

# Diagnostic accuracy and prognostic relevance of immunoglobulin heavy chain rearrangement and 18 F-FDG- PET/CT compared with unilateral bone marrow trephination for detecting bone marrow involvement in patients with diffuse large B-cell lymphoma

## **Mihee Kim**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Seo-Yeon Ahn**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Jae-Sook Ahn**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Ga-Young Song**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Sung-Hoon Jung**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Je-Jung Lee**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Hyeoung-Joon Kim**

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Jun Hyung Lee**

Department of Laboratory Medicine, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## **Myung-Geun Shin**

Department of Laboratory Medicine, Chonnam National University Hwasun Hospital, Chonnam National University Medical School

## Sang Yun Song

Department of Thoracic and Cardiovascular Surgery, Chonnam National University Hwasun Hospital,  
Chonnam National University Medical School

## Deok-Hwan Yang (✉ [drydh1685@hotmail.com](mailto:drydh1685@hotmail.com))

Department of Hematology-Oncology, Chonnam National University Hwasun Hospital, Chonnam  
National University Medical School

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## Research Article

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# Abstract

## Background

In diffuse large B-cell lymphoma (DLBCL), bone marrow involvement (BMI) has an important clinical implication as a component of staging and International Prognostic Index (IPI).

## Methods

This study aimed to determine whether molecular analysis of immunoglobulin heavy chain (IgH) genes and PET/CT could overcome the limitation of defining morphologic bone marrow involvement by trephination biopsy and could increase the diagnostic accuracy or prognostic prediction. 94 de novo patients with DLBCL underwent PET/CT, polymerase chain reaction (PCR) test for detection of IgH gene rearrangement, and unilateral BM trephination at diagnosis.

## Results

9 patients (9.6%) were confirmed to present morphologic BMI (mBMI) based on trephination biopsy. On the other hands, 21 patients (22.3%) were confirmed to have IgH clonality (IgH BMI), while 16 (17.0%) were classified with BMI based on the assessment of PET/CT (PET BMI). Each IgH rearrangement PCR and PET/CT showed the high negative predict value of detecting the BMI. However, the combined assessment of IgH rearrangement and PET/CT could increase a diagnostic accuracy and specificity with 87.2% and 97.0%, respectively. The survival outcome of patients with double positive PET BMI and IgH BMI was significantly worse than that with either single positive PET BMI or IgH BMI, and even less than patients with neither PET BMI nor IgH BMI. (3-year PFS: 50.0% vs 75.4% vs 97.9%,  $p = 0.007$ , 3-year OS: 50.0% vs 75.6% vs 80.1%,  $p = 0.035$ , respectively).

## Conclusion

This study suggested that the combined evaluation of PET/CT and IgH rearrangement could give additional information of predicting therapeutic outcomes in patients with negative morphologic BMI as an important part of the prognosis.

## Background

In diffuse large B-cell lymphoma (DLBCL), bone marrow involvement (BMI) has the important clinical implication as a component of the Ann Arbor staging and clinical risk-stratification index including the International Prognostic Index (IPI)[1]. For many years, unilateral bone marrow (BM) trephination has been regarded as the gold standard for the evaluation of BMI in patients with DLBCL[2]. The extent of lymphoma cell infiltration in the BM is a highly significant negative prognostic factor[3]. However, BM

trephination biopsy has some limitations of, namely, low sensitivity to patchy or focal BM involvement, inter-observers' flexibility, and technical problem such as inappropriately obtained specimens[4].

Recent studies demonstrated that  $^{18}\text{F}$ -FDG positron emission tomography-computed tomography (PET/CT) possesses adequate sensitivity for the detection of BMI in patients with DLBCL[5, 6]. The National Comprehensive Cancer Network (NCCN) guidelines also recommended that BM trephination biopsy is not necessary if the PET/CT scan demonstrates BMI and that the therapeutic modalities should not be changed[7, 8]. However, the accuracy of PET/CT assessment on detecting BMI in patients with DLBCL remains unclear[9]. Our previous studies reported that high metabolic tumor volume, which indicates the extent of malignant lymphoid cell infiltration in the BM, had negative prognostic outcome compared with other clinical risk factors[10, 11]. On the contrary, as  $^{18}\text{F}$ -FDG is not a tumor-specific contrast agent, it might accumulate in extra nodal sites in patients with other benign conditions[11]. These characteristics could lead to a false positive result. The pitfalls of PET/CT interpretation in BMI might be associated with the definition of marrow involvement (focal vs diffuse infiltration) without taking into considerations the anatomic variations or inflammatory physiology in DLBCL[12].

Most of the patients with lymphoproliferative disorder can be diagnosed by histomorphology or cytomorphology[13, 14]. However, the morphological features in 5–15% patients are not typical and can be difficult to diagnose[14]. In such cases, the immunoglobulin gene rearrangements could be useful for determining the clonality of lymphoproliferative tissues[14]. The detection of lymphoid clonality by immunoglobulin gene rearrangement is an important method in the diagnosis of and in predicting the prognosis of lymphoid malignancy[15]. Because the immunoglobulin heavy chain (IgH) gene rearranged when malignant B lymphoid cells were developing, IgH gene is considered the most valuable gene target for detecting B-cell clonality in previous studies[16, 17]. Immunoglobulin gene rearrangement analysis performed by polymerase chain reaction (PCR) test such as the BIOMED-2 multi target PCR approach has been a helpful method for detecting the clonality of B-cell lymphoid malignancy, and the detection rates of PCR analysis increased with the combined use of immunoglobulin gene rearrangement[18, 19]. However, only a few studies have evaluated the accuracy of PCR analysis for molecular staging and focused on adjusting the results based on the clinical outcome[20, 21].

The aim of this prospective cohort study was to determine whether molecular analysis of immunoglobulin heavy chain (IgH) genes and PET/CT could increase the diagnostic accuracy or predict the survival outcome compared to conventional trephination biopsy in the rituximab-containing treatment of DLBCL.

## Methods

### 1. Patients' characteristics

Patients diagnosed with de novo DLBCL between January 2017 and May 2018 were enrolled from single institution. Patients (a) aged 19 years or older with a confirmed diagnosis of DLBCL according to the

2016 World Health Organization (WHO) criteria; (b) who underwent PET/CT, IgH gene arrangement PCR assessment, and unilateral trephination BM biopsy at diagnosis; and (c) with no malignancy other than lymphoma at the time of diagnosis, were included in the cohort.

Of note, patients with primary central nervous system (CNS) involvement or who refused to participate in the study after the diagnosis were excluded. Clinical parameters, including age at diagnosis, sex, histology, Ann Arbor staging, IPI, initial rituximab-containing treatment schedule, date of relapse, date of death, or documented date of last visit, were collected. This study was approved by the Institutional Review Board of Chonnam National University Hwasun Hospital in accordance with the Declaration of Helsinki.

Patients received six cycles of rituximab (R) with cyclophosphamide, vincristine, doxorubicin, and prednisolone (CHOP) chemotherapy in standard doses every 3 weeks. Those with stage I received three cycles of R-CHOP chemotherapy prior to the administration of involved-field radiation therapy (IFRT).

## **2. Morphologic BMI by BM trephination biopsy**

BM trephination section biopsy and aspirate smears from DLBCL patients who had positive morphologic BMI (mBMI) were reviewed by experienced hematopathologist in accordance with the WHO criteria. Based on the results of the morphological examination and immunohistochemistry (ICH), the extent of lymphoma cell infiltration and histology of the lymphoid infiltrates suggested an mBMI.

## **3. $^{18}\text{F}$ -FDG PET/CT and image analysis**

All patients underwent  $^{18}\text{F}$ -FDG PET/CT with a PET/CT system Discovery ST scanner (GE Healthcare) at initial diagnosis. After fasting for 6 h,  $^{18}\text{F}$ -FDG was injected intravenously (calculated dose: 7.4 MBq per kg), and the patients' serum glucose level were evaluated. CT scan was performed from the skull base to the proximal thighs. The transmission data were obtained 60 min after the injection of  $^{18}\text{F}$ -FDG with a low-dose CT using the following imaging parameters: rotation time (0.8 s), slice thickness (3.75 mm), automated from 10 to 130 mA, 120 kV, and a 50-cm field of view (FOV) with a 512 × 512 matrix. PET emission acquisition was performed in the same anatomic locations immediately after the CT scan using the following parameters: axial FOV (15.7 cm) with a 128 × 128 matrix. The examinations were reconstructed according to the conventional iterative algorithm (OSEM). The CT data were applied for attenuation correction. PET/CT images were evaluated and confirmed visually with standardized uptake value (SUV) by consensus of two experienced nuclear medicine physicians. The normal FDG BM uptake was determined when it was lower than or corresponding to that in the liver. Focal FDG BM uptake was visually defined as one or several focal bone uptakes in PET images with or without bone lesion in CT images and when it was higher than that in the liver and lower than that in the brain. We subdivided Focal FDG BM uptake into cases with iliac crest bone uptake and without iliac crest bone uptake. Diffuse FDG uptake in the BM was visually categorized as diffuse heterogenous FDG uptake higher than that of normal liver without focal lesions. Diffuse homogenous FDG BM uptake with other benign condition such as inflammation or severe anemia was excluded.

## 4. Clonal gene rearrangements by PCR analysis

DNA was extracted from the mononuclear cells of BM samples (94 patients) obtained from the Formalin-Fixed Paraffin-Embedded (FFPE) tissue specimen submitted for unilateral trephination biopsy, which was conducted at the time of DLBCL diagnosis. QIAamp® Mini Kit (QIAGEN, Valencia, LA, USA) was used to isolate the DNA from FFPE specimens in accordance with the manufacturer's instructions. The quantity of the extracted DNA was assessed using a spectrophotometric system (NanoDrop™ ND-1000, NanoDrop Technologies, Wilmington, DE, USA).

The clonality of B-cell neoplasms was examined by conducting a BIOMED-2 clonality assay, while the IgH clonal gene rearrangements were detected using the IdentiClone IGH Gene Clonality Assay (Invivoscribe Technologies, San Diego, CA, USA) following the manufacturer's instructions. The PCR IGH multiplex PCR reactions, such as VH-JH gene rearrangement and DH-JH gene rearrangement, were used to evaluate the IGH clonality (V, variable; D, diversity; and J, joining gene segments, respectively). The product of PCR reaction was diluted with Hi-Di™ Formamide (Applied Biosystems, Foster city, CA, USA) and distilled water. The sample was analyzed by laser-induced fluorescence capillary electrophoresis using Genetic Analyzer 3000 (Applied Biosystems, Foster City, CA, USA) following the manufacturer's instructions. Monoclonality was defined as the occurrence of one distinct peak within the expected size ranges as per the BIOMED-2 protocol and the largest peak being at least three times higher than the third largest peak in the polyclonal background [14, 22].

## 5. Statistical analysis

Data analysis was performed using SPSS software version 26.0. and R software version 3.1.0. Clinical characteristics and diagnostic assessments were analyzed using the chi-square tests for categorical variables, and two-sided Student's t-test was used for analyzing the quantitative variables. When analyzing diagnostic assessments, morphologic BMI was taken as the reference standard[23]. The parallel test was used to determine sensitivity, specificity of IgH PCR or PET/CT, the serial test was used to determine sensitivity and specificity of combined IgH PCR and PET/CT for detecting BMI. The receivers operating characteristic (ROC) for detecting PET BMI was measured using the area under the curve (AUC), Youden indexes, and optimal cut-off value. Positive predictive value (PPV) and negative predictive value (NPV) for detecting BMI were assessed based on Bayes' rule. Progression-free survival (PFS) was the primary endpoint of this study and was calculated from the date of diagnosis of DLBCL to the date of disease progression, relapse, death, or last follow-up. The secondary endpoint was overall survival (OS), which was calculated from the period of DLBCL diagnosis to the date of death or last follow-up. The Kaplan-Meier method was used to analyze the PFS and OS. Breslow test and log rank test were used to compare the survival outcomes. Cox regression models and Breslow test were used for the multivariate analysis of various independent prognostic factors. *P*-values of less than 0.05 were considered significant.

## Results

# 1. Patients' characteristics

94 patients with de novo DLBCL were eligible. With the median age of 66.0 years (range: 24–85 years), 16 (17.0%) patients were diagnosed with stage I, 28 (29.8%) with stage II, 24 (25.5%) with stage III, and 26 (27.7%) with stage IV disease. Based on IPI risk classification, 35 (37.2%) patients were classified as the low-risk group, 23 (24.5%) as the low-to-intermediate-risk group, 20 (21.3%) as the high-to-intermediate risk group, and 16 (17.0%) as the high-risk group, respectively. Positive mBMI using trephination section biopsy was detected in 9 patients (9.6%; concordant mBMI = 6 and discordant mBMI = 3), while IgH clonality (IgH BMI) was detected in 21 patients (22.3%). On the other hands, positive bone marrow <sup>18</sup>F-FDG PET uptake (PET BMI) was observed in 16 patients (17.0%). Among those with positive PET BMI, 11 patients had a focal type (68.8%, 11/16; focal with iliac crest lesion = 1 and focal without iliac crest lesion = 10) and 5 (31.2%) had a diffuse type. In addition, 5 patients with positive PET BMI were concordant with conventional mBMI and 6 patients were with positive IgH clonality. All patients were basically treated with six cycles of R-CHOP chemotherapy, except 8 (8.5%) patients with stage I who treated with involved-field radiation therapy (IFRT) after three cycles of R-CHOP. Other details of the clinical characteristics were summarized in Table 1. The distribution classification of patients with BMI is shown in Table 2.

Table 1  
Clinical characteristics of DLBCL patients (*n* = 94).

Characteristic		Number (percentage)
Age, median (range)		66.0 (24–85)
Sex	Male	61 (64.9)
	Female	33 (35.1)
Stage at diagnosis	I	16 (17.0)
	II	28 (29.8)
	III	24 (25.5)
	IV	26 (27.7)
IPI score	Low	35 (37.2)
	Low-intermediate	23 (24.5)
	High-intermediate	20 (21.3)
	High	16 (17.0)
NCCN-IPI score	Low	3 (3.2)
	Low-intermediate	34 (36.2)
	High-intermediate	44 (46.8)
	High	13 (13.8)
B symptom	Absent	68 (72.3)
	Present	26 (27.7)
ECOG PS	< 2	88 (93.6)
	≥ 2	6 (6.4)
LDH	Normal	40 (42.6)
	Abnormal (over 480 IU/L)	54 (57.4)
BM involvement	Morphologic BMI	9 (9.6)

Abbreviations: DLBCL, diffuse large B-cell lymphoma; IPI, international prognostic score; NCCN-IPI, National Comprehensive Cancer Network-IPI; LDH, lactate dehydrogenase; BMI, bone marrow involvement; PET, positron emission tomography-computed tomography; IgH, immunoglobulin heavy chain gene rearrangement; R-CHOP, rituximab with cyclophosphamide, doxorubicin, vincristine, and prednisone; IFRT, involved-field radiation therapy; ICE, etoposide, carboplatin, and ifosfamide; DHAP, dexamethasone, cisplatin and cytarabine; IT MTX/AraC, intrathecal methotrexate and cytarabine; Auto PBSCT, autologous peripheral blood stem cell transplantation.

Characteristic		Number (percentage)
	PET BMI	16 (17.0)
	IgH BMI	21 (22.3)
Treatment	R-CHOP	86 (91.5)
	R-CHOP + IFRT	8 (8.5)
Relapse		18 (19.1)
Salvage treatment	ICE	7 (7.4)
	DHAP	3 (3.2)
	IFRT	3 (3.2)
	IT MTX/AraC	1 (1.1)
	no treatment	4 (4.3)
Auto PBSCT		4 (4.3)
Death		21 (22.3)
<p>Abbreviations: DLBCL, diffuse large B-cell lymphoma; IPI, international prognostic score; NCCN-IPI, National Comprehensive Cancer Network-IPI; LDH, lactate dehydrogenase; BMI, bone marrow involvement; PET, positron emission tomography-computed tomography; IgH, immunoglobulin heavy chain gene rearrangement; R-CHOP, rituximab with cyclophosphamide, doxorubicin, vincristine, and prednisone; IFRT, involved-field radiation therapy; ICE, etoposide, carboplatin, and ifosfamide; DHAP, dexamethasone, cisplatin and cytarabine; IT MTX/AraC, intrathecal methotrexate and cytarabine; Auto PBSCT, autologous peripheral blood stem cell transplantation.</p>		

Table 2

Distribution of patients whose bone marrow was assessed by PET/CT, IgH gene rearrangement PCR, and unilateral trephination BM biopsy.

		mBMI (-)	mBMI (+)
PET BMI (-)	IgH BMI (-)	63	0
	IgH BMI (+)	11	4
PET BMI (+)	IgH BMI (-)	7	3
	IgH BMI (+)	4	2
PET BMI (+)		mBMI (-)	mBMI (+)
Focal FDG BM uptake with iliac crest		0	1
Focal FDG BM uptake without iliac crest		9	1
Diffuse FDG BM uptake		2	3
Abbreviations: BMI, bone marrow involvement; mBMI, morphologic bone marrow involvement; PET, positron emission tomography-computed tomography; IgH, immunoglobulin heavy chain gene rearrangement.			

## 2. Detection of BMI by PET/CT and IgH rearrangement

Among 16 patients with PET BMI, five patients (31.3%, focal with iliac crest lesion = 1, focal without iliac crest lesion = 1, and diffuse uptake = 3) with PET BMI were concordant with those of patients with mBMI. However, 11 patients (68.8%) with PET BMI did not match with conventional mBMI including 9 patients with focal metabolic involvements of bone marrow and 2 patients with diffuse uptakes. In contrast, four patients (44.4%) with positive mBMI were not detected BMI based on PET/CT assessment. Particularly, these discordant patients had low standard uptake values at bone marrow sites compared to those with the involved systemic lymphoma lesions. However, these four discordant patients were detected positive IgH BMI by PCR rearrangement. Based on clonal gene rearrangement, 21 patients showed the positive clonal rearrangement. Six out of 9 patients (66.7%) with conventional mBMI were concordant with IgH rearrangement. 15 patients without conventional mBMI were detected with the IgH clonality and associated with advanced stage. In addition, 63 patients with negative IgH BMI were concordant with negative PET BMI. The diagnostic accuracy of IgH BMI was 80.9% and the sensitivity, specificity, and negative predictive value (NPV) were 66.7%, 82.4%, and 92.7%, respectively. The diagnostic accuracy of PET/CT assessment was 82.0% with the sensitivity, specificity, and NPV of 55.6%, 87.1%, and 91.0%, respectively. Either IgH rearrangement PCR or PET/CT showed the high negative predict value. The combined assessment of IgH rearrangement and PET/CT could increase the diagnostic accuracy (87.2%) with the specificity of 97.0% (Table 3).

Table 3  
Assessment of bone marrow involvement.

	<b>Sensitivity</b>	<b>Specificity</b>	<b>PPV</b>	<b>NPV</b>	<b>95% CI</b>	<b>P value</b>
IgH PCR †	66.7	82.4	42.4	92.7	0.84–0.97	0.003
PET/CT *	55.6	87.1	45.6	91.0	0.86–0.95	0.001
IgH PCR or PET/CT †§	85.2	71.7	37.0	96.1	0.90–0.99	0.001
IgH PCR and PET/CT †§	37.0	97.0	70.6	88.8	0.86–0.91	0.001
* To evaluate the optimal cutoff value for PET/CT for detecting BMI, receiver operating characteristic (ROC) analysis was performed using the area under the curve (AUC), Youden indexes. Optimal cutoff value was 1.0mCi and AUC was 0.708.† Values shown in Table 2 were used for calculations as standard formulas for sensitivity, specificity, PPV, NPV.						
§ Parallel test was used to determine sensitivity, specificity of IgH PCR or PET/CT. Serial test was used to determine sensitivity and specificity of combined IgH PCR and PET/CT for detecting BMI.						
Abbreviations: PPV, positive predictive value; NPV, negative predictive value; CI, confidence interval.						

### 3. Clinical correlation according to the results of the combined detection of PET/CT and IgH rearrangement

A significant difference of positivity was observed in PET BMI status depending on clinical stages ( $p < 0.001$ ). Moreover, a clinical correlation was also found between positive PET BMI and IPI risk groups ( $p = 0.004$ ). A significant difference of the IgH BMI status was observed between patients with Stage I–II (5 of 47 patients; 10.6%) and those with stage III–IV (16 of 47 patients; 30.0%,  $p = 0.017$ ). The detection of IgH BMI was significantly increased in high-risk patients, depending on the IPI risk classification (IPI non-high risk vs high risk,  $p = 0.039$ ) in Table 4.

Table 4

Clinical characteristics of 94 patients with DLBCL according to the PET-BMI and IgH BMI status.

Characteristics	No.	PET BMI (%)	<i>P</i> -value	IgH BMI (%)	<i>P</i> -value
Stage (%)	94	16 (17.0%)	< 0.001	21 (22.3%)	0.017  (Stage I–II vs III–IV)
I	16	0 (0%)		1 (6.3%)	
II	28	0 (0%)		4 (13.0%)	
III	24	3 (12.5%)		6 (26.1%)	
IV	26	13 (57.6%)		10 (41.7%)	
Morphologic BMI (%)					
Yes	9	5 (55.5%)	0.001	6 (66.7%)	0.001
No	85	11 (12.9%)		15 (17.6%)	
IPI score (%)					
Low (0–1)	35	0 (0%)	0.004	4 (17.4%)	0.039  (IPI non-high-risk vs high)
Low-intermediate (2)	23	4 (17.4%)		5 (21.7%)	
High-intermediate (3)	20	8 (40.0%)		5 (25.0%)	
High (4–5)	16	4 (25.0%)		7 (43.7%)	
NCCN IPI score (%)			0.055		0.031
Low (0–1)	3	0 (0%)	(NCCN-IPI ≥ high-intermediate)	0 (0%)	(NCCN-IPI ≥ high-intermediate)
Low-intermediate (2)	34	3 (8.8%)		4 (11.8%)	
High-intermediate (3)	44	11 (25.0%)		11 (25.0%)	
High (4–5)	13	2 (15.4%)		6 (46.2%)	
Abbreviations: PET BMI, <sup>18</sup> F-FDG positron emission tomography-computed tomography bone marrow involvement; IgH BMI, immunoglobulin heavy chain rearrangement bone marrow involvement; IPI, International Prognostic Index; NCCN-IPI, National Comprehensive Cancer Network-IPI					

## 4. Survival outcomes based on PET/CT and IgH arrangement assessment

The median duration of follow-up was 35.2 months (range: 22.96–47.4). During the follow-up, 18 patients (19.1%) experienced disease progression and 21 (22.3%) expired. In the whole populations, the estimated 3-year PFS was  $76.6 \pm 4.4\%$ , and the estimated 3-year OS was  $76.6 \pm 4.5\%$ , respectively. Patients with conventional mBMI showed relatively poor PFS and OS compared with those without mBMI ( $p > .05$ ; Fig. 1a). The survival of patients ( $n = 15$ ) with negative mBMI and positive IgH BMI were similar to patients ( $n = 9$ ) with positive mBMI ( $p > .05$ ; Fig. 1b). Patients ( $n = 11$ ) with PET BMI (+) and mBMI (-) were associated with shorter survival outcome than those ( $n = 74$ ) with mBMI (-) and PET BMI (-) ( $p > .05$ ; Fig. 1c).

The survival outcome of patients ( $n = 6$ ) with double positive PET BMI and IgH BMI were significantly worse than, those ( $n = 25$ ) with either positive PET BMI or IgH BMI, and even less than those ( $n = 63$ ) with neither PET BMI nor IgH BMI. (3-year PFS: 50.0% vs 75.4% vs 97.9%,  $p = 0.007$ , 3-year OS: 50.0% vs 75.6% vs 80.1%,  $p = 0.035$ ; Fig. 2a). Within patients with negative conventional mBMI, moreover, the combined positive PET BMI and IgH BMI were significantly associated with short survival outcome (3-year PFS: 50.0% vs 64.8% vs 79.3%,  $p = 0.009$ , 3-year OS: 50.0% vs 66.7% vs 79.1%,  $p = 0.036$ ; Fig. 2b).

**Figure 2.** Kaplan-Meier survival curves of patients with DLBCL according to the bone marrow involvement status assessed by (a) combined PET/CT and PCR-based clonality; (b) combined assessment with PET/CT, PCR-based clonality and morphology. Survival panels present the 3-year progression-free survival (PFS curves; left) and 3-year overall survival (OS curves, right). Statistical differences were calculated using the Breslow test and log rank test.

Abbreviations: IgH BMI, immunoglobulin heavy chain rearrangement bone marrow involvement; PET BMI,  $^{18}\text{F}$ -FDG positron emission tomography-computed tomography bone marrow involvement; mBMI, Morphologic bone marrow involvement.

## 5. Prognostic factors for survival outcomes

PFS was significantly associated with age ( $> 60$ ,  $p = 0.032$ ), B symptom ( $p = 0.023$ ), Eastern Cooperative Oncology Group (ECOG) performance score ( $\geq 2$ ,  $p = 0.009$ ), IPI ( $p = 0.046$ ), NCCN-IPI ( $p = 0.004$ , supplementary Fig. 1) and combined assessment of PET BMI and IgH BMI ( $p = 0.007$ ) on univariate analysis. On multivariate Cox-regression analysis, combined assessment of PET BMI and IgH BMI ( $p = 0.030$ ; hazard ratio (HR): 3.37; 95% confidence interval (CI): 0.83–13.67), Age ( $> 60$ ,  $p = 0.027$ ; HR 4.04; 95% CI 1.17–14.01) were independent prognostic factors of PFS. OS was significantly associated with age ( $> 60$ ,  $p = 0.031$ ), B symptom ( $p = 0.026$ ), ECOG performance score ( $p = 0.026$ ), NCCN-IPI ( $p = 0.003$ , supplementary Fig. 1) and combined assessment of PET BMI and IgH BMI ( $p = 0.035$ ) on univariate analysis. On multivariate analysis, NCCN-IPI ( $p = 0.036$ ; HR 1.91; 95% CI 0.73–5.03) was only found to be independent prognostic factor (Table 5).

Table 5

Univariate and multivariate Cox-proportional hazard regression analyses predicting PFS and OS in DLBCL patients.

	PFS			OS		
	Univariate P value	Multivariate HR (95% CI)	P-value	Univariate P value	Multivariate HR (95% CI)	P-value
Age (> 60)	0.032	4.04 (1.17–14.01)	0.027	0.031		
B symptom	0.023			0.026		
ECOG PS ( $\geq 2$ )	0.009			0.026		
Stage ( $\geq 3$ )	0.123			0.170		
LDH (> normal)	0.080			0.085		
IPI	0.046			0.058		
NCCN-IPI	0.004			0.003	1.91 (0.73–5.03)	0.036
Combined PET BMI and IgH BMI	0.007	3.37 (0.83–13.67)	0.030	0.035		
<p>Univariate analysis and multivariate Cox-proportional hazard regression analysis was conducted using the Breslow method. Multivariate analysis was performed using the covariates, which showed a P-value of less than 0.05 in the univariate analysis. Abbreviations: PFS, progression-free survival; OS, overall survival; CI, confidence interval; HR, hazard ratio; ECOG PS, Eastern Cooperative Oncology Group performance score; LDH, lactate dehydrogenase; IPI, International Prognostic Index; NCCN-IPI, National Comprehensive Cancer Network-IPI, PET BMI, <math>^{18}\text{F}</math>-FDG positron emission tomography-computed tomography bone marrow involvement; IgH BMI, Immunoglobulin heavy chain rearrangement bone marrow involvement.</p>						

## Discussion

Bone marrow infiltration of lymphoma cells is one of the important prognostic factors of DLBCL[1]. Unilateral BM trephination biopsy has been conducted to confirm BMI[2]. If the additional less invasive tools for determining BMI can use for detecting focal or diffuse BM involvement, it will be helpful to diagnosis or prognosis in patients with DLBCL. Many approaches have been used for determining BMI, such as PCR amplification of immunoglobulin gene rearrangement or FDG/PET-CT[7, 19, 20, 24–26]. To our knowledge, this was the first trial to evaluate the prognostic value of a combination of FDG PET/CT and PCR-based clonality for the treatment of DLBCL. This prospective cohort study from a single institution aimed to evaluate the diagnostic and prognostic significance of BM assessment in DLBCL according to the IgH gene rearrangement as well as FDG PET/CT compared with conventional BM biopsy.

In the study, 22 patients (22 out of 85 patients) with negative mBMI were detected with positive PET BMI or IgH BMI. The characteristics of positive mBMI were not concordant with those of focal PET BMI without iliac crest lesion. The discordance of three detecting methods mainly occurred due to anatomic sites. Focal FDG uptake of bone marrow without diffuse iliac crest may not be detected as morphologic BM biopsy, resulting in inconsistency between positive PET CT and morphologic BMI. This finding may suggest that focal PET BMI without iliac crest could indicate BMI without mBMI. The assessment of diffuse FDG uptake remained controversial in several studies[9, 24]; however, some patients with diffuse BM uptake showed positive mBMI[25]. Our study showed that three patients with diffuse PET BMI were matched with positive conventional mBMI. Berthet et al. reported that PET BMI was an independent predictor of PFS, but not of OS in the multivariate analysis[26]. In the study, the multivariate analysis showed that age and NCCN-IPI were important negative prognostic factors. In addition, a combination assessment of PET BMI and IgH BMI were important independent factors of PFS.

Several approaches have been used for the detection of IgH gene rearrangement in patients with BMI[14, 15, 18]. Diagnosis based on the morphological features and cytomorphological features can be subjective and may differ depends on the different subsets of marrow invasion. In the study, compared with the discordant morphologic marrow involvements, the clinical characteristics of concordant mBMI were associated with poor ECOG performance status, high-intermediate standard and NCCN-IPI risks. Complete response (CR) was achieved in all three patients with discordant mBMI, however, a half of patients with concordant mBMI failed to achieve a CR after R-CHOP chemotherapy. All three patients with discordant atypical morphology or focal marrow invasion had IgH rearrangement. Compared with previous studies, the study similarly founded the positive clonal IgH in 15 of 85 (17%) patients with negative mBMI[19, 20]. Patients with negative mBMI and positive IgH BMI were significantly associated with high serum lactate dehydrogenase (LDH) level, advanced stage, and high standard and NCCN-IPI risks than patients with mBMI(-) and IgH BMI(-). A combination of PCR technique and PET/CT assessment could increase the accuracy of identifying BMI, and high NPV of IgH rearrangement may help to evaluate prognosis of negative mBMI.

The study had several limitations. First, although BM aspiration is a method with less invasiveness compared with trephination biopsy, molecular PCR analysis was performed at BM aspiration. Molecular PCR assessment in peripheral blood should be investigated further including the method of circulating tumor-DNA detection. If a correlation between peripheral blood and BM aspiration samples to detect clonal Ig gene rearrangement exists, these can be used to detect a BMI or minimal residual disease in the clinical setting. Second, an increase in FDG BM uptake (diffuse or focal) was interpreted as an indication of BMI without the definitive cut-off of standard uptake value. Because low FDG BM uptake was not reliably visualized with PET BMI, 4 patients with positive mBMI were classified with negative PET BMI. Regardless of these limitations, the data suggested that the patients with double positive PET BMI and IgH BMI were significantly associated with poor survival outcome. Mitterbauer et al. reported that negative mBMI and positive IgH BMI were significantly worse than negative mBMI and negative IgH BMI [19]. In our study, patients with combined positive IgH BMI and PET BMI without detecting mBMI showed

poor survival outcome than those with negative conventional mBMI. It could give an additional information to patients with negative morphologic BMI.

## Conclusions

In conclusion, the study suggested that the combined assessment with IgH gene rearrangement PCR and PET/CT could give additional information of detecting the BMI in patients with DLBCL. The assessment of BMI based on PET/CT and IgH gene rearrangement PCR could be indicator to predict the survival outcomes of DLBCL, particularly in patients with negative conventional mBMI.

## Abbreviations

Auto PBSCT: autologous peripheral blood stem cell transplantation

BMI: bone marrow involvement

CI: confidence interval,

DLBCL: diffuse large B-cell lymphoma

DHAP: dexamethasone, cisplatin and cytarabine

ECOG PS: Eastern Cooperative Oncology Group performance score

HR: hazard ratio

ICE: etoposide, carboplatin, and ifosfamide

IFRT: involved-field radiation therapy

IgH: immunoglobulin heavy chain gene rearrangement

IT MTX/AraC: intrathecal methotrexate and cytarabine,

IPI: International Prognostic Index

LDH: lactate dehydrogenase

mBMI: morphologic BMI

NCCN-IPI: National Comprehensive Cancer Network-IPI

NPV: negative predictive value

OS: overall survival

PCR: polymerase chain reaction

PET/CT: <sup>18</sup>F-FDG positron emission tomography-computed tomography

PFS: Progression-free survival

PPV: Positive predictive value

R-CHOP: rituximab with cyclophosphamide, doxorubicin, vincristine, and prednisone

## Declarations

### Ethics declarations

**Ethics approval and consent to participate:** The study was conducted according to the guidelines of the Declaration of Helsinki and approved by the Institutional Review Board of the Chonnam national University Hwasun Hospital on 10 December 2019 (IRB No. CNUHH-2019-210). A prior signed informed consent was obtained from each patient. All of the procedures were performed in accordance with the relevant policies in South Korea and adhered to the tenets of the Declaration of Helsinki.

**Consent for publication:** Not applicable

**Availability of data and materials:** The dataset used in this study is available from the corresponding author upon request

**Competing interests:** The authors declare no conflict of interest.

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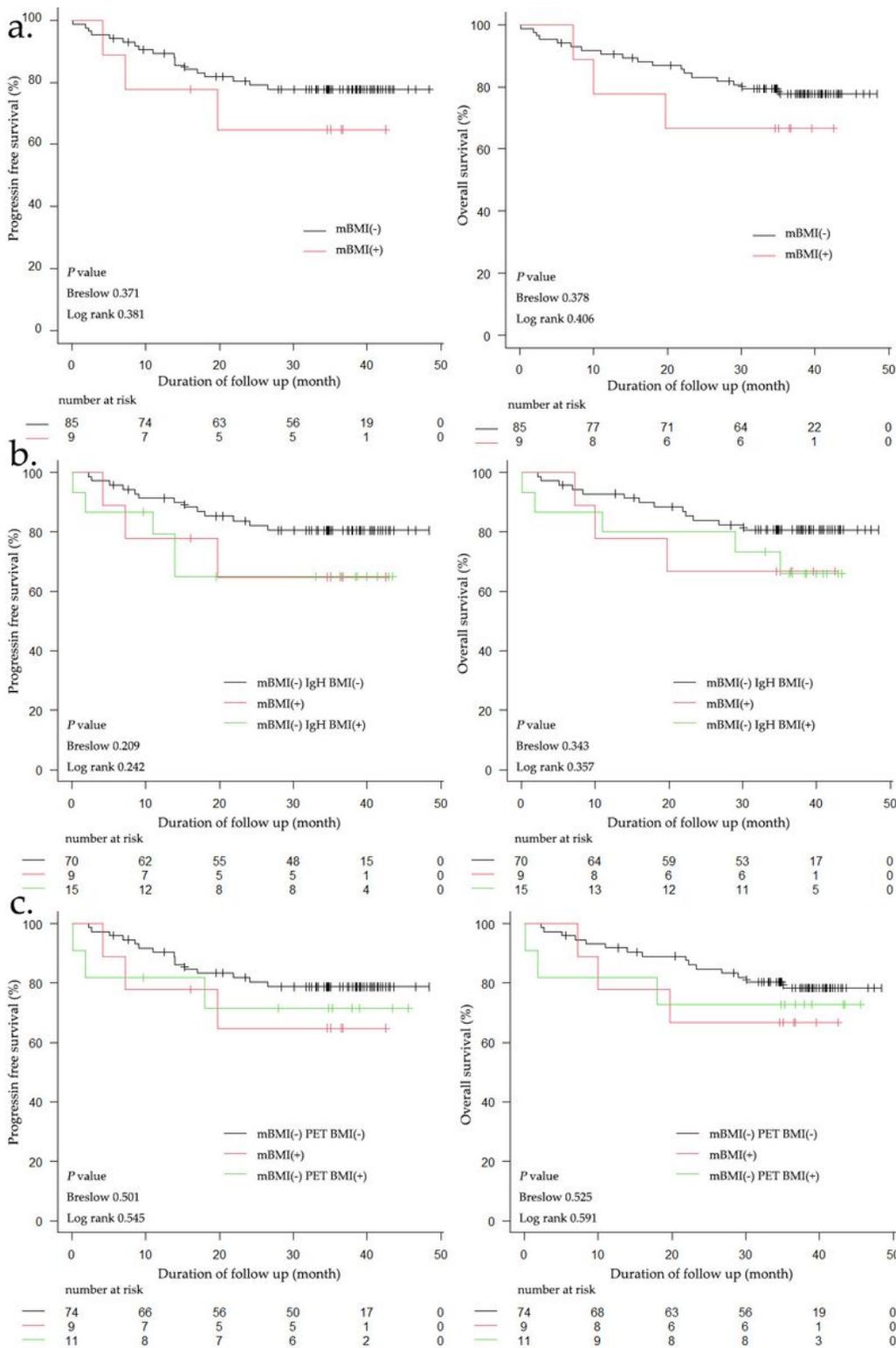
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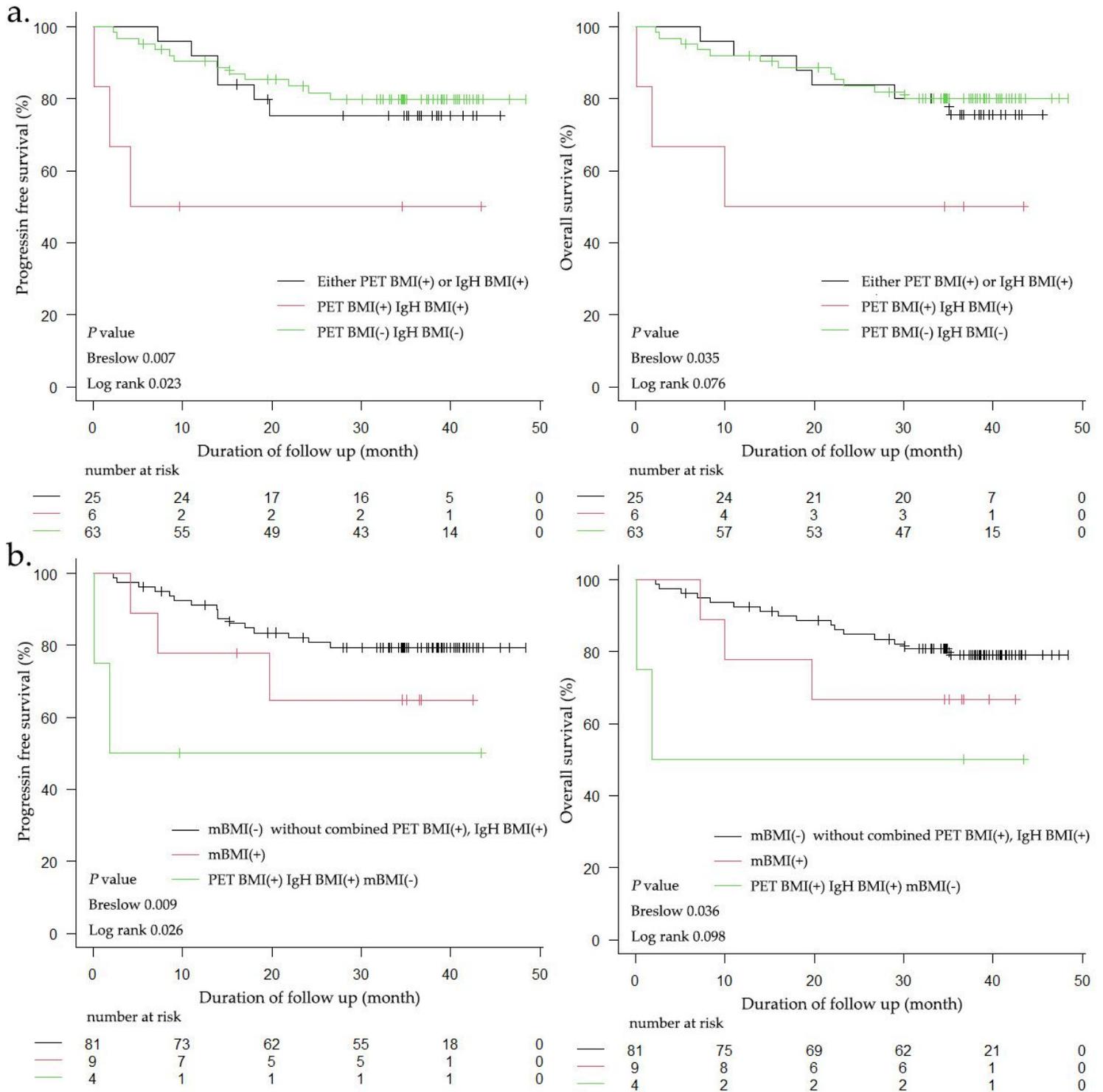
## Figures



**Figure 1**

Kaplan-Meier survival curves of patients with DLBCL according to the bone marrow involvement status assessed by (a) BM biopsy; (b) combined assessment with PCR-based clonality and morphology; (c) combined assessment with PET/CT and morphology. Survival panels present the 3-year progression-free survival (PFS curves; left) and 3-year overall survival (OS curves, right). Statistical differences were calculated using the Breslow test and log rank test. Abbreviations: IgH BMI, immunoglobulin heavy chain

rearrangement bone marrow involvement; PET BMI, 18F-FDG positron emission tomography-computed tomography bone marrow involvement; mBMI, Morphologic bone marrow involvement.



**Figure 2**

Kaplan-Meier survival curves of patients with DLBCL according to the bone marrow involvement status assessed by (a) combined PET/CT and PCR-based clonality; (b) combined assessment with PET/CT, PCR-based clonality and morphology. Survival panels present the 3-year progression-free survival (PFS curves; left) and 3-year overall survival (OS curves; right). Statistical differences were calculated using the

Breslow test and log rank test. Abbreviations: IgH BMI, immunoglobulin heavy chain rearrangement bone marrow involvement; PET BMI, 18F-FDG positron emission tomography-computed tomography bone marrow involvement; mBMI, Morphologic bone marrow involvement.

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