, 28.90% vs 69.00%, 42.60%vs100.0%).

Table 5
Treatment and outcome of COVID-19 patients.

Treatments	Total	Non-aggravation	Aggravation	<i>p</i> value
Antibiotics (n/%)	139 (68%)	55 (50.5%)	79 (89.8%)	< 0.001
Treatment period (d)	6 (4–9)	5 (3–9)	8 (6–12)	0.001
Antiviral (n/%)	155 (83.8%)	91 (83.5%)	74 (85.2%)	0.12
Treatment period (d)	5 (3–8)	6 (3–7)	7 (3.75-9)	0.07
Corticosteroids (n/%)	86 (48.6%)	26 (28.9%)	60 (69%)	0.01
Treatment period (d)	7.5 (5–13)	7 (5-8.5)	11 (6–15)	0.001
Interferon (n/%)	134 (68.4%)	66 (61.1%)	68 (77.3%)	0.08
Treatment period (d)	7 (5–11)	7 (4.25-11)	8 (5–13)	0.155
Chinese medicine (n/%)	121 (61.4%)	64 (58.7%)	57 (64.8%)	0.385
Treatment period (d)	9 (6.5–15)	9 (6–15)	9 (7–15)	0.681
Oxygen treatment (n/%)	134 (68.4%)	46 (42.6%)	88 (100%)	< 0.001
High-flow oxygen therapy (n/%)	105 (55.6%)	40 (39.2%)	65 (74.7%)	0.001
Treatment period (d)	5.5 (4-18.5)	7 (4–15)	8 (5-13.5)	0.149
Noninvasive mechanical ventilation (n/%)	43 (44.8%)	1 (4.2%)	42 (58.3%)	0.001
Treatment period (d)	7 (5–13)	-	7 (5–13)	-
Invasive mechanical ventilation	23 (25.6%)	2 (7.7%)	21 (32.8%)	0.013
Treatment period (d)	4 (2–10)	-	4 (2–10)	-
Outcomes (n/%)				
ARDS	59 (34%)	10 (11.5%)	49 (59%)	0.01
Septic shock	29 (17.4%)	9 (10.2%)	20 (25.3%)	0.01
DIC	15 (7.8%)	3 (2.9%)	12 (13.6%)	0.005
Fungal infections	27 (16.4%)	11 (12%)	16 (21.9%)	0.81
Acute cardiac injury	32 (18%)	11 (11.6%)	21 (25.3%)	0.017
Acute kidney injury	25 (14%)	5 (5.3%)	20 (24.1%)	0.02
ICU admission	57 (28.9%)	8 (7.34%)	49 (55.7%)	< 0.001
Data are median (IQR), n (%).				

Treatments	Total	Non-aggravation	Aggravation	<i>p value</i>
Length of ICU stay (d)	7 (2–13)	5 (2–8)	7 (2–13)	0.15
Length of hospital stay (d)	14 (9–21)	10 (9–19)	15 (13–22)	0.003
Duration of viral shedding after onset (d)	11(6.5–17)	9(6–14)	13(8–18)	0.039
Data are median (IQR), n (%).				

By the end of Mar 10, 151 (77%) patients were improved and discharged. The median length of stay of discharged patients was 14 days [IQR 9–21]. 46 (23%) patients had died from ARDS, shock and multiple organ damage. Of the 88 aggravation patients, 49 (55.7%) patients were admitted to the ICU for 8 days, which was significantly higher than that of the non-aggravation patients (5, 5.3%) for 5 days. To evaluate the prognosis of COVID-19 patients, we performed the Kaplan-Meier analysis, and the composite endpoint (event) was ICU transfer or death within 25 days from the date of admission to the hospital. The cumulative event-free survival curve was plotted in Fig. 1d, which suggested that 61.6% of patients had not experienced ICU transfer or survival from the hospital. During the course of the disease, about 103 (52.3%) patients presented with functional damage involving multiple vital organs, including ARDS (59, 34%), septic shock (29, 17.4%), DIC (15, 7.8%), fungal infections (27, 16.4%), acute cardiac injury (32, 18%), and acute kidney injury (25, 14%). And patients with disease progression showed higher complication rates than that of the patients without Table 5.

Risk factors for disease progression

To investigate the risk factors for disease progression, we compared the epidemiological, clinical characteristics, laboratory, and radiological findings of COVID-19 patients between the two groups. In univariate logistic regression analysis, we found that the older age, male, underlying diseases (hypertension, diabetes), dyspnea, chest tightness were more frequently administered to patients in the progression group. It revealed that lymphopenia, neutrophilia, high levels of AST, lactic acid, Urea, Creatinine kinase, LDH, CTnI, ProBNP, Fbg, D-dimer, Serum ferritin, Procalcitonin, IL-6 were all significantly correlated with disease progression. Then, these variables were included in the multivariable logistic regression model, which indicated that older age, hypertension, diabetes, dyspnea, lymphocytes, Fbg, LDH, CTnI, serum ferritin, IL-6 were all independently associated with disease progression Table 6.

Table 6
Bivariate cox regression of factors associated with disease progression of COVID-19.

Variables	Univariate analysis			Multivariate analysis		
	OR	95% CI	P	OR	95% CI	P
Age (\geq 60 years vs. <60 years)	4.17	2.3–7.59	< 0.001	2.74	1.03–7.27	0.04
Gender (male vs female)	1.91	1.06–3.45	0.03	1.03	0.99–1.07	0.09
Comorbidity (yes vs no)						
Hypertension	3.00	1.46–6.19	0.002	3.64	1.28–10.3	0.015
Diabetes	2.17	1.13–4.15	0.001	8.31	2.92–23.6	0.001
Signs and symptoms (yes vs no)						
Dyspnea	2.01	1.13–3.61	0.018	6.17	2.01–18.9	0.001
Chest distress	3.0	1.51–6.01	0.001	1.85	0.76–4.5	0.18
Hematologic						
Neutrophils	6.83	3.53–17.6	< 0.001	0.99	0.96–1.01	0.41
Lymphocytes	8.58	4.5–16.3	0.001	0.29	0.10–0.86	0.02
CD3	0.08	0.04–0.17	0.002	0.101	0.01–1.78	0.12
CD4	0.74	0.59–0.93	0.01	0.88	0.21–3.7	0.86
CD8	0.25	0.13–0.49	0.03	1.01	0.99–1.03	0.16
Coagulation function						
Fbg	4.35	2.33–8.14	0.002	9.72	2.6–36.4	0.001
D-dimer	9.59	4.48–20.51	< 0.001	1.75	0.37–8.24	0.48
Biochemical						
AST	2.09	1.11–3.93	0.03	0.99	0.93–1.07	0.98
lactic acid	2.6	1.363–4.96	0.001	1.75	0.37–8.24	0.47
Urea	5.17	2.66-10	0.001	1.19	0.96–1.46	0.11
Creatinine kinase	11.67	2.58–52.8	0.001	1.09	0.96–1.24	0.19
LDH	8.6	4.43–16.69	0.001	1.01	1-1.02	0.046
CTnl	4.78	2.15–10.6	0.002	10.06	2.44–41.2	0.001
ProBNP	5.89	3.64–9.04	0.001	0.10	0.93–1.07	0.98
Infection-related indices						

Variables	Univariate analysis			Multivariate analysis		
	OR	95% CI	P	OR	95% CI	P
IL-6	3.26	1.77–5.99	0.001	1.03	1.01–1.06	0.02
CRP	5.99	1.18–30.3	0.03	1.01	0.99–1.03	0.33
PCT	3.73	1.96–7.12	0.001	2.11	0.67–6.62	0.2
Serum ferritin	2.30	1.26–4.2	0.006	1.01	1.0–1.02	0.04

4. Discussion

The present study included a total of 197 patients who were hospitalized with COVID-19 from Jan 25, 2020, to Mar 15, 2020. All of the patients were evaluated for therapeutic efficacy after at least one week of hospitalization. The results identified disease progression in 88 patients and non-progression in 109 patients. We summarized the clinical characteristics and identified several risk factors associated with disease progression in patients. Most of them received timely diagnosis and treatment as the government formulated an efficient early warning and isolation program in time. As of Mar 15, 2020, 151 (77.7%) patients were improved and discharged and 46 (23.4%) patients had died. Though all age groups have been affected by COVID-19, the elderly patients (over 60 years old) have a greater risk for infection and a relatively high proportion of severe and disease progression cases (14–18). Consistent with previous studies, males were identified more likely to be contaminated with the virus (39.1% women versus 60.9% men), and have severe progression (60.3%). Fewer cases have been identified among children and infants. Our study showed that advanced age is the independent risk factor for disease progression.

Of all patients, 72 (36.5%) cases had one or more underlying diseases including diabetes, hypertension, COPD. The logistic regression analysis showed a significantly increased number of patients with diabetes, hypertension in disease progression groups than that of the non-progression group. It has been reported that patients with hypertension or diabetes accounted for 20–30% of total infected patients and comprised of over half of patients in ICU (19–21). Recently, a retrospective cohort study also demonstrated that these comorbidities have been responsible for 60.9% of deaths caused by COVID-19 (22). A large number of studies have indicated that the Angiotensin-converting enzyme 2 (ACE2) receptor is highly expressed in the cardiovascular/cerebrovascular and lung tissue in the hypertension patients (23). In addition, ACE2 is one of the most important host receptors of H7N9, SARS and COVID-19, whose activity is closely related to the pathogenesis of inflammatory and acute injury of lung disease caused by these viruses (24–26). Given that experimental studies suggested that the spikes of SARS-CoV-2 could bind to the ACE2 receptors, which mediated virus entry into of HeLa cells and viral replication (22, 27). Some scholars have found that most of COVID-19 patients showed higher activity of angiotensin II compared to the uninfected people, and the abnormal increase in angiotensin II was related to lung failure and death (28, 29). ACEIs and ARBs are recommended for the management of hypertension and renal disease associated with diabetes (30). Recent studies have reported that the application of ACE

inhibitor could induce a marked increase expression of ACE2 expression, which means that ACEIs/ ARBs would increase the risk of infection of SARS-CoV-2 and disease progression of COVID-19 in hypertension and diabetes patients receiving these drugs (31, 32). In the present study, COVID-19 patients with ACEI/ARB therapy were enrolled, and we found that there are more patients taking ACEI/ARB in the progression group. Therefore, taking ACEI/ARB may be another potential risk factor for disease progression of COVID-19.

In our cohort, no significant difference in the median days from symptom onset to hospital admission was found between the disease progression and non-progression patients. Consistent with the symptom reported before (20, 33), the most common symptoms are fever, cough, fatigue, chest tightness, and myalgia or arthralgia. The proportion of patients with myalgia or arthralgia, chest distress and dyspnea was significantly higher in the disease progression group. Remarkably, few patients exhibited some less common symptomatology including abdominal pain, vomiting, and conjunctival hyperemia, which may result in missed diagnosis and transmission to other people. Previous studies found that virus could be detected in stool samples in the patients with symptoms of abdominal pain, vomiting, or asymptomatic (34, 35). Therefore, further research is still required to determine whether fecal oral transmission exists. In our study, no significant difference was identified between the two groups regarding this uncommon symptomatology.

SARS-CoV-2 induced immune responses and infection cytokine storms are believed to play major roles in disease progression (36, 37). The destruction of lung cells recruit macrophages and monocytes, trigger the adaptive T and B cell immune responses, and release cytokines to resolve the infection and even mediate widespread excessive inflammation at the same time (38, 39). In the present study, 92 (46.7%) patients showed significantly neutrophilia and lymphopenia with pronounced reduction of peripheral blood CD3⁺, CD4⁺, and CD8⁺ cells. And, the lymphocyte subsets, especially CD3⁺, CD4⁺, impaired more severely in the disease progression patients. This is consistent with the previous study by Qin et al, which demonstrated that the percentage of memory, regulatory and effector T cells were significantly decreased in severe cases when compared to non-severe cases (38, 40). Previous studies have shown that serum inflammation-related indices were closely related to the degree of inflammation and disease severity (41). In this study, compared with patients without disease progression, the disease progression patients showed significantly increased expression of inflammation-related factors including IL6, CRP, serum ferritin, procalcitonin. And the multivariate analysis revealed that the elevated level of IL6, serum ferritin, were the risk factors of disease progression patients.

It is previously established that patients with severe SARS and MERS had a higher incidence of multiple organ dysfunction syndromes including liver damage, acute heart/kidney injury, coagulation dysfunction, and even death (42, 43). And a lot of research has shown the clinical characteristics and laboratory findings associated with different degrees of multiple organs in patients with COVID-19 (17, 42, 44). In our study, liver damage and acute heart/kidney injury had been considered to occur in more than a third of patients. The level of AST, ALT, direct bilirubin, LDH, Creatinine, BUN, CTnI, ProBNP seemed to be significantly higher in patients with disease progression. The multivariate analysis revealed that the

elevated level of LDH, CTnI, ProBNP were the risk factors for patients with disease progression. Presently, there are three potential mechanisms for this observation: firstly, SARS-CoV-2 binding to ACE2 positive cells mediated direct damage; Secondly, systemic inflammatory response syndrome including cytokine storm, dysregulated immunocytes, and uncontrolled inflammation; Thirdly, exogenous drugs induced organ metabolizing burden, or worsening organ function (45, 46). Recently, the coagulation dysfunction has attracted more and more attention among scholars. It has been reported that the incidence of coagulopathy in all patients showed abnormalities of varying degrees in coagulation function parameters. A previous study revealed that Fbg and D-dimer elevation were related to the severity of the disease (47). And Ji et al. demonstrated that coagulation activation and hyper-fibrinolysis were coexistent in patients with severe COVID-19 infection (48). And patients with elevated plasmin and Fbg may have an increased risk of ARDS and mortality (49). In this study, we found that the incidence of abnormalities in coagulation function parameters (APTT, Fbg, D-dimer) was higher in patients with disease progression compared to the improved patients. Further analysis revealed that the elevated level of Fbg was significantly associated with the outcome. Therefore, measurements of these coagulation function parameters may be important biomarkers of disease progression and outcome.

Until now, no specialized antiviral treatment has been identified for COVID-19 infection, except for meticulous supportive care. Currently available treatment approaches include symptomatic and supportive therapies, such as oxygen therapy, antivirals, prevention and treatment of infections, and combination treatment with glucocorticoids (50, 51). Prior studies have suggested that patients with SARS-CoV would benefit from the combination of lopinavir and ritonavir with fewer adverse clinical outcomes (52, 53). And some preclinical research suggested that remdesivir have broad-spectrum antiviral activity for MERS-CoV and SARS-CoV1/2 infections (54). However, further studies on a larger set of clinical specimens will be required to assess the efficacy and safety of antiviral drugs (52, 55, 56). Due to the cytokine storm associated with COVID-19, corticosteroids were widely used in the treatment of patients with severe illness to attenuate inflammation associated with lung injury. Nevertheless, some researchers refuted that the use of glucocorticoids did not reduce mortality, but could easily lead to the disease progression and increase the risk of secondary infections (57). Therefore, the rational use of an appropriate dose of glucocorticoids could suppress the excessive activation of immune system and secretion of inflammatory cytokines. In our study, most patients received combination therapy with oxygen support, antibiotics, antiviral, and glucocorticoids. Almost of patients received respiratory support including nasal cannula oxygen and continuous positive air pressure. The progression group was significantly more likely to receive higher levels of respiratory support. Without a doubt, patients with disease progression have a higher mortality rate than patients without disease progression. And ARDS remained the most common cause of death, followed by multiple organ failure. Therefore, the prevention and treatment of ARDS represent an important strategic objective for the reduction of mortality and morbidity.

Limitations

The study also has severe important limitations. Firstly, the inherent shortcomings belong to a retrospective observational study, small sample size and short term follow-up make it difficult to reach a firm conclusion. Secondly, our institution was only the specified hospital for severe patients during the early outbreak, which could have resulted in some selection bias. Therefore, a larger cohort study of patients from China and other countries may help to further investigate the clinical characteristics and risk factors for the outcome. Finally, the actual duration of viral clearance was overrated owing to the frequency of respiratory specimen collection. In addition, the viral load of the SARS-CoV-2 was not quantified accurately, and false-negative results for an upper respiratory sample have also been reported. Therefore, studies on the dynamic characteristics of the viral load are still warranted.

Conclusion

Conclusions

The outbreak of 2019 coronavirus disease (COVID-19) has become an unprecedented global health crisis with over 6 million confirmed cases and 380,000 deaths worldwide. Investigating the potential factors of advanced age, comorbidities and elevated level of IL-6, serum ferritin enables early identification of patients with poor prognosis. Detection of the dynamic antibody may offer vital clinical information during the course of SARS-CoV-2 and provide prognostic value or even foreseeable therapeutic options for patients infection. However, a larger cohort study of patients from China and other countries may help to further investigate the clinical characteristics and risk factors for the outcome.

Abbreviations

ALT, alanine aminotransferase; APTT, activated partial thromboplastin time; AST, aspartate aminotransferase; CK-MB, creatine kinase muscle-brain isoform; CRP, C-reactive protein; IL-6, interleukin-6; IQR, interquartile range; LDH, lactate dehydrogenase; PT, prothrombin time; WBC: white blood cell; CT: computed tomography; ARDS, acute respiratory distress syndrome. WHO, World Health Organization; COPD, chronic obstructive pulmonary disease; ARDS, acute respiratory distress syndrome; IQR, interquartile ranges; CTnI, Cardiac troponin I; DBIL, direct bilirubin; TBIL, total bilirubin; PCT, procalcitonin; Fbg, fibrinogen; CMV, cytomegalovirus; ACE2, Angiotensin-converting enzyme 2.

Declarations

Ethics approval and consent to participate: All participants provided written informed consent before data were collected retrospectively.

Consent for publication: Not applicable.

Availability of data and materials: This study was conducted in accordance with the Declaration of Helsinki and was approved by the Institutional Review Board of Taihe and Jinzhou central hospital, and all participants provided written informed consent before data were collected retrospectively.

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Figures

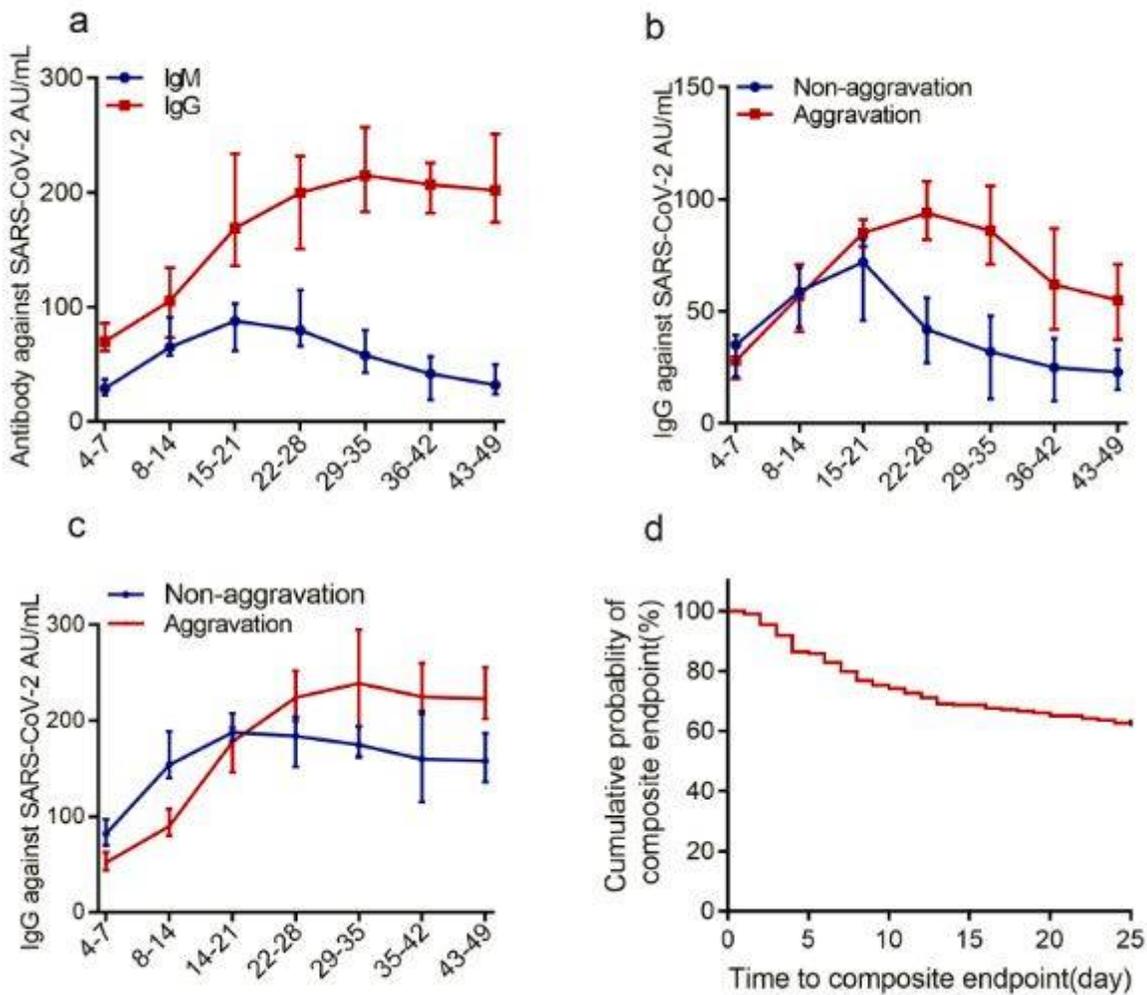


Figure 1

Dynamics changes of anti-SARS-CoV-2 IgG and IgM in aggravation and non-aggravation groups. (a) The dynamic characteristics of IgM-IgG antibody levels. (b, c) IgM-IgG antibody levels in patients with disease progression and non-progression. (d) Evaluating the prognosis of COVID-19 patients with Kaplan-Meier analysis. The composite endpoint (event) was ICU transfer or death within 25 days from the date of admission to the hospital. The cumulative event-free survival curve was plotted.