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Experimental investigation on the evolution of damage and seepage characteristics for red sandstone under thermal-mechanical coupling conditions

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Abstract: Rock masses in underground space usually experience the coupling of high-temperature field, stress field and seepage field, which gives them complex mechanical behavior and permeability characteristics. In order to study the mechanical properties and permeability characteristics of red sandstone under different temperature environments, a seepage test under high temperature and triaxial compression is carried out based on the RLW-2000 multi-field coupling tester. The results show that the plastic flow of red sandstone at the stress peak under the same temperature is more obvious with the increase of confining pressure. In addition, as the confining pressure gradient increases, the permeability decreases and the trend becomes slower. And the higher the operating temperature, the easier to produce seepage channels inside the rock sample. The development of fissures is rapidly developed under the effect of temperature, so the seepage channels are widened and increased, and the permeability is greatly increased. The constitutive model of rock statistical damage considering the interaction of high temperature and osmotic pressure was constructed based on the experimental data and combining theoretical methods to reveal the characteristics of permeability evolution induced by thermal damage of rocks. The research results can be used as a reference for monitoring rock stability during geological engineering projects involving thermal-seepage-stress coupling conditions.

Keywords: Red sandstone, High temperature, Seepage characteristics, Statistical damage, Constitutive model

1 Introduction

At present, more and more geotechnical engineering projects are developing and exploring deep underground, and their environment is becoming more and more complex. In order to ensure the stability and safety of geotechnical engineering, the permeability characteristics of rocks under different working conditions have become an important research topic in the field of rock mechanics (Zhao., 2016; Yao et al., 2015; Chen et al., 2018b; Chen et al., 2014). Such as temperature and fluids during deep geothermal extraction can also affect the permeability of the surrounding rock in the drilling well wall (Schulze et al., 2001; Wang et al., 2013; Wang et al., 2015). Meanwhile, there are also few reports on the permeability test of the full stress-strain rock mass with the coupling of temperature and stress, and the study of the permeability evolution law during the gradual cracking

30 process of the coupled temperature and stress also needs to be carried out. Therefore, it is necessary to reveal the
31 variation law of permeability characteristics of geothermal pipeline surrounding rocks under deep mining
32 geothermal environment.

33 In recent years, many researchers have studied the stress-seepage coupling mechanism of different types of
34 rocks during triaxial compression deformation under confining pressure and explained the relationship between
35 rock permeability and total stress-strain (Tanikawa et al., 2015; Liu et al., 2012; Zhu et al., 2016; Zhao et al., 2017;
36 Oda et al., 2002). It is found that the permeability decreases with the increase of confining pressure and increases
37 with the osmotic pressure (Meng et al., 2019; Gräf et al., 2013; Sun et al., 2019). Chaki et al. (2008) measured the
38 porosity, permeability, velocity and attenuation of ultrasonic waves in granite exposed to different high
39 temperatures (up to 600°C), and noted that ultrasonic velocity is a sensitive parameter that can provide
40 information on the state of the rock. Li et al. (2017) studied the relationship between the seepage flow rate of rock
41 fractures and temperature, and the results showed that the rock fracture opening and seepage flow rate gradually
42 increased with the thermal temperature. Zhang et al. (2019) found that the permeability of granite after losing its
43 bearing capacity showed an exponential upward trend as the confining pressure decreased. After heat treatment,
44 the permeability under the triaxial loading process first decreases in the micro-crack closure area, while it is
45 almost constant in the elastic area, and then increases sharply in the crack propagation area (Chen et al. 2014).
46 With the existing materials and indoor environment, it is difficult to restore the high temperature environment of
47 the rock mass. At this stage, most of the rock mechanics tests involving temperature are studied in combination
48 with hydraulic conditions after temperature treatment. Heiland (2003) used sandstone as the research object and
49 studied the permeability characteristics of sandstone during deformation and failure and the evolution of rock
50 permeability before and after failure. Wang et al. (2014) used laboratory tests to study the hydraulic characteristics
51 of altered rocks under different confining pressures. Rostovanyi (2013) studied clay rock sensitivity to
52 temperature field, seepage field and stress field. Yang et al. (2017) analyzed the influence of temperature
53 (25-800°C) on the physical properties, mechanical properties and permeability of sandstone. The critical
54 temperature (T_c) of sandstone mechanics and permeability behavior change was determined to be 400-500°C.

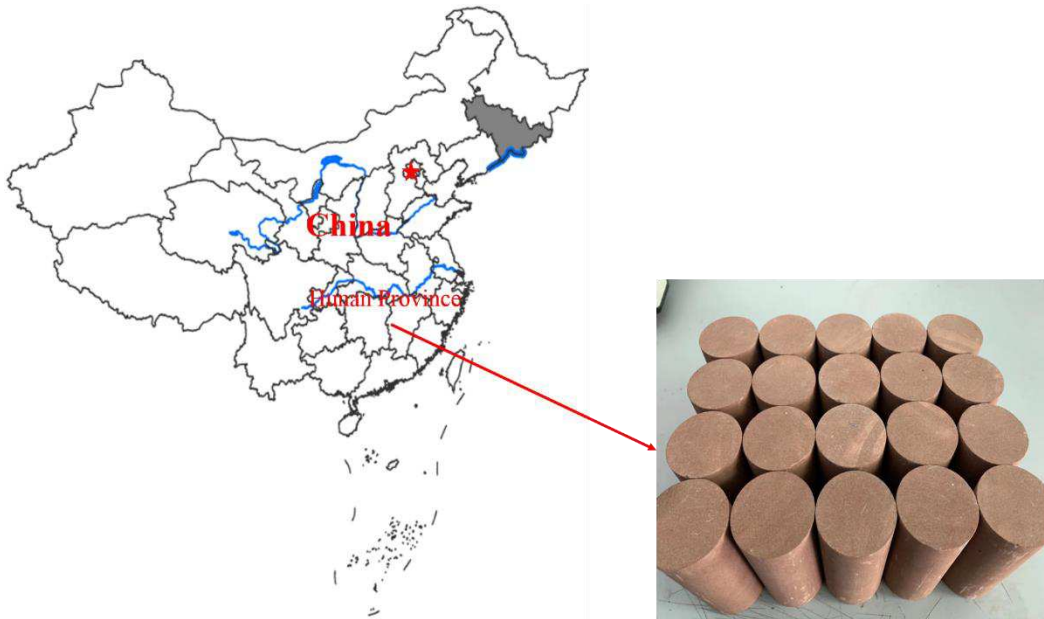
55 Based on the above research results, there are few reports on the study of rock mechanics and seepage
56 characteristics in the process of gradual fracture under different temperatures. In fact, the same rock has different
57 mechanical properties and permeability characteristics under different working conditions. Thus, the seepage
58 experiment of red sandstone under the coupling action of thermal-hydro-mechanical field was carried out in this
59 paper, and the effects of different temperatures, different seepage pressure and confining pressure on the

60 permeability during the gradual fracturing of red sandstone were studied. Based on the experimental data, a
61 constitutive model of rock statistical damage considering the interaction of high temperature and seepage pressure
62 is constructed and verified the rationality of the model. And combining theoretical methods to reveal the
63 characteristics of permeability evolution induced by thermal damage of rocks. The research results can provide a
64 certain reference basis for the construction and long-term stability of geotechnical engineering projects involving
65 thermal-seepage-stress coupling.

66 2. Test principle and scheme

67 2.1 Sample preparation

68 The rock blocks used in the experimental test were sampled from the sandstone of a tunnel project in Hunan,
69 China. The rock samples comprised reddish-brown sandstone. According to the test procedures of the
70 International Society for Rock Mechanics (ISRM), the red sandstone block was drilled, cored, and ground to
71 obtain a standard cylindrical sample with a size of $\Phi 50 \text{ mm} \times 100 \text{ mm}$ (Fig. 1). The flatness of the end face was
72 $\pm 0.02 \text{ mm}$. The specimens with macroscopic damage or obvious cracks were removed before testing to eliminate
73 the influence of pre-fractured samples on the test.

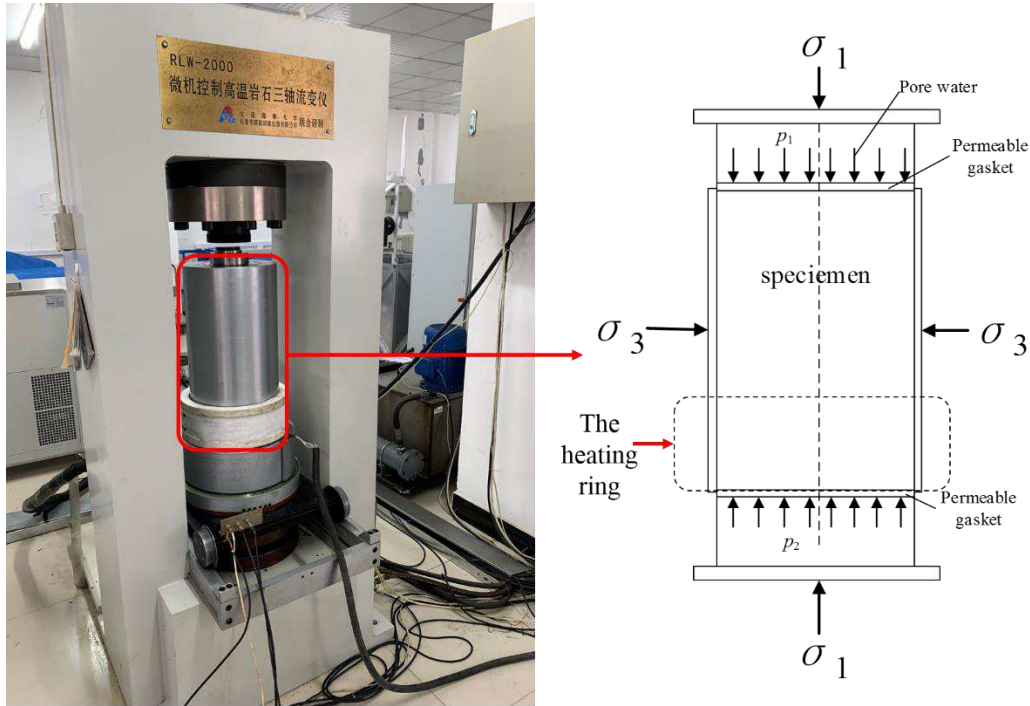


74
75 Fig. 1. Red sandstone specimen

76 2.2 Test equipment

77 The test was carried out using an RLW-2000 multifield coupled triaxial instrument, developed jointly by
78 Dalian Maritime University and Changchun Chaoyang Testing Machine Factory (Fig. 2). This instrument is
79 suitable for the temperature–mechanical coupling and conventional mechanical testing of lithified geological
80 materials. The RLW-2000 system comprises an axial pressure, confining pressure, and seepage system, and other
81 parts, as well as special displacement and radial deformation sensors. The maximum axial load was 2000 kN, and
82 the measurement and control accuracy was within 0.01%. When the confining pressure reached 80 MPa, the

83 osmotic pressure reached 50 MPa. The maximum temperature, controlled by a microcomputer, was 200°C, and
 84 the control accuracy was 2%.



85
 86 Fig. 2. RLW-2000 multi-field coupling rock triaxial instrument and seepage device

87 **2.3 Test principle**

88 In order to study the permeability changes of red sandstone under different temperatures, osmotic pressure,
 89 and triaxial compression conditions. In this test, the steady-state method and the transient method are used to
 90 determine the permeability of red sandstone. And combined with theoretical methods to reveal the evolution
 91 mechanism of permeability in the process of rock gradual cracking.

92 (1) Assuming that the sample is a uniform continuum material, the permeability characteristics are in
 93 accordance with Darcy's law (Chen et al., 2017b; Yang et al., 2015; Eberhardt et al., 1998). The expression used to
 94 test the permeability of the sample is as follows:

95
$$k = \frac{\mu L \Delta Q}{A \Delta P \Delta t} \quad (1)$$

96 Where k is the permeability of the sandstone sample within Δt (m^2). μ is the fluid (water) viscosity coefficient,
 97 taking $\mu = 1 \times 10^{-3} Pa \cdot s$ (Water temperature 20°C). ΔQ is the volume of water flowing through the red sandstone
 98 sample within Δt (m^3). L is the seepage length of the water flow, that is, the height of the sample in the test,
 99 $L=0.1m$. A is the cross-sectional area of the sample (m^2). ΔP is the osmotic pressure difference between the upper
 100 and lower ends of the red sandstone sample ($\Delta P=P_1-P_2$). P_1 and P_2 are the upstream and downstream pressures of
 101 the seepage respectively. The following ΔP is consistent with this (Pa). Δt is the interval time between recording
 102 points (s).

103 (2) The basic principle of the transient method is:

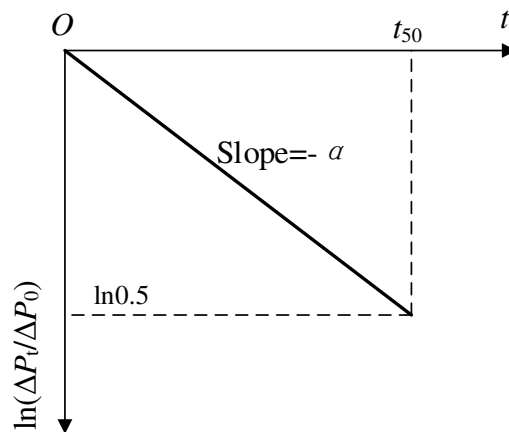
104 Apply an equal constant water pressure on the upper and lower ends of the rock to form an initial pressure
105 field in the core. A pulse water pressure is applied by the downstream flow pump, and a bottom-up seepage flow is
106 generated in the core under the action of the pressure difference. After that, the upstream pressure P_1 will
107 gradually decay, and the downstream pressure P_2 will gradually rise until the pressure balance is reached. The
108 permeability is calculated using the attenuation law of the upstream and downstream pressure difference.
109 According to Brace et al., (1968) introduction to the principles and methods of the transient method, the
110 interpretation formula for solving the permeability is:

$$111 \quad \Delta P_t = (\Delta P_0) e^{-\alpha t} \quad (2)$$

$$112 \quad k = \frac{\alpha \mu L (C_1 C_2)}{A (C_1 + C_2)} \quad (3)$$

113 Where k is the core permeability (m^2). ΔP_t is the measured value of upstream and downstream pressure
114 difference (MPa). ΔP_0 is the initial pressure difference (MPa). t is the elapsed time (s). C_1 and C_2 are the water
115 capacity of the upstream and downstream pressure vessels respectively. The water capacity C_1 of the upstream
116 pressure vessel is defined as: $C_1 = dv_1 / dp_1$. The order of magnitude is $10^{-14} \text{m}^3/\text{Pa}$. C_2 is the same.

117 From the semi-logarithmic differential pressure-time curve (as shown in Fig. 3), it can be seen that the
118 permeability k can be obtained by substituting the slope α into equation (3). In the actual measurement, a
119 measurement can be completed when the pressure difference decays to about 50% of the initial stage. Therefore,
120 the time required for the pressure difference to decay 50% is called the pressure difference decay half-life, which
121 is represented by t_{50} (Ranjith et al., 2012; Shao et al., 2015).



122
123 Fig. 3. Semi-logarithmic differential pressure-time curve

124 2.4 Test procedure

125 The stratigraphic temperature will increase with the increase of excavation depth, and the ground temperature
126 gradient is $30^\circ\text{C}/\text{km} \sim 50^\circ\text{C}/\text{km}$, and the local abnormal area can reach $80^\circ\text{C}/\text{km}$ (Xie et al., 2005; Walch et al.,
127 2021). Meanwhile, for a geothermal project with a mining depth of 2km, the corresponding temperature is 60°C

128 to 100°C. Since this test is a seepage test under the action of thermal-mechanical coupling and the fluid medium is
129 water. When the temperature exceeds 100°C, the water will evaporate into water vapor and generate air pressure,
130 which will affect the permeability of this test. Therefore, the maximum temperature of the triaxial pressure
131 chamber in this test is set to 90°C. Meanwhile, the highest ground stress for a geothermal pipeline with an
132 excavation depth of 2km is 30MPa. (Wang et al., 2014). In order to test the effect of different temperatures and
133 different confining pressures on the permeability of red sandstone, the temperature of this test program is selected
134 as 20°C, 50°C, 70°C and 90°C, and the confining pressure is selected as 10MPa, 20MPa and 30MPa. Because the
135 pressure of permeated water vapor increases with the temperature, and the saturated vapor pressure of water at 90°C
136 is 0.070117MPa. In order to ensure that the test is not disturbed by steam, the lowest pressure upstream and
137 downstream of the seepage flow is set to 0.1MPa. The highest osmotic pressure difference is 5MPa. When the
138 steady-state method is used to determine the permeability, the upstream and downstream hydraulic pressure
139 difference ΔP is 1, 2, 3, 4, 5MPa. When the transient method is used to determine the permeability, the
140 equilibrium pressure of the upstream and downstream of the seepage is 5MPa, the pressure pulse is 1MPa, and the
141 downstream pressure reduction method of the seepage is adopted. In order to eliminate as much as possible the
142 influence of rock heterogeneity on the experimental results, each set of tests in this test was designed as three
143 specimens, and the stress-strain curves and permeability values were taken as the middle value of three specimens
144 (Yang et al. 2019). The test steps are:

145 (1) After the oil flushing in the pressure chamber is completed, in order to prevent the saturated water inside
146 the rock sample from escaping due to heating, the upstream and downstream pressure of the seepage is maintained
147 at 0.5MPa and raise the temperature to the set value and wait for it to stabilize for 12 hours before proceeding to
148 the next step.

149 (2) Apply the confining pressure to 10MPa at 1MPa/min in a stress-controlled manner.

150 (3) Steady-state method:

151 Under the set confining pressure, the upstream of the seepage (the lower end of the rock sample) applies a
152 seepage pressure $P_1=5.1$ MPa, while maintaining the downstream of the seepage (the upper end of the rock sample)
153 the seepage pressure $P_2=0.1$ MPa. Under the action of osmotic pressure difference $\Delta P=5$ MPa, the osmotic pressure
154 and time curve at the upstream of the seepage flow tends to be stable. This indicates that a stable seepage flow
155 has occurred inside the rock sample. The downstream of the seepage flow is increased in the order of 0.1, 1.1, 2.1,
156 3.1, 4.1 MPa, and the permeability under different osmotic pressure differences is measured.

157 (4) Transient method:

158 After the steady-state measurement is completed, the downstream P_2 of the seepage flow is increased to
159 5MPa, and $P_1=P_2=5\text{MPa}$ is maintained until the pressure and flow are stable. The pressure of the pore fluid inside
160 the rock sample is balanced, the upstream pressure is kept constant, and pulse pressure is provided to the
161 downstream of the seepage flow, and the water body penetrates under the action of the pressure difference. The
162 upstream water pressure P_1 will gradually attenuate, and the downstream water pressure P_2 will gradually rise
163 until the pressure at both ends is in a new balance, and then the upstream and downstream attenuation laws are
164 used to calculate the permeability.

165 (5) Steps (3) and (4) were repeated for each of the set values of confining pressure. An axial displacement at
166 a rate of 0.01 mm/min was applied under each state of confining pressure, to investigate the permeation of the
167 sample in the course of progressive fracturing under triaxial loading.

168 (6) Corresponding to different set temperatures, repeatedly set different confining pressure values and steps
169 (3), (4) and (5).

170 (7) During the three-axis loading process, the testing machine automatically collects the stress, strain and
171 water pressure stroke of the rock every 0.001h. Then, the water flow rate ΔQ permeating the sample within a
172 period of time Δt can be calculated, and substituting it into equation (1), the corresponding permeability k of the
173 sandstone during this period of time can be calculated.

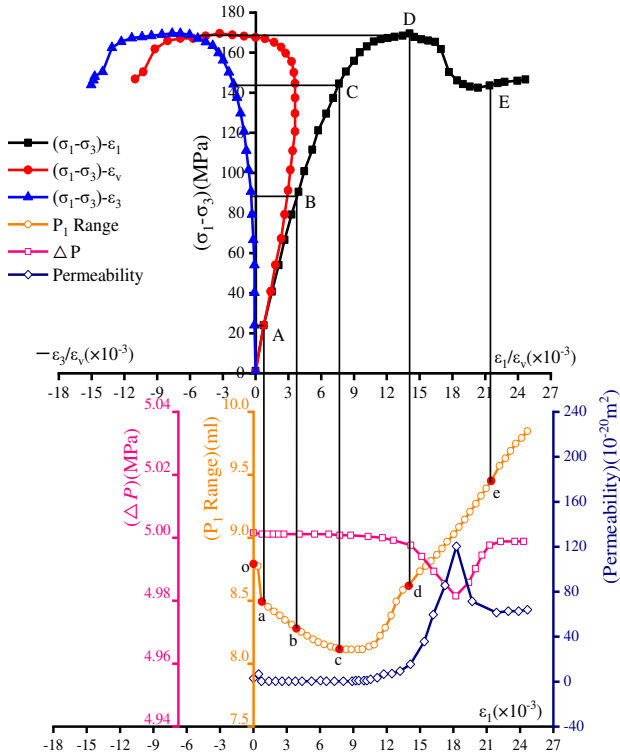
174 **3 Test results and analysis**

175 **3.1 Analysis of full stress-strain curve and crack propagation law**

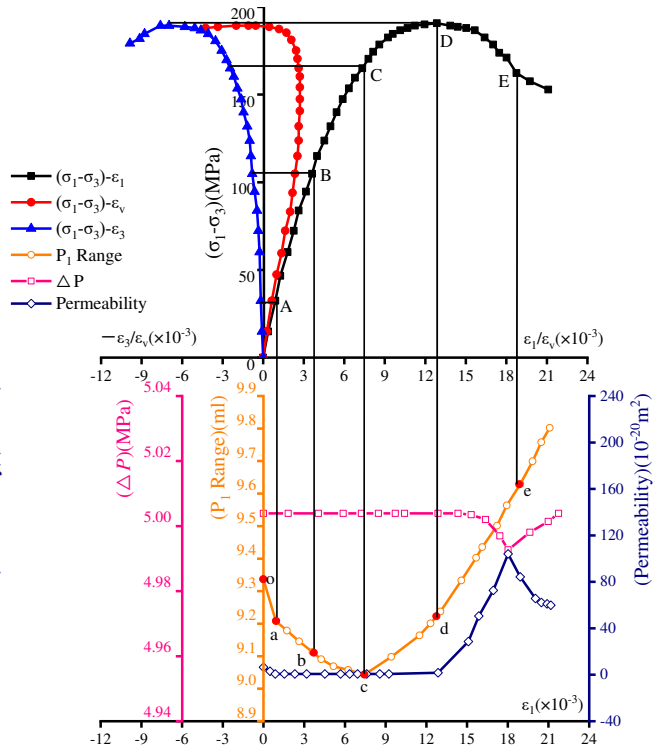
176 According to the differences in the state of the original micro-cracks in the rock under different stress levels,
177 the full stress-strain curve of brittle rocks can generally be divided into 5 stages:

178 (1) Closed stage of rock primary fissure. (2) Linear elastic phase. (3) Crack growth stage. (4) Unsteady crack
179 propagation. (5) Post-peak deformation and failure stage.

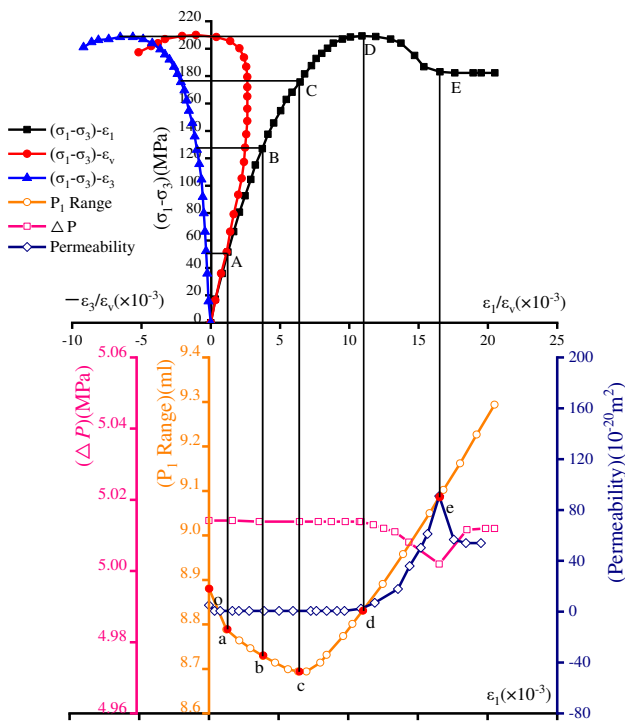
180 The stress thresholds corresponding to different stages correspond to crack closure stress σ_{cc} , crack initiation
181 stress σ_{ci} , damage stress σ_{cd} , peak stress σ_c and residual stress σ_{cr} . The stress-strain-seepage relationship of rock
182 samples at different temperatures and confining pressures is shown in Fig. 4. (The upper part is the stress-axial
183 ε_1 /radial ε_3 /volume ε_v strain curve. The lower part is the relationship curve between upstream flow P_1 , osmotic
184 pressure difference ΔP , permeability k and axial strain ε_1).



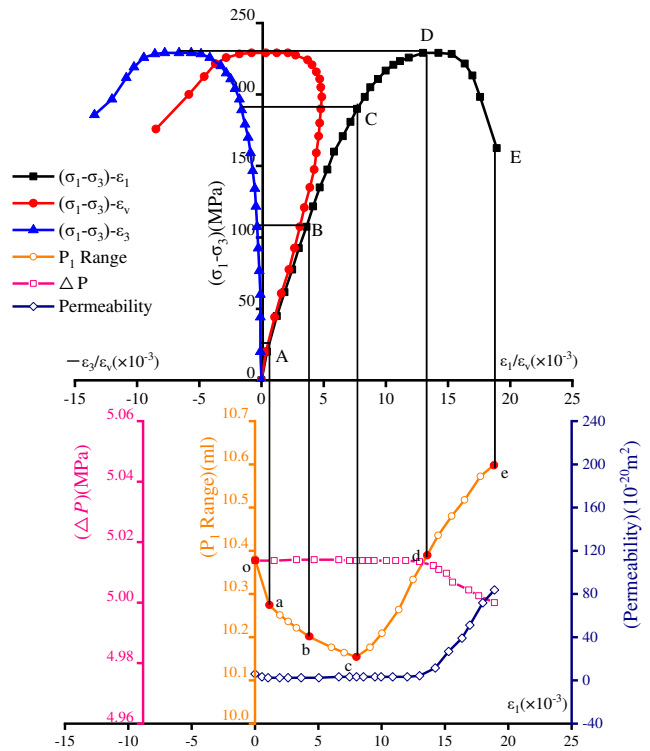
(a)



(b)



(c)



(d)

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186

187

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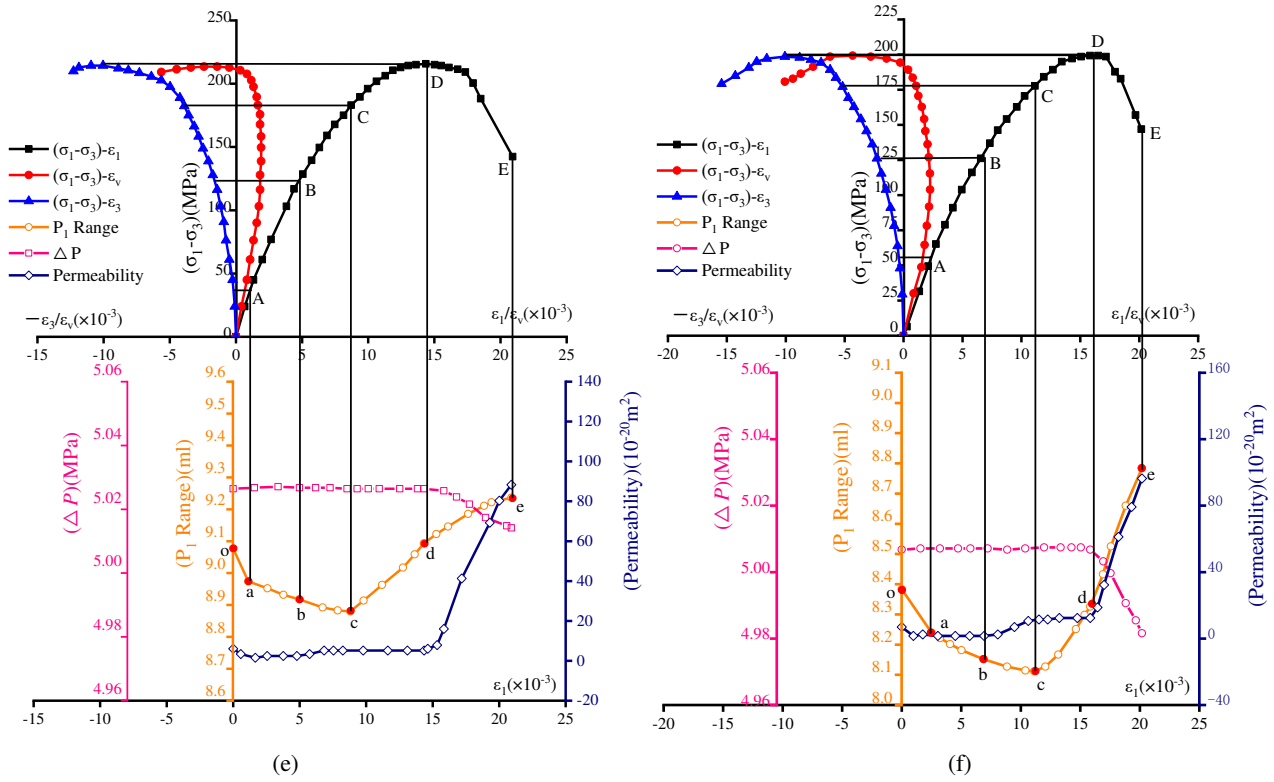


Fig. 4. The relationship curve of red sandstone stress-strain-seepage. (a) 90°C-30MPa, $\Delta P=5\text{MPa}$ (b) 70°C-30MPa, $\Delta P=5\text{MPa}$ (c) 50°C-30MPa, $\Delta P=5\text{MPa}$ (d) 20°C-30MPa, $\Delta P=5\text{MPa}$ (e) 20°C-20MPa, $\Delta P=5\text{MPa}$ (f) 20°C-10MPa, $\Delta P=5\text{MPa}$

(1) First stage of seepage (o-a-b-c)

Point *O* corresponds to the starting point of axial pressure loading, and the seepage curves o-a, a-b, and b-c respectively correspond to the original rock fracture compression and closure stage OA section, linear elastic stage AB section, and crack stable growth stage BC section. Point *a* is determined by the inflection point of the initial sudden drop of the upstream flow P_1 range of the seepage, point *b* is determined by the endpoint of the linear change of the P_1 range, and point *c* is the position where the upstream flow is the smallest. Points *A*, *B*, and *C* corresponding to *a*, *b*, and *c* are the closing stress σ_{cc} , the initiation stress σ_{ci} , and the damage stress σ_{cd} .

As the original microfractures gradually became compressed and closed in section o-a, the volume of the pores and fissures within the specimen was reduced. Density increased, and the seepage channel was blocked, resulting in the rapid precipitation of fluid in the opposite direction, and a rapid weakening of the upstream flow. The stress-strain curve corresponding to section a-b was a linear elastic straight line: as the original fractures in the rock were further compacted, the upstream flow rate began to change linearly. In section b-c, the stress-strain curve begun to exhibit nonlinear change: the rock was in a compression state dominated by plastic deformation, and the fluid overflow rate at the bottom of the sample decreased. At this stage, the osmotic pressure difference, ΔP , was relatively stable.

The first stage of seepage corresponds to the O-C segment of the stress-strain curve. In the AB stage,

209 microfractures or microdefects in the rock are further closed and compacted, and the stress-strain curve in this
210 stage is a straight line. Before point C, the stress-axial strain curves can all be approximated as straight lines, but
211 the stress-volume strain curves begin to show nonlinear variations. After point C, the rock is in a compression
212 stage dominated by plastic deformation and seepage channels begin to form. Martin et al. (1994) proposed to
213 determine the characteristic strength of rocks by the inflection points of volumetric strain and crack volumetric
214 strain versus axial strain curves, and was widely used in the analysis of results of conventional triaxial
215 compression tests on brittle rocks. Thus, the characteristic strength value of point C is determined according to the
216 inflection point of the relationship curves of rock stress-volume strain and stress-axial strain in this paper.

217 (2) Second stage of seepage (Section c-d)

218 The c-d stage of seepage corresponds to the unsteady crack propagation stage (CD section) in the
219 stress-strain curve. Point *d* corresponds to the peak stress in the stress-strain curve, where the corresponding
220 permeability is the peak stress-permeability. The stress-strain relationship is nonlinear at this stage, when the
221 microfractures inside the rock sample expand and penetrate rapidly, and the damage occurring inside it is
222 gradually accumulated. The range of internal damage also starts to increase gradually. When the stress-strain
223 curve reaches the point *D*, the strength of the rock sample reaches the peak, and the damage mode of the rock
224 sample has changed from the microfracture of internal penetration to the obvious macroscopic damage at this time.
225 As the stress increases, the strain rate increases, and the volumetric strain increases rapidly from a negative value
226 to a positive value. At the same time, the corresponding flow chart shows that the sudden increase in the upstream
227 flow of the rock sample in the c-d section indicates that the internal fractures are connected to each other, the
228 seepage channel has been formed, and the fluid can quickly pass through the rock sample. At this time, the
229 permeability tends to increase slightly. The reason is that the fluid is immersed into the rock to fill its pores and
230 cracks and fewer fluids can pass through the rock. In addition, when the rock is under pressure and swelling, its
231 internal fracture form intensifies, and plastic flow begins to appear. At the same time, the upstream flow rate of the
232 rock sample maintains a steady increase, and the osmotic pressure difference ΔP also has a slow downward trend.

233 (3) Third stage of seepage (Section d-e)

234 The seepage d-e section corresponds to the post-peak deformation and failure stage (DE section), the
235 stress-strain curve first develops gently and then decreases sharply. After a short period of plastic flow occurs in
236 the rock sample under higher temperature and confining pressure, its internal micro-cracks intersect each other to
237 form a macroscopic fracture surface. It then develops into a shear-slip failure surface, and gradually maintains
238 stability after the bearing capacity decreases. At the same time, the upstream flow curve shows a linear growth in

239 two sections, and the slope gradually increases. At this time, the osmotic pressure difference ΔP gradually
240 decreases with the failure of the rock sample, and the permeability gradually increases. This is because the internal
241 fractures of the rock sample grow rapidly at this stage, and seepage channels are continuously formed in new
242 fractures. The formation of macroscopic fracture surfaces is the main reason for the rapid increase in permeability.
243 Finally, under the combined action of axial stress and confining pressure, the internal fissures of the rock sample
244 have a tendency to further close, and the permeability decreases after reaching the peak value and finally remains
245 stable.

246 Based on the above analysis and Fig. 4 (a, b, c, d), it can be seen that the red sandstone undergoes a
247 brittle-ductile transition under the condition of triaxial high confining pressure, and short-term plastic flow occurs
248 before and after the peak stress, and its ductility increases. The effect of high-temperature heat damage makes this
249 phenomenon even more prominent. At this time, the permeability has a tendency to increase rapidly after reaching
250 a peak and then decrease and remain stable. According to Fig. 4 (d, e, f), under the same temperature, with the
251 increase of confining pressure, the plastic flow of red sandstone before and after the stress peak is more obvious.
252 The elastic modulus of rock increases with the confining pressure. However, the failure mode is still a brittle
253 failure, and the ductility is not strong. At the same time, the permeability gradually increases with the failure of
254 the rock sample. Since this test uses a servo-controlled rigidity testing machine and a strain-controlled loading
255 method, the post-peak stress-strain curve and permeability characteristics can be obtained. And based on the
256 experimental procedure and the results of the test, we can measure the permeability after peak stress by combining
257 the flow rate and the seepage pressure difference with equation (1). Meanwhile, according to the full stress-strain
258 curve and the law of permeability change, it is known that after reaching the peak stress, the internal fractures of
259 the rock will penetrate each other to form a macroscopic fracture surface, at this time, seepage channels are
260 continuously formed in the fractures, and the permeability is also in a rapid growth trend, and the formation of
261 macroscopic fracture surface is the main reason for the rapid growth of permeability. The behavior of the
262 permeability change is observed at this point to be caused by the response of the loading equipment. When the
263 peak stress is reached, continuing to increase the load leads to the formation of a macroscopic fracture surface in
264 the fissure, and the fracture surface gradually widens with the increase in load, leading to an increase in
265 permeability. And when the residual stress appears in the rock, continuing to increase the load will lead to a
266 tendency of further fracture closure, at which time the permeability will appear to decrease and remain stable.

267 The seepage and mechanical characteristic parameters of red sandstone under the same seepage pressure
268 difference $\Delta P=5\text{MPa}$, different temperatures, and confining pressures are shown in Table 1. Rock fracture closure

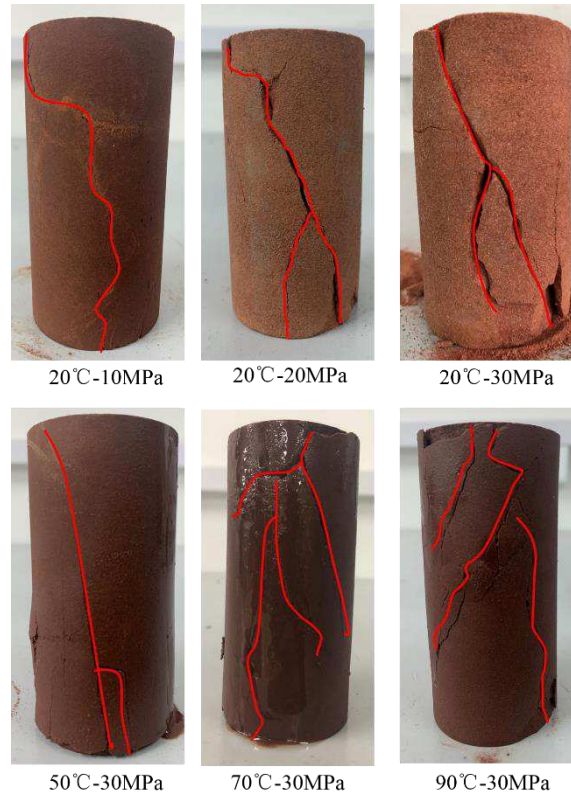
269 stress σ_{cc} is 32~34% of peak stress, initiation stress σ_{ci} is 58~61% of peak stress, damage stress σ_{cd} is 79~86% of
 270 peak stress, and residual stress σ_{cr} is 85~89% of peak stress. At the same time, the influence of high temperature
 271 on the seepage and mechanical properties of the rock cannot be ignored. The thermal effect reduces the strength of
 272 the cementation between the particles in the rock. The test results in this paper show that under the same confining
 273 pressure and osmotic pressure difference, with the increase of temperature, the ductility of red sandstone increases,
 274 the plastic flow is more obvious, and residual stress appears. The elastic modulus increases with the temperature,
 275 and the permeability of each stage also increases. This shows that the relatively low temperature has the effect of
 276 closing the cracks in the red sandstone, and the strength of the rock sample is enhanced. Higher temperature can
 277 quickly promote the development and penetration of micro-cracks inside the rock, deteriorating its mechanical
 278 properties. In addition, the increase in temperature will reduce the viscosity coefficient of water and the effective
 279 stress inside the rock. The corrosion and lubrication effect of high-temperature water on mineral particles is
 280 strengthened, and the cementation strength between the particles is reduced, which strengthens the softening and
 281 ductility of the rock (He et al., 2018; Yin et al., 2020). The interaction of high temperature and water enhances the
 282 micro-deterioration of the mechanical properties of red sandstone, while the loading of axial stress promotes the
 283 transformation from micro-degradation to macro-degradation.

284 Table1 Test results of red sandstone under triaxial seepage

Rock sample numbers	$T/^\circ\text{C}$	σ_3/MPa	$\Delta P/\text{MPa}$	E/GPa	Permeability(k)/ 10^{-20}m^2			Stress level/MPa				
					Initial value	Minimum	Maximum	σ_{cc}	σ_{ci}	σ_{cd}	σ_c	σ_{cr}
a	90	30	5	11.34	2.89	0.36	120.35	54.01	100.81	144.59	169.58	144.84
b	70	30	5	11.94	6.47	0.66	104.07	60.18	114.95	159.57	190.68	171.07
c	50	30	5	12.66	5.16	0.65	90.97	66.51	127.05	168.15	209.25	182.35
d	20	30	5	20.44	6.06	2.45	83.74	77.50	135.00	180.83	229.17	
e	20	20	5	15.01	6.06	1.55	88.26	76.99	128.56	175.05	215.73	
f	20	10	5	14.21	6.97	1.55	96.39	65.23	116.13	170.61	199.28	

285 The failure state of red sandstone under different temperatures and confining pressures are shown in Fig. 5.
 286 The failure mode of the rock sample is the failure of a macroscopic single shear surface, and the fracture surface is
 287 relatively smooth. However, the edge damage is relatively high, and dense axial cracks develop near the shear
 288 zone. This is because the pressure in the axial direction is weakly suppressed by the confining stress at low
 289 confining stress and normal temperature, and the stress concentration area is easy to appear inside the rock sample
 290 during axial stress loading, so it leads to the damage of the rock sample along the main fracture surface of a single
 291 crack (Sun et al., 2019). In the high confining stress and temperature state, the rock sample was further
 292 compressed by the combined effect of high confining stress, temperature and axial stress, and the primary cracks
 293 were closed, resulting in a damage pattern formed by multiple crack stacking in the fracture surface area,

294 indicating that the confining stress and temperature have obvious effects on the damage form of the rock sample.
295 The failure angle of the rock sample (the complementary angle of the angle between the normal direction of the
296 macroscopic main fracture surface and the axial compression direction) varies less with the increase of
297 temperature.



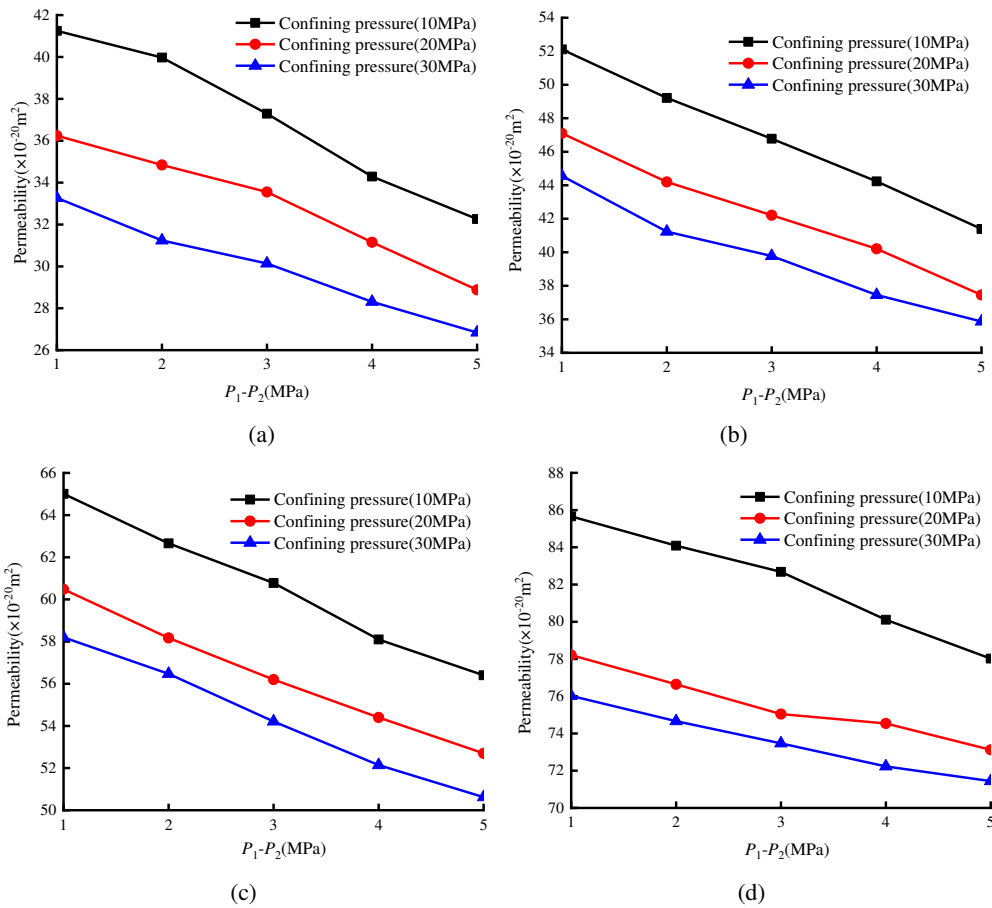
298
299 Fig. 5. The macroscopic failure mode of red sandstone

300 3.2 Permeability characteristics of red sandstone by the steady-state method

301 3.2.1 Seepage characteristics of red sandstone under different seepage pressure difference and confining 302 pressure

303 In order to study the seepage characteristics of red sandstone under different seepage pressure differences and
304 confining pressure, this paper firstly uses the steady-state method to test the red sandstone. Fig. 6 shows the
305 relationship between permeability and osmotic pressure difference under four temperature conditions. It is found
306 that the permeability decreases with the increase of the osmotic pressure difference, indicating that this is related
307 to the continuous effect of the confining pressure and the influence of temperature, and the gain of the osmotic
308 pressure difference on the seepage velocity is weakened. Since this seepage test firstly fixes the osmotic pressure
309 at the lower end of the rock sample and the osmotic pressure in the upper part of the sample is less than the
310 osmotic pressure in the lower part, secondly the larger the osmotic pressure difference is, the smaller the average
311 pore water pressure applied to the rock sample. Meanwhile, the larger its corresponding effective confining
312 pressure, the smaller the hydraulic conductivity, when the permeability will be relatively weakened with the

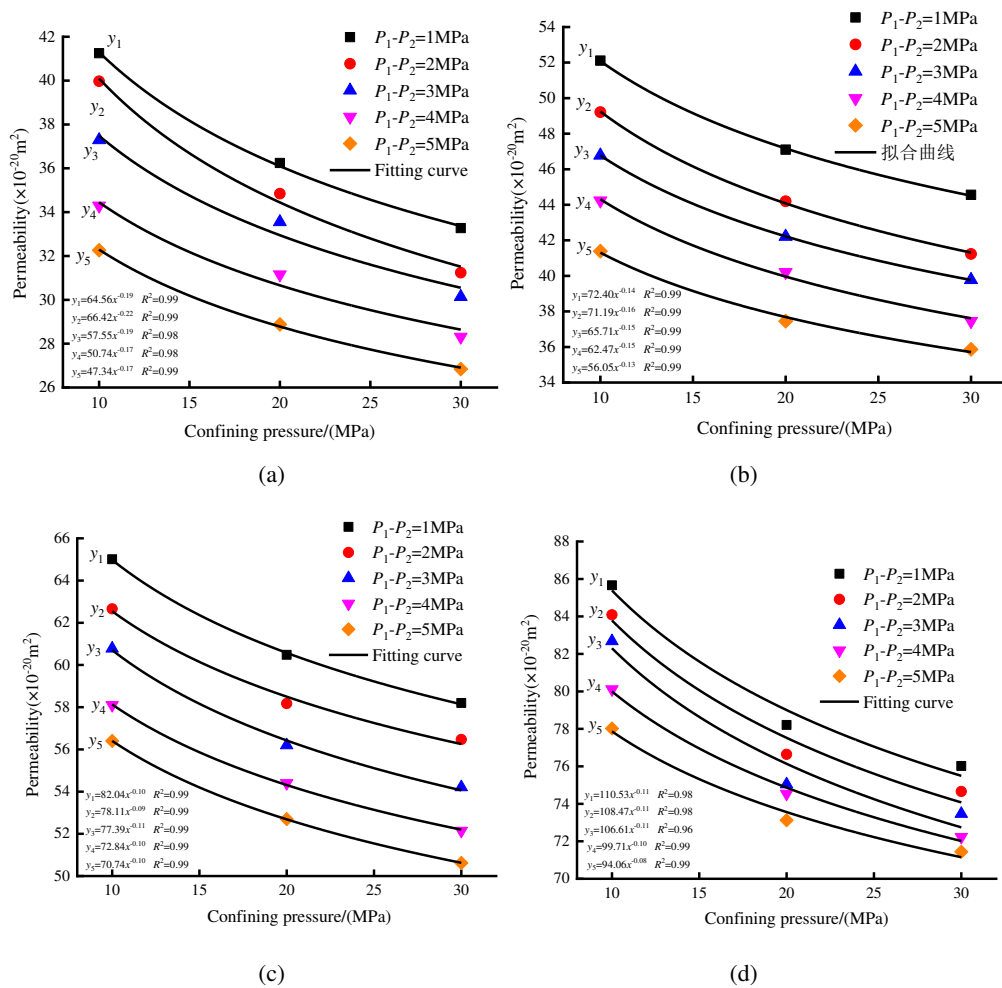
313 seepage pressure difference under the action of high confining pressure. Xiao et al. (2020) found that the
 314 permeability tends to decrease with a gradual increase in the seepage pressure difference, and the test was loaded
 315 with water pressure in a similar manner to this paper. Under the action of the osmotic pressure difference of 1MPa
 316 and the confining pressure of 10Mpa, the permeability at different temperatures (20°C, 50°C, 70°C, 90°C) is 41.25
 317 $\times 10^{-20}m^2$, $52.11 \times 10^{-20}m^2$, $65.01 \times 10^{-20}m^2$, $85.66 \times 10^{-20}m^2$, respectively. Meanwhile, it can be seen that when
 318 the osmotic pressure difference is 5MPa and the same confining pressure is applied, the permeability increases
 319 with the increase of the operating temperature. It shows that the temperature makes the micro-cracks or pores in
 320 the rock sample be further enlarged, the friction and cohesive force between the rock particles are reduced, the
 321 cross-section of the seepage channel is increased, and the water seepage is promoted and the permeability
 322 increases.



325
 326
 327 Fig. 6. The relationship curve between permeability and seepage pressure difference under different confining pressures
 328 (a) 20°C (b) 50°C (c) 70°C (d) 90°C

329 At present, many scholars have studied the influence of confining pressure on permeability in the process of
 330 rock seepage. Tan et al. (2019) found the change characteristics of the permeability of the rock mass during the
 331 increase of confining pressure and found that the permeability decreases in a negative exponential law with the
 332 increase of stress. This paper analyzes the relationship curve between permeability and confining pressure under

333 different temperature effects and different osmotic pressure differences. As shown in Fig. 7, as the confining
 334 pressure gradient increases, the permeability decreases, and the trend becomes slower. After non-linear fitting of
 335 the test data, it is found that the permeability of the sandstone samples under different osmotic pressure
 336 differences after the action of each temperature follows the relationship of the power exponential function $y=ax^b$.
 337 And the average degree of fit R^2 of each fitting result is greater than 0.95. It shows that the degree of the fitting is
 338 good, and it can be used to study the influence of confining pressure on permeability under different temperature
 339 effects and different osmotic pressure differences.



340
 341
 342
 343
 344 Fig. 7. The relationship between permeability and confining pressure under different seepage pressure gradients
 345 (a) 20°C (b) 50°C (c) 70°C (d) 90°C

3.2.2 Seepage characteristics of red sandstone under different temperatures

347 Fig. 8 shows the change in the permeability of the red sandstone samples with temperature under different
 348 conditions of confining pressure and osmotic pressure difference. Permeability increased with increasing
 349 temperature. This suggests that a higher temperature facilitated the formation of seepage channels in the rock
 350 samples. The development of fractures is affected by temperature and progresses rapidly. With increasing
 351 temperature, therefore, seepage channels in the samples widened and increased, and permeability increased

352 significantly. In addition, the increase in temperature reduces the viscosity coefficient of water and the flow
 353 resistance of the pure water medium, thus decreasing the effective stress within the rock. High-temperature water
 354 has a dissolution and lubrication effect on mineral particles and reduces the interparticle cementation strength,
 355 thus rendering the rock softer and more ductile. Water and heat have a synergistic effect on the mechanical
 356 degradation of the rock at the micro-level. The axial stress loading transforms this micro-degradation of the rock
 357 to macro-destruction.

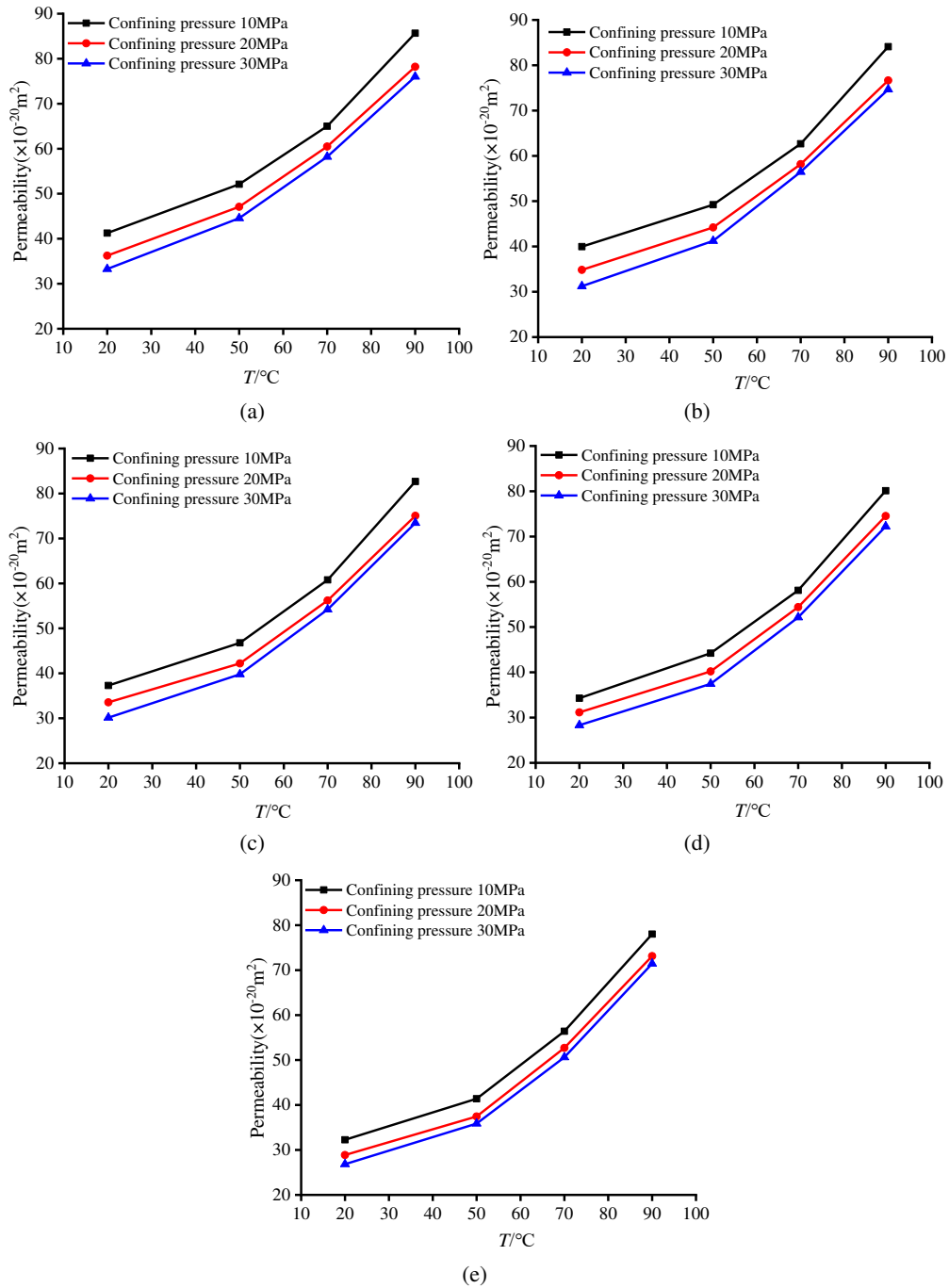


Fig. 8. Permeability evolution of red sandstone under different temperatures
 (a) $\Delta P=1\text{MPa}$ (b) $\Delta P=2\text{MPa}$ (c) $\Delta P=3\text{MPa}$ (d) $\Delta P=4\text{MPa}$ (e) $\Delta P=5\text{MPa}$

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366 **4 The constitutive model of rock statistical damage considering the interaction of thermal and osmotic**
367 **pressure**

368 **4.1 Model building**

369 In order to reflect the stress-strain process of rock under the coupled action of high temperature and seepage,
370 it is very important to establish a damage constitutive model considering the combined action of osmotic pressure
371 and high temperature.

372 When the temperature is high, a large number of microscopic cracks will inevitably occur in the rock, and
373 gradually expand with the increase of temperature, resulting in a significant decrease in the elastic modulus (Xu et
374 al. 2018). Therefore, the definition of thermal damage (D_T) in this article focuses on the effect of temperature on
375 the mechanical properties of rocks, which can be expressed as:

376
$$D_T = 1 - \frac{E_T}{E_0} \quad (6)$$

377 Where E_T is the modulus of elasticity under temperature T . E_0 is the modulus of elasticity at room temperature
378 (20°C).

379 Under the action of high temperature, the particles of rock material are not uniform and the distribution is
380 relatively random. At the same time, the rock micro-element body contains a large number of micro-cracks and
381 fissures, and its strength value also changes randomly. This paper assumes that the strength of the rock
382 micro-element body under the action of high temperature obeys the Weibull density function, which can be
383 expressed as:

384
$$f(x) = \frac{m}{K} \left(\frac{x}{K} \right)^{m-1} \exp \left[- \left(\frac{x}{K} \right)^m \right] \quad (7)$$

385 Where x is the intensity value of the infinitesimal body. m and K are the parameters of the Weibull distribution
386 function that affect the shape and size of the rock element. They are directly affected by temperature. Therefore,
387 this paper introduces formula (8) to consider the influence of temperature on the statistical constitutive model of
388 rock damage. The Weibull parameter of the rock under different temperature is:

389
$$\begin{cases} m_T = m_0 (1 - D_T) \\ K_T = K_0 (1 - D_T) \end{cases} \quad (8)$$

390 Where m_0 and K_0 are the Weibull parameters of the rock at 20°C, respectively. m_T and K_T are the Weibull
391 parameters of the rock under the action of different temperature T respectively.

392 Under the action of load, the original micro-cracks inside the rock expand and evolve, resulting in continuous
393 damage to the rock. Therefore, the continuous damage variable D can be expressed as:

394
$$D = \frac{N_F}{N} = \frac{N \int_0^{f(\sigma'_{ij})} f(\sigma'_{ij}) d\sigma'_{ij}}{N} = \int_0^{f(\sigma'_{ij})} f(\sigma'_{ij}) d\sigma'_{ij} = 1 - \exp \left[- \left(\frac{f(\sigma'_{ij})}{K_T} \right)^{m_T} \right] \quad (9)$$

395 Where N_F is the number of rock micro-elements that fail under a certain stress state under high temperature. N is
 396 the total number of rock micro-elements. $f(\sigma'_{ij})$ is the infinitesimal body strength.

397 In the framework of the elastic theory of porous media, Biot (1941) corrected the effective stress principle for
 398 the seepage problem, and obtained:

399
$$\sigma'_{ij} = \sigma_{ij} - b\Delta P\delta_{ij} \quad (10)$$

400 Where σ_{ij} is the stress tensor. σ'_{ij} is the effective stress tensor. ΔP is the osmotic pressure difference. δ_{ij} is the unit
 401 second-order tensor and $\delta_{ij}=1(i=j)$, otherwise $\delta_{ij}=0(i \neq j)$. b is the Biot coefficient, and the value range is 0~1. For
 402 the convenience of research, take $b=1$.

403 According to the Lemaitre strain equivalence principle and the effective stress concept (Lemaitre 1984), the
 404 strain produced by the rock under the stress condition (nominal stress) measured in the test is equal to the effective
 405 strain produced by the damaged rock under the effective stress condition. Due to the influence of friction and
 406 confining pressure of the rock specimen, the internal micro-element body still has the ability to transmit
 407 compressive and shear stress after failure, and there is a certain residual strength. Therefore, the damage
 408 correction coefficient η is introduced, where $0 < \eta < 1$. Therefore, this paper establishes the rock damage
 409 constitutive relationship as:

410
$$\sigma_i^* = \frac{\sigma_i}{1 - \eta D} \quad (i=1,2,3) \quad (11)$$

411 According to formula (10) and formula (11), the effective stress tensor under stress-seepage action can be
 412 obtained as:

413
$$\sigma_{ij}^{*'} = \frac{\sigma_{ij} - \Delta P\delta_{ij}}{1 - \eta D} \quad (12)$$

414 Where $\delta_{ij}=1$. At the same time, Hong et al. (2014) believe that the rock stress-strain under high temperature has an
 415 obvious elastic stage, so according to the generalized Hooke's law, the axial stress-strain relationship can be
 416 obtained:

417
$$\varepsilon_1 = \frac{1}{E} \left[\sigma_1^{*'} - \mu(\sigma_2^{*'} + \sigma_3^{*'}) \right] \quad (13)$$

418 In the conventional triaxial test of rock, $\sigma_1 > \sigma_2 = \sigma_3$. Substituting equation (12) into equation (13), the axial
 419 stress-strain relationship under the action of osmotic pressure is obtained:

420
$$\sigma_1 = E\varepsilon_1(1-\eta D) + 2\mu\sigma_3 + (1-2\mu)\Delta P \quad (14)$$

421 In the process of rock uniaxial and triaxial tests, when the temperature increases, the internal friction angle of
 422 the rock gradually increases, otherwise the cohesion will decrease. And the M-C strength criterion has the
 423 characteristics of simple parameters, easier calculation, and suitable for rock analysis (Lemaitre 1984). Therefore,
 424 this paper adopts the M-C strength criterion to describe the strength of rock micro-elements, and the expression is:

425
$$f(\sigma'_{ij}) = \sigma_1^{*'} - \sigma_3^{*'} - (\sigma_1^{*'} + \sigma_3^{*'}) \sin \phi_T \quad (15)$$

426 Where ϕ_T is the internal friction angle of the rock under different temperatures.

427 Combining formula (12) and formula (14), formula (15) is transformed into:

428
$$f(\sigma'_{ij}) = \frac{\sigma_1 \varepsilon_{1t} E - \sigma_3 \varepsilon_{1t} E - \sin \phi_T (\sigma_1 \varepsilon_{1t} E + \sigma_3 \varepsilon_{1t} E)}{\sigma_1 - 2\mu\sigma_3 + (2\mu - 1) p_w} \quad (16)$$

429 The axial deviator stress σ_{1t} recorded in the triaxial seepage test is actually the difference between the axial
 430 stress σ_1 and the confining pressure σ_3 , namely:

431
$$\sigma_{1t} = \sigma_1 - \sigma_3 \quad (17)$$

432 During the test, the confining pressure and pore water pressure are first loaded before the bias pressure.
 433 Therefore, the existing initial strain ε_0 is:

434
$$\varepsilon_0 = \frac{1-2\mu}{E} (\sigma_3 - \Delta P) \quad (18)$$

435 The ε_{1t} in the micro-element body strength $f(\sigma'_{ij})$ is the sum of the experimentally measured strain value ε_1
 436 and the initial strain ε_0 , namely:

437
$$\varepsilon_{1t} = \varepsilon_1 + \varepsilon_0 \quad (19)$$

438 Substituting formula (17) and formula (19) into formula (16), namely:

439
$$f(\sigma'_{ij}) = \frac{[(1-2\mu)(\sigma_3 - \Delta P) + E\varepsilon_1](\sigma_{1t} - \sigma_{1t} \sin \phi_T - 2\sigma_3 \sin \phi_T)}{\sigma_{1t} + (1-2\mu)(\sigma_3 - \Delta P)} \quad (20)$$

440 According to formula (14) and formulas (17)~(20), a statistical damage constitutive model of rock
 441 considering the interaction of high temperature and osmotic pressure under triaxial conditions can be obtained:

442
$$\sigma_{1t} = [(1-2\mu)(\sigma_3 - \Delta P) + E\varepsilon_1] \left[1 - \eta + \eta \exp \left[- \left(\frac{f(\sigma'_{ij})}{K_T} \right)^{m_T} \right] \right] + (2\mu - 1)(\sigma_3 - \Delta P) \quad (21)$$

443 4.2 Determination of model parameters

444 The parameters that need to be determined in the model are m_T and K_T . The peak stress and peak strain of the

445 rock are different under the combined action of confining pressure, osmotic pressure, and temperature (Martin et
 446 al. 1994). At the same time, the model parameters m_T and K_T are also closely related to the operating temperature.
 447 In this paper, the linear fitting method is used to obtain the model parameters. Equation (21) can be transformed
 448 into:

$$449 \frac{\sigma_{1r} - (2\mu - 1)(\sigma_3 - \Delta P)}{\left[\frac{\sigma_{1r} - (2\mu - 1)(\sigma_3 - \Delta P)}{\left[(1 - 2\mu)(\sigma_3 - \Delta P) + E\varepsilon_1 \right] + \eta - 1} \right] + \eta - 1} = \exp \left[- \left(\frac{f(\sigma'_{ij})}{K_T} \right)^{m_T} \right] \quad (22)$$

450 After taking two logarithms on both sides of the equation and simplifying it, we can get:

$$451 Y = m_T X - B \quad (23)$$

452 Where:

$$453 X = \ln f(\sigma'_{ij}) \quad (24)$$

$$454 Y = \ln \left\{ \ln \left[\frac{\eta}{\frac{\sigma_{1r} - (2\mu - 1)(\sigma_3 - \Delta P)}{\left[(1 - 2\mu)(\sigma_3 - \Delta P) + E\varepsilon_1 \right] + \eta - 1} + \eta - 1} \right] \right\} \quad (25)$$

$$455 B = m_T \ln K_T \quad (26)$$

456 The m_T and B values can be obtained by linear fitting through the test data, and then the K_T can be obtained
 457 as:

$$458 K_T = \exp \left(\frac{B}{m_T} \right) \quad (27)$$

459 4.3 Model validation

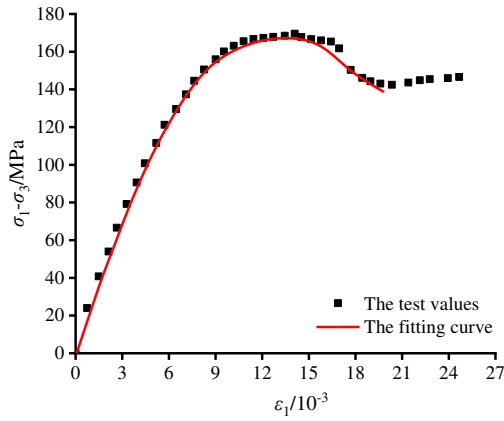
460 To verify the applicability of the model developed in this study, the full stress–strain curves of the red
 461 sandstone samples under a confining pressure of 30 MPa and an osmotic pressure difference (ΔP) of 5 MPa, at 20,
 462 50, 70, and 90 °C were selected. After processing the experimental data, the Poisson's ratio of the samples at 20,
 463 50, 70, and 90 °C was 0.24, 0.24, 0.23, and 0.24, respectively, and the internal friction angle was 45, 42, 40, and
 464 39°, respectively. After fitting the experimental data, the value of η was 0.98. The calculated model parameters are
 465 presented in Table 2. The theoretical curves of the full stress–strain relationship in red sandstone under different
 466 temperatures according to the constitutive model were obtained and compared with the experimental curves (Fig.
 467 9). The theoretical value of statistical rock damage predicted by the constitutive model developed in this study
 468 was close to the experimental value, and fully reflected the experimental trend in the post-peak stage. The model
 469 achieved an accurate prediction of the stress–strain relationship in red sandstone under high temperature and

470 osmotic pressure conditions. This confirmed the applicability of the constitutive model.

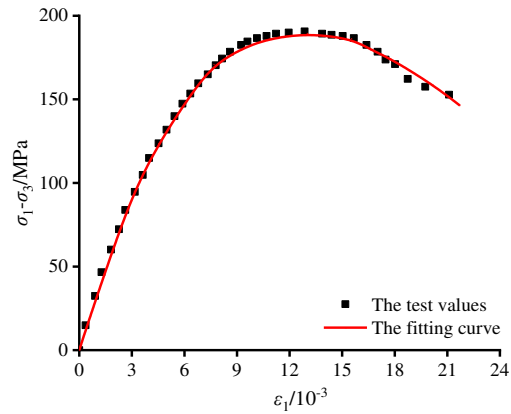
471

Table 2 Model parameters

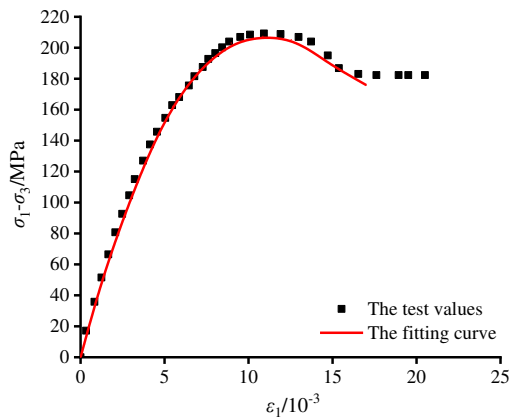
$T/^\circ\text{C}$	$\Delta P/\text{MPa}$	μ	$\varphi_T/^\circ$	σ_3/MPa	E/GPa	m_T	$K_T/10^6$
20	5	0.24	45	30	35.94	5.02	1.36
50	5	0.24	42	30	30.35	7.85	1.86
70	5	0.23	40	30	26.74	9.45	2.11
90	5	0.24	39	30	20.93	11.45	1.87



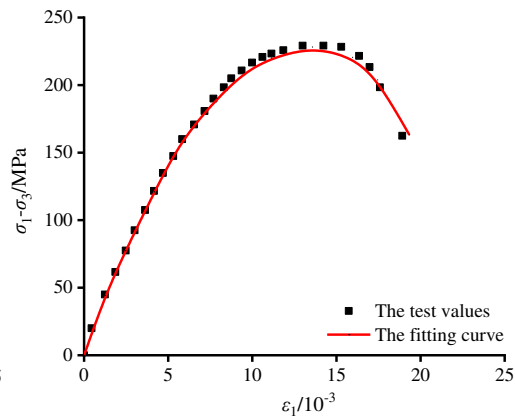
(a)



(b)



(c)



(d)

Fig. 9. Comparison of test value and the theoretical curve

(a)90°C-30MPa, $\Delta P=5\text{MPa}$ (b)70°C-30MPa, $\Delta P=5\text{MPa}$ (c)50°C-30MPa, $\Delta P=5\text{MPa}$ (d)20°C-30MPa, $\Delta P=5\text{MPa}$

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5 Discussions

5.1 Evolution of the Weibull distribution parameters with temperatures

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To study the influence of temperature on the Weibull distribution parameters m_T and K_T , the constitutive equation was used to obtain the relationship between m_T , K_T , and temperature (Table 2, Fig. 10). With increasing temperature, m_T gradually increased, while K_T gradually increased, and then decreased. This is because m_T reflects not only the shape of the rock microelements but also the plastic properties of the rock. With increasing temperature plasticity increased, and the relative elasticity decreased. The K_T value determines the peak rock strength and is affected by the discrete characteristics of the rock sample: the larger the K_T value, the smaller the

486 macroscopic strength of the rock. At 90 °C, K_T decreased. This is consistent with the law that, under
 487 thermal-mechanical coupling, the strength of the rock decreases with increasing temperature.

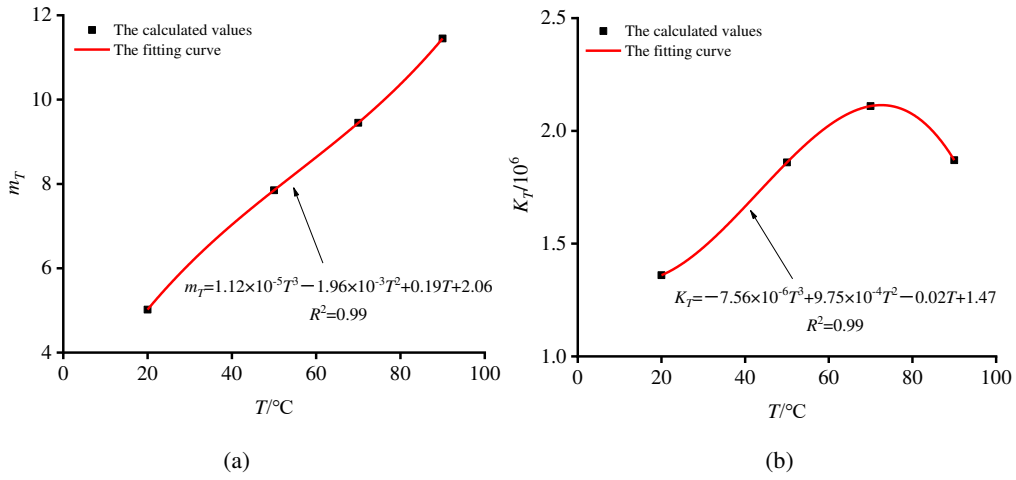


Fig. 10. m_T and K_T change with temperature

5.2 Permeability evolution characteristics of internal thermal damage in rock samples

To study the correlation between permeability, k , and rock damage at high temperatures in more detail, we focused on the test data at 50, 70, and 90 °C. High temperature changes not only the rock's elastic modulus but also the viscosity coefficient of seepage water, which affects permeability. In this study, therefore, we selected the thermal damage, D_T , as a measure of the degree of damage (damage index). The D_T can be calculated according to Equation (6).

The fitting calculation to the permeability obtained by the transient method, as shown in Fig. 11, obtains the expression of permeability k and damage degree D_T :

$$k = \eta_0 \zeta \exp(a + bD_T + cD_T^2) \quad (28)$$

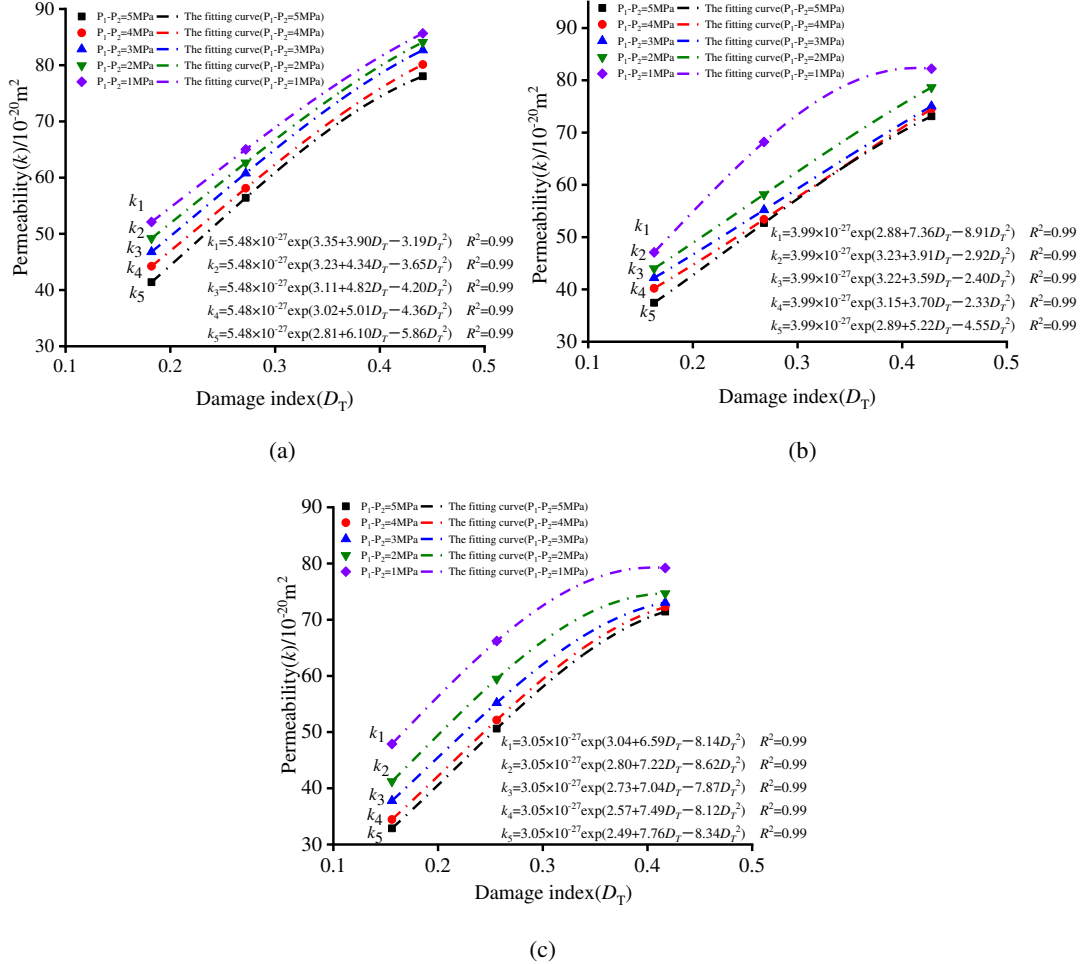
Where k is permeability. ζ is the order of magnitude of permeability (10^{-20}m^2). D_T is thermal damage and damage index. a , b , and c are fitting parameters, which are obtained by experiments. η_0 is the dynamic viscosity coefficient (cm^2/s) of water under the action of 50°C, 70°C and 90°C, which can be obtained according to the empirical formula:

$$\eta_0 = 0.01775 / (1 + 0.0337T + 0.000221T^2) \quad (29)$$

Where T is the temperature of the water.

We verified the applicability of the fitting formula at 50, 70, and 90 °C (Fig. 11). From Fig. 11, it can be seen that the relationship between permeability and thermal damage (D_T) was exponential, and that the correlation coefficient R^2 was 0.99. The evolution law of permeability, suggesting that permeability increases with the degree of damage, can thus be obtained from this relationship. In addition, the results further confirm that the thermal

510 damage, D_T , can characterize the degree of rock damage. Permeability increased with damage during the
 511 progressive fracturing of red sandstone under thermal-mechanical coupling. This further supports the conclusion
 512 that, in red sandstone, permeability increases with temperature, as discussed in Section 3.2.2.



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517 Fig. 11. Correlation characteristics of permeability k and damage index D_T under different confining pressure and osmotic pressure
 518 difference (a) confining pressure 10MPa (b) confining pressure 20MPa (c) confining pressure 30MPa

519 6 Conclusions

520 We conducted an experimental study of the seepage characteristics of red sandstone across the entire
 521 stress-strain process, under different conditions of temperature, osmotic pressure difference, and confining
 522 pressure, and analyzed the results of these experiments. To simulate the stress-strain process in the rock under the
 523 coupled effects of high temperature and seepage, we also developed a constitutive model of statistical rock
 524 damage that considers the combined effects of osmotic pressure and high temperature. Based on the experimental
 525 results and the constitutive model, we discussed the evolution of thermal damage-induced permeability. Our main
 526 conclusions are as follows:

527 (1) In red sandstone under the same conditions of confining pressure and osmotic pressure difference, with
 528 increasing temperature ductility increased, the plastic flow became more prominent, residual stress appeared, the

529 elastic modulus of the rock increased, and the permeability in each stage of the deformation process also
530 increased.

531 (2) As the confining pressure gradient increased, permeability decreased, but at a progressively slower rate.
532 At the same time, the development of fractures was affected by temperature: the higher the operating temperature,
533 the easier the production of seepage channels within the rock. With increasing temperature, therefore, the seepage
534 channels widened and increased, and permeability increased significantly.

535 (3) The theoretical value calculated by the constitutive model of statistical rock damage that accounts for the
536 combined effect of high temperature and osmotic pressure, developed in this study, is close to the experimental
537 value. The model developed in this study fully reflects the evolution of permeability in the post-peak stage, and
538 adequately describes the stress–strain relationship in red sandstone under high temperature and osmotic pressure.

539 (4) The relationship between permeability and the thermal damage index (D_T) is exponential, with a
540 correlation coefficient R^2 of 0.99. This confirms that the thermal damage index can characterize the degree of rock
541 damage. It also reveals the mechanism of permeability evolution in red sandstone, where damage increases due to
542 progressive fracturing under thermal-mechanical coupling.

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549 **Conflict of interest**

550 On behalf of all authors, the corresponding author states that there is no conflict of interest.

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