

Measurement and calibration of the nucleus position and its cross-sectional area ratio to increase the accuracy of finite element analysis

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Abstract

Backgrounds: As a widely used biomechanical research method, finite element analysis (FEA) is an important tool for investigating the pathogenesis of disc degenerative diseases and optimizing spine surgical methods. However, the definitions of the relative nucleus position and its cross-sectional area ratio do not conform to a uniform standard, thus affecting the accuracy (ACC) of the FEA.

Objectives: This study aimed to determine a precise definition of the relative nucleus position and its cross-sectional area ratio to increase the ACC of the following FEA studies.

Methods: The lumbar relative nucleus position and its cross-sectional area ratio were measured from magnetic resonance imaging data and then calibrated and validated via FEA. Imaging data from patients without disc degeneration were used. The L4-L5 nucleus and disc cross-sectional areas and the distances between the edges of the annulus and nucleus were measured; the ratios between these values were calculated as P1 and P2, respectively. The FEA model was constructed using these measured values, and the relative nucleus position was calibrated by estimating the differences in the range of motion (ROM) between the model, wherein the ligaments, facet joints and nucleus were suppressed, and that of an in vitro study. Then, the ACC was re-estimated in the model with all non-bony structures by comparing the ROM, the intradiscal pressure (IDP), the facet contact force (FCF) and the disc compression (DC) under different sizes and directions of moments magnitudes to validate the measured and calibrated indicators.

Results: The interobserver homogeneity was acceptable, and the measured P1 and P2 values were 1.22 and 38%, respectively. Furthermore, an ACC of up to 99% was attained for the model under flexion–extension conditions when the calibrated P1 value (1.62) was used, with a model validation of greater than 90% attained under almost all of the loading conditions considering the different indicators and moment magnitudes.

Conclusions: The measured and calibrated relative nucleus position and its cross-sectional area ratio increase the ACC of the FEA model and can therefore be used in subsequent studies.

Introduction

Biomechanical deterioration is a key trigger for lumbar disc degenerative diseases (DDD) [1–3]. Therefore, optimized finite element analysis (FEA), which is a widely used biomechanical research method, is an ideal approach for the accurate investigation of DDD pathogenesis and treatments [4–9]. A series of model validation methods have been implemented by comparing the differences in the range of motion (ROM), which is a key index for lumbar motility and stability, between the FEA results and those from widely cited in vitro studies [5, 6, 9–13]. The accuracy (ACC) of the FEA models has been improved via model calibrations that used annulus and bone structures [13, 14]. However, inaccurate definitions of the relative nucleus position and its cross-sectional area still negatively affect the computational ACC of FEA studies.

To clarify this issue, the anterior and posterior sides of the annulus can be approximated as two differently sized quadrangular annuli on a specific plane. The O point is set as the pivot point of the applied torque and M1 and M2 are the applied forward and backward torques (i.e., flexion and extension), respectively. R1 and R2 are the distances between the anterior and posterior parts perpendicular to the torque axis centre and edges of the nucleus, respectively. As shown in the central section of the disc sagittal plane (Fig. 1), changes in R1 and R2 originating from different nucleus positions and cross-sectional areas will induce changes in the ROM under the same M1 and M2. Furthermore, this change will extend to different sections if the force on the two-dimensional planar structure is extended to three-dimensional space.

However, such methods have not been defined consistently in previous FEA studies. For example, Tang et al modelled the centre of the nucleus as being slightly posterior to the centre of the disc, occupying 43% of the total disc volume [15]. Schmidt et al modelled the centre of the nucleus as being 3.5 mm towards the posterior side, with a nucleus size that was approximately 44% of the total disc area [13]. The lumbar model of Akiah et al determined that the nucleus accounted for 33% of the disc volume [16], whereas this rate increased to 50% in the model of Ottardi et al [17]. Numerous FEA studies are available that do not address the issues addressed by the above-mentioned studies. Given that individual differences are inevitable among the discs in different models, the accurate definition of the relative nucleus position should be a ratio (such as a ratio of the anterior and posterior edges of the annulus to the relative nucleus edges) rather than an ambiguous description (e.g., 'slightly posterior') or a specific value (e.g., 3.5 mm).

Herein, we present an approach to accurately define such indicators in a uniform manner and increase the ACC of subsequent FEA studies. We first analysed magnetic resonance imaging (MRI) data of non-degenerative discs to measure the key indicators. We then constructed a 3D lumbar model to calibrate and validate our measured values. An extensive literature search indicated that no similar studies have been published to date.

Material And Methods

MRI measurements of the indicators

Approval for this study was obtained from the ethics committees of Affiliated Hospital of Integrated Traditional Chinese and Western Medicine for Nanjing University of Chinese Medicine (2019LWKY015).

Three observers, two senior spine surgeons and a seasoned musculoskeletal radiologist reviewed the lumbar MRI (Discovery MR750 3.0T, GE Healthcare; Chicago, Illinois, USA) data collected in our hospital over the past three years. MRI data from 43 subjects (average age of 25.6 ± 4.3 years) were included in the current study. The L4-L5 disc was selected owing to its high incidence rate of DDD. T2-weighted imaging in the sagittal plane was selected to assess the grades of disc degeneration based on the work of Pfirrmann et al [18], with Grade I and II degeneration considered indicative of a normal disc and included in this study [19]. The MRI data were independently reviewed, and they were included in the

analysis only when all observers confirmed that the disc was a normal disc. To avoid the influence of gender differences, only male MRI data were collected for the following FEA model based on the imaging data from a 24-year-old male volunteer. The kappa statistic was used to analyse the included imaging data to ensure homogeneity in the interobserver classification [20–22].

The distances from the anterior and posterior edges of the annulus to the nucleus edges are set as D1 and D2, respectively. The cross-sectional areas of the nucleus and disc are set as A1 and A2, respectively. The ratios between the mean values of D1 and D2 and A1 and A2 are set as P1 and P2, respectively (Fig. 2). Cronbach's α reliability statistic was calculated to ensure the homogeneity of the measured values [21, 22].

Calibration And Validation Of The Fea Model

Construction of the normal model

Bone structures from L1 to S1 were reconstructed on the basis of the high-resolution computed tomography (CT) imaging data and were used as templates for model construction [4, 9, 10]. Fitted curves were used in the drawing of smooth surfaces to replace irregular surfaces in the reconstructed model. In this process, different parts of the templates were layered, and the outlines in each layer were traced by separate fitted curves to smooth the irregular surfaces (Fig. 3). To be consistent with the segment selection via MRI, the bone structures of the L4-L5 segments were selected, and the corresponding non-bone structures were constructed with the fitted curves; the facet joint gap was set as 0.5 mm. The centroid of the annulus outlines and the inferior surface of L4 were defined as the same point for the accurate placement of the annulus. Six different ligaments and a capsule of facet joints were constructed during the FEA preprocessing phase (Fig. 4) [11, 23, 24]. The definition of the relative nucleus position and its cross-sectional area ratio were confirmed according to P1 and P2, and the outer contour of the nucleus was obtained using the same ratio reduction as that of the disc contour to ensure that the intervertebral disc and nucleus have the same central point, for easy adjustment during the calibration process.

Boundary and loading conditions

Completely identical boundary conditions were used in the model calibration and validation processes. Tetrahedral and hexahedral elements with different sizes were selected during mesh generation (Fig. 4), with smaller sizes (mesh refinement) being used in areas that experienced serious mesh distortion, and the cartilage–cartilage contact was defined as frictionless [4, 15, 25]. Material properties of current models was defined in consistent with our published studies[10, 23, 24]. Six degrees of freedom were rigidly fixed under the inferior of L5, and moments were applied to the superior of L4 [13, 14].

Model Calibration

The calibration process was accomplished by adjusting the value of P1, which is a relatively easy adjustment in the model construction process, estimating the ROMs under a moment of 10 N m and flexion–extension conditions, and finally comparing our FEA model results to the results of a widely cited in vitro study [13, 14]. The nucleus, ligaments and facet joints were suppressed during this process. The calibration algorithm was presented in Fig. 5.

Model Validation

The validated model was modified using the calibrated relative nucleus position. We found that the ACC of ROM was higher than 90% under all loading conditions, including flexion-extension, left-right lateral bending and left-right axial rotation, and was higher under the flexion–extension condition (Table 3 and Fig. 7) [26]. The ACCs of the IDP the FCF and the DC were also higher than 90% under almost the loading conditions except for the right side of FCF under extension condition (Fig. 8) [27, 28]. In which, the value of ACC was 82.28% and the difference between our computational result and the average value from in-vitro study was still obviously less than one standard deviation [28].

Results

Homogeneity test

The kappa values for the interobserver disc degenerative classification were in the 0.67–0.77 range, and the Cronbach's α values for D1, D2, A1 and A2, all of which were measured by different observers, were greater than 0.95. These values indicated acceptable interobserver homogeneity (Table 1) [20–22], with the P1 and P2 values then calculated from the measured values and used in the FEA model (P1 = 1.22; P2 = 38%).

Calibration Of The Relative Nucleus Position

The definition of ACC is shown in Fig. 5, with the sensitivity of the data adjustment tested prior to calibration. We found that the ACC under flexion–extension reached 99% when the P1 value was calibrated to 1.62. This value was confirmed and used during the model validation process (Table 2 and Fig. 6).

Model validation

The validated model was modified using the calibrated relative nucleus position. We found that the ACC of ROM was higher than 90% under all loading conditions, including flexion-extension, left-right lateral bending and left-right axial rotation, and was higher under the flexion–extension condition (Table 3 and Fig. 7) [26]. The ACCs of the IDP the FCF and the DC were also higher than 90% under almost the loading conditions except for the right side of FCF under extension condition (Fig. 8) [27, 28]. In which, the value

of ACC was 82.28% and the difference between our computational result and the average value from in-vitro study was still obviously less than one standard deviation [28].

Discussion

We explored several methods that defined the relative nucleus position and its cross-sectional area ratio, but there were still several issues with regard to our FEA models [13, 14, 17]. The data from previous studies could not be directly used in our model construction owing to individual differences and the lack of consistent standards [13]. For example, the previously published nucleus position, wherein its centre was located 3.5 mm towards the posterior of the disc, yielded a D2 value of less than 2 mm, which is obviously less than the minimum value measured in our study. Furthermore, the application of this value to the models constructed using imaging data from short volunteers may result in an impossible situation wherein part of the nucleus lies outside the disc's boundary. The lack of consistent definition methods also leads to repeated attempts at model construction and validation. Finally, we can only define the relative nucleus position as being 'slightly posterior' to the centre of the disc, such that the lowest ACC of our model was lower than 70%, which needs to be improved further, even though the model validation process was verified in our previously published studies. Therefore, the calibration of the relative nucleus position and the investigation of a reliable ratio to define the above indicators are vital for improving the ACC of FEA, a widely used research method in the investigation of pathogenesis of DDD and optimization of spine surgical methods [4, 6, 8, 15].

The reduced T2 signal in the MRI data is closely related to disc degeneration and is generally selected to measure such a pathological change [18, 29]. The homogeneity test is important for ensuring the ACC and credibility of the study, as it is based on subjective observer measurements [20, 21]. While the kappa values between observers 1 and 3 are excellent (> 0.75), the rest are only acceptable (> 0.6). Such a phenomenon may be attributed to the small sample size and strict inclusion criteria of this study. These two constraints highlight that the slight interobserver differences can lead to obvious variations in the kappa values. Furthermore, although there is a certain degree of difference between the measured and calibrated relative nucleus positions, the modeled ROMs that are constructed from the measured nucleus position are still quite similar to the values from the in vitro study, with excellent ACC values attained (94.97% under flexion and 96.24% under extension, Fig. 6). The model constructed from the measured values also simulates real biomechanical indicators, such that the model calibration process further improves the ACC values based on the MRI measurements.

Notably, the nucleus itself was suppressed during the model calibration process in the current study, even though the measured and calibrated values were closely associated with the nucleus. This is because R1 and R2 have an important impact on both M1 and M2 and their resultant ROMs. The variation in the ROMs is more likely due to the change in the approximately quadrangular annulus areas caused by the change of relative nucleus position and its cross-sectional area rather than the nucleus itself. The nucleus, ligaments and facet joints were therefore suppressed during the model calibration to investigate this factor individually. The FEA study results indicated that the retrodisplacement of the nucleus improved the ACC during the calibration process. Furthermore, the posterior structures overlapped in the

model calibration under the extension condition. This phenomenon is not indicated by the computation error; rather, it is caused by the omitted contact types between the bone structures. Therefore, spatial positions are independently calculated when facet cartilages have been suppressed (Fig. 6).

In this study, the nucleus positions before and after calibration clearly changed (Fig. 6). We hypothesized that this change may originate from changes in body positions. The MRI measurement was completed in the supine position, whereas the loading conditions in the biomechanical studies were based on the standing position. Considering that the position of a nucleus cannot be changed once it was determined in the model construction process and that MRI data can be obtained in only the supine position, we believe that this model calibration is an effective method to calibrate this difference caused by body position and improve the computational ACC.

Differences still exist between the ROMs in the current FEA study and the widely cited in vitro study; these differences may be due to the suppressed structures on the ROMs, even though the measurement and calibration of the relative nucleus position and its cross-sectional area ratio increase the ACC. This defect may also affect the ACC because the ligament definition also lacks a standard method. There are no published in vitro ROM values that have been computed from models with the ligaments, facet joints and nucleus removed and with intact bone structures under lateral bending and axial rotation conditions. Although the in vitro study reported by Prof. Heuer et al provided ROM data under bending and axial rotation conditions with ligaments, facet cartilage, and the nucleus removed [30], these data could not be used as references for our model validation because the vertebral arch was also excised and proven to be somewhat related to lumbar instability [30–32]. In other words, ROM from these models will be greater than those of intact posterior structures. Hence, we are unable to calibrate the FEA model under these loading conditions. This defect can provide a good explanation for the lower ACC under lateral bending and axial rotation conditions.

Due to the issue that ROM predictions alone are insufficient to validate models for predicting mechanical contact parameters, as reported by Prof. Woldtvedt et al [33], multi-indicator model validation was necessary to evaluate the credibility of the measured and calibrated factors. In addition, although 10 N m moments were widely used in published finite element studies [6–9, 25], model validations under smaller moments were also necessary for the wider application of these measured and calibrated factors [5, 9, 30]. Hence, IDP and FCF under 7.5 N m moments and DC under 1200N compressive force were computed as validation indicators.

In this process, it is also worth noting that we did not determine the value of FCF from an in vitro study of the L4-L5 segment; hence, FCF from a widely cited in vitro study of the L3-L4 segment was selected as the standard value for the validation of FCF [28]. Computational FCF results indicated that the L4-L5 segment was slightly larger than the in vitro values (Fig. 8), and this result was consistent with the report that the facet contact force gradually increased from the cranial to the caudal side [33]. Despite these defects, we still believe that the difference in the model validation is acceptable because the lowest ACC value computed in the current study (among the ROM, IDP and FCF) is greater than 90% under almost all

of loading conditions. Therefore, the measured P2 and calibrated P1 values in our subsequent FEA studies can be used to increase ACC.

The current study still faces some limitations. The ratio measurement of nucleus position is based on cross-sectional areas in a specific two-dimensional plane rather than the three-dimensional volume, such that the models do not capture differences in the lumbar lordotic angle. For example, obvious changes in the disc volume can be observed in the models with the same cross-sectional area ratio and different lordotic angles. Furthermore, the definition of the ligaments was accomplished based on our observer measurements and did not conform to a uniform standard, even though ligaments play a significant role in the maintenance of lumbar stability and are a key index in ROMs [4, 34]. Therefore, the definition of ligaments should be investigated and calibrated in future studies to further develop more accurate FEA models.

Conclusion

The measured and calibrated relative nucleus position ($P1 = 1.62$) and its cross-sectional area ratio ($P2 = 38\%$) could indeed increase the ACC of the FEA model in our study, and these values can therefore be used in subsequent studies concerning the investigation of the pathogenesis of DDD and optimization of spine surgical methods.

Abbreviations

ACC
accuracy
DC
disc compression
DDD
disc degenerative diseases
FCF
Facet contact force
FEA
finite element analysis
IDD
Intradiscal pressure
ROM
Range of motions.

Declarations

Authors' contributions:

LX, YL and JCL contributed to the concept and design of the study. ZPX, SLS and KZ measured the MRI data. XYZ, and JCL contributed to the model reconstruction. YL, JCL and XYF performed the model calibration and data analysis. LX, YL and JCL wrote and checked the manuscript. All authors read and approved the final manuscript.

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Ethical approval

Approval for this study was obtained from the ethics committees of Affiliated Hospital of Integrated Traditional Chinese and Western Medicine for Nanjing University of Chinese Medicine (2019LWKY015).

Consent to publish

Not Applicable

Availability of data and materials

All the data of the manuscript are presented in the paper.

Competing interests

The authors declare that they have no competing interests.

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Tables

Table 1 Homogeneity test of measured values

	Average values	Cronbach's α	Observers	Kappa values
D1	8.87 ± 1.51	0.97	1&2	0.72
D2	7.29 ± 1.37	0.96		
A1	1761.0 ± 206.1	0.96	2&3	0.77
A2	671.4 ± 123.8	0.98	1&3	0.67

1,2 and 3 stand for three observers in this study, 1 and 2 are senior spine surgeons and 3 is the musculoskeletal radiologist.

Table 2 Calibration of nucleus relative position

davers study (10 Nm)	P1	calibration data (°)	ACC (%)
Flexion (16.71°)	1.12	15.49	92.69
	1.22	15.87	94.97
	1.32	16.13	96.53
	1.42	16.31	97.61
	1.52	16.42	98.26
	1.62	16.55	99.04
Extension (-16.24°)	1.12	-17.12	94.58
	1.22	-16.85	96.24
	1.32	-16.51	98.34
	1.42	-16.39	99.08
	1.52	-16.30	99.63
	1.62	-16.21	99.82

Table 3 Model validation in different loading conditons

FEA study (100N+10Nm)	F-E (°)	L-R B (°)	L-R A (°)
In-vitro study	12.66	10.01	2.19
This FEA study	12.70	9.46	2.38
ACC (%)	99.68	94.51	91.32

Figures

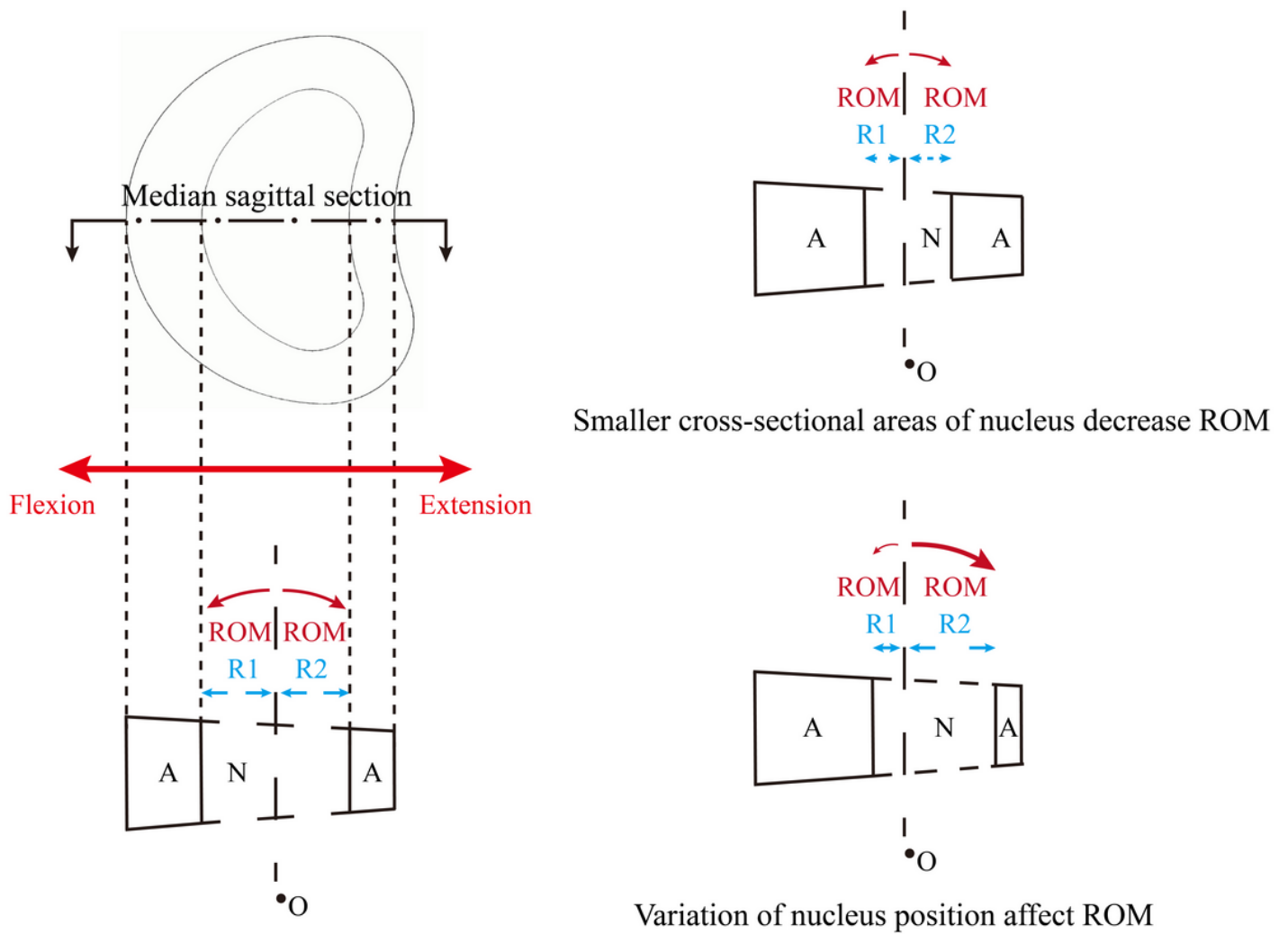


Figure 1

Effects of the variations in the nucleus cross-sectional areas and relative positions on the ROMs.

The schematic of P1 and P2

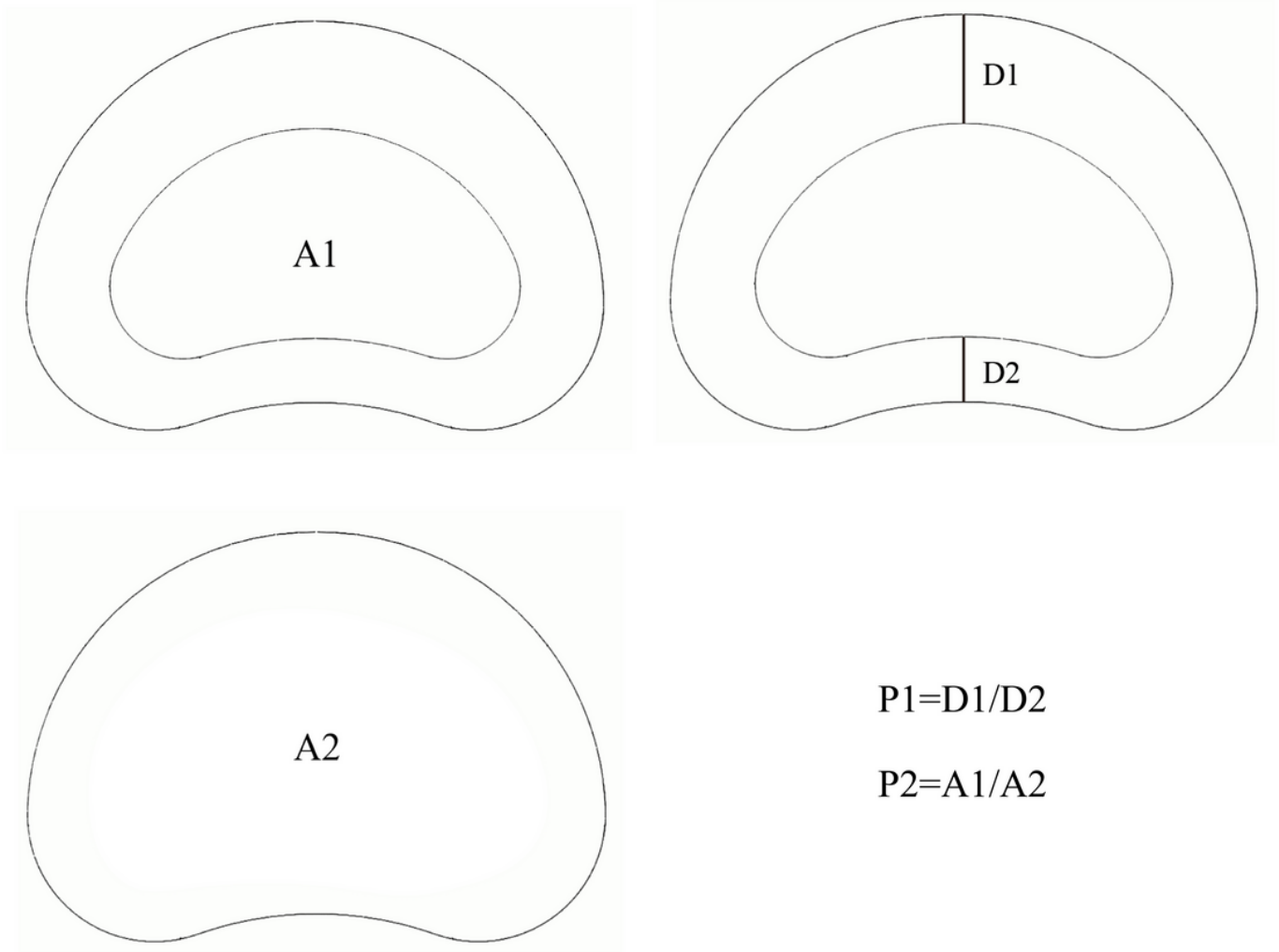


Figure 2

Schematic of P1 and P2

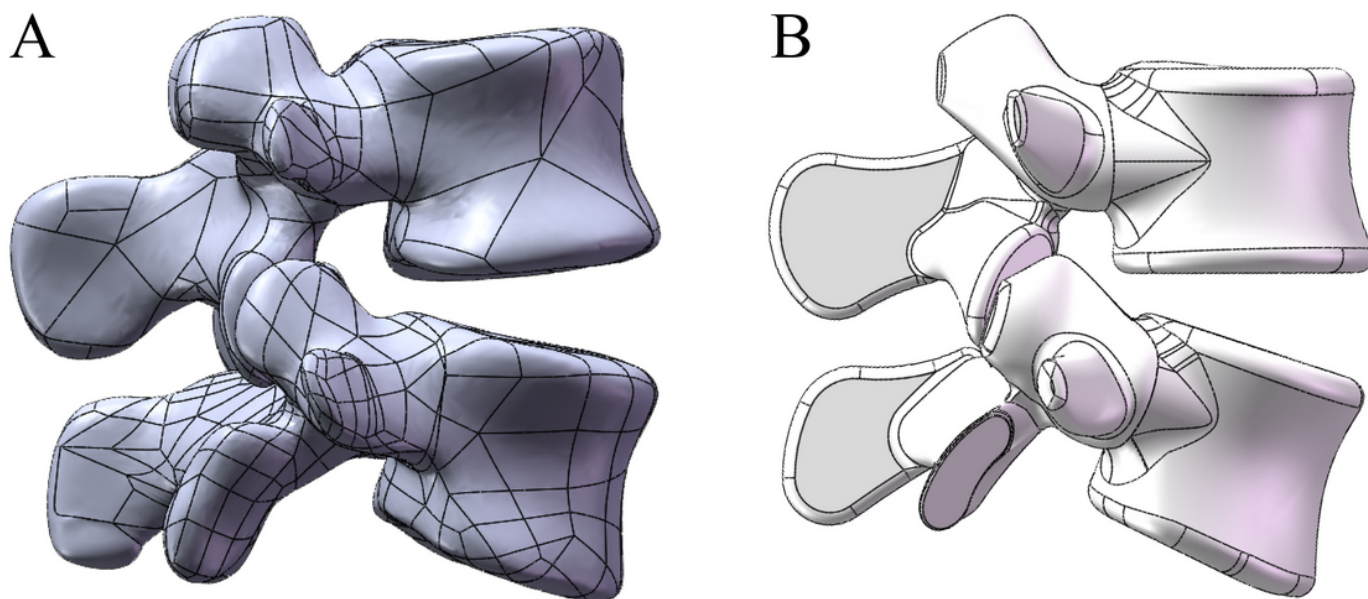


Figure 3

The construction of bone structures by fitted curves to smooth the irregular surfaces

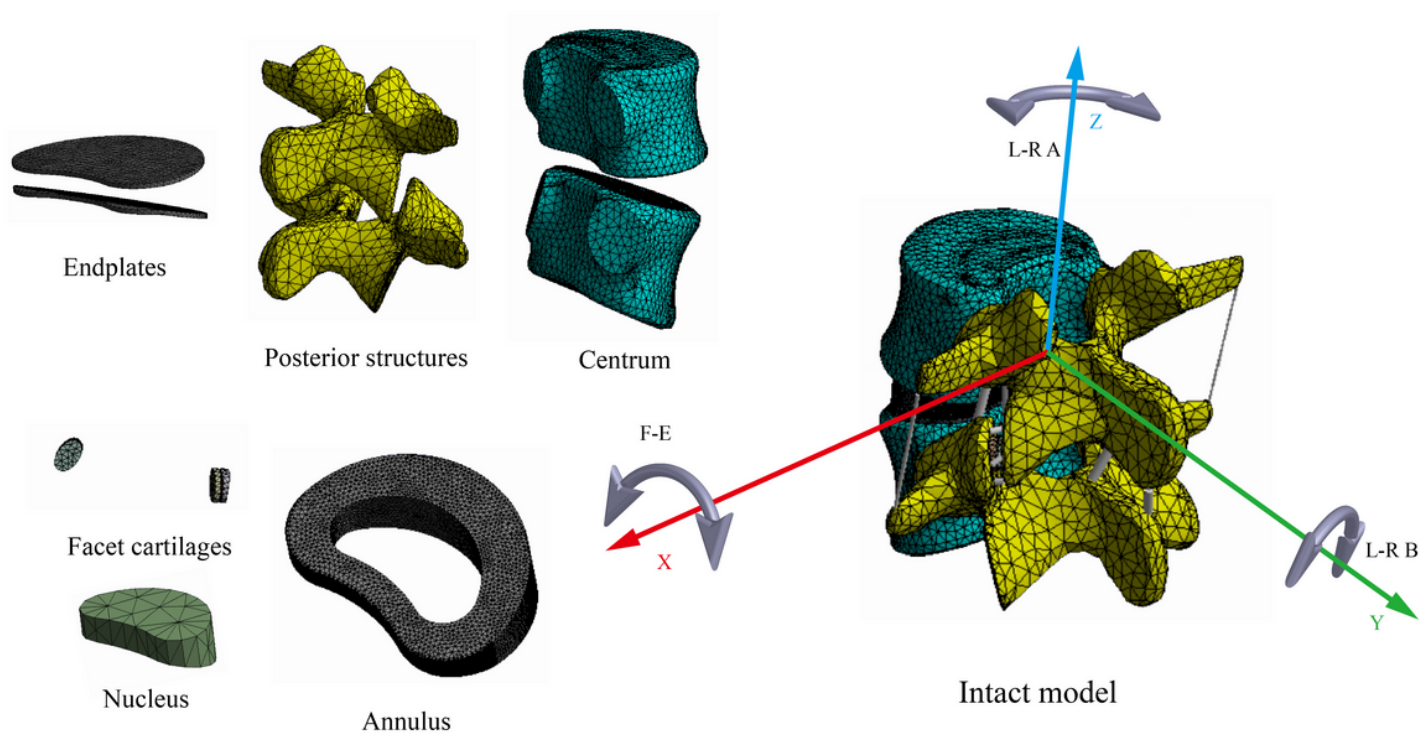


Figure 4

Intact model and components of the current models

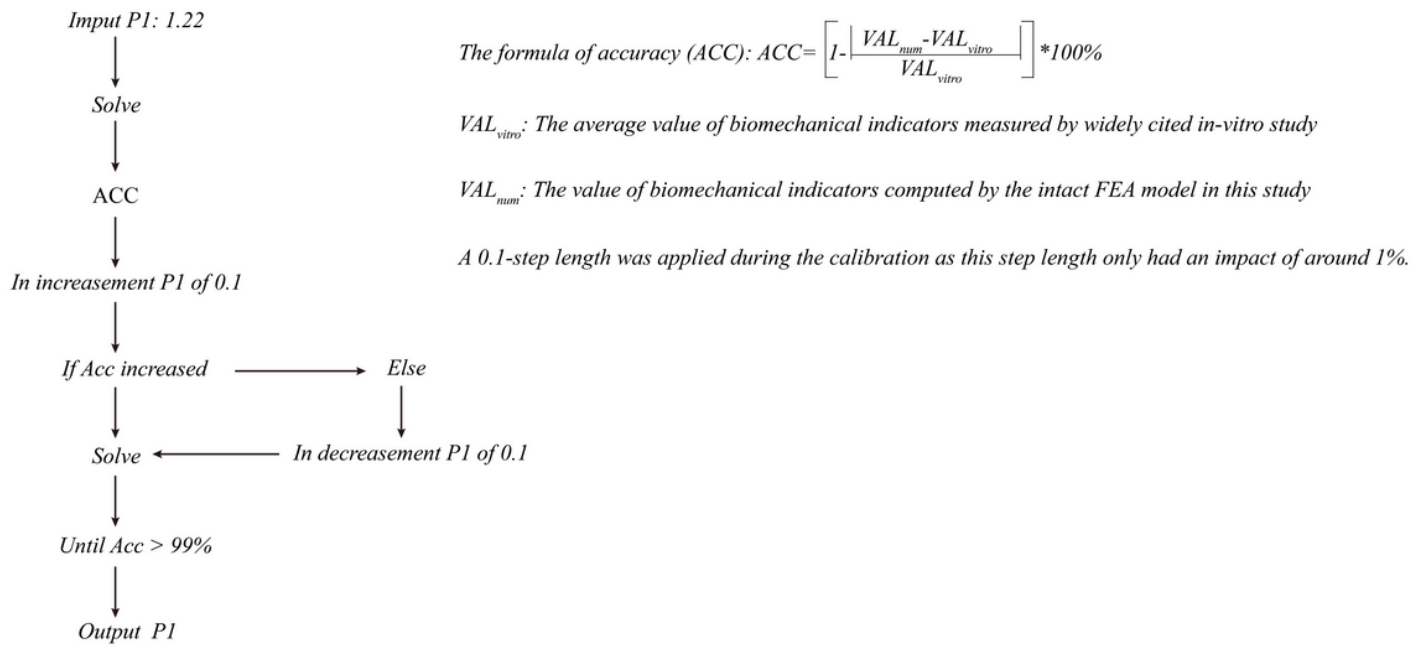


Figure 5

Calibration algorithm for the relative nucleus position.

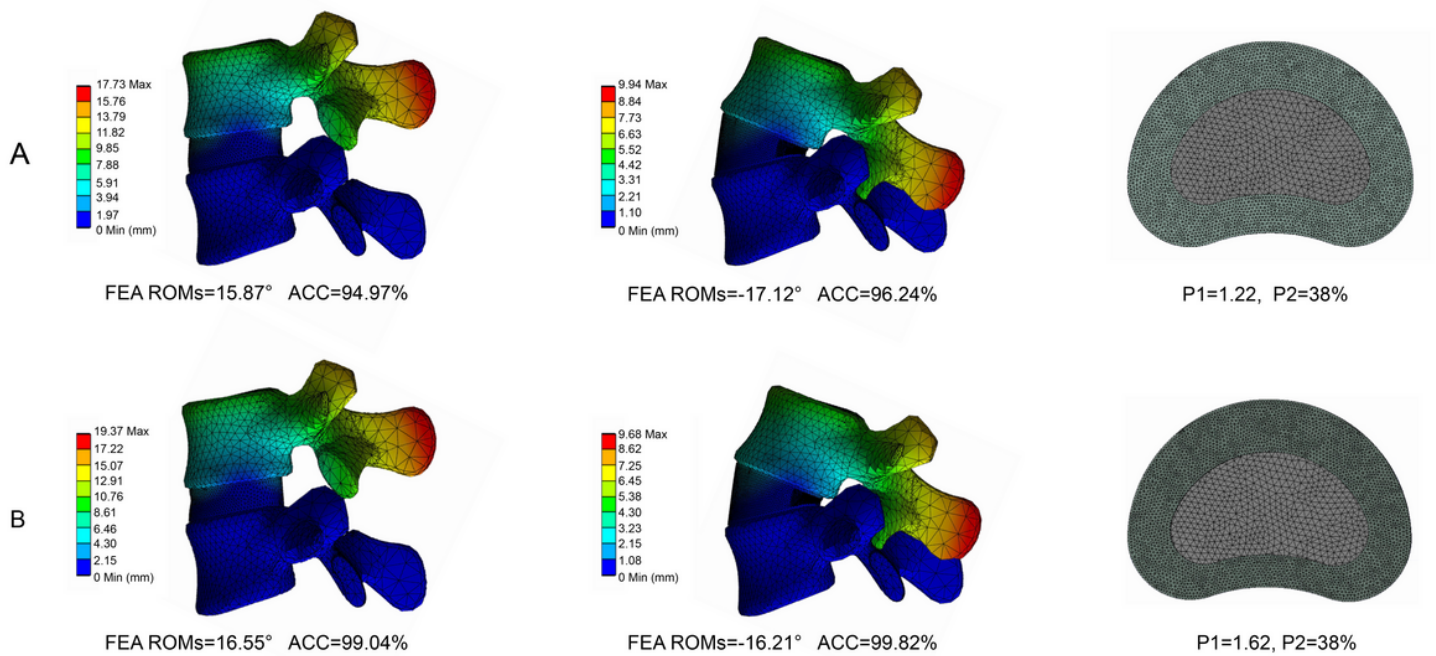
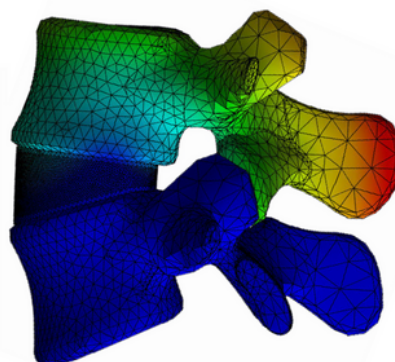
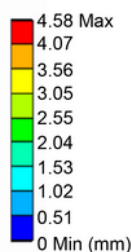
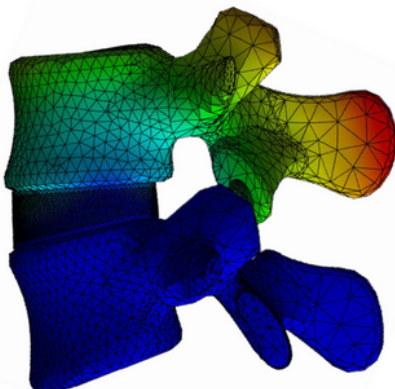
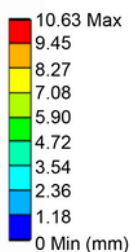


Figure 6

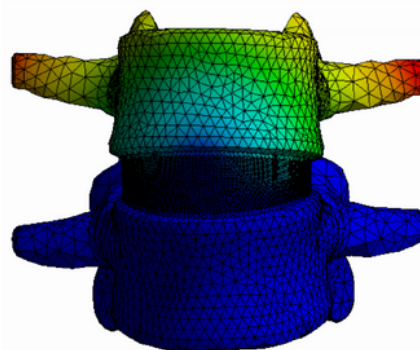
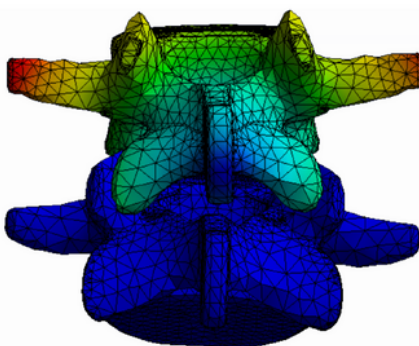
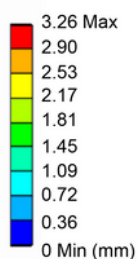
Variations in the ROMs before and after model calibration A. ROMs before the calibration of the relative nucleus position. B. ROMs after calibration of the relative nucleus position.

F-E



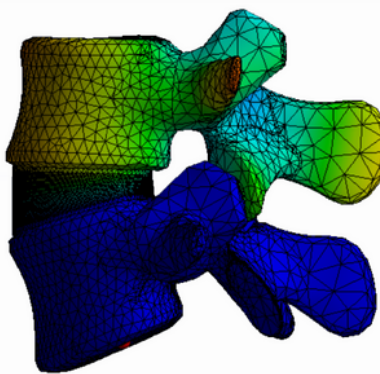
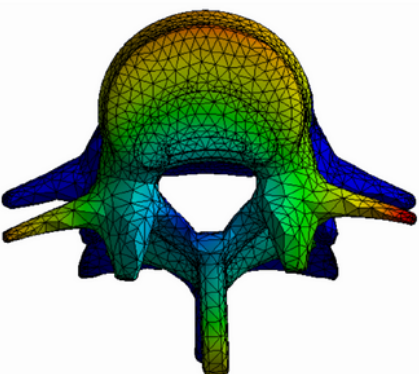
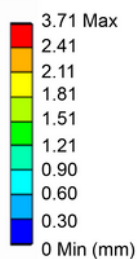
FEA ROMs=12.70° In-Vitro ROMs=12.66° ACC=99.68%

L-R B



FEA ROMs=9.46° In-Vitro ROMs=10.01° ACC=94.51%

L-R A



FEA ROMs=2.38° In-Vitro ROMs=2.19° ACC=91.32%

Figure 7

Validation of the calibrated model by comparing the ROM results F-E. Flexion-extension L-R B. Left-right bending L-R A. Left-right axial rotation

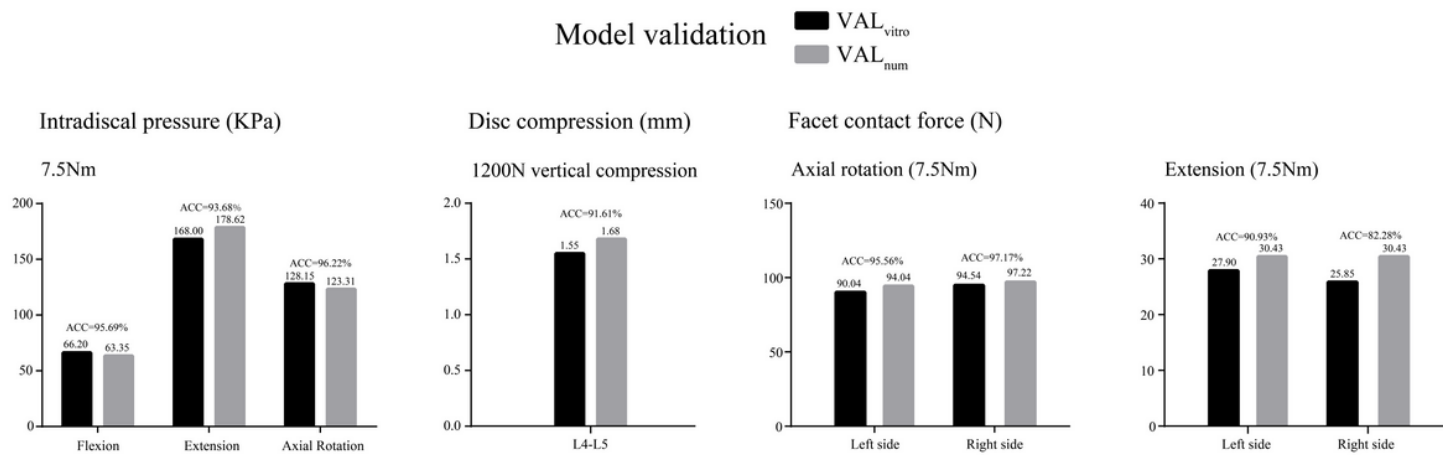


Figure 8

Validation of the calibrated model by comparing the IDP, FCF and DC results