

Conflict or Coordination? Assessment of Coordinated Development between Socioeconomic and Ecological Environment in Resource-based Cities ☒ Evidence from Sichuan Province of China

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1 **Conflict or coordination? Assessment of coordinated** 2 **development between socioeconomic and ecological** 3 **environment in resource-based cities: Evidence from** 4 **Sichuan province of China**

5 **Abstract**

6 The relationship between socioeconomic and ecological environment become a
7 significant factor influencing the sustainable development of resource-based cities
8 (RBCs), the transformation of RBCs is a key component of regional high-quality
9 development. A comprehensive evaluation indicator system was constructed to research
10 the coupling coordination level of RBCs in this paper, the dynamic DM model and the
11 CCD model were adopted to measure the comprehensive level of the coupling
12 coordination degree between socioeconomic and ecological environment of the 9
13 resource-based cities in Sichuan province. The results showed that the coupling
14 coordination level was not ideal. Only two cities were located to the moderate
15 coordination, and other cities were located to the primary coordination or tiny
16 coordination. In addition, there was a significant different between SE and EE, and the
17 comprehensive evaluation score of SE was lower than that of EE in eight RBCs, which
18 accounting for 88.89%. Based on this, the policy suggestions for the sustainable
19 development of different type of RBCs were provided. This study offers a model of
20 China's experience that might be benefit for achieving sustainable development goals
21 (SGDs) of other cities and countries.

22 **Key words:** Socioeconomic; Ecological environment; Resource-based cities; Sichuan
23 province; Coupling coordination degree.

24 **1. Introduction**

25 Resource-based cities (RBCs) are the core elements to promote the industrialization
26 process in China. In general, the RBCs are cities that have enrichment energy resources,

27 and the exploitation of energy or mineral resources are the core driving force for urban
28 economic growth. However, due to the serious pollution caused by the exploitation of
29 energy resources and the characteristics of non-renewable resources, the RBCs are
30 faced with the challenge of how to take the road of green development. Statistical
31 studies show that RBCs have the phenomenon of “resource curse”, which means that
32 the degree of resource abundance is inversely proportional to the level of sustainable
33 economic growth (Yang and Song, 2019; Manzano and Gutiérrez, 2019; Zhang and Cui,
34 2020). The economic growth rate of resource-based cities usually changes from high to
35 low in the rapid development of resource-based enterprises, but the ecological
36 environment mostly shows the condition of gradual deterioration (Poncian, 2019;
37 Adams et al., 2019). Breaking the traditional development mode and exploring a growth
38 path that conforms to the coordinated development of socioeconomic and ecological
39 environment has become a core issue for the transformation of RBCs.

40 The number of resource-based cities accounts for more than one third of all Chinese
41 cities (The State Council of China, 2013). These cities have made significant
42 contributions to China's industrialization path and economic growth. However, there
43 are many environment and social problems caused by the exploitation of natural
44 resources, such as industrial waste water pollution, mine vegetation destruction and
45 mining area unemployment. As a resource-dependent city, the economic growth and
46 social development of RBCs mainly depend on resource output, which presents an
47 obvious phenomenon of "resource curse", and the long-term extensive economic
48 growth model seriously restricts the industrial transformation and ecological
49 environment optimization of RBCs (Zhang and Cui, 2020; Frynas and Buur, 2020).
50 China's high-quality development model puts raise higher standard for urban economic
51 growth and industrial transformation, and the sustainable development ability of RBCs
52 that rely on traditional natural resources has become the focus of urban transformation
53 (Huang et al., 2020; Hu et al., 2020). The key to China's urban economic transformation
54 lies in the economically underdeveloped regions, especially the western regions of
55 China such as Sichuan province. To realize the transformation and sustainable
56 development goals of RBCs, the relationship between socioeconomic and ecological

57 environment should be coordinated.

58 Based on the *National Sustainable Development Plan of RBCs*, there are four types of
59 RBCs, involving resource-growth cities, resource-mature cities, resource-depleted
60 cities, and resource-regeneration cities (The Central people's Government of the
61 People's Republic of China, 2013). This paper adopts the above classification to
62 research the coupling coordination degree (CCD) between socioeconomic (SE) and
63 ecological environment (EE) of nine RBCs in Sichuan province of China. Through the
64 selection of evaluation model and construction of the theoretical framework (Fig. 1),
65 the indicator system of CCD between SE and EE is determined. By comparing
66 evaluation results of four types of cities, the key factors affecting different types of
67 RBCs can be found out. In addition, it's worth noting that RBCs of China have
68 significant similarities in their development paths and transformation modes, and the
69 successful transformation experience of a single city can be quickly promoted to other
70 cities. Therefore, the research on the four types of cities in Sichuan province is highly
71 applicable to RBCs in other regions of China.

72 Based on previous studies on RBCs, the dynamic deviation maximization method and
73 coupling coordination degree model are used to conduct empirical research (Fang et al.,
74 2016; Li et al., 2020). This paper conducts case studies on the development paths of
75 different types of RBCs in Sichuan province and discusses the CCD between SE and
76 EE of RBCs in Western China. Among them, the resource-mature cities and resource-
77 depleted cities are the key points of urban transformation and sustainable development,
78 which account for the highest proportion in China. The industries and economies of
79 these cities are under pressure to transform. Therefore, the dilemma faced by RBCs in
80 different periods are summarized in to provide reference for achieving the sustainable
81 development goals (SDGs) of different types of RBCs.

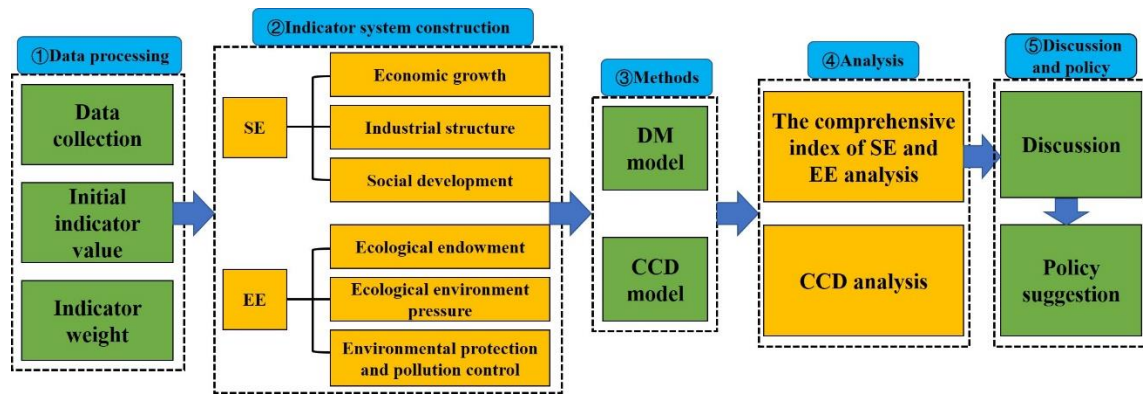


Fig. 1. Theoretical model proposed.

2. Literature review

The socioeconomic development of RBCs mainly depends on the natural resource exploitation, and the traditional economic growth model has caused tremendous pressure to the urban nature environment, including excessive urban sewage discharge, acid rain, air pollution and soil pollution. Coordinating the relationship between SE and EE has become a core issue to industrial transformation of RBCs (Xing et al., 2019; Liu et al., 2020). Existing researches on sustainable development of RBCs are mainly carried out from three aspects: (1) Urban innovation efficiency. The innovation of science and technology is the key factor of the transformation of RBCs (Lv et al., 2019), and the improvement of innovation efficiency mainly depends on the support of high-tech industry and government policy (Yang et al., 2019; Tie et al., 2020). (2) Urban industrial transformation model and green transformation performance. Optimizing the industrial structure and strengthening technology investment in extensive enterprise of RBCs are the two mainly model to achieve industrial transformation (Kuai et al., 2015; Zhang et al., 2018; Xie et al., 2020). (3) Ecological vulnerability and ecological security of RBCs. The long-term mining of mines will pose tremendous pressure to the urban ecological security and ecological vulnerability degree of RBCs, which is the difficulty in realizing urban and industrial transformation in RBCs (Chen et al., 2019; He et al., 2020; Yang et al., 2020; Dai et al., 2020).

There are abundant studies on coupling between economic growth and ecological

104 environment, but it's lacking of researching on the coordination between
105 socioeconomic and ecological environment of different types of RBCs. For one thing,
106 the ecological footprint model and the CDI are introduced to assess the comprehensive
107 score of socioeconomic and ecological environment from the macro and meso
108 perspective (Yang et al., 2019; Xu et al., 2019; Ariken et al., 2020), by measuring the
109 comprehensive index of SE and EE between different regions, the harmonious
110 relationship of sustainable development between regions and within regions is analyzed,
111 which also verifies the existence of environmental Kuznets curve (Li et al., 2016;
112 Pontarollo and Serpieri, 2020; Peng et al., 2020). For another, some studies have
113 segmented economic development and researched the coupling and coordinated
114 evolution of urban ecological environment from the perspectives of urban urbanization,
115 land use and urban tourism development (Tang, 2015; Chai et al., 2017; Kurniawan et
116 al., 2019; Wang et al., 2019; Yang et al., 2020).

117 Presently, many methods have been excavated to calculate the comprehensive index
118 and the dynamic change rule of coordination relationship between SE and EE.
119 Conventional evaluation methods mainly include the system dynamics model
120 (Derwisch and Loewe, 2015; Elsayah et al., 2017; Li et al., 2020), grey relational
121 analysis model (Wang et al., 2010; Li et al., 2012; Yang and Wu, 2019), fuzzy
122 comprehensive evaluation method (Zeng et al., 2013; Xu and Li, 2019; Wen et al., 2021),
123 the CCD model (Xu et al., 2019; Tao et al., 2020; Yang et al., 2020; Gan et al., 2020),
124 the principal component analysis method (Fan et al., 2017; Li et al., 2020), the support
125 vector machine method (Zhao and Lam, 2012; Zhao and Zhen, 2018), etc. Based on the
126 above model referred, the CCD model (CCDM) is the most widely used method to
127 estimate the variation of coupling coordination of multiple systems. The CCD refers to
128 the measurement of the coordination relationship between two or more dynamically
129 changing systems (Fu et al., 2020). The dynamic deviation maximization model and the
130 CCDM are combined to measure the comprehensive coordination level of RBCs in
131 Sichuan province in this paper.

132 Consistent with diversified application of evaluation methods, there is no consensus on
133 the indicator system of coupling and coordination evaluation of socioeconomic and

134 ecological environment has not been unified. For one thing, the socioeconomic
135 evaluation of RBCs mainly involves economic growth (Li and Zhou, 2020), industrial
136 structure (Tan et al., 2020), social employment (Tan et al., 2016), education level and
137 medical care (Li et al., 2017), etc. For another, the ecological environment evaluation
138 of RBCs includes ecological endowment (He et al., 2019), ecological environment
139 pressure (Song et al., 2020), the environmental protection and pollution control (Wu et
140 al., 2020). Therefore, both SE subsystem and EE subsystem contain many aspects of
141 content. However, most of the existing researches on RBCs focus on the evaluation of
142 a single city (Li et al., 2016; He et al., 2019; Wang et al., 2020), and it's lacking of
143 researching on dynamic assessment of the coordinated development of two or more
144 systems of RBCs.

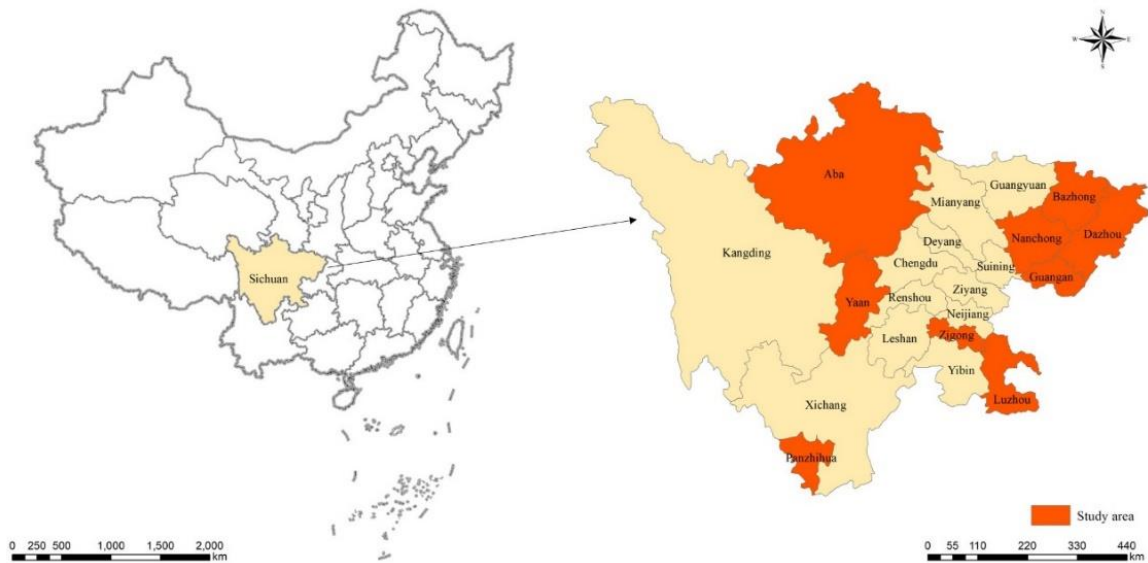
145 **3. Study area**

146 According to the National Sustainable Development Plan for RBCs (2013-2020), there
147 are 262 RBCs at the prefecture-level, county-level and municipal districts involved in
148 the plan (State Council, 2013). And there are 102 RBCs in the Western China, which
149 accounting for 38.93%. The disequilibrium contradiction between socioeconomic and
150 ecological environment is more significant due to the large area and the economically
151 underdeveloped regions of Western China. For instance, the western region accounts
152 for 20.71% of China's GDP in 2019, which is at a relatively low level. In addition, there
153 are 9 prefecture-level RBCs in Sichuan province, which accounting for 42.86%. The
154 basic general situation of nine resource-based cities in Sichuan province are illustrated
155 in Table 1.

156 The RBCs are divided into four types and the coordination levels between SE and EE
157 are dynamically evaluated in this paper. Due to the lack of statistics at the county level,
158 this paper selected 9 prefecture-level RBCs in Sichuan province as the research objects,
159 including Nanchong, Zigong, Panzhihua, Guangyuan, Guangan, Dazhou, Yaan, Luzhou,
160 Aba. The locations of the RBCs in Sichuan province are illustrated in Fig. 2.

161 Table 1

City	Population	Area (Km ²)	Per-capita (Yuan)	Main resources
Nanchong	7,237,100	12,477	36,073	Oil, gas, gold, iron, uranium, phosphorus, salt
Zigong	3,203,500	4,381	48,904	Coal, salt, gas, gold
Panzhihua	1,083,700	7,401	82,500	Iron, vanadium, coal, chromium, gallium, scandium, nickel, copper
Guangyuan	2,988,600	16,311	35,262	Coal, gold, silicon, graphite
Guangan	4,593,000	6,341	38,522	Coal, gas, oil, iron, salt
Dazhou	6,589,400	16,582	30,982	Coal, gas, iron, vanadium, salt
Yaan	1,541,000	15,046	46,984	Coal, gas, iron, cobalt, manganese, titanium
Luzhou	5,085,400	12,236	48,105	Coal, oil, gas, iron, copper, gold
Aba	899,300	83,016	41,278	Gold, lithium, iron, shale mine, coal



163
164

Fig. 2. The location of the 9 RBCs in Sichuan province.

165 **4. Methods**

166 4.1. Construction of comprehensive index system

167 The indicator system is established to scientifically reflect the comprehensive
 168 evaluation score of regional SE and EE subsystem in different perspectives. The
 169 selection of each indicator must abide by the principles of objectivity, scientific,
 170 comprehensive and accessibility. By referring to existing literature and considering the
 171 availability of research data, the indicator system established in this paper is shown in
 172 Table 2.

173 The SE subsystem contains three primary indicators and eleven second-level indicators.

174 The indicators “Per capita GDP”, “Per capita disposable income of urban residents”,

175 “Per capita retail sales of consumer goods” and “Per capita export trade” were selected
176 to reflect the urban economic growth and urban economic comprehensive level. The
177 indicators “Proportion of secondary industry to GDP” and “Proportion of service
178 industry to GDP” were used to reflected the dynamic changes of urban industrial
179 structure. The indicator “Unemployment rate”, “Urbanization rate”, “Natural
180 population growth rate”, “Proportion of educational expenditure to the public finance”
181 and “Number of doctors per 10,000 people” were selected to reflected the
182 comprehensive score of employment, population growth, education development and
183 medical-health services.

184 The EE subsystem includes three primary indicators and ten secondary indicators. This
185 paper selected the four indicators “Forest coverage rate”, “Per capita green area”,
186 “Green coverage rate in built-up areas”, “Per capita arable land” to represent the
187 regional ecological endowment. The indicators “Per capita discharged volume of
188 industrial waste water”, “Per capita discharged volume of industrial SO₂” and “Per
189 capita discharged volume of industrial dust” were used to measure the pollution
190 pressure caused by industrial development to the ecological environment. Intensity of
191 environmental regulation is an important guarantee for the quality of regional
192 ecological environment. Therefore, the indicators “Sewage treatment rate”, “Harmless
193 disposal rate of household garbage” and “Comprehensive utilization rate of industrial
194 solid waste” were used to explain the capability of environmental protection and
195 governance.

196 It is noted that the secondary indicators demonstrated above cannot absolutely explain
197 the comprehensive level in SE and EE of RBCs. For instance, the utilization rate of
198 renewable energy, the utilization rate of non-renewable energy and the proportion of
199 the output value of high-tech industries are important factors to measure the
200 comprehensive sustainable development of RBCs. However, due to the unavailability
201 of statistical data for some years of the study area. There, these indicators are not
202 included in this paper.

203 Table 2

204 The indicator system of socioeconomic and ecological environment.

Subsystem	Primary indicators	Secondary indicators	Unit	Weight
Socioeconomic subsystem	Economic growth	Per capita GDP	Yuan	0.219
		Per capita disposable income of urban residents	Yuan	0.085
		Per capita retail sales of consumer goods	Yuan	0.058
		Per capita export trade	Yuan	0.025
	Industrial structure	Proportion of secondary industry to GDP	%	0.101
		Proportion of service industry to GDP	%	0.147
	Social development	Unemployment rate	%	0.124
		Urbanization rate	%	0.054
		Natural population growth rate	%	0.033
		Proportion of educational expenditure to the public finance	%	0.087
Ecological environment subsystem	Ecological endowment	Forest coverage rate	%	0.027
		Per capita green area	m ²	0.027
		Green coverage rate in built-up areas	%	0.059
		Per capita arable land	m ²	0.041
	Ecological environment pressure	Per capita discharged volume of industrial waste water	tons	0.264
		Per capita discharged volume of industrial SO ₂	tons	0.138
		Per capita discharged volume of industrial dust	tons	0.157
	Environmental protection and pollution control	Sewage treatment rate	%	0.175
		Harmless disposal rate of household garbage	%	0.081
		Comprehensive utilization rate of industrial solid waste	%	0.031

205

206 4.2. The dynamic deviation maximization method

207 In order to eliminate the effect of unit differences of indicator variables, the original

208 indicator variable R_{ij}^{tk} needs to be standardized, and R_{ij}^{tk} refers to the assessment

209 period t_k of city O_i on indicator I_j , where $i = 1, 2, \dots, n$, $j = 1, 2, \dots, m$,

210 $k = 1, 2, \dots, N$. The formula (1) was used to standardize the positive indicators, and

211 formula (2) was used to standardize the negative indicators.

$$212 \quad Q_{ij}^{tk} = \frac{R_{ij}^{tk} - \min(R_{ij}^{tk})}{\max(R_{ij}^{tk}) - \min(R_{ij}^{tk})} \quad (1)$$

$$213 \quad Q_{ij}^{tk} = \frac{\max(R_{ij}^{tk}) - R_{ij}^{tk}}{\max(R_{ij}^{tk}) - \min(R_{ij}^{tk})} \quad (2)$$

214 Where Q_{ij}^{tk} represents the standardized matrix, $\max(Q_{ij}^{tk})$ and $\min(Q_{ij}^{tk})$ represents

215 the maximum value and the minimum value in the original matrix of indicator I_j ,

216 respectively.

217 $w = (w_1, w_2, \dots, w_m)^T$ represents the undetermined weights of m indicators. The
 218 weighted sum model (3) was adopted to assemble the standardized indicator values and
 219 the indicator weights.

$$220 \quad Z_i^{tk} = \sum_{j=1}^m Q_{ij}^{tk} w_j, \quad i = 1, 2, \dots, n \quad (3)$$

221 Where Z_i^{tk} represents the comprehensive evaluation score of cities O_i in the period
 222 t_k .

223 The dynamic DM model was used for the purpose of assigning scientific weights to
 224 indicator variables, it can maximize the overall difference of decision scheme for each
 225 period, and the optimal decision scheme can be realized. Based on this, the
 226 programming model (4a) and (4b) were used to make decisions.

$$227 \quad \max \sum_{k=1}^N \sum_{i=1}^n (Z_i^{tk} - \bar{Z})^2 \quad (4a)$$

$$228 \quad s.t. \begin{cases} W^T W = 1 \\ 0 \leq w_j \leq 1 \end{cases} \quad (4b)$$

229 Where \bar{Z} is the average score of each evaluation results of all decision schemes, and
 230 \bar{Z} is calculated by the formula (5).

$$231 \quad \bar{Z} = \frac{1}{N} \sum_{k=1}^N \left(\frac{1}{n} \sum_{i=1}^n Z_i^{tk} \right) \quad (5)$$

232 The constraint condition $W^T W = 1$ will cause the sum of each indicator weights not
 233 equal to 1 in the programming model (4a) and (4b). So, the final weight of each
 234 indicator was calculated by standardization treatment, and $w_j' = w_j / \sum_{j=1}^m w_j$. Where w_j
 235 was calculated by the model (4a) and (4b), and the final weight of each indicator were
 236 showed in the Table 2.

237 4.3. CCD model

238 The variables ZSE and ZEE refers to the comprehensive evaluation score of SE and EE
 239 subsystem, respectively. The calculation process of CCD was as follows:

240
$$C = \frac{\sqrt{Z_{SE} \times Z_{EE}}}{(Z_{SE} + Z_{EE})/2} \quad (6)$$

241
$$T = \alpha Z_{SE} + \beta Z_{EE} \quad (7)$$

242
$$CCD = \sqrt{C \times T} \quad (8)$$

243 Where C represents the coupling level of SE and EE. T refers to the evaluation scores
 244 of SE and EE, α and β are undetermined coefficients of SE and EE, with $\alpha + \beta = 1$
 245 and $\alpha, \beta \in [0, 1]$. In general, socioeconomic development as important as the ecological
 246 environment under urban sustainable development goals. Therefore, the value of α
 247 and β are the same in this paper, $\alpha = \beta = 0.5$.

248 The variable CCD refers to the comprehensive evaluation score of coupling
 249 coordination of RBCs, and the value range is [0, 1]. The higher the evaluation value of
 250 CCD indicate that the higher the coupling coordination score and the higher the SE and
 251 EE comprehensive evaluation scores of research region. Conversely, the lower the
 252 evaluation value of CCD indicate that the lower the evaluation level. And there is no
 253 coupling coordination degree when the value of CCD is 0, this is in contradiction with
 254 the development of urban reality (Yang and Na, 2019; Li et al., 2020).

255 **5. Results and findings**

256 The comprehensive evaluation results of SE in the 9 RBCs of Sichuan province showed
 257 significant differences (see the results shown in Table 3). From 2008 to 2018, the
 258 comprehensive level of the SE in Sichuan province presented an escalating trend, from
 259 0.305 to 0.391, with an increase of 28.20%. However, the growth rate and growth range
 260 of the RBCs in Sichuan province were different, with significant spatial differences. It
 261 is shown that Panzhihua had the highest score and Guanyuan had the lowest score in
 262 socioeconomic development.

263 The evaluation scores of Nanchong increased from 0.292 to 0.351 during this period,
 264 and Nanchong is a resource-growth city with abundant mineral resources. The driving

265 force of socioeconomic development in Nanchong is the heavy exploration of oil, gas,
 266 iron, et al. As the oil and gas and energy chemical industry base in Sichuan province of
 267 western China, with the large-scale exploitation of natural resources, the SE of
 268 Nanchong has been rapidly promoted.

269 There are 6 prefecture-level resource-mature cities in Sichuan province. It is shown that
 270 the growth difference of SE in these cities is relatively significant. The evaluation scores
 271 of Zigong, Panzhihua, Guangyuan, Guangan, Dazhou and Yaan all showed an upward
 272 trend. The respective increases from 2008 to 2018 were 15.24%, 17.81%, 34.80%,
 273 22.78%, 41.67% and 12.14%. As one of the four major iron mining areas in China, the
 274 resource reserves of associated titanium in Panzhihua accounted for 93% of the country.
 275 From 2008 to 2018, the evaluation score increased from 0.657 to 0.774 in Panzhihua,
 276 which has the best comprehensive evaluation score in SE among the RBCs in Sichuan
 277 province. Guangyuan, Guangan and Dazhou lies in the northeast of Sichuan province,
 278 due to the long-term dependence on traditional mining and heavy industry, which leads
 279 to the relatively backward social and economic development. Although Northeast
 280 Sichuan is an important energy base in western China, industrial development has not
 281 brought significant social progress and development, and the evaluation scores in these
 282 cities were lower than other cities in Sichuan province.

283 The evaluation scores of Luzhou increased from 0.264 to 0.413 during the time, Luzhou
 284 is a resource-depletion city in Sichuan province. It can be seen from the calculation
 285 results that the socioeconomic development of Luzhou grew rapidly from 2016 to 2018,
 286 indicating that Luzhou is undergoing urban industrial transformation to promote the
 287 urban high-quality sustainable development. The evaluation scores of Aba increased
 288 from 0.273 to 0.424, with an increase of 55.31%.and Aba is a resource-regeneration
 289 city in Sichuan province. Tourism industry is gradually displacing the dominant role of
 290 extractive industries, and it takes the highest proportion.

291 Table 3

292 The comprehensive evaluation level of the SE in the 9 RBCs of Sichuan province from 2008 to
 293 2018.

City type	Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Growth	Nanchong	0.292	0.301	0.314	0.302	0.318	0.328	0.321	0.314	0.329	0.338	0.351

Mature	Zigong	0.315	0.322	0.339	0.331	0.319	0.332	0.312	0.315	0.345	0.357	0.363
	Panzhihua	0.657	0.682	0.701	0.748	0.752	0.753	0.755	0.760	0.761	0.765	0.774
	Guangyuan	0.204	0.216	0.218	0.223	0.234	0.245	0.254	0.259	0.261	0.268	0.275
	Guangan	0.259	0.265	0.272	0.269	0.263	0.264	0.265	0.287	0.295	0.313	0.318
	Dazhou	0.204	0.198	0.216	0.215	0.236	0.246	0.251	0.268	0.277	0.276	0.289
	Yaan	0.280	0.295	0.311	0.298	0.310	0.281	0.295	0.296	0.309	0.317	0.314
Depletion	Luzhou	0.264	0.295	0.318	0.310	0.335	0.346	0.328	0.327	0.411	0.434	0.413
Regeneration	Aba	0.273	0.328	0.408	0.372	0.406	0.376	0.393	0.398	0.412	0.415	0.424
The region		0.305	0.323	0.344	0.341	0.353	0.352	0.353	0.358	0.378	0.387	0.391

294 It is shown that the comprehensive evaluation score of EE in the 9 resource-based cities
295 of Sichuan province presented an increasing trend (Table 4), from 0.455 to 0.521, with
296 an increase of 14.51%. The EE evaluation score of Aba increase from 0.305 in 2008 to
297 0.391 in 2018, with an increase of 26.12%, and the evaluation score of Aba is higher
298 than that of other RBCs in Sichuan province, the main reason is that Aba is a resource-
299 regeneration city, and its industrial development direction has changed to the tertiary
300 industry, which has strengthened the environmental regulation. Luzhou is the only city
301 with a decline trend in EE score in Sichuan province, the EE evaluation score of Luzhou
302 decrease from 0.449 in 2008 to 0.402 in 2018, with a decrease of -10.47%, due to the
303 industrial structure dominated by extractive industry for a long time has increased the
304 pressure on ecological environment, which leads to some serious social or environment
305 problems such as excess energy, resource depletion, and ecological environmental
306 pollution.

307 The ecological environment score of resource-mature cities is better than that of
308 resource-growth and resource-depletion cities in Sichuan province from 2008 to 2018.
309 The evaluation score of EE in Nanchong, Zigong, Panzhihua, Guangyuan, Guangan,
310 Dazhou and Yaan showed an increase trend of fluctuating, the respective increases from
311 2008 to 2018 were 35.90%, 24.22%, 10.81%, 10.17%, 23.06%, 1.38% and 16.83%, the
312 main reason is that the intensity of environmental regulation has been gradually
313 strengthened in recent years, which has alleviated the ecological environmental
314 pollution caused by the vigorous development of the extractive industry and heavy
315 industry to some extent. However, it is shown that the evaluation results in EE scores
316 of resource-mature cities and resource-growth cities showed a trend of decline in many
317 years, the main reason is that some cities have not implemented environmental

318 regulation policies and the local government has not provided support for the ecological
 319 environment protection of large-scale mining areas.

320 Table 4

321 The comprehensive evaluation level of the EE in the 9 RBCs of Sichuan province from 2008 to
 322 2018.

City type	Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Growth	Nanchong	0.312	0.297	0.265	0.259	0.290	0.308	0.357	0.348	0.416	0.402	0.424
Mature	Zigong	0.351	0.333	0.313	0.305	0.342	0.363	0.368	0.359	0.378	0.401	0.436
	Panzhihua	0.444	0.389	0.375	0.343	0.384	0.436	0.452	0.476	0.482	0.490	0.492
	Guangyuan	0.541	0.598	0.572	0.611	0.564	0.567	0.560	0.571	0.577	0.584	0.596
	Guangan	0.464	0.440	0.525	0.520	0.504	0.516	0.524	0.511	0.536	0.559	0.571
	Dazhou	0.506	0.507	0.489	0.525	0.492	0.498	0.507	0.516	0.510	0.499	0.513
	Yaan	0.517	0.498	0.503	0.527	0.540	0.556	0.585	0.587	0.573	0.584	0.604
Depletion	Luzhou	0.449	0.374	0.341	0.351	0.369	0.370	0.394	0.377	0.390	0.370	0.402
Regeneration	Aba	0.513	0.569	0.592	0.597	0.603	0.601	0.615	0.624	0.640	0.639	0.647
The region		0.455	0.445	0.442	0.449	0.454	0.468	0.485	0.485	0.500	0.503	0.521

323 The dynamic changes of the CCD of the 9 resource-based cities are shown in Fig. 3.

324 The results showed that the comprehensive evaluation level of the CCD in the 9
 325 resource-based cities in Sichuan province showed an upward trend (Table 5), from
 326 0.563 to 0.635, with an increase of 12.79%. The CCD evaluation score of Panzhihua
 327 increase from 0.714 in 2008 to 0.756 in 2018, with an increase of 5.89%, and the
 328 evaluation score of Panzhihua is higher than that of other cities, the main reason are as
 329 follows. The development of the extractive industry in Panzhihua is an important force
 330 to promote the industrialization of western China. It is rich in natural resources such as
 331 coal, steel and vanadium and titanium, and it has the highest per capita GDP in Sichuan
 332 province. The comprehensive evaluation score of the CCD in the resourced-based cities
 333 of Sichuan province was not ideal. There are eight cities showed a downward trend in
 334 some year, involving Nanchong, Zigong, Panzhihua, Guangan, Dazhou, Yaan, Luzhou
 335 and Aba, accounting for 88.89% (Fig. 3.). Only Guangyuan showed a gradual upward
 336 trend from 2008 to 2018.

337 Table 5

338 The comprehensive evaluation level of the CCD in the 9 RBCs of Sichuan province from 2008 to
 339 2018.

City type	Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Growth	Nanchong	0.549	0.547	0.534	0.526	0.550	0.563	0.581	0.574	0.602	0.604	0.617
Mature	Zigong	0.575	0.572	0.570	0.563	0.574	0.588	0.579	0.578	0.600	0.614	0.627

	Panzhihua	0.714	0.677	0.667	0.636	0.675	0.716	0.728	0.745	0.749	0.754	0.756
	Guangyuan	0.485	0.497	0.502	0.506	0.524	0.537	0.547	0.553	0.555	0.563	0.571
	Guangan	0.553	0.557	0.568	0.565	0.558	0.560	0.560	0.582	0.590	0.608	0.613
	Dazhou	0.488	0.480	0.505	0.502	0.529	0.540	0.545	0.563	0.572	0.570	0.584
	Yaan	0.576	0.589	0.603	0.593	0.604	0.578	0.591	0.592	0.605	0.612	0.610
Depletion	Luzhou	0.557	0.570	0.573	0.573	0.592	0.598	0.596	0.591	0.632	0.630	0.638
Regeneration	Aba	0.568	0.621	0.683	0.659	0.683	0.662	0.675	0.680	0.691	0.693	0.700
The region		0.563	0.568	0.578	0.569	0.588	0.593	0.600	0.606	0.622	0.628	0.635

340 The CCD comprehensive level of RBCs in Sichuan province was divided into five
341 grades in this paper, as shown in Fig. 4. The level I represents good coordination with
342 the CCD value range of [0.8, 0.9). In the level II, the value range of CCD is [0.7, 0.8),
343 indicating the moderate coordination. The value range of CCD in the evaluation city is
344 [0.6, 0.7), which indicates primary coordination. It is divided into the level IV when the
345 CCD value of the evaluation city is [0.5, 0.6), indicating tiny coordination. The level V
346 represents the mild dissonance with the CCD value range of [0.4, 0.5).

347 It is shown that there is no city in level I and level III in 2008, and only one city located
348 to the level of moderate coordination, six RBCs are located to the level of tiny
349 coordination, accounting for 66.67%. Besides, there are two cities located to the level
350 of mild dissonance. In terms of 2018, the results showed that there is no city in level I
351 and level V, and the number of cities with moderate coordination increased from one
352 in 2008 to two in 2018, the number of cities with primary coordination increased form
353 zero in 2008 to five in 2018. Besides, the number of cities with tiny coordination
354 decreased from 6 in 2008 to 2 in 2018. It indicates that there is an unbalanced
355 development level in SE and EE of the 9 RBCs in Sichuan province. Although some
356 RBCs were located to the coordination level, the coordination degree showed decline
357 trend in some year. The main reason is that the comprehensive evaluation level of SE
358 in most RBCs was far behind that of EE in Sichuan province, this can be seen from
359 Table 3 and Table 4, and it can also be more clearly seen from Table 6.

360 Table 6

361 The growth rate and difference in SE and EE of the 9 RBCs in Sichuan province of China.

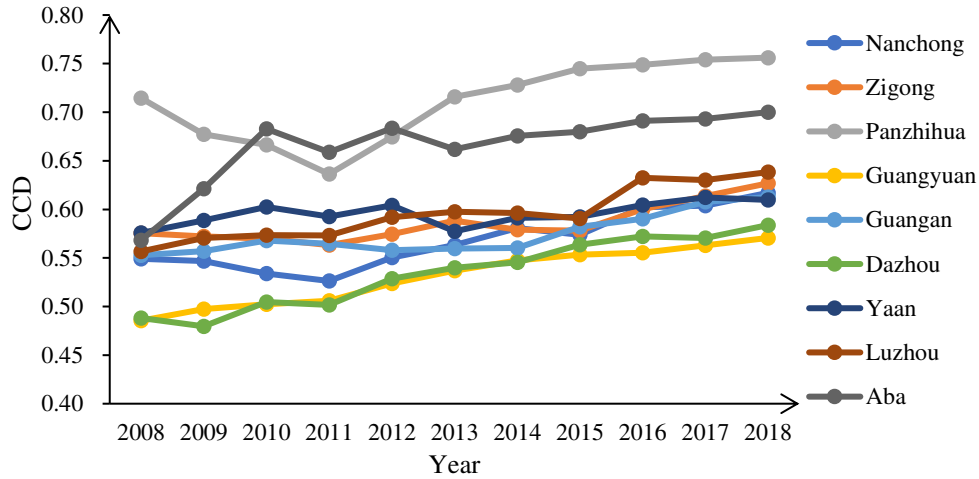
City	M-SE*	M-EE*	Difference**	SE Growth rate (%)	EE Growth rate (%)
Nanchong	0.319	0.334	0.015	20.21	35.90
Zigong	0.332	0.359	0.027	15.24	24.09
Panzhihua	0.737	0.433	0.304	17.81	10.72

Guangyuan	0.242	0.576	0.335	34.94	10.13
Guangan	0.279	0.515	0.236	22.82	23.06
Dazhou	0.243	0.506	0.262	41.67	1.31
Yaan	0.301	0.552	0.252	12.01	16.76
Luzhou	0.344	0.381	0.037	56.67	-10.52
Aba	0.382	0.604	0.221	55.38	26.14

362 Note: M-SE* and M-EE* indicate the average value of SE and EE from 2008 to 2018, respectively; Difference**
363 indicate the absolute deviation between M-SE and M-EE of the city; SE Growth rate and EE Growth rate indicate
364 the absolute growth rate of SE and EE from 2008 to 2018, respectively.

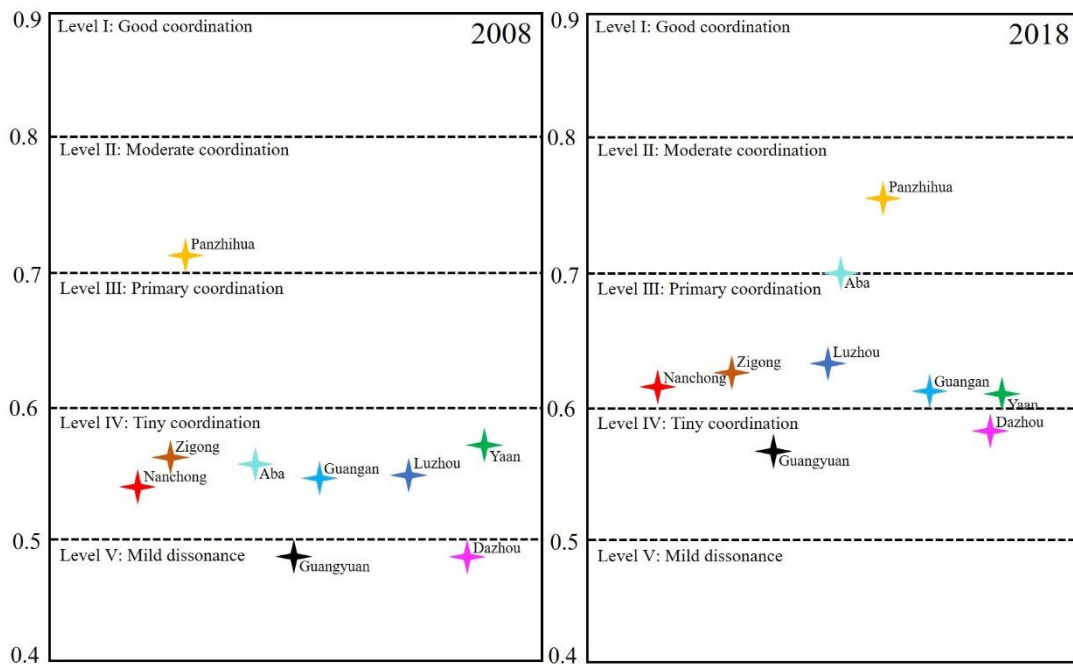
365 Table 6 showed that there were three cities had little different of the two subsystems in
366 Sichuan province, involving Nanchong, Zigong and Luzhou. From the average level of
367 the results, it is shown that only the SE of Panzhihua was better than EE. For the other
368 eight RBCs, their evaluation level of CCD was lower than that of EE, which accounting
369 for 88.89%. It is noted that there was a significant difference between SE and EE in
370 Panzhihua and Guangyuan, with their absolute deviation value above 0.3. For one thing,
371 from the perspective of SE growth rate, there were six cities had the growth rate of more
372 than 20%, including Nanchong, Guangyuan, Guangan, Dazhou, Luzhou and Aba. And
373 it showed that there was a significant difference of the SE growth rate between RBCs
374 in Sichuan province. For another, form the perspective of EE growth rate, there were
375 four cities had the growth rate of more than 20%, involving Nanchong, Zigong,
376 Guangan and Aba, Luzhou was the only city with the negative growth rate.

377 The reason for the backward development of SE lies in the unbalanced development of
378 regional economy and the single industrial structure. The economic growth of these
379 cities depends on the development of long-term extractive industries and heavy
380 industries. However, as these industries put increasing pressure on the ecological
381 environment, which leads to more serious contradictions between SE and EE. In
382 addition, the problem of overcapacity in these cities is prominent under the high-quality
383 development, which leads to some serious social problems, such as the rise of social
384 unemployment rate, unstable income of residents, burden of the government's social
385 security expenditure, et al. By analyzing the initial values of each indicator, it is found
386 that the loss of labor force and the slow growth rate of population were the two
387 important factors affecting the lagging development of SE.



388
389

Fig. 3. The dynamic change of the CCD of the 9 RBCs in the year 2008-2018.



390
391

Fig. 4. The grade division of the RBCs in 2008 and 2018.

392 6. Conclusion and policy suggestion

393 The CCD model and the dynamic DM model were adopted to evaluate the coupling
 394 coordination degree between socioeconomic (SE) and ecological environment (EE) of
 395 RBCs in Sichuan province. The evaluation results showed that the CCD of the nine
 396 RBCs in Sichuan province was not ideal, and there was a significant different between
 397 SE and EE. It can be summarized in the following two aspects. On the one hand, seven
 398 cities were located to the level of primary coordination, tiny coordination, and mild

399 dissonance, which accounting for 77.78%. and only two cities were located to the level
400 of moderate coordination. In addition, there was a decline trend of the CCD in some
401 years. On the other hand, the evaluation score of SE in eight cities was far behind that
402 of EE in Sichuan province, which accounting for 88.89%, and there were six cities
403 showed significant difference between SE and EE.

404 It is found that the backward development of SE was the main obstacle to the
405 uncoordinated development of RBCs. According to the above analysis of the SE and
406 EE, this paper puts forward four policy suggestions for urban and industrial
407 transformation of RBCs. First, Improving the policy and financial support for the
408 tertiary industry to optimize the industrial structure, especially for the high-tech
409 industry and green industry. Second, high-new technology should be promoted to
410 improve the social production efficiency of extractive industry and heavy industry to
411 reduce the pollution to the ecological environment. Third, the government should
412 strengthen the guidance of industrial layout and gradually get rid of the dependence on
413 resource development through policy guidance. Finally, solving the problem of
414 unemployment and labor loss caused by mine closure, the government should raise the
415 basic salary level of local employees and optimize the environment for innovation and
416 entrepreneurship to improve the government's public service capacity.

417 In terms of different types of RBCs, resourced-growth cities and resourced-mature
418 cities have great potential for resource exploitation, to avoid the transition to resource-
419 depletion cities, the adjustment of industrial structure should be strengthened to
420 decrease the dependence on resource exploitation in the process of urban transformation.
421 In addition, these cities should pay more attention to environmental protection and
422 pollution control. For resource-depletion cities, the labor loss is the key problem to
423 affect the comprehensive development of RBCs, the government should take measures
424 to increase employment rate, such as increasing the wages of employees and improving
425 the welfare level of employees in local enterprises. For resource-regeneration cities,
426 these cities should continue to improve the level of industrial upgrading in order to
427 drive and radiate the transformation of surrounding cities.

428

429 **Authors' Contributions** Yi Xiao: Conceptualization, Investigation, Methodology,
430 Writing-review & editing, Roles/Writing-original dra; Yuan Li: Formal analysis,
431 Validation, Visualization, Software; Supervision; Huan Huang: Data curation, Project
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437 **Data availability** The datasets used during the current study are available from the
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439 **Compliance with ethical standards**

440 **Conflict of interest** The author declare that they have no competing interests.

441 **Ethical approval** Not applicable.

442 **Consent to participate** Not applicable.

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444 **Reference**

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Figures

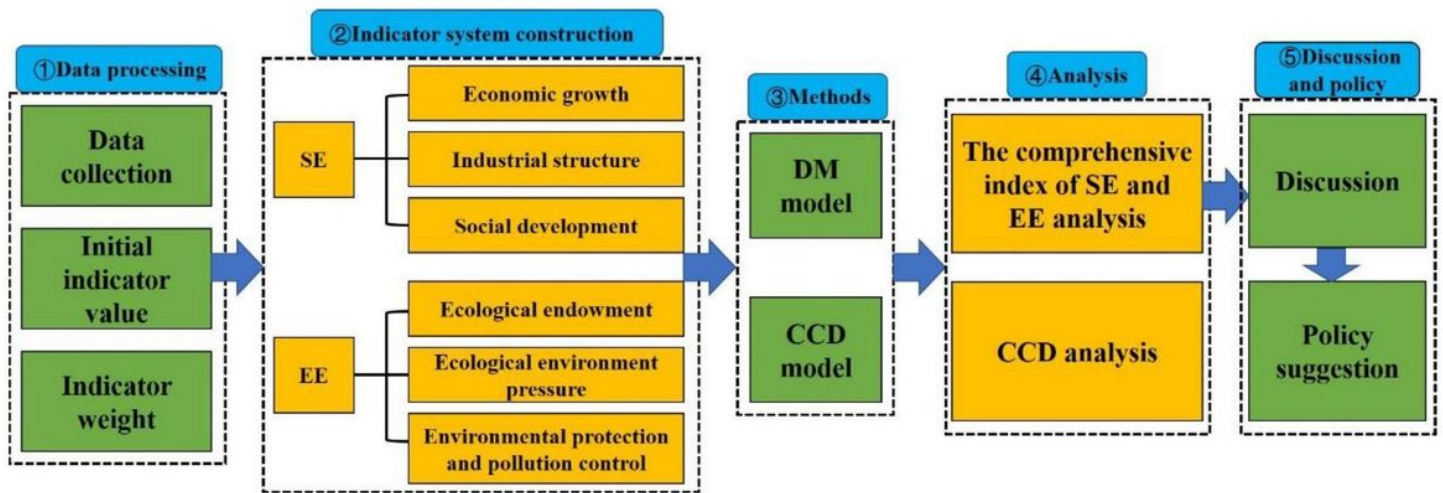


Figure 1

Theoretical model proposed.

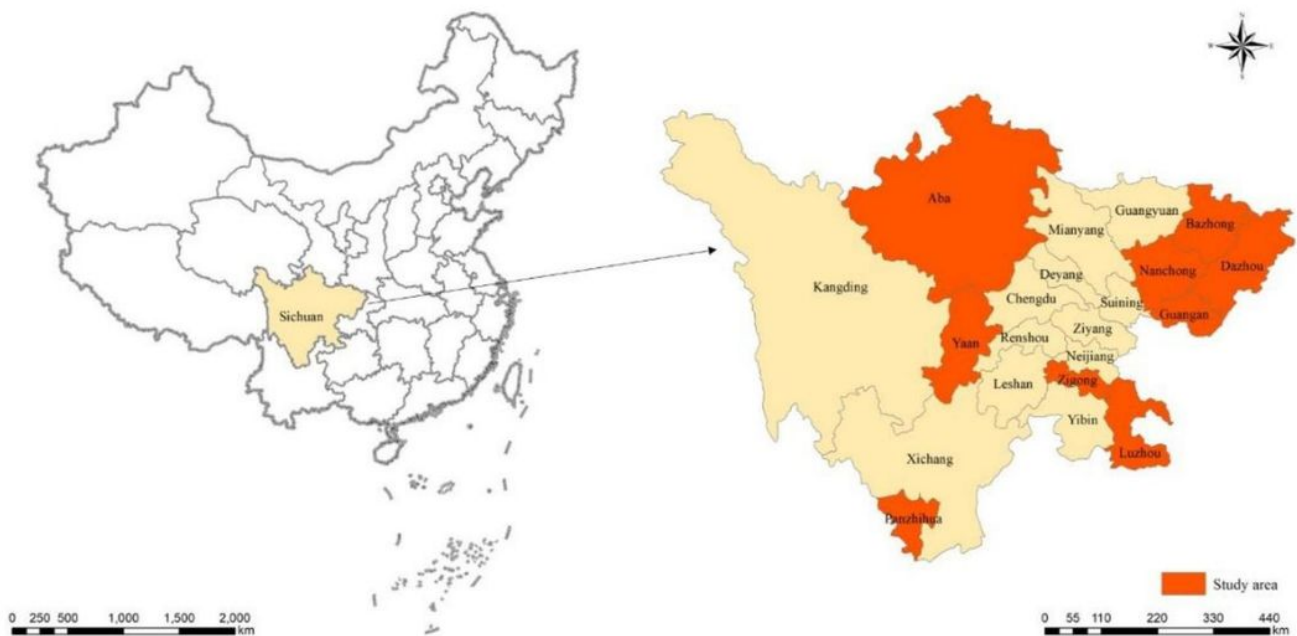


Figure 2

The location of the 9 RBCs in Sichuan province. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

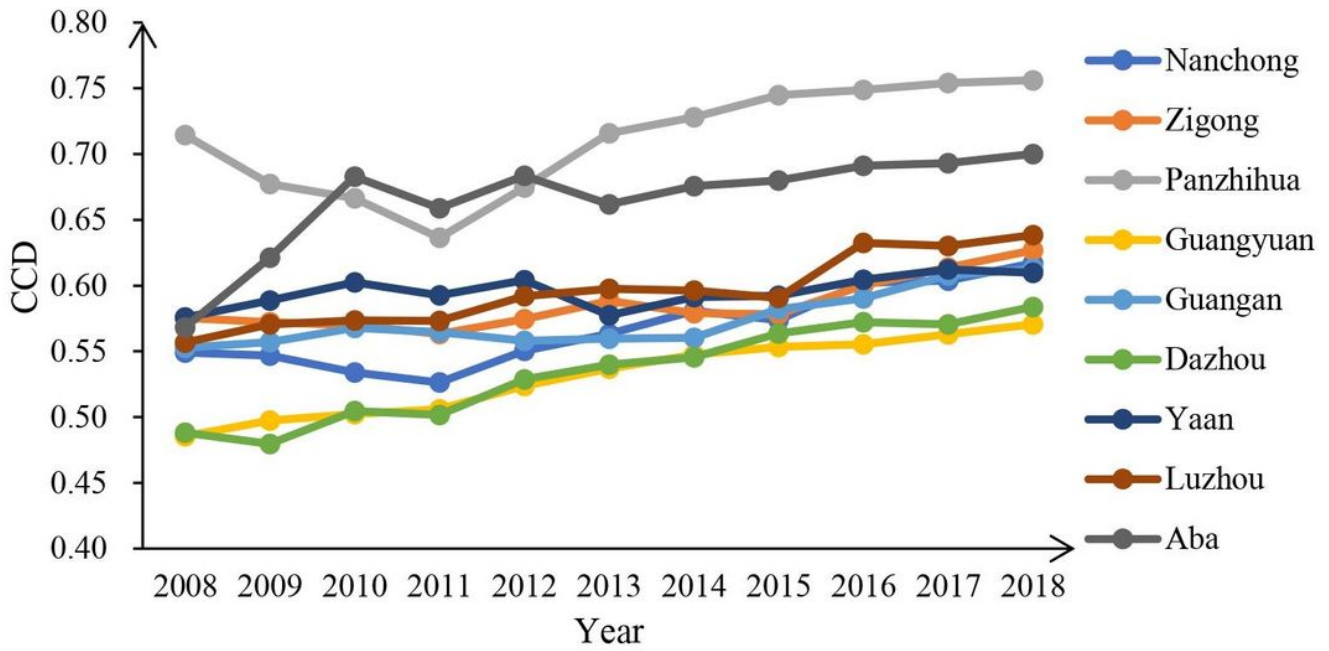


Figure 3

The dynamic change of the CCD of the 9 RBCs in the year 2008-2018.

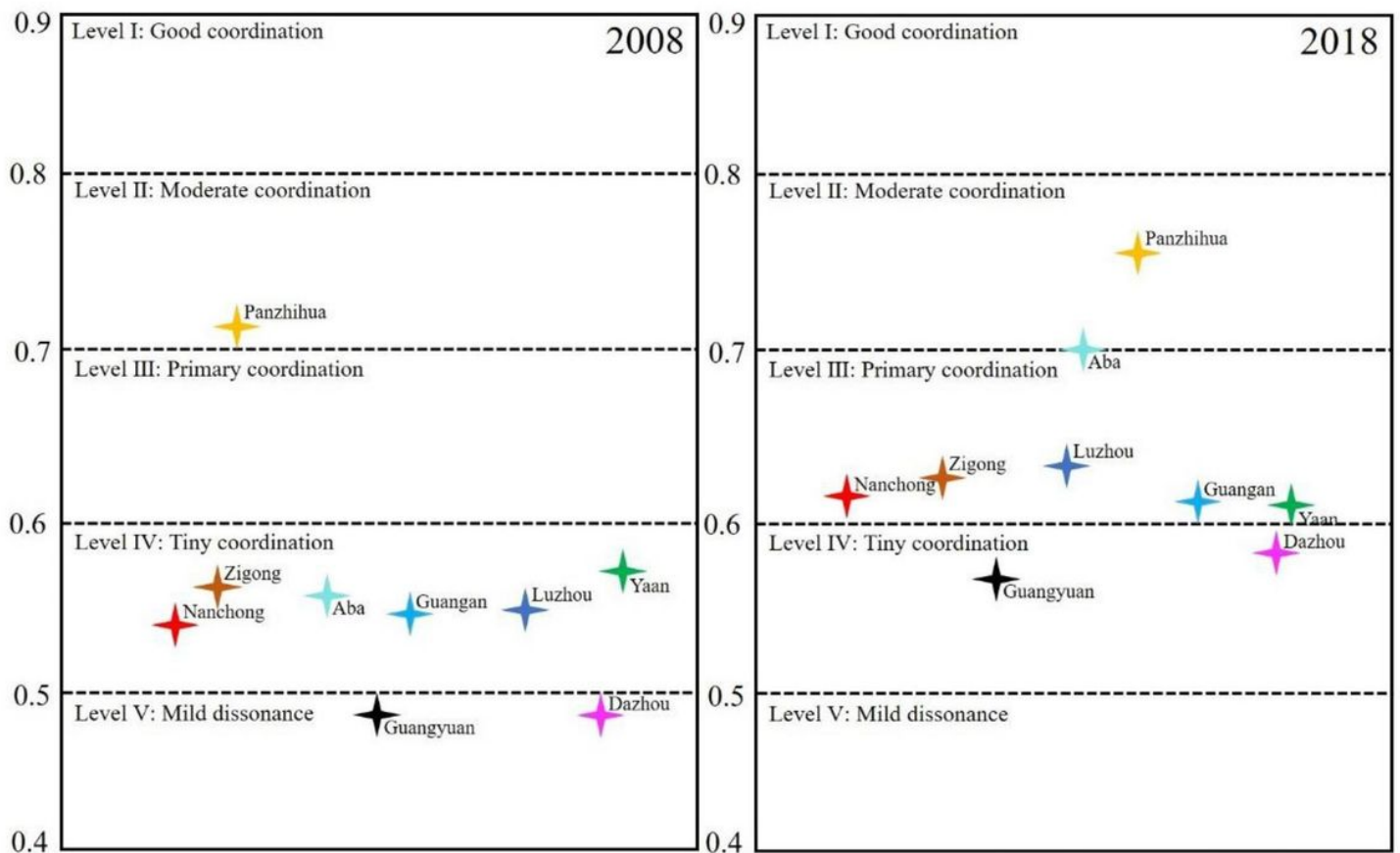


Figure 4

The grade division of the RBCs in 2008 and 2018.