

1 **Title:** Application of the PET ligand <sup>11</sup>C-ORM-13070 to examine receptor occupancy by the selective  $\alpha_{2C}$ -adrenoceptor  
2 antagonist ORM-12741: translational validation of target engagement in rat and human brain

3

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23 Short running title:  $\alpha_{2C}$ -AR occupancy by ORM-12741

24

25 **Abstract**

26 **Background:** Availability of the  $\alpha_{2C}$ -adrenoceptor ( $\alpha_{2C}$ -AR) positron emission tomography (PET) tracer,  $^{11}\text{C}$ -ORM-  
27 13070, and the selective  $\alpha_{2C}$ -AR antagonist ORM-12741 allows probing of the roles of this G-protein coupled receptor  
28 subtype in brain function, both in healthy humans and in patients with various brain disorders. This translational study  
29 employed  $^{11}\text{C}$ -ORM-13070 PET to determine  $\alpha_{2C}$ -AR occupancy by ORM-12741 in rat and human brain.

30 **Results:** ORM-12741 has high affinity ( $K_i$ : 0.08 nM) and potent antagonist activity ( $K_b$ : 0.04 nM) as well as selectivity  
31 ( $K_i$  estimates for the human  $\alpha_{2A}$ -AR and  $\alpha_{2B}$ -AR were 8.3 nM and 0.8 nM, respectively) for the human  $\alpha_{2C}$ -AR subtype.  
32  $^{11}\text{C}$ -ORM-13070 had highest uptake in the basal ganglia of rat and human brain. Pretreatment with ORM-12741 inhibited  
33  $^{11}\text{C}$ -ORM-13070 binding in rat striatum in a time- and dose-dependent manner at 10 and 50  $\mu\text{g}/\text{kg}$  (s.c.) with an  $\text{EC}_{50}$   
34 estimate of 1.42 ng/mL in rat plasma, corresponding to protein-free drug concentration of 0.23 nM. In the living human  
35 brain, time- and dose-related  $\alpha_{2C}$ -AR occupancy was detected with  $\text{EC}_{50}$  estimates of 24 ng/mL and 31 ng/mL for the  
36 caudate nucleus and putamen, respectively, corresponding to protein-free concentrations in plasma of 0.07 nM and 0.1  
37 nM. Modelling-based maximum  $\alpha_{2C}$ -AR occupancy estimates were 63 % and 52 % in the caudate nucleus and the  
38 putamen, respectively.

39 **Conclusions:** ORM-12741 is a selective  $\alpha_{2C}$ -AR antagonist which penetrates the rat and human brain to occupy  $\alpha_{2C}$ -ARs  
40 in a manner consistent with its receptor pharmacology.

41 **Trial registration number and date of registration:** ClinicalTrials.gov NCT00829907. Registered 11 December 2008.  
42 <https://clinicaltrials.gov/>.

43 **Keywords:** brain  $\alpha_{2C}$ -adrenoceptors, receptor occupancy, ORM-12741,  $^{11}\text{C}$ -ORM-13070 PET,  $\alpha_{2C}$ -adrenoceptor  
44 antagonists

45 **Background**

46 An inhibitory G-protein coupled receptor of the neurotransmitter noradrenaline (NA), the  $\alpha_{2C}$ -adrenoceptor ( $\alpha_{2C}$ -AR)  
47 subtype, has attracted considerable interest as a therapeutic target to treat CNS disorders (1). The  $\alpha_{2C}$ -AR may be involved  
48 in mediation of the fine-tuning effects of NA on central neurotransmission, particularly during stressful conditions.  
49 Results obtained with gene-targeted (knock-out) mice indicate that manipulation of  $\alpha_{2A}$ -AR and  $\alpha_{2C}$ -AR activation yields  
50 differential behavioural effects in nonclinical tests that are commonly used for assessing antidepressant, antipsychotic or  
51 pro-cognitive properties of drugs (1). This has led to the proposition that selective  $\alpha_{2C}$ -AR antagonism might be a

52 promising approach for the treatment of neuropsychiatric symptoms, potentially across a wide range of CNS disorders,  
53 with an improved therapeutic profile compared to non-selective  $\alpha_2$ -AR antagonists (1) .

54 The availability of the novel selective  $\alpha_{2C}$ -AR antagonist ORM-12741 and the  $\alpha_{2C}$ -AR positron emission tomography  
55 (PET) tracer  $^{11}\text{C}$ -ORM-13070 now provides novel opportunities to investigate the roles and possible therapeutic utility of  
56  $\alpha_{2C}$ -AR modulation in CNS disorders (1). Of all known  $\alpha_{2C}$ -AR antagonists, ORM-12741 is the most advanced molecule  
57 in terms of data on human exposure; it is rapidly absorbed after oral dosing and has shown acceptable tolerability (2).  
58 Direct evidence supporting drug target engagement is a key element for establishing confidence in proof of concept  
59 evaluation in nonclinical and human studies (3).  $^{11}\text{C}$ -ORM-13070 as a PET tracer has provided a valuable probe for  
60 specifically investigating  $\alpha_{2C}$ -AR subtype functions as well as brain receptor occupancy in experimental animals and  
61 humans. Its application as a PET tracer has been validated and established in several studies, with acceptable test-retest  
62 reproducibility (4-7). Furthermore, additional work has shown that  $^{11}\text{C}$ -ORM-13070 binding is sensitive to changes in  
63 extracellular NA concentrations in the human brain, provoked by physiological or pharmacological interventions,  
64 indicating that it may be a valuable tracer for the investigation of alterations in noradrenergic tone (8, 9).

65

## 66 **Methods**

67 The current translational investigation was aimed at demonstrating target receptor engagement for ORM-12741 as well  
68 as establishing the utility of  $^{11}\text{C}$ -ORM-13070 as a suitable PET tracer for assessment of  $\alpha_{2C}$ -AR occupancy in rat and  
69 human brain.

70

### 71 *$\alpha_2$ -AR subtype binding and antagonist characteristics*

72 Receptor binding assays were performed at Cerep (Celle l'Evescault, France) according to their standard procedures,  
73 using stably transfected cell lines. Inhibition constants ( $K_i$ ) were calculated using the Cheng-Prusoff equation (10). CHO  
74 cells transfected to express human  $\alpha_2$ -AR subtypes were used to determine antagonist properties of ORM-12741 in a  
75 calcium ion based fluorescent assay as described previously (11). Adrenaline and noradrenaline were used as agonists,  
76 and changes in intracellular calcium were monitored with a FLEXstation bench top scanning fluorometer equipped with  
77 an integrated fluid transfer workstation (Molecular Devices, San Jose, CA, USA) and SOFTmax PRO version 3.2  
78 software. ORM-12741 (Orion Pharma, Espoo, Finland;  $10^{-2}$  M) was dissolved in DMSO and subsequently diluted in  
79 Probenecid Ringer buffer.

80

81 ***Radiosynthesis of <sup>11</sup>C-ORM-13070***

82 <sup>11</sup>C-ORM-13070 was synthesized at Turku PET Centre Radiopharmaceutical Laboratory, Turku, Finland as described  
83 previously (4) and was dissolved in a mixture of propylene glycol/ethanol/0.1 M phosphate buffer (7/3/45, v/v/v), pH 7.4  
84 (4).

85

86 ***α<sub>2C</sub>-AR occupancy in rat brain***

87 An ex-vivo autoradiography method, as described in (4), based on specific displacement of <sup>11</sup>C-ORM-13070 binding in  
88 the caudate-putamen nucleus, was used to determine α<sub>2C</sub>-AR occupancy in rat brain. Male Sprague-Dawley rats (n = 4-  
89 6/group) were treated with vehicle (PEG 300/5 % glucose) or ORM-12741 (dissolved in PEG 300 and diluted with 5%  
90 glucose solution; 2, 10, 50 or 1000 μg/kg, s.c.) 10 min before injection of <sup>11</sup>C-ORM-13070 (38-81 MBq) into the tail vein.  
91 At 10 or 30 min after <sup>11</sup>C-ORM-13070 administration, the rats were stunned by CO<sub>2</sub> asphyxiation and terminal blood  
92 samples were taken for determination of plasma levels of ORM-12741. Cryosections (40 μm) of the brain were prepared  
93 and regions of interest (caudate-putamen/cerebellum) were analysed by autoradiography as described previously (4).

94 Animal care complied with the guidelines of the International Council of Laboratory Animal Science. The Animal  
95 Experiment Board of the Province of Southern Finland approved the methodologies used in this study.

96

97 ***α<sub>2C</sub>-AR occupancy in human brain***

98 The clinical trial was an open label, single dose, uncontrolled study performed at a single centre. The primary objective  
99 of the study was to determine the extent of brain α<sub>2C</sub>-AR occupancy after different single oral doses of ORM-12741 and  
100 to describe the relationship of α<sub>2C</sub>-AR occupancy as a function of ORM-12741 dose and drug concentration in plasma.  
101 The study design involved dose ranging with adaptive selection of doses and assessment time points. The study protocol  
102 was approved by the Ethics Committee of the Hospital District of Southwest Finland and the Finnish Medicines Agency  
103 (EudraCT 2008-004929-42), and the trial was registered in the ClinicalTrials.gov database (NCT00829907).

104 Healthy male volunteers were enrolled after informed consent. Concomitant and prior medications that could have  
105 affected the outcome of the study were prohibited. Each subject had three visits: a screening visit, a treatment visit and  
106 an end-of-study safety visit. A brain MRI scan was obtained for an individual anatomical reference map. All included

107 subjects had a baseline PET scan (<sup>11</sup>C-ORM-13070 alone) and 1 - 3 scans at set time points after different doses of ORM-  
108 12741 (Table 1).

109

110 Soft gelatin capsules containing ORM-12741 (0.1 mg, 1 mg and 10 mg) were produced by Orion Pharma (Espoo,  
111 Finland), and each study subject received a single oral dose (0.3, 1, 10, 30 or 60 mg) (Table 1).

112

113 TABLE 1. Numbers of subjects in each dose group and PET scan time points after oral dosing with the  $\alpha_{2C}$ -  
114 adrenoceptor antagonist ORM-12741

Dose	No of subjects scanned at baseline	No of subjects scanned at 1 h	No of subjects scanned at 3.5 h	No of subjects scanned at 6 h	No of subjects scanned at 6.5 h	No of subjects scanned at 12 h
0.3 mg	2	1	1	1		1
1 mg	3*	1	1	1		1
10 mg	5	3	3	2		2
30 mg	5	3	3	2		2
60 mg	4	4	4		4	

115 \* one subject discontinued after the baseline scan

116

117 Each <sup>11</sup>C-ORM-13070 dose (target radioactivity 550 MBq; <10  $\mu$ g of ORM-13070) was given as a rapid intravenous  
118 bolus injection (1 - 10 mL) at the start of the PET scan. PET imaging was performed as described previously (7). Regions-  
119 of-interest (ROIs) were manually drawn on the co-registered MRI scans using Imadeus software (version 1.1, Forima,  
120 Turku, Finland), checked to match the summated PET images and then transferred onto the dynamic PET image, from  
121 which regional time-activity curves were obtained for the following selected regions of the left and right brain  
122 hemispheres: caudate nucleus, cerebellar cortex, hippocampal region, lateral frontal cortex, occipital cortex, parietal  
123 cortex, putamen and thalamus.

124 Tracer uptake in the ROIs was described with areas under the curves (AUC) in the scan time window of 5 - 30 min after  
125 tracer injection. As the cerebellum has been reported to be devoid of  $\alpha_{2C}$ -ARs, it was used as a reference region for  
126 correction of non-specific uptake. A binding parameter (BiP) was calculated for each ROI as the ratio of specific binding  
127 ( $AUC_{\text{region}} - AUC_{\text{cerebellar cortex}}$ ) and the AUC in the cerebellar cortex. Receptor occupancy by <sup>11</sup>C-ORM-13070 was

128 negligible at the employed tracer doses (< 10 µg) (7). Receptor occupancy in the target regions was calculated according  
129 to the equation:

$$130 \quad \% \text{ Receptor occupancy} = \left(1 - \frac{\text{BiP}_{\text{drug}}}{\text{BiP}_{\text{baseline}}}\right) \times 100\%$$

131 where  $\text{BiP}_{\text{baseline}}$  = pre-drug baseline BiP value,  $\text{BiP}_{\text{drug}}$  = BiP value following ORM-12741.

132 Left- and right-side receptor occupancy estimates were averaged to a single value for each ROI.

133 Liquid chromatography-tandem mass spectrometry was used for the determination of concentrations of ORM-12741 in  
134 plasma extracted from venous blood samples. Plasma PK variables ( $C_{\text{max}}$ : peak concentration,  $t_{\text{max}}$ : time to peak  
135 concentration,  $\text{AUC}_t$ : area under the drug plasma concentration-time curve from time zero to the last observed  
136 concentration,  $\text{AUC}_\infty$ : area under the drug plasma concentration-time curve from time zero to infinity,  $t_{1/2}$ : terminal half-  
137 life) for ORM-12741 were calculated by non-compartmental analysis using the WinNonlin® Professional software  
138 package version 5.0.1 (Pharsight Corporation, Mountain View, CA, USA). The actual time points for blood sampling  
139 were used in the PK calculations.

140 Non-linear regression analysis was used to evaluate the relationships between ORM-12741 plasma levels and receptor  
141 occupancy. Temporal occupancy patterns were estimated with a regression model. Statistical analyses were performed  
142 with SAS® for Windows (SAS Institute Inc., Cary, NC, USA) on observed cases only.

143 The safety of the subjects was evaluated by recording of adverse events (AEs), supine heart rate and blood pressure, 12-  
144 lead electrocardiogram, laboratory safety assessments and physical examination findings.

145

## 146 **Results**

### 147 *In vitro Human receptor pharmacology*

148 ORM-12741 displayed high affinity and potent antagonist activity as well as selectivity for the human  $\alpha_{2C}$ -AR. The  $K_i$   
149 estimates for the human  $\alpha_{2A}$ -AR,  $\alpha_{2B}$ -AR and  $\alpha_{2C}$ -AR were 8.3, 0.8 and 0.08 nM, respectively. In functional assays, ORM-  
150 12741 inhibited adrenaline-induced elevations of intracellular calcium mediated by human  $\alpha_{2A}$ -AR,  $\alpha_{2B}$ -AR and  $\alpha_{2C}$ -AR  
151 with equilibrium dissociation constant ( $K_b$ ) estimates of 55, 1.4 and 0.04 nM, respectively. Similar antagonist potency  
152 estimates were obtained when noradrenaline was used as the agonist, with  $K_b$  estimates of 41, 5.6 and 0.01 nM for  $\alpha_{2A}$ -  
153 AR,  $\alpha_{2B}$ -AR and  $\alpha_{2C}$ -AR, respectively.

154

155 ***Rat brain  $\alpha_{2C}$ -AR occupancy***

156 *Ex vivo* brain autoradiography with  $^{11}\text{C}$ -ORM-13070 produced the strongest signals in the striatum, with less tracer uptake  
157 in other brain regions, such as the hippocampus and frontal cortex (Figure 1A). The signal was most intense 10 min after  
158 the injection of  $^{11}\text{C}$ -ORM-13070 and had dissipated by 30 min. Pretreatment with ORM-12741 inhibited the uptake of  
159  $^{11}\text{C}$ -ORM-13070 in a time- and dose-dependent manner, indicating engagement of  $\alpha_{2C}$ -ARs, with clear inhibitory effects  
160 after doses ranging from 10 to 1000  $\mu\text{g}/\text{kg}$  s.c. (Figure 1B). Based on a tentative analysis of the limited available dataset,  
161 a half maximal effective concentration ( $\text{EC}_{50}$ ) value of 1.42  $\text{ng}/\text{mL}$  (95% confidence interval (CI): 0.55 - 3.65  $\text{ng}/\text{mL}$ )  
162 was determined for concentrations of ORM-12741 in plasma, corresponding to an unbound fraction of 0.074  $\text{ng}/\text{mL}$  (0.23  
163 nM) and a maximum receptor occupancy estimate ( $\text{E}_{\text{max}}$ ) of 76 % (CI: 60 - 98 %).

164

165 ***Human brain  $\alpha_{2C}$ -AR occupancy***

166 A total of 26 male subjects were screened and 19 were included in the study (mean age 24.5 years; range 18 - 40 years).  
167 One subject in the 1 mg dose group discontinued the study due to personal reasons after his baseline PET scan and did  
168 not receive ORM-12741. 18 subjects completed the study and were administered single oral doses of ORM-12741 (Table  
169 1). The median radioactive dose of  $^{11}\text{C}$ -ORM-13070 was 499 (range 302 - 558) MBq for baseline PET scans and 489  
170 (range 209 - 523) MBq for scans after ORM-12741 administration. The median injected mass of  $^{11}\text{C}$ -ORM-13070 was  
171 0.4 (range 0.1 - 2.9)  $\mu\text{g}$  for baseline PET scans and 0.4 (range 0.1 - 1.9)  $\mu\text{g}$  for PET scans after ORM-12741 administration.  
172 Following oral administration, plasma levels of ORM-12741 increased rapidly and peaked between 0.7 and 1.1 hours,  
173 with median  $t_{\text{max}}$  ranging from 0.7 to 0.9 h for the different doses (Figure 2 and Supplemental Material Table S1).

174 Regional brain  $\alpha_{2C}$ -AR occupancy (in the caudate nucleus, putamen, thalamus, frontal cortex, parietal cortex, occipital  
175 cortex and hippocampal region), as measured by  $^{11}\text{C}$ -ORM-13070 PET analysis, after single oral doses of ORM-12741  
176 is summarized in Supplemental Material S2.

177 In the baseline scans, the largest BiP estimates were seen in the caudate nucleus and putamen. The  $\alpha_{2C}$ -AR occupancy by  
178 ORM-12741 was also most evident in these ROIs. Since good test-retest reproducibility for  $^{11}\text{C}$ -ORM-13070 uptake has  
179 been previously demonstrated in these brain regions (6), occupancy results from these ROIs are presented in more detail.  
180 Individual  $\alpha_{2C}$ -AR occupancy results by time point in the caudate nucleus and putamen and corresponding ORM-12741  
181 concentrations in plasma are presented in Supplemental Material S3.

182 In the caudate nucleus and putamen, ORM-12741 produced dose-related increases in  $\alpha_{2C}$ -AR occupancy up to the 30 mg  
183 dose, with little or no effect seen at the 0.3 and 1 mg dose levels. After 10, 30 or 60 mg of ORM-12741, significant  
184 receptor occupancy was observed, peaking at one hour after dosing with occupancy estimates up to 42 %, 70 % and 71  
185 %, respectively, in the caudate nucleus. Figure 3 shows a representative set of PET images from one subject who received  
186 60 mg of ORM-12741. In the putamen, the occupancy estimates were generally somewhat lower than in the caudate  
187 nucleus (Figures 4A and 4B). In the neocortex, hippocampus and thalamus, the occupancy estimates were small and  
188 variable, also after the 10, 30 and 60 mg doses.

189 Based on sigmoidal maximum possible effect ( $E_{max}$ ) modelling, the  $E_{max}$  estimates (with 95 % CIs) were 63 (CI: 39 - 100)  
190 % as calculated from the caudate nucleus data (Figure 4A) and 52 (CI: 31 - 89) % as calculated from the putamen data  
191 (Figure 4B). The half-maximal effective concentration ( $EC_{50}$ ) estimates for ORM-12741 in plasma (with 95 % CIs) were  
192 24 (10 - 58) ng/mL and 31 (9.8 - 97) ng/mL, corresponding to an unbound fraction of 0.024 ng/mL (0.07 nM) and 0.031  
193 ng/mL (0.1 nM) for the caudate nucleus (Figure 4A) and putamen (Figure 4B), respectively. The corresponding  $EC_{90}$   
194 estimates (concentrations that produce 90 % of the maximum effect) were 105 ng/mL and 209 ng/mL for the caudate  
195 nucleus and putamen, respectively.

196 Both ORM-12741 and  $^{11}C$ -ORM-13070 were well tolerated, with no serious AEs. Eleven mild AEs were reported in 8  
197 subjects. Headache was the most common AE (4 events in 4 subjects).

198

## 199 **Discussion**

200 The current translational investigation provides evidence supporting ORM-12741 as a selective, high-affinity antagonist  
201 of  $\alpha_{2C}$ -ARs with sufficient penetration of the blood-brain barrier to occupy  $\alpha_{2C}$ -ARs in the human brain, confirming its  
202 primary mode of action.

203 Receptor binding analysis demonstrated that ORM-12741 has high affinity for the cloned human  $\alpha_{2C}$ -AR ( $K_i$ : 0.08 nM)  
204 and lower affinity for the  $\alpha_{2A}$ -AR ( $K_i$ : 8.3 nM) and  $\alpha_{2B}$ -AR ( $K_i$ : 0.8 nM) subtypes, i.e. approximately 100- and 10-fold  
205 receptor subtype selectivity. ORM-12741 also antagonized intracellular calcium responses mediated by cloned human  
206  $\alpha_{2C}$ -AR activated with adrenaline ( $K_b$ : 0.04 nM) or noradrenaline ( $K_b$ : 0.01 nM) with potency estimates consistent with  
207 its binding affinity. Its relative  $\alpha_2$ -AR subtype selectivity was somewhat higher in the functional assay compared to the  
208 receptor binding assay, with 4100- and 560-fold higher potency at the  $\alpha_{2C}$ -AR compared to  $\alpha_{2A}$ -AR ( $K_b$ : 41 nM) and  $\alpha_{2B}$ -  
209 AR ( $K_b$ : 5.6 nM). In a general selectivity screen with 126 additional receptors and drug binding sites (GPCRs, ion



210 channels, transporters, enzymes), binding of ORM-12741 to the  $\alpha_{1A}$ -AR ( $K_i$  estimate, 46 nM) was most notable, but this  
211 represented an approximately 575-fold affinity ratio when compared with  $\alpha_{2C}$ -AR. ORM-12741 had much lower affinity  
212 ( $\alpha_{2C}$ -AR selectivity at least > 2000 fold) against all other targets tested (unpublished data, Orion Pharma). Overall, these  
213 results confirm that ORM-12741 is a selective, high-potency antagonist of human  $\alpha_{2C}$ -ARs. Since the  $\alpha_{2A}$ -AR is the most  
214 prevalent and widely distributed  $\alpha_2$ -AR subtype in humans, high selectivity over this target should reduce the potential  
215 for peripheral (e.g. cardiovascular) or central (e.g. anxiety) side-effects that are commonly observed with subtype non-  
216 selective  $\alpha_2$ -AR antagonists (12, 13).

217  $^{11}\text{C}$ -ORM-13070 has previously been validated as a selective PET ligand for assessing  $\alpha_{2C}$ -AR expression and occupancy  
218 in rat (4) and human brain (7). The current results extend and support these previous findings, confirming that  $^{11}\text{C}$ -ORM-  
219 13070 shows similar regional distribution patterns in rat and human brain, with the most intense signal in the striatum.  
220 Pretreatment of rats with ORM-12741 inhibited  $^{11}\text{C}$ -ORM-13070 binding in a dose- and exposure-related manner with  
221 significant effects at 10  $\mu\text{g}/\text{kg}$  (s.c.). This dose was associated with a  $C_{\text{max}}$  in rat plasma of 3-6 nM, and a protein-unbound  
222 free drug concentration of 0.015-0.03 nM (free fraction 5% in rat plasma), which is in line with the affinity of ORM-  
223 12741 for  $\alpha_{2C}$ -AR in vitro. Furthermore, similar exposure levels have been associated with the pharmacodynamic effects  
224 of ORM-12741 seen in the rat forced swim test (FST) and the phencyclidine-induced prepulse inhibition (PPI) model at  
225 doses of  $\geq 16 \mu\text{g}/\text{kg}$  (s.c.) and  $\geq 10 \mu\text{g}/\text{kg}$  (s.c.), respectively (14). Consistently, gene-targeted  $\alpha_{2C}$ -AR knock-out mice  
226 have shown reduced immobility in the FST (15, 16). In addition, other  $\alpha_{2C}$ -AR antagonists have shown similar effects in  
227 the FST and PPI models (1, 11, 17). Collectively, the accumulated in vitro and in vivo receptor-level evidence, together  
228 with the phenotypic pharmacodynamic signals observed in the FST and PPI models, formed the basis for this translational  
229 study in human subjects to validate the engagement of brain  $\alpha_{2C}$ -ARs by ORM-12741.

230 The current PET study further confirmed the previously reported  $^{11}\text{C}$ -ORM-13070 uptake and distribution pattern in the  
231 human brain, with the strongest binding signal being observed in the caudate nucleus and putamen (6, 7). Given the very  
232 small mass (average, 0.4  $\mu\text{g}$ ) of ORM-13070 delivered with the target radioactivity, the PET tracer was unlikely to  
233 compromise receptor availability for the occupancy analysis. These features of  $^{11}\text{C}$ -ORM-13070 together with acceptable  
234 PK properties and good test-retest reliability make it a feasible tracer for PET-based receptor occupancy analysis. The  
235 results obtained with ORM-12741 in the present investigation provide further support for this notion, for the first time  
236 employing a subtype-selective  $\alpha_{2C}$ -AR antagonist. Dosing with ORM-12741 decreased the specific binding of  $^{11}\text{C}$ -ORM-  
237 13070 in the caudate nucleus and putamen in a time- and exposure-dependent manner, indicating occupancy of  $\alpha_{2C}$ -ARs.

238 Significant  $\alpha_{2C}$ -AR occupancy was detectable in the human brain after  $\geq 10$  mg oral doses of ORM-12741. The peak  
239 receptor occupancy and the time course of occupancy were in agreement with drug concentrations in plasma, in terms of  
240 e.g.  $C_{max}$  and  $t_{max}$ . The observed mean  $C_{max}$  of ORM-12741 in plasma after 10 mg doses was 62.6 ng/mL, corresponding  
241 with a protein-unbound free drug concentration of 0.2 nM, which is close to its in vitro  $K_i$  estimate (0.08 nM) for the  $\alpha_{2C}$ -  
242 AR. Based on the measured plasma concentrations after 30 mg and 60 mg doses of ORM-12741, and taking into account  
243 an approximately 0.1 % free fraction in human plasma, these doses yielded approximately 0.4 nM and 0.5 nM free  
244 concentrations of ORM-12741 in plasma, respectively. These estimates are broadly consistent with the results obtained  
245 in vitro with cloned human  $\alpha_{2C}$ -AR, indicating that 1 nM ORM-12741 produces 93 % inhibition of (-)adrenaline binding.  
246 Furthermore, 1 nM ORM-12741 did not affect (-)adrenaline binding to the  $\alpha_{2A}$ -AR, suggesting that the doses used in the  
247 current PET study are likely to reflect selective antagonism of  $\alpha_{2C}$ -ARs. The low doses of 0.3 and 1 mg of ORM-12741  
248 resulted in average  $C_{max}$  of 1.2 ng/mL and 2.7 ng/mL, respectively, which provided free drug concentrations (4 - 9 pM)  
249 well below its  $\alpha_{2C}$ -AR  $K_i$ , explaining the lack of displacement of  $^{11}C$ -ORM-13070 after these doses. At the 10 - 60 mg  
250 dose levels, the basal ganglia occupancy estimates reached their maximum at about 1 h after dosing and then declined at  
251 the 6 and 12 hour time points towards minimal residual occupancy. The maximum occupancy was increased in a dose-  
252 related fashion up to the 30 mg dose level (about 70 % in the caudate nucleus), but increasing the dose further to 60 mg  
253 did not increase occupancy at 1 h. Still, the occupancy estimates at 3.5 hours were somewhat higher after 60 mg than after  
254 30 mg.

255 The relationship of  $\alpha_{2C}$ -AR occupancy in the caudate nucleus and putamen with plasma ORM-12741 concentrations was  
256 best described by a sigmoidal  $E_{max}$  model, in concordance with classical receptor binding to a single population of  
257 receptors. The analysis of the relationship of  $\alpha_{2C}$ -AR occupancy with ORM-12741 concentrations in plasma was limited  
258 by the paucity of PET scanning data at higher plasma concentrations of ORM-12741 than 125 ng/mL. Thus, further  
259 increases in regional brain  $\alpha_{2C}$ -AR occupancy with increasing concentrations of ORM-12741 in plasma can therefore not  
260 be excluded. Therefore, the  $E_{max}$ ,  $EC_{90}$  and  $EC_{50}$  estimates should be viewed as preliminary estimates. However, the  
261 maximum occupancy estimates achieved in the present study were in the same range as previous results where  $^{11}C$ -ORM-  
262 13070 occupancy was measured in healthy human subjects after administration of the subtype-nonselective  $\alpha_2$ -AR  
263 antagonist atipamezole (7), and are also in line with the rat data. Issues to be considered in this context include the relative  
264 receptor binding specificity of the two competing  $\alpha_{2C}$ -AR ligands, the tracer and the test drug ORM-12741, and the  
265 contribution of a putative radioactive tracer metabolite that may have interfered with the occupancy estimation. The results  
266 of an earlier validation study of the  $\alpha_{2C}$ -AR PET tracer  $^{11}C$ -ORM-13070 (7), supported by nonclinical observations (4),  
267 indicated that a radioactive tracer metabolite may enter the brain but appears to exhibit no specific binding to  $\alpha_{2C}$ -ARs.

268 Therefore, a negative bias may be present in the BiP estimates. Longer scan times would be expected to lead to even  
269 greater bias in BiP due to the accumulation of the metabolite in the brain. It is also noteworthy that it was not possible to  
270 include time as a factor into the model. Thus, it seems plausible that the occupancy estimates follow plasma ORM-12741  
271 concentrations relatively closely.

272 Species differences in the binding of ORM-12741 to plasma proteins are likely to explain the difference in total exposure  
273 levels required for effects in humans and rats; the free fraction is ~ 50-fold higher in rat plasma compared to human  
274 plasma. Overall, the PET study results provide direct evidence to support the primary mode of action of ORM-12741,  
275 involving  $\alpha_{2C}$ -AR occupancy in the human brain.

276

## 277 **Conclusion**

278 The current translational investigation provides evidence to support ORM-12741 as a novel, selective  $\alpha_{2C}$ -AR antagonist  
279 with target engagement demonstrated both in rat and human brain in a manner consistent with its receptor pharmacology.

280 The results thus help to confirm its primary mechanism of action, involving selective occupancy of  $\alpha_{2C}$ -AR in the basal  
281 ganglia of the rat and human brain, and also provides valuable insight for dose selection in patient trials. Indeed, the  
282 present results were already used to help to decide a dosing scheme of ORM-12741 associated with meaningful  $\alpha_{2C}$ -AR  
283 occupancy, to be used in a Phase 2 clinical drug trial in patients with Alzheimer's disease (2).

284

## 285 **List of abbreviations**

286 **NA:** noradrenaline

287  **$\alpha_{2C}$ -AR:**  $\alpha_{2C}$ -adrenoceptor

288 **K<sub>i</sub>:** Inhibition constant

289 **ROI:** Region-of-interest

290 **AUC:** area under the curve

291 **BiP:** binding parameter

292 **K<sub>b</sub>:** equilibrium dissociation constant

293 **EC<sub>50</sub>:** a half maximal effective concentration

294 **E<sub>max</sub>**: a maximum receptor occupancy estimate

295 **E<sub>max</sub>**: maximum possible effect

296 **FST**: forced swim test

297 **PPI**: prepulse inhibition

298

299 **Declarations**

300 **Ethics approval and consent to participate**

301 All procedures performed in studies were in accordance with the ethical standards of the institutional and/or national  
302 research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

303 This protocol was approved by the relevant institutional review boards and all subjects or authorized representatives  
304 signed informed consent prior to the conduct of study procedures.

305 **Consent for publication**

306 The consent form signed by each patient or representative included the statement: The results of the study may also be  
307 published in a medical journal. A copy of our template consent form (required text for all sites) is available on request.

308

309 **Availability of data and material**

310 The datasets generated and analysed during the current study are not publicly available due to intellectual property reason,  
311 but are available from the corresponding author on reasonable request.

312 **Competing interest**

313 JR and KK are employees of Orion Pharma. MSh and JS were employees of Orion Pharma at the time of the study  
314 conduct. JOR, MSc, JV, PM, OS and EA were engaged in contract research for Orion Pharma in the context of the current  
315 study.

316

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318 **Authors' contributions**

319 MSh JS, PM and KK, contributed to planning and conduct of the preclinical part of the study and interpretation of the  
320 results, JOR, MSc, JV, OS, EA, and JR contributed to conception and design of the human PET study, study conduct and  
321 interpretation of the results. MSh, JOR, MSc and JR drafted the manuscript, and all authors reviewed and commented  
322 the manuscript. All authors read and approved the final manuscript.

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326

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- 381



382 **Figure legends**

383

384 FIGURE 1. (A) Representative 40  $\mu\text{m}$  coronal brain sections from rats pre-treated with vehicle or ORM-12741 (2, 10,  
385 50  $\mu\text{g}/\text{kg}$  subcutaneously) at 10 min post  $^{11}\text{C}$ -ORM-13070 intravenous injection. Sections are from the level of the  
386 caudate-putamen, olfactory tubercle and frontal cortex. The color pseudo-autoradiograms show the regional distribution  
387 of the  $^{11}\text{C}$ -label, red highest, blue lowest uptake. (B) The relationship between ORM-12741 (10 to 1000  $\mu\text{g}/\text{kg}$  s.c.)  
388 plasma levels and  $\alpha_{2\text{C}}$ -adrenoceptor occupancy in rat striatum. The blue line represents a binding hyperbole derived  
389 from nonlinear regression analysis with a sigmoidal maximum possible effect model. The red lines represent 95%  
390 confidence intervals.

391

392 FIGURE 2. Pharmacokinetic profile of ORM-12741 showing mean ( $\pm$  standard error of mean) plasma concentrations  
393 following oral administration of four single doses to fasted healthy male volunteers.

394

395 FIGURE 3. A representative set of human brain PET images from one healthy male volunteer at baseline and 1 h, 3.5 h  
396 and 6.5 h after 60 mg of ORM-12741.

397

398 FIGURE 4. The relationship between ORM-12741 plasma levels and  $\alpha_{2\text{C}}$ -adrenoceptor occupancy in human (A)  
399 caudate nucleus and (B) in putamen. The blue line represents binding hyperboles derived from nonlinear regression  
400 analysis with a sigmoidal maximum possible effect model. The red lines represent 95% confidence intervals.

401 Concentrations of ORM-12741 in plasma represent mean values of samples collected at the start and end of the PET  
402 scan. Each data point represents an individual occupancy value at one time point.