



EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH & HUMAN DEVELOPMENT

Grant Number: 1R01HD092489-01A1
FAIN: R01HD092489

Principal Investigator(s):
HOWARD DUBOWITZ, MD

Project Title: Dissemination and Implementation of the Safe Environment for Every Kid(SEEK) Model for Preventing Child Abuse and Neglect

Paffrath, Dennis Joseph
AVP, Sponsored Programs Administration
620 West Lexington Street, 4129
620 West Lexington Street
Baltimore, MD 212011508

Award e-mailed to: nga@ordmail.umaryland.edu

Period Of Performance:

Budget Period: 09/06/2018 – 08/31/2019

Project Period: 09/06/2018 – 08/31/2023

Dear Business Official:

The National Institutes of Health hereby awards a grant in the amount of \$655,888 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to UNIVERSITY OF MARYLAND BALTIMORE in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Each publication, press release, or other document about research supported by an NIH award must include an acknowledgment of NIH award support and a disclaimer such as "Research reported in this publication was supported by the Eunice Kennedy Shriver National Institute Of Child Health & Human Development of the National Institutes of Health under Award Number R01HD092489. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health." Prior to issuing a press release concerning the outcome of this research, please notify the NIH awarding IC in advance to allow for coordination.

Award recipients must promote objectivity in research by establishing standards that provide a reasonable expectation that the design, conduct and reporting of research funded under NIH awards will be free from bias resulting from an Investigator's Financial Conflict of Interest (FCOI), in accordance with the 2011 revised regulation at 42 CFR Part 50 Subpart F. The Institution shall submit all FCOI reports to the NIH through the eRA Commons FCOI Module. The regulation does not apply to Phase I Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) awards. Consult the NIH website <http://grants.nih.gov/grants/policy/coi/> for a link to the regulation and additional important information.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Jill Rogers
Grants Management Officer
EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH & HUMAN
DEVELOPMENT

Additional information follows

SECTION I – AWARD DATA – 1R01HD092489-01A1**Award Calculation (U.S. Dollars)**

Salaries and Wages	\$150,867
Fringe Benefits	\$56,649
Personnel Costs (Subtotal)	\$207,516
Consultant Services	\$19,550
Materials & Supplies	\$3,825
Travel	\$10,625
Other	\$56,151
Subawards/Consortium/Contractual Costs	\$182,367

Federal Direct Costs	\$480,034
Federal F&A Costs	\$175,854
Approved Budget	\$655,888
Total Amount of Federal Funds Obligated (Federal Share)	\$655,888
TOTAL FEDERAL AWARD AMOUNT	\$655,888

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$655,888

SUMMARY TOTALS FOR ALL YEARS			
YR	THIS AWARD		CUMULATIVE TOTALS
1		\$655,888	\$655,888
2		\$634,905	\$634,905
3		\$622,996	\$622,996
4		\$611,981	\$611,981
5		\$601,976	\$601,976

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

Fiscal Information:

CFDA Name: Child Health and Human Development Extramural Research
CFDA Number: 93.865
EIN: 1526002036A1
Document Number: RHD092489A
PMS Account Type: P (Subaccount)
Fiscal Year: 2018

IC	CAN	2018	2019	2020	2021	2022
OD	8010138	\$150,000	\$150,000			
HD	8014702	\$505,888	\$484,905	\$622,996	\$611,981	\$601,976

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

NIH Administrative Data:

PCC: PTCIB-VM / **OC:** 414A / **Released:** ROGERSJI 08/31/2018
Award Processed: 09/06/2018 12:16:00 AM

SECTION II – PAYMENT/HOTLINE INFORMATION – 1R01HD092489-01A1

For payment and HHS Office of Inspector General Hotline information, see the NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm>

SECTION III – TERMS AND CONDITIONS – 1R01HD092489-01A1

This award is based on the application submitted to, and as approved by, NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.

- b. Conditions on activities and expenditure of funds in other statutory requirements, such as those included in appropriations acts.
- c. 45 CFR Part 75.
- d. National Policy Requirements and all other requirements described in the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. Federal Award Performance Goals: As required by the periodic report in the RPPR or in the final progress report when applicable.
- f. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(See NIH Home Page at <http://grants.nih.gov/grants/policy/awardconditions.htm> for certain references cited above.)

Research and Development (R&D): All awards issued by the National Institutes of Health (NIH) meet the definition of "Research and Development" at 45 CFR Part§ 75.2. As such, auditees should identify NIH awards as part of the R&D cluster on the Schedule of Expenditures of Federal Awards (SEFA). The auditor should test NIH awards for compliance as instructed in Part V, Clusters of Programs. NIH recognizes that some awards may have another classification for purposes of indirect costs. The auditor is not required to report the disconnect (i.e., the award is classified as R&D for Federal Audit Requirement purposes but non-research for indirect cost rate purposes), unless the auditee is charging indirect costs at a rate other than the rate(s) specified in the award document(s).

An unobligated balance may be carried over into the next budget period without Grants Management Officer prior approval.

This grant is subject to Streamlined Noncompeting Award Procedures (SNAP).

This award is subject to the requirements of 2 CFR Part 25 for institutions to receive a Dun & Bradstreet Universal Numbering System (DUNS) number and maintain an active registration in the System for Award Management (SAM). Should a consortium/subaward be issued under this award, a DUNS requirement must be included. See <http://grants.nih.gov/grants/policy/awardconditions.htm> for the full NIH award term implementing this requirement and other additional information.

This award has been assigned the Federal Award Identification Number (FAIN) R01HD092489. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Based on the project period start date of this project, this award is likely subject to the Transparency Act subaward and executive compensation reporting requirement of 2 CFR Part 170. There are conditions that may exclude this award; see <http://grants.nih.gov/grants/policy/awardconditions.htm> for additional award applicability information.

In accordance with P.L. 110-161, compliance with the NIH Public Access Policy is now mandatory. For more information, see NOT-OD-08-033 and the Public Access website: <http://publicaccess.nih.gov/>.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Office Of The Director, National Institutes Of Health (OD) Eunice Kennedy Shriver National Institute Of Child Health & Human Development (NICHD)

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and

administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75. This term does not apply to NIH fellowships.

Treatment of Program Income:

Additional Costs

SECTION IV – HD Special Terms and Conditions – 1R01HD092489-01A1

Clinical Trial Indicator: No

This award does not support any NIH-defined Clinical Trials. See the NIH Grants Policy Statement Section 1.2 for NIH definition of Clinical Trial.

RESTRICTION: No funds may be drawn down from the payment system and no obligations may be made against Federal funds for this grant until all NIH administrative requirements have been met. Items include:

IRB/Address reviewers concerns on the Summary Statement
Updated Other Support

These requirements must be fulfilled no later than November 30, 2018. Failure to comply within the required timeframe or to otherwise comply with the above requirements can result in suspension and/or termination of this award, withholding of support, audit disallowances, and/or other appropriate action.

NICHHD will notify the recipient via a revised Notice of Award when these NIH administrative requirements have been met.

RESTRICTION: The present award is being made without a currently valid certification of Institutional Review Board (IRB) approval for this project with the following restriction: Only activities that are clearly severable and independent from activities that involve human subjects may be conducted pending NICHHD acceptance of the certification of IRB review and approval.

No funds may be drawn down from the payment system and no obligations may be made against Federal funds for any research involving human subjects prior to NICHHD notification to the recipient that the identified issues have been resolved and this restriction removed.

The verification of IRB approval must be submitted no later than November 30, 2018 to the grants management contact identified on the Notice of Award. Failure to comply with the above requirements can result in suspension and/or termination of this award, withholding of support, audit disallowances, and/or other appropriate action.

RESTRICTION: This award is issued subject to the following special condition: Only activities that do not directly involve human subjects (i.e., are clearly severable and independent from those activities that involve human subjects) may be conducted under this award until the following issues have been resolved to the satisfaction of NIH:

Address reviewers concerns.

NOTE: The resolution of human subjects protections concerns may result in modification to the protocol and/or informed consent that will require re-review by the Institutional Review Board (IRB) prior to NICHHD approval.

No funds may be drawn down from the payment system and no obligations may be made against Federal funds for any research involving human subjects prior to NICHD notification to the recipient that the identified issues have been resolved and this restriction removed.

In order to meet Institute program objectives within FY2018 budget constraints, this grant is reduced 15 percent below the level recommended by peer review. Future year levels of support are determined by applying the same administrative reduction.

This award includes funds awarded for consortium activity with the Oregon Social Learning Center.

Consortia are to be established and administered as described in the NIH Grants Policy Statement (rev. 10/17) (<http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>).

In accordance with the NICHD FY2018 fiscal policy, escalation on recurring costs has been removed.

No award funds shall be used to pay the salary of an individual at a rate in excess of the current salary cap. Therefore, this award and/or future years are adjusted accordingly. See NIH Guide Notice NOT-OD-18-137 for more information (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-137.html>).

This award includes funds for twelve months of support. The competing budget period is awarded for less than 12 months. Continuation awards will cycle on September 1. Allowable preaward costs may be charged to this award, in accordance with institutional requirements for prior approval and the conditions outlined in the NIH Grants Policy Statement (rev. 10/17) (<http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>).

STAFF CONTACTS

The Grants Management Specialist is responsible for the negotiation, award and administration of this project and for interpretation of Grants Administration policies and provisions. The Program Official is responsible for the scientific, programmatic and technical aspects of this project. These individuals work together in overall project administration. Prior approval requests (signed by an Authorized Organizational Representative) should be submitted in writing to the Grants Management Specialist. Requests may be made via e-mail.

Grants Management Specialist: Jill Rogers
Email: rogersj@mail.nih.gov **Phone:** (301)435-7008

Program Official: Valerie Maholmes
Email: maholmev@mail.nih.gov **Phone:** (301) 496-1514 **Fax:** (301) 480-0230

SPREADSHEET SUMMARY

GRANT NUMBER: 1R01HD092489-01A1

INSTITUTION: UNIVERSITY OF MARYLAND BALTIMORE

Budget	Year 1	Year 2	Year 3	Year 4	Year 5
Salaries and Wages	\$150,867	\$149,658	\$148,449	\$147,375	\$152,857
Fringe Benefits	\$56,649	\$56,320	\$55,991	\$55,699	\$57,190
Personnel Costs (Subtotal)	\$207,516	\$205,978	\$204,440	\$203,074	\$210,047
Consultant Services	\$19,550	\$17,850	\$18,913	\$18,913	\$19,550
Materials & Supplies	\$3,825	\$765	\$1,020	\$1,020	\$1,020
Travel	\$10,625	\$8,500	\$10,625	\$10,625	\$10,625
Other	\$56,151	\$58,701	\$43,418	\$37,655	\$21,760
Subawards/Consortium/Contractual Costs	\$182,367	\$184,083	\$192,843	\$192,843	\$195,638
TOTAL FEDERAL DC	\$480,034	\$475,877	\$471,259	\$464,130	\$458,640
TOTAL FEDERAL F&A	\$175,854	\$159,028	\$151,737	\$147,851	\$143,336
TOTAL COST	\$655,888	\$634,905	\$622,996	\$611,981	\$601,976

Facilities and Administrative Costs	Year 1	Year 2	Year 3	Year 4	Year 5
F&A Cost Rate 1	54.5%	54.5%	54.5%	54.5%	54.5%
F&A Cost Base 1	\$322,667	\$291,794	\$278,416	\$271,287	\$263,002
F&A Costs 1	\$175,854	\$159,028	\$151,737	\$147,851	\$143,336