

# Prolonged High Dose Esomeprazole for the Treatment of Chronic Cough in an Israeli Adult Population – An Open Label Study

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# Abstract

## Background

Chronic cough is considered to be part of the gastroesophageal reflux disease (GERD) spectrum. However, the response rate to standard dose proton pump inhibitors (PPI's) is poor. Our aim was to evaluate the response rate of chronic cough to an extended course of high dose PPI's and to identify predictors of treatment response.

## Methods

This prospective study included adult nonsmoking patients with chronic cough, normal spirometry, normal upper endoscopy and with no evidence of postnasal drip (PND). Treatment consisted of high dose PPI's (esomeprazole, 40mg b.i.d.) for 4 months. Primary end point was the reduction of the Reflux Symptoms Index (RSI), Leicester Cough Questionnaire (LCQ) and the Reflux Findings Score (RFS) by more than 50% at 4 months from baseline.

## Results

Forty-one patients (39% female; mean age  $55.9 \pm 15.19$  years) were enrolled, most of them with mean cough duration of more than 1 year. The primary end point was achieved in 39% of patients. Predictors of response included higher educational level ( $p = 0.035$ ), hyperemia of the arytenoids and the postcricoid area ( $p = 0.026$ ), and hoarseness ( $p < 0.01$ ). No difference was found in the frequency of heartburn and regurgitation between responders and nonresponders. More complaints of difficulty in swallowing were reported by nonresponders ( $p < 0.01$ ).

## Conclusion

A therapeutic benefit of high dose PPI's for chronic cough cannot be dismissed, especially in patients with hoarseness, and arytenoid or postcricoid hyperemia. Prolonged high dose therapy may be warranted.

## Key Summary

1. The established knowledge on this subject:

- Together with upper airway cough syndrome and asthma, gastroesophageal reflux disease (GERD) accounts for the majority of cases of chronic cough
- Diagnosis of GERD-induced chronic cough is challenging, as up to 70% of GERD patients with chronic cough do not report heartburn and/or regurgitation

- GERD may cause chronic cough by direct (contact of acidic or nonacidic refluxate) and indirect (vagally mediated reflex) mechanism
- The response rate of chronic cough to PPI therapy is unpredicted and suboptimal

## 2. The significant new findings of this study?

- Presence of hoarseness, hyperemia of the arytenoids and postcricoid area, and lack of dysphagia are positive predictive factors for PPI response
- Presence of dysphagia is a negative predictive factor for PPI response
- Patients who respond to therapy in the first 2 months benefit from continuing treatment for an additional 2 months
- There is no basis to continue PPI therapy if there is a lack of response at 2 months

## Background

Chronic cough, defined as cough that persists for more than 8 weeks, affects 11–20% of the adult population and may significantly impair quality of life [1, 2]. Upper airway cough syndrome, asthma, and gastroesophageal reflux disease (GERD) account for the majority of cases of chronic cough.

GERD typically manifests as heartburn and/or regurgitation. Atypical or extraesophageal manifestations may occur, such as chronic cough, non-cardiac chest pain, throat clearing, hoarseness, dysphonia, asthma, and globus sensation [3]. Moreover, gastroesophageal reflux may cause chronic cough by two distinct mechanisms: 1) Direct contact of acidic or nonacidic refluxate (bile, pepsin, duodenal enzymes) that reaches the proximal esophagus and then the larynx [4]; 2) Indirectly, cough may be due to a vagally mediated reflex, initiated in the distal esophagus by gastric contents that stimulate mucosal receptors [4.5].

In several studies, acid infusion into the distal esophagus significantly increased cough frequency in patients with GERD and chronic cough [6.7]. Furthermore, ambulatory acoustic cough monitoring with simultaneous impedance/pH recording, demonstrated that cough was positively associated with excessive esophageal acid exposure [8]. Nevertheless, diagnosis of GERD-induced chronic cough is challenging, as up to 70% of gastroesophageal reflux patients with chronic cough do not report typical GERD symptoms (heartburn and regurgitation) [9]. In addition, the response rate of chronic cough to proton pump inhibitor (PPI) therapy, which represents the standard of care for GERD, is suboptimal [10.11].

These observations may indicate that the working diagnosis (i.e., cough is GERD-related) is incorrect, or some cases of PPI failure are due to suboptimal acid suppression.

In clinical practice, in the absence of pulmonary disease or sinusitis/post nasal drip (PND), most physicians will suspect GERD and treat chronic cough with standard or even double doses of PPI's. However, there are no prospective studies that have evaluated the effectiveness of double dose PPIs in

patients with chronic cough. The aims of this study were to evaluate effectiveness of double dose PPI therapy for the treatment of chronic cough, and to identify predictive factors of response to PPI therapy.

## **Methods**

### **Patients**

Nonsmoking patients (age >18 years) with a history of chronic cough (>8 weeks) during the 6 months prior to screening, without established PND or pulmonary disease, were eligible for enrollment. All eligible patients were previously assessed in the Otolaryngology and Pulmonology Clinics at the Rabin Medical Center to exclude otolaryngeal or pulmonary pathology. Referral of patients for screening was performed by otorhinolaryngologists and gastroenterologists from the Otorhinolaryngology and Gastroenterology outpatient clinics, respectively, at the Rabin Medical Center. Exclusion criteria included gastric or esophageal surgery, active peptic ulcer disease, malignancy, endoscopic evidence for erosive esophagitis, or Barrett's esophagus. In addition, we excluded pregnant patients, active smokers, patients with asthma, chronic bronchitis, or pathologic findings on spirometry or chest X-ray, patients with gastroparesis and those on active treatment with angiotensin-converting enzyme inhibitors. We also excluded patients reluctant or incapable of providing informed consent, unable to fully complete all phases of the study, or with a contraindication to PPI therapy.

### **Study design**

This prospective, interventional open-label trial, was conducted between January 2013 to May 2016, in the Divisions of Otorhinolaryngology and Gastroenterology at the Rabin Medical Center, Israel, and was performed in accordance with the principles of the Declaration of Helsinki, Good Clinical Practice and was approved by the Human Subjects Protection Program of the Rabin Medical Center, Israel on 4<sup>th</sup> of March 2013 (trial 0125-13).

All patients provided written informed consent before enrollment into the study. All eligible patients who gave informed consent underwent an upper endoscopy (at baseline only) if they had not done so in the past year.

This study adheres to CONSORT guidelines.

### **Patient screening and enrollment**

After initial screening, patients with chronic cough were assessed during a face-to-face interview by one of the two chief investigators (R.D. and U.A.). This was performed to ensure that the inclusion and exclusion criteria were met, to obtain written informed consent, and to prescribe PPI therapy. Treatment comprised esomeprazole 40mg twice daily for a period of 4 months.

### **Study instruments and measurements**

Patients were evaluated by questionnaires and by fiberoptic laryngoscopy at baseline, 2 months and 4 months after initiation of treatment. At each time point, patients were interviewed by chief investigators to obtain numerical responses to the items in the questionnaires.

### **Demographic questionnaire**

The demographic questionnaire included characteristics regarding age, gender, body mass index, ethnicity, level of education, occupation, and marital status.

### **Reflux symptoms index (RSI)**

The RSI is a 9-item self-administered outcome questionnaire designed to evaluate extraesophageal reflux related symptoms and severity. Symptoms include hoarseness, vocal fatigue, excessive throat clearing, dysphagia, chronic cough, and PND. Regurgitation and heartburn are also evaluated. Patients are asked to rate how these 9 symptoms have affected them over the past month on a scale of 0 (none) to 5 (severe), with a maximum total score of 45. A total score of more than 13 is considered suggestive of extraesophageal reflux. The higher the score, the more severe the symptoms experienced by the patient [12].

### **Leicester cough questionnaire (LCQ)**

The LCQ is a validated, self reported quality of life instrument comprising 19 items relating to three main domains: Physical, psychological, and social [13]. Patients are asked to rate the frequency and severity of symptoms on a 7-point Likert scale (ranging from 1 to 7). Scores are calculated as a mean of each domain, and the total score is calculated by adding every domain score. The lower the score, the greater the symptoms experienced by the patient.

### **Fiberoptic laryngoscopic examination**

Fiberoptic laryngoscopic examination was performed at all 3 time points by the study investigators (Y.V. and U.A.) to evaluate the signs suggestive of reflux, and for completion of the Reflux Findings Score (RFS) [14]. If the vocal cords and surrounding structures were not clearly visible with a rigid endoscope, a flexible nasopharyngoscope was used. Participants were instructed to verbalize “ee” in a high-pitched, low-pitched, and regular-pitched tone.

### **Reflux finding score (RFS)**

The RFS is an 8-item index designed to assess clinical severity based on laryngoscopic findings [14]. The 8 items included in the scale are subglottic edema, ventricular obliteration, erythema/hyperemia, vocal fold edema, diffuse laryngeal edema, posterior commissure hypertrophy, granuloma/granulation tissue, and excessive endolaryngeal mucus. Scores range from 0 (normal) to 26 (most severe). A score of 11 or above is suggestive of GERD.

### **Statistical analysis**

A positive response to PPI treatment was defined as an improvement of at least 50% in any score (RSI, LCQ, and RFS) over baseline, as described in previous studies [15]. Patients were stratified into positive and negative response groups. Continuous variables were compared between groups with the nonparametric Mann-Whitney U test because of the small sample size and abnormal distribution of some of the variables. Pearson chi-square test was used to analyze dichotomous variables. A *p* value of less than 0.05 was considered statistically significant. Data were generated and analyzed with SPSS Version 15.0.

## Results

Forty-one patients with chronic cough completed the study. Our cohort included 25 males (61%) and 16 females (39%), aged 24 to 79 years (mean  $55.9 \pm 15.19$  years). Among patients with chronic cough, hoarse voice was reported in 24%, throat discomfort in 12.2%, heartburn sensation in 63%, globus sensation in 29%, and depression in 7%.

Following PPI treatment, the primary end point of reduction of the RSI or LCQ and/or of the RFS by more than 50% at 4 months from baseline was attained in 16 patients (39%). The demographic and clinical characteristics of the two groups are presented in Table 1. No significant differences between groups were found for any of the variables analyzed, except for level of education which was higher in the patients with a positive response ( $p = 0.035$ ).

Table 1  
Demographics and clinical characteristics of 41 patients with chronic cough  
according to response to PPI treatment

Characteristics	Nonresponders (N = 25)	Responders (N = 16)	<i>p</i> value
Age (years), mean $\pm$ SD	56.4 $\pm$ 14.2	54.1 $\pm$ 17.6	0.44
Female gender	8 (32%)	8 (50%)	0.45
<b>Place of birth</b>			
Israel	16 (64%)	9 (56%)	0.81
East Europe	4 (16%)	3 (18.7%)	0.84
West Europe	1 (4%)	1 (6.2%)	0.76
North Africa	1 (4%)	1 (6.2%)	0.76
North America	3 (12%)	2 (12.5%)	0.97
Body mass index mean $\pm$ SD	27.1 $\pm$ 5.9	26.6 $\pm$ 4.1	0.53
<b>Education</b>			
Elementary	5 (20%)	0 (0%)	0.047
High school	15 (60%)	7 (43%)	0.58
University	5 (20%)	9 (57%)	0.035
Life with a partner	19	11	0.24
No. of children	2.43 $\pm$ 1.24	2.07 $\pm$ 1.33	0.63
Daily alcohol use	1 (4%)	3 (18.75%)	0.21
GERD	15 (60%)	11 (68.75%)	0.79
Hoarseness	5 (20%)	5 (31.25%)	0.53
Throat discomfort	3 (12%)	2 (12.5%)	0.96
Globus	7 (28%)	5 (31.25%)	0.87
Depression	2 (8%)	1 (6.25%)	0.84
Symptom duration (months)	16.7 $\pm$ 7.8	15.3 $\pm$ 6.2	0.76
Data are shown as n(%) unless otherwise indicated.			
PPI - proton pump inhibitor; GERD - gastroesophageal reflux disease			



Laryngeal findings at baseline of both groups are presented in Table 2. Scores on the self-reported instruments are shown in Tables 3 and 4. Compared with nonresponders, PPI responders (patients who achieved the primary end point) had a significantly higher rate of baseline erythema or hyperemia of the arytenoids or the postcricoid area ( $p = 0.026$ ). At the end of the treatment period, only the responders showed a significant resolution in erythema in the posterior cricoid wall and arytenoid complex ( $p = 0.037$ ).

Table 2  
Baseline laryngeal findings of 41 patients with chronic cough according to response to PPI treatment

Laryngoscope examination	Nonresponders (N = 25)	Responders (N = 16)	<i>p</i> value
Subglottic edema	0.3	0.1	0.09
Ventricular edema	0.08	0.1	0.061
Erythema/hyperemia	2.2	3.3	0.026
Vocal fold edema	1.4	1.4	0.6
Diffuse laryngeal edema	2	2.4	0.18
Posterior commissure hypertrophy	2.2	2.3	0.23
Granuloma/granulation tissue	0.08	0.1	0.061
Thick endolaryngeal mucus	0.6	0.8	0.27
Total RFS, mean $\pm$ SD	9.04 $\pm$ 3.9	10.8 $\pm$ 3.2	0.16
*Items were scored on a scale of 0 to 5. A higher score indicates a worse laryngeal condition.			
PPI - proton pump inhibitor; RFS - Reflux Findings Score			

Table 3  
Mean scores on the Leicester Cough Questionnaire of 41 patients with chronic cough according to response to PPI treatment

Items	Nonresponders (N = 25)	Responders (N = 16)	<i>p</i> value
Have you had chest or stomach pain because of the cough?	4.6	4.7	0.51
Have you been bothered by sputum (phlegm) production?	<b>3.5</b>	<b>2.3</b>	<b>0.053</b>
Have you been tired because of the cough?	4.0	4.4	0.34
Have you felt in control of your cough?	4.0	4.5	0.26
Have you felt embarrassed by coughing?	6.5	4.1	0.67
Has the cough made you feel anxious?	3.7	3.5	0.78
Has the cough interfered with your job/daily life?	4.1	4.3	0.17
Has the cough interfered with your enjoyment of life?	3.3	3.4	0.49
Has exposure to paint or fumes make you cough?	4.2	4.3	0.61
Has the cough disturbed your sleep?	3.6	3.5	0.82
How many times a day have you had coughing bouts?	2.6	2.9	0.50
Has the cough made you feel frustrated?	3.2	3.1	0.84
Has the cough made you feel fed up?	3.5	3.4	0.91
Have you suffered from a hoarse voice because of the cough?	<b>4.4</b>	<b>2.09</b>	<b>&lt; 0.01</b>
Have you had a lot of energy?	4.1	4.3	0.21
Have you worried that the cough may indicate a serious illness?	4.2	3.8	0.83
Have you been concerned that people think something is wrong with you?	4.5	4.2	0.67
Has the cough interrupted your conversations?	5.0	4.9	0.70
Have you felt that the cough is annoying your partner/family?	3.1	3.3	0.18
<b>Total score</b>	<b>71.5</b>	<b>73.8</b>	<b>0.54</b>
Items were scored on a scale of 1 to 7. Responses refer to the previous 2 weeks.			
PPI = proton pump inhibitors			

Table 4

Mean scores on the Reflux Symptoms Index (severity and frequency) of 41 patients with chronic cough according to response to PPI treatment

Items	Severity			Frequency		
	Non Responders (N = 25)	Responders (N = 16)	p value	Non Responders (N = 25)	Responders (N = 16)	p value
Hoarseness or a problem with voice	1.2	1.5	0.47	0.9	1.96	0.017
Clearing the throat	2	2.2	0.64	2.3	2	0.62
Excess throat mucous or postnasal drip	1.78	1.64	0.83	1.62	1.09	0.14
Difficulty swallowing food, liquids or pills	0.93	0.27	< 0.01	1.74	0.55	< 0.01
Coughing after food or after lying down	1.78	1.82	0.79	1.92	1.94	0.91
Breathing difficulties or choking episodes	0.96	1.09	0.61	1.92	2.3	0.54
Troublesome or annoying cough	2.15	2.45	0.36	3.18	2.8	0.30
Sensations of something sticking in the throat	1.78	1.36	0.42	1.85	1.0	0.07
Heartburn, chest pain, indigestion, or stomach acid coming up	1.74	2.09	0.25	2.22	1.45	0.12
<b>Total severity</b>	<b>14.07</b>	<b>13.36</b>	<b>0.53</b>	<b>18.78</b>	<b>14.55</b>	<b>0.26</b>
Items were scored on a scale of 0 to 5. Responses refer to the previous month.						
PPI = proton pump inhibitor						

Analysis of the LCQ and RSI results revealed that at baseline, responders were more likely to suffer from hoarseness ( $p < 0.02$ ). Responders had a decreased frequency and severity of difficulty in swallowing food, liquids and pills compared to nonresponders ( $p < 0.01$ ).

According to both the RSI and LCQ, in the group of responders, symptomatic response rate at 4 months was higher than that at 2 months (Figs. 1 and 2). Conversely, among nonresponders, lack of response at 2 months remained unchanged at 4 months.

## Discussion

In the present study we have identified several factors that may be associated with response to high dose PPI, including erythema or hyperemia of the arytenoids or the postcricoid area, hoarseness, lack of dysphagia, and higher level of education. We also found that the overall response rate to esomeprazole was quite low (39%). Similar results were presented in a retrospective study by Waxman et al. that assessed symptoms and laryngopharyngeal pH in patients with chronic cough who were treated with PPI [16]. These investigators also found that most patients (67.4%) achieved symptom resolution, however 60.5% did not achieve pH normalization [16]. Another retrospective study, using the same PPI dosage as used in the aforementioned study, found that up to 66% of patients with chronic cough may respond to PPI [17]. Differences in response rate to PPI, as shown in these studies, may be related to the chosen criteria for the definition of response, and to the selection of symptoms. We believe that the lower response rate to PPI's in our study, may be due to the presence of alternative cough etiologies including pulmonary disease, nasopharyngeal disorders or nonacid reflux [18], despite the fact that otolaryngeal and pulmonary pathologies had ostensibly been excluded prior to inclusion [5].

We identified several positive predictors of response to PPI therapy. Patients with a good response showed higher educational attainment. This could be attributed to better compliance with therapy, thus leading to a better response rate. Additional positive predictors of response to PPI's were laryngeal findings including erythema of the arytenoids and postcricoid area. In the group of responders, laryngeal findings were the only findings that improved on PPI therapy. This may suggest that in patients with cough, erythema of the arytenoids and postcricoid area, the main underlying mechanism may be an acidic refluxate. Using a multichannel intraluminal impedance/pH monitoring, de Bortoli et al. confirmed the presence of gastroesophageal reflux in a proportion of patients with laryngeal findings [19].

We found that PPI responders complained more frequently of hoarseness and voice problems. In other prospective studies, it was found that more patients with chronic cough and the combination of throat clearing, hoarseness and voice problems, responded well to PPI [20, 21]. This may be related to acidic refluxate that provokes laryngeal inflammation.

Ultimately, we found that responders reported a higher rate of symptomatic improvement at the end of the study (4 months) compared to the end of the 2nd month. These results were reported by other studies that showed an increase in the response rate when PPI therapy was extended from 2 months to 4 months [22, 23]. This time-dependent therapeutic gain was not observed in the nonresponders, suggesting that there is no basis to continue PPI therapy if there is a lack of response at 2 months.

The strengths of our study included the prospective design, the rigorous patient selection, and the use of simple and clear outcome measures.

Limitations of this study were the small sample size, the lack of a control group, and the lack of an assessment of acidic or nonacidic esophageal exposure at baseline and at the end of the 2nd and 4th months. Without an objective GERD evaluation (esophageal acid exposure study) it is impossible to determine whether or not the response to therapy was due to the reduction of esophageal acid exposure. In this study, GERD diagnosis was based on the use of questionnaires that are not sensitive and specific enough for the diagnosis of GERD. As an observational study, comparisons can only be performed in an indirect manner. Thus, there is a possibility that the association we found, may be the result of an effect of other variables that differ between exposed and non-exposed subjects, and that may also have an association with the study outcome. This study assessed a simple question in a practical and realistic clinical setting where invasive tests to evaluate GERD in chronic cough are not readily available.

Finally, we used laryngeal signs to demonstrate or to rule out response to treatment. However, the sensitivity of these signs are not perfect as some of these signs can be encountered in asymptomatic subjects.

## Conclusion

In this prospective, open-label study, the response rate of chronic cough to 4 months of double dose PPI therapy was suboptimal. However, it is possible that a subset of patients who may respond to PPI therapy could potentially be identified with esophageal impedance/pH testing.

If impedance/pH recording is unavailable, we found that the presence of hoarseness, hyperemia of the arytenoids and postcricoid area, and lack of dysphagia, to be positive predictive factors for PPI response.

Moreover, we found that patients who responded to therapy in the first 2 months, benefited from continuing treatment for an additional 2 months. Therefore, our advice is to assess patients after the first 2 months therapy, and based on their response, a decision should be made whether to continue treatment.

## Abbreviations

GERD

Gastroesophageal reflux disease

PPI

Proton pump inhibitors

PND

Postnasal drip

RSI

Reflux symptoms index

LCQ

Leicester cough questionnaire

## Declarations

**Ethics approval and consent to participate:** All study procedures were performed in accordance with the principles of the Declaration of Helsinki, Good Clinical Practice and was approved by the Human Subjects Protection Program of the Rabin Medical Center, Israel (trial 0125-13). All patients provided written informed consent before enrollment into the study. All eligible patients who gave informed consent underwent an upper endoscopy (at baseline only) if they had not done so in the past year.

**Consent for publication:** Not applicable

**Availability of data and materials:** Applicable upon request of dataset that would be necessary to interpret, replicate and build upon the findings reported in the article for all participants. Files of data and materials are available upon reasonable request to Dr. Uri Alkan.

**Competing interests:** The authors declare that they have no competing interests.

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## Author's Contributions

R.D., Y.V., J.S., and U.A.: Conception and design.

D.B., Y.N., R.G-B and A.B.: Acquisition of data

R.D., A.R., and U.A.: Drafting manuscript

Y.N. R.G-B and A.B.: Analysis and interpretation of data:

R.D., D.B., A.R., and U.A.: Final approval of the manuscript.

All authors read and approved the manuscript

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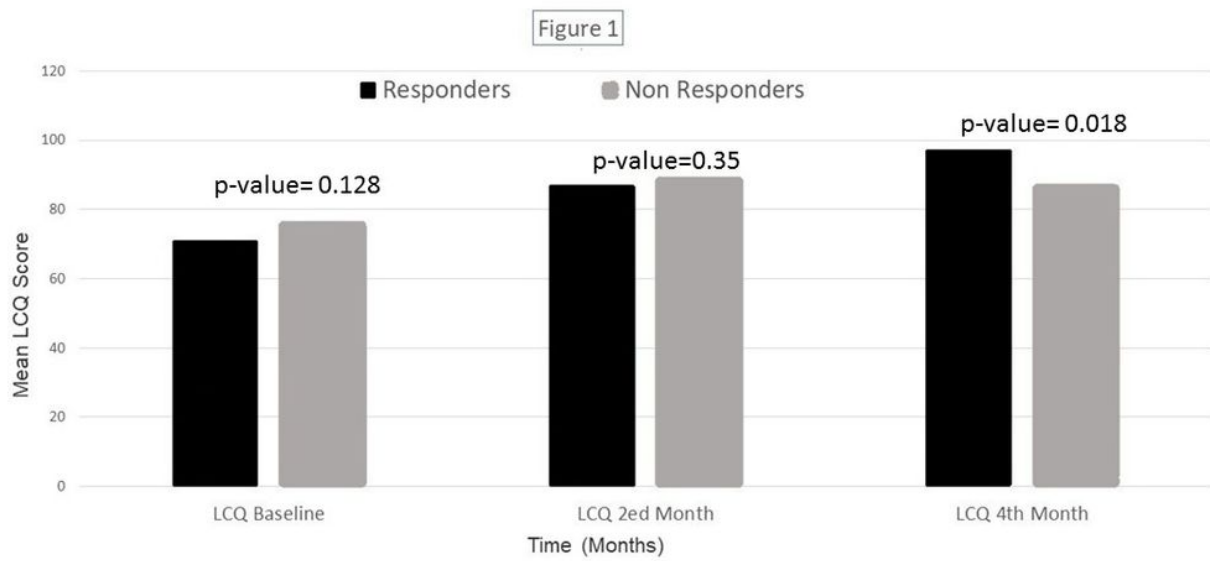
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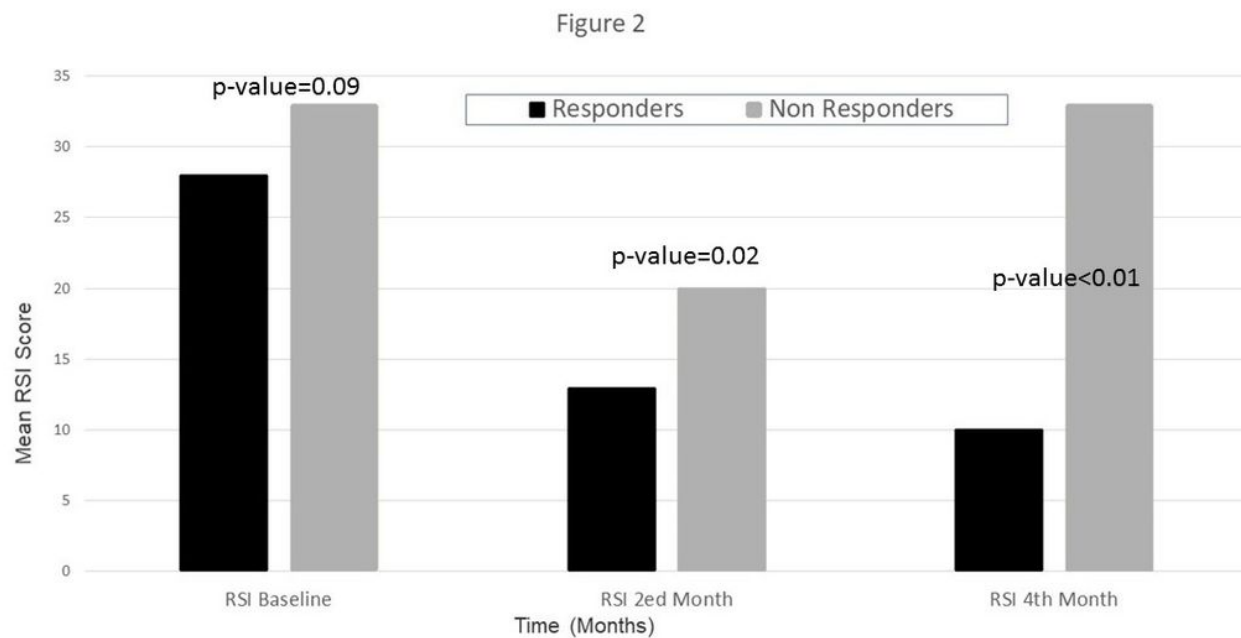
## Figures





**Figure 1**

Mean scores on the Leicester Cough Questionnaire (LCQ) in responders and nonresponders at baseline and after 2 and 4 months of proton pump inhibitor (PPI) treatment. A lower score indicates that patients have more severe symptoms.



**Figure 2**

Mean scores on the Reflux Symptoms Index (RSI) in responders and nonresponders at baseline and after 2 and 4 months of proton pump inhibitor (PPI) treatment.