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Jin Lu (✉ [lujin211636070@sina.com](mailto:lujin211636070@sina.com))

Yunnan University

Ming Huang

Yunnan University

Jingjing Yang

Yunnan University

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## Research

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# A Covariance Matrix-based Spectrum Sensing Technology Exploiting Stochastic Resonance and Filters

Jin Lu<sup>1\*</sup>, Ming Huang<sup>1</sup>, Jingjing Yang<sup>1</sup>

<sup>1</sup> School of Information Science & Engineering of Yunnan University, Kunming, Yunnan, China

\*Corresponding author's e-mail: lujin211636070@sina.com

**Abstract** - Cognitive radio (CR) is designed to implement dynamical spectrum sharing and reduce the negative effect of spectrum scarcity caused by the exponential increase in the number of wireless devices. CR requires that spectrum sensing should detect licenced signals quickly and accurately and enable coexistence between primary and secondary users without interference. However, spectrum sensing with a low signal-to-noise ratio (SNR) is still a challenge in CR systems. This paper proposes a novel covariance matrix-based spectrum sensing method by using stochastic resonance (SR) and filters. SR is implemented to enforce the detection signal of multiple antennas in low SNR conditions. The filters are equipped in the receiver to reduce the interference segment of noise frequency. Then, two test statistics computed by the likelihood ratio test (LRT) or the maximum eigenvalues detector (MED) are constructed by the sample covariance matrix of the processed signals. The simulation results exhibit the spectrum sensing performance of the proposed algorithms under various channel conditions, namely, additive white Gaussian noise (AWGN) and Rayleigh fading channels. The energy detector (ED) is also compared with LRT and MED. The simulation results demonstrate that SR and filter implementation can achieve a considerable improvement in spectrum sensing performance under a strong noise background.

**Index Terms** - spectrum sensing, stochastic resonance, likelihood ratio test, filter

## 1. Introduction

Wireless mobile network services have grown rapidly and exhibited huge potentiality in the last few decades. However, a large number of mobile terminal devices occupy spectrum resources and cause spectrum scarcity in most sub-GHz frequency bands [1]. Some frequency bands of the authorized spectrum (e.g., TV band) are already fixedly and exclusively allocated. Only partial spectrum bands are utilized at special space and time domains. This has motivated many researchers to seek innovative techniques to exploit available radio spectrum holes. Cognitive radio (CR) is considered to be a promising technology to improve the efficiency of spectrum utilization and alleviate the spectral congestion and shortage problem [2]. CR devices can opportunistically access the

28 available authorized frequency bands and prevent interference.

29 Spectrum sensing is the principal task needed to guarantee the successful implementation of CR. Spectrum  
30 sensing requires secondary user (SU) nodes capable of executing accurate and fast detection of the idle frequency  
31 bands of primary users (PUs). Then the SUs can be allowed to access the authorized frequency bands without any  
32 harmful interference to the PUs. Many spectrum sensing techniques have been investigated [3]. With the matched  
33 filter (MF), a priori knowledge about the PU signal is required to demodulate and detect the received signal, such  
34 as the carrier frequency, modulation format, or frame type. Energy detection (ED) is a blind algorithm that does  
35 not require any prior knowledge like the noise power but instead uses only the received signal samples to perform  
36 detection. However, ED is easily affected by noise uncertainty. Cyclostationary features detection exploits the  
37 circulating frequency of the PU signal at a low signal-to-noise ratio (SNR).

38 [The covariance-based detector \(CBD\) is a mature and superior sensing technology](#) exploiting the statistical  
39 characteristic in the sample covariance matrix of the received signal [4]. The eigenvalue-based detector depends  
40 on the framework of the generalized likelihood ratio test (GLRT) [5], whose decision statistics are constructed by  
41 a covariance matrix. For example, the maximum-minimum eigenvalue (MME) detector and the energy to  
42 minimum eigenvalue (EME) detector were proposed in [6], and it was found that the maximum eigenvalue  
43 asymptotically obeyed the Tracy Widom (TW) distribution. In practical wireless communication, the rank of the  
44 covariance matrix of primary signals is usually more than one [7]. Thus, multiple primary user models have  
45 emerged, such as the arithmetic to geometric mean (AGM) detector [8], the eigenvalue-based detector with higher  
46 order moments (EHOM) [9], and the mean-to-square extreme eigenvalue (MSEE) detector [10]. AGM can handle  
47 spectrum sensing problems with sparse samples, EHOM brings about high computational complexity, and MSEE  
48 achieves lower computational complexity.

49 Although eigenvalue-based spectrum sensing algorithms can improve sensing quality under the SNR wall or  
50 noise uncertainty conditions [11], in the circumstance of low SNR, an eigenvalue-based detector can only increase  
51 the antenna number to compensate the deterioration of spectrum sensing performance. Therefore, the design cost  
52 and complexity of wireless mobile devices will be increased. Stochastic resonance (SR) is a nonlinear physical  
53 and dynamical technology for extracting weak signals from intense noise [12]. The output of SR is determined by  
54 the dynamic characteristics, that is, the noise level, SR system, and input signal. When the noise power is proper,  
55 the system will achieve a desired state, and the output signal can be enforced. In SR, noise is an assistant rather

56 than a disturbance or harm for signal quality. The SNR and power of a weak signal can be amplified by a nonlinear  
57 SR system, which will result in the increase of signal detection ability. The SNR wall can also be alleviated with  
58 the aid of SR.

59 SR is extensively applied to spectrum sensing in weak signal conditions. Energy detection based on adaptive  
60 SR is proposed through adding appropriate noise or adjusting parameters [12]. The application of SR in partial  
61 polarized noise was investigated in [13]. Other SR-based detectors have also been studied, including detectors  
62 based on suprathreshold SR [14], particle swarm algorithm and tri-stable SR [15], and optimal dynamic  
63 overdamped SR [11].

64 However, the classical SR theories point out that the input signal of an SR system can only work in low  
65 frequencies and small parameters [12], which limits its potential for wireless communication applications.  
66 Therefore, frequency shifting technologies of SR are often applied to convert high frequency signals to low  
67 frequency signals equivalently, such as re-sampling transformation, normalized scale transformation (NST), and  
68 generalized scale transformation (GST) [16].

69 A filter-based detector is another sensing scheme to separate or weaken reference noise, which does not contain  
70 the PU signal. This advantage is offered by a generalized detector (GD), which considers two filters as additional  
71 linear systems before signal processing [17]. GD exploits the statistics of the mean and variance at the filters  
72 output, and its superiority has been demonstrated in MF, ED, and correlation detector [18]. GD employment in  
73 CR systems was investigated in [19].

74 Motivated by the aforementioned studies, this paper designed a covariance matrix-based detector that utilizes  
75 SR and filters. Multiple antenna signals are processed via the SR system, in which an NST frequency shifting  
76 scheme is exploited. The filters are equipped in the receiver to remove the high-frequency segment of noise. Then,  
77 according to the covariance matrices of the processed signals, two test statistics are constructed by the likelihood  
78 ratio test (LRT) or the maximum eigenvalues detector (MED). The simulation results are provided to compare the  
79 detection performance of the proposed detector with the conventional detector under a strong noise background.

80 The rest of the paper is organized as follows: The system model is introduced in section 2. The proposed  
81 spectrum sensing methods based on SR and filters are introduced in section 3. Simulation results and conclusions  
82 are provided in sections 5 and 6, respectively.

83 Notations: Boldface letters denote vectors or matrices.  $N(a, b)$  denotes a Gaussian distribution with mean  $a$

84 and variance  $b$ . The superscript  $(\cdot)^T$  denotes the transposition. The superscript  $(\cdot)^*$  denotes the conjugate  
 85 transposition. Furthermore,  $(\cdot)^{-1}$  denotes the inverse of a matrix, and  $\det(\cdot)$  denotes the determinant of a  
 86 matrix.

## 87 2. System Model

88 Assuming that the SU nodes are equipped with an uncorrelated antenna array in the CR system, the spectrum  
 89 sensing model can be divided as a conventional binary hypothesis test problem [5]:

$$90 \quad r_i(n) = \begin{cases} w_i(n) & H_0 \\ h_i(n)s(n) + w_i(n) & H_1 \end{cases}, \quad (1)$$

91 where the hypothesis  $H_0$  denotes making a decision that the primary users' signals are absent, and the hypothesis  
 92  $H_1$  denotes making a decision that the primary users are present. The variable  $i$  denotes the  $i$ -th antenna element  
 93 and  $i = \{1, \dots, M\}$ . In which,  $M$  is the number of antenna array elements. The variable  $n$  denotes  $n$ -th sample  
 94 time instant and  $n = \{0, 1, \dots, N_s - 1\}$ . In which,  $N_s$  is the length of sensing duration. The variable  $w_i(n)$   
 95 denotes the discrete time additive Gaussian white noise (AWGN) with mean zero and covariance  $\sigma_w^2$ . The joint  
 96 probability distribution of  $\mathbf{w}(n) = [w_1(n), \dots, w_M(n)]^T$  is  $\mathbf{w}(n) \sim \mathcal{N}(0, \mathbf{R}_w)$ , where  $\mathbf{R}_w = \sigma_w^2 \mathbf{I}_M$ , and  $\mathbf{I}_M$   
 97 is the  $M \times M$  identity matrix. The variable  $s(n)$  is the primary signal with the average transmitted power  $E_s$   
 98 at the special frequency band. The signal-to-noise ratio (SNR) is described as  $SNR = E_s / \sigma_w^2$  [7]. The variable  
 99  $h_i(n)$  denotes the discrete time channel coefficients representing the channel fading. It is assumed that  $\mathbf{H}$  is the  
 100 channel matrix and all antenna array elements  $h_i(n)$  are spatially independent. The channel parameters are  
 101 constant during the sensing period but differ from other sensing periods. It is assumed that  $\bar{s}_i(n) = h_i(n)s(n)$ ,  
 102 which denotes the received primary signal through channel response. Meanwhile,  $\bar{s}_i(n)$  and  $w_i(n)$  are  
 103 independent of each other.  $r_i(n)$  denotes the discrete time received signal of a secondary user. The observed  
 104 sample signal matrix  $\mathbf{r}$  of the primary signals captured at the secondary user during the sensing time has the  
 105 dimensions  $M \times N_s$ :

$$106 \quad \mathbf{r} = \begin{bmatrix} r_1(0) & \dots & r_1(N_s - 1) \\ \vdots & \ddots & \vdots \\ r_M(0) & \dots & r_M(N_s - 1) \end{bmatrix}. \quad (2)$$

107 Then the joint distribution of the matrix  $\mathbf{r}$  in the hypothesis  $H_1$  can be expressed as  $\mathbf{r} \sim \mathcal{N}(0, \mathbf{R}_{\bar{s}} + \mathbf{R}_w)$ , in  
 108 which  $\mathbf{R}_{\bar{s}}$  is the sample covariance matrix of  $\bar{\mathbf{s}}$ :

109 
$$\mathbf{R}_{\bar{s}} = \frac{1}{N_s} \sum_{n=0}^{N_s-1} \bar{s}(n) \bar{s}^*(n). \quad (3)$$

110 **3. Proposed Method**

111 This section will introduce the proposed spectrum sensing method based on SR and filters.

112 **3.1. Stochastic Resonance**

113 In order to recover the periodicity of the original signal furthest from intensive noise, the received signal in  
 114 each antenna  $\mathbf{r}_i(i = 1, \dots, M)$  will be processed via the SR system. The SR output signal vector is defined as

115 
$$\mathbf{x}(n) = f(\mathbf{r}(n)) = [x_1(n), x_2(n), \dots, x_M(n)]^T, \quad (4)$$

116 where  $f(\cdot)$  is a nonlinear function representing the physical behavior. The transformation process can be defined  
 117 by the Langevin equation [11]:

118 
$$\frac{dx_i(n)}{dt} = -\frac{U(x_i)}{dx_i} + \bar{s}_i(n) + w_i(n), \quad (5)$$

119 where  $U(x_i)$  denotes the potential function:

120 
$$U(x_i) = -\frac{a}{2}x_i^2 + \frac{b}{4}x_i^4, \quad (6)$$

121 in which  $a > 0$  and  $b > 0$ . Equation (5) indicates the classic and nontrivial SR model. The dynamic and  
 122 integral characteristics of SR are driven by three basic elements: the bi-stable nonlinear system, the Gaussian  
 123 white noise  $w_i(n)$ , and the external excitation  $\bar{s}_i(n)$ .  $U(x_i)$  is an even function with a pair of symmetrical  
 124 minimum values whose coordinates are  $(x_m = \pm\sqrt{a/b}, -U_0 = a^2/(4b))$ . The two minimum value points are  
 125 named as potential wells. Accordingly, there is a maximum value point  $(0, 0)$ , which is named as a potential  
 126 barrier. The difference between the potential barrier and the potential wells represents the potential barrier height  
 127 (i.e.,  $U_0$ ). Equation (5) is a bi-stable structure because the two potential wells represent two stable states.

128 Supposing that a Brown particle lies in a certain point of  $U(x_i)$  at the initial time instant, and  $\bar{s}_i(n)$  is treated  
 129 as a periodic signal with amplitude  $A_m$  and carrier frequency  $f_c$ , there is a critical value  $A_c = \sqrt{4a^3/(27b)}$  in  
 130  $U(x_i)$ . When the SR system is only driven by external signal  $\bar{s}_i(n)$  and  $A_m > A_c$ , the particle can jump across  
 131 the potential barrier, and the balance of  $U(x_i)$  will be broken. Then the potential wells will elevate alternatively  
 132 and periodically with the frequency  $f_c$  [14]. When only noise  $w_i(n)$  exists, the Brown particle will switch  
 133 between two potential wells with the transition speed  $r_k$ , which is named as Kramers rate [15]:

134

$$r_k = \frac{a}{\sqrt{2\pi}} \exp\left(-\frac{2U_0}{\sigma_w^2}\right). \quad (7)$$

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The joint effect of input  $\bar{s}_i(n)$  and noise  $w_i(n)$  will resonate the SR system to achieve as high an amplitude as possible. But the classical SR theory, like adiabatic approximation theory and nonlinear response theory, indicates that SR can only work with small parameters [15]. Firstly, the output signal  $x_i(n)$  is mainly concentrated on low frequency components rather than higher harmonics, so the input carrier frequency should be a considerably small value (i.e.,  $f_c \ll 1$  and  $f_c \ll r_k$ ). Secondly, the amplitude  $A_m$  and the noise power  $\sigma_w^2$  should also be far less than 1.

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The prerequisite of low frequency is a straight conflict of the modulation carrier requirement of high frequency in wireless communication. To solve this problem, we exploit the normalized scale transformation (NST) method to convert high frequency to low frequency [16]. The NST technology exploits the normalization and variable substitutions as follows:

145

$$\frac{dz_i(\tau)}{dt} = z_i - z_i^3 + A_0 \cos(2\pi f_0 \tau) + w_0(\tau), \quad (8)$$

146

147

148

where  $z = x\sqrt{a/b}$ ;  $\tau = an$  is the re-sample time interval;  $A_0 = A_m\sqrt{b/a^3}$  is the normalized amplitude;  $w_0(\tau)$  is the normalized noise with expectation 0 and variance  $\sigma_0^2 = \sigma_w^2 b/a^2$ ; and  $f_0$  is the normalized frequency and can be expressed by the original carrier frequency (i.e.,  $f_0 = f_c/a$ ).

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It can be found that equation (8) is the standard normalized form of equation (5), and they have the same dynamic characteristics. However, the main significances and contributions lie in that equation (8) can satisfy the preconditions of small parameters according to the adiabatic approximation theory. Note that the condition  $a \gg 1$  can ensure that the high frequency  $f_c$  of the carrier signal turns into a low frequency  $f_0$ . Thus, for algorithm implementation, we can preset small values of  $f_0$  and  $A_0$  to obtain  $a$  and  $b$ . Then,  $f_0$  and  $A_0$  can be adjusted based on the output SNR and resonance effect to achieve the desired state.

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In general, equation (8) is an expression of an ordinary first order differential equation, and no exact solutions have been provided in recent studies. However, it can be approximately solved by the fourth order Runge Kutta (RK) algorithm [13], which is a numerical computation method and includes the process of multi-stage iteration.

### 3.2. Filters

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The output of SR  $x_i(n)$  will pass through two linear time invariant discrete time systems, that is, low pass, high pass, or band pass filters. The two filters are named as preliminary filter (PF) and additional filter (AF) with

161 the impulse responses  $h_p(m)$  and  $h_a(m)$ , respectively, which is mentioned in a description of GD in [19]. The  
 162 processes of PF and AF are described as a convolution form:

$$163 \quad \begin{cases} e_i(n) = \sum_{m=-\infty}^{\infty} h_p(m)x_i(n-m) \\ \eta_i(n) = \sum_{m=-\infty}^{\infty} h_a(m)x_i(n-m) \end{cases}, \quad (9)$$

164 where  $e_i(n), n = 0, \dots, N_s - 1$  is the  $i$ -th antenna and  $n$ -th sample of the secondary data via SR at the PF output;  
 165  $\eta_i(n)$  is the corresponding one at AF output; and  $\mathbf{e}$  and  $\boldsymbol{\eta}$  are the  $M \times N_s$  matrix forms of  $e_i(n)$  and  $\eta_i(n)$ .

166 Assuming that the central frequencies of PF and AF are detuned, the PU signal via SR cannot pass through AF  
 167 and only appears in the PF output. If the detuning of central frequencies between the AF and PF achieved over  
 168 four times the PU signal bandwidth, the correlation coefficient between the PF and AF output can be ignored [18].  
 169 That means the AF and PF outputs are independent. Then, the amplitude frequency characteristics of the PF and  
 170 AF can be adjusted to ensure that the noise portions are equal. Hence, it is approximately considered that  $\mathbf{e} \approx$   
 171  $\mathbf{x} + \boldsymbol{\eta}$ , and the noise power can be estimated by  $\boldsymbol{\eta}$ .

### 172 3.3. Detection Method

173 Now that the filtered signal  $\mathbf{e}$  and  $\boldsymbol{\eta}$  are obtained, this section will introduce three detection algorithms,  
 174 namely, ED, LRT, and MED.

#### 175 3.3.1. ED

176 The ED algorithm is a blind spectrum sensing method used when  $\bar{s}_i(n)$  is not known to the CR user. ED  
 177 employs the sum of the energy at the observed interval. The test statistic is defined as follows [11]:

$$178 \quad T_{ED} = \sum_{i=1}^M \sum_{n=0}^{N_s-1} |e_i(n)|^2, \quad (10)$$

#### 179 3.3.2. LRT

180 Based on the Neyman Pearson (NP) criterion, when the probability of false alarm  $P_f$  and the noise variance  
 181  $\sigma_\eta^2$  is given, the LRT will maximize the detection probability. The decision statistic of LRT is determined using  
 182 the following form:

$$183 \quad T_L = \frac{p(\mathbf{e}|H_1)}{p(\mathbf{e}|H_0)} = \prod_{n=0}^{N_s-1} \frac{p(\mathbf{e}(n)|H_1)}{p(\mathbf{e}(n)|H_0)}, \quad (11)$$

184 where  $\mathbf{e}$  is the received signal vector that is the aggregation of  $\mathbf{e}(n)$ , and  $p(\mathbf{e}|H_1)$  and  $p(\mathbf{e}|H_0)$  denote the



185 likelihood function under the hypotheses  $H_1$  and  $H_0$ , respectively. The likelihood function at time instant  $n$  can  
 186 be presented in the following form [13]:

$$187 \quad p(\mathbf{e}(n)|H_1) = \frac{\exp\{-\mathbf{e}^*(n)(\mathbf{R}_{\bar{s}} + \mathbf{R}_{\eta})^{-1}\mathbf{e}(n)\}}{\pi^2 \det(\mathbf{R}_{\bar{s}} + \mathbf{R}_{\eta})}, \quad (12)$$

$$188 \quad p(\mathbf{e}(n)|H_0) = \frac{\exp\{-\mathbf{e}^*(n)\mathbf{R}_{\eta}^{-1}\mathbf{e}(n)\}}{\pi^2 \det(\mathbf{R}_{\eta})}. \quad (13)$$

189 Based on the character of the matrix inversion lemma, there is

$$190 \quad \mathbf{R}_{\eta}^{-1} - (\mathbf{R}_{\bar{s}} + \mathbf{R}_{\eta})^{-1} = \frac{1}{\sigma_{\eta}^2} \mathbf{R}_{\bar{s}} (\mathbf{R}_{\bar{s}} + \mathbf{R}_{\eta})^{-1}. \quad (14)$$

191 Hence, equation (11) can be simplified as follows:

$$192 \quad \sigma_{\eta}^2 \ln \left( T_L \left( \frac{\det(\mathbf{R}_{\bar{s}} + \mathbf{R}_{\eta})}{\det(\mathbf{R}_{\eta})} \right)^{N_s} \right) = \sum_{n=0}^{N_s-1} \mathbf{e}^*(n) \mathbf{R}_{\bar{s}} (\mathbf{R}_{\bar{s}} + \mathbf{R}_{\eta})^{-1} \mathbf{e}(n). \quad (15)$$

193 Note that the left side of equation (15) does not contain the signal matrix  $\mathbf{e}$  and does not relate to constructing  
 194 the test statistic. In contrast, the right side of equation (15) does relate to constructing the test statistic and in fact  
 195 is defined as a new test statistic:  $T_{LRT} \leq \gamma_{LRT}$ , where  $\gamma_{LRT}$  is the detection threshold of LRT.

### 196 3.3.3. MED

197 LRT is the optimal and ideal detector based on the likelihood function, in which some parameters, such as noise  
 198 variance  $\sigma_{\eta}^2$  or received source signal covariance  $\mathbf{R}_{\bar{s}}$ , are known. In most practical scenarios, they are blind. This  
 199 means the probability distribution of the observations or the likelihood functions cannot be obtained. This type of  
 200 problem can only be solved by GLRT, which estimates the unknown parameters by maximum likelihood estimate  
 201 (MLE). The test statistics of the GLRT detector have some simple form expressed by the eigenvalue of the sample  
 202 covariance matrix of the received signal. Thus, MED will be used in this section. The algorithm steps are expressed  
 203 as follows [5]:

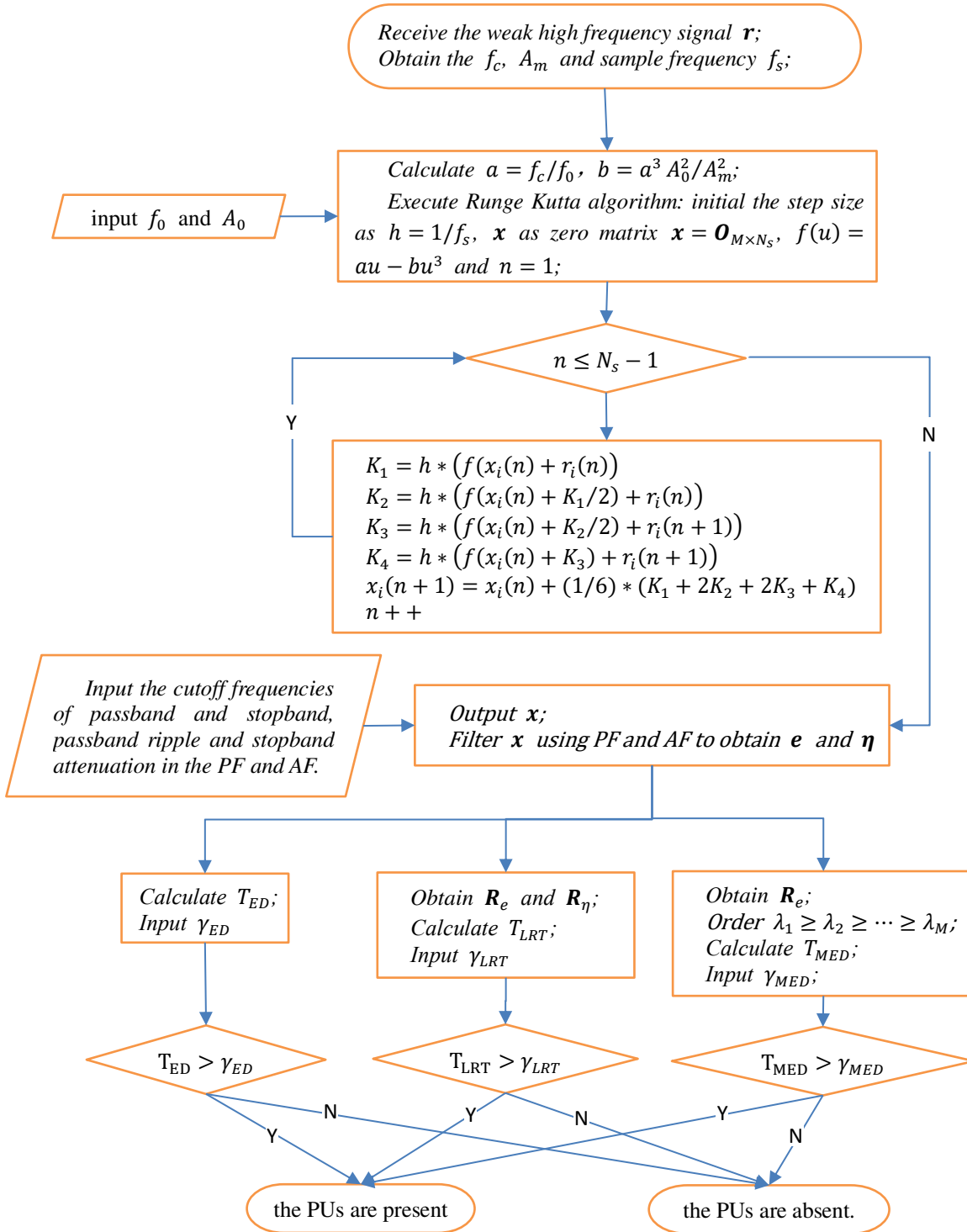
204 1) Calculate the sample covariance matrix of filter output signal  $\mathbf{e}(n)$  as

$$205 \quad \mathbf{R}_e = \frac{1}{N_s} \sum_{n=0}^{N_s-1} \mathbf{e}(n) \mathbf{e}^*(n). \quad (16)$$

206 2) Calculate the eigenvalues of the sample covariance matrix  $\mathbf{R}_e$  and order them as  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_M$ .

207 3) Use the largest eigenvalues for detection:

$$208 \quad T_{MED} = \frac{\lambda_1}{\sigma_{\eta}^2}, \quad (17)$$



210

211

Figure 1. Algorithm Flowchart

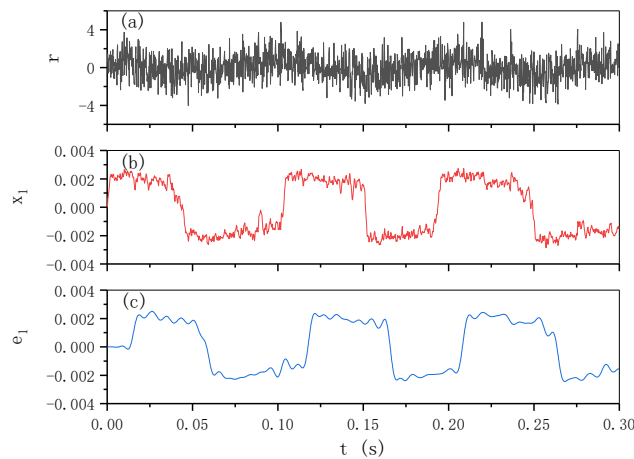
## 212 4. Simulation Results and Discussion

### 213 4.1. Simulation Results

214 In this section, the spectrum sensing performance of ED, LRT, and MED based on SR and filters will be  
 215 evaluated by simulation.

216 **Figure 2** displays the effects of SR and PF in arbitrary antenna under the hypothesis  $H_1$  without channel fading.  
 217 The simulation parameters are presented follows: The input signal is a periodic sine wave  $s = A_m \cos(2\pi f_c t)$   
 218 with the amplitude  $A_m = 1$  and carrier frequency  $f_c = 10$  Hz, the normalized amplitude is  $A_0 = 0.5$ , the  
 219 normalized frequency is  $f_0 = 0.01$  Hz, the signal-to-noise ratio is  $SNR = -5$  dB, the sample frequency is  
 220  $f_s = 5$  kHz, and the sample number is  $N_s = 1536$ . The PF is a digital low pass filter implemented by finite  
 221 impulse response (FIR). The cutoff frequency of pass band and stop band are  $f_{pp} = 100$  Hz and  $f_{ps} = 200$  Hz,  
 222 respectively.

223 It can be found that the SR system can adequately recover the signal periodicity buried in noise. The effect of  
 224 NST is obvious through adjusting the SR parameters  $a$  and  $b$ . It appears that the SR output signal still works in  
 225 the frequency 10 Hz rather than 0.01 Hz. Therefore, the setting of  $f_0$  and  $A_0$  is rational. It is also shown that the  
 226 PF can effectively reduce the noise ingredient and make the wave curve smoother.



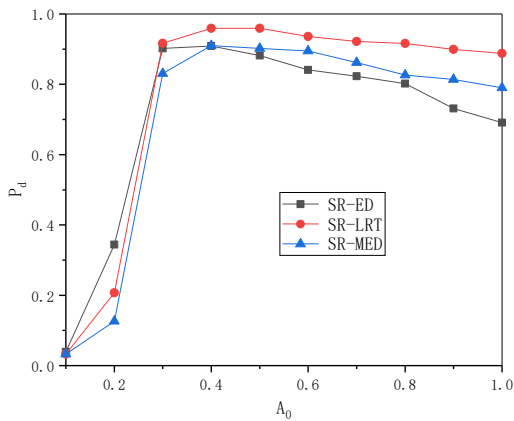
227 **Figure 2.** The comparison of time domain among the input, SR output, and PF output under  $H_1$ .  
 228

229 **Figure 3** shows the influence that the parameters  $A_0$  of NST have on the detection probability  $P_d$  under PF  
 230 and AF. The parameters are presented as follows: The antenna number is  $M = 3$ , the sample number is  $N_s =$   
 231 512, the false alarm probability is  $P_f = 0.1$ , the Monte Carlo simulation times is 5000,  $SNR = -16$  dB,  $f_{pp} =$   
 232 500 Hz, and  $f_{ps} = 600$  Hz. The AF is a high pass filter implemented by FIR, and the cutoff frequency of stop  
 233 band and pass band are  $f_{ap} = 1900$  Hz and  $f_{as} = 3200$  Hz, respectively. The other parameters are the same as  
 234 in **Figure 2**. In the legends of the following figures, the abbreviations of SR-ED, SR-LRT, and SR-MED denote  
 235 the SR-based detection algorithms of ED, LRT, and MED, respectively.

236 It is shown that, accompanied with the increase of  $A_0$  at the interval  $[0.1, 0.4]$ ,  $P_d$  promotes rapidly, and the

237 order of detection performance from best to worst is  $ED > LRT > MED$ . When  $A_0$  increases at the interval  
 238  $[0.4, 1]$ ,  $P_d$  descends slowly and smoothly. Meanwhile the order of detection performance from best to worst is  
 239  $LRT > MED > ED$ . The variation trends are the same for ED, LRT, and MED. It is demonstrated that the critical  
 240 value of a normalized SR system is  $A_c = 0.344$  [12], which approximately coincides with the optimal value  
 241  $A_0 = 0.4$  shown in Figure 3. It is indicated that when  $A_0 \leq A_c$ , the input signal itself cannot cross the potential  
 242 barrier, while the assistant effect of noise is extremely significant until  $A_0 = A_c$ . When  $A_0 > A_c$ , the situation is  
 243 reversed and the assistant effect of noise becomes weaker when larger  $A_0$  values are selected.

244 Figure 4 tests the influence of the normalized frequency  $f_0$  with the interval  $[0.01, 0.1]$  when  $A_0 = 0.5$ . It  
 245 is shown that when  $f_0$  is lower in value, the noise will easily satisfy the requirement of Kramers rate and produce  
 246 the SR phenomena. However, considering the calculation problem of overflow,  $f_0 = 0.01$  is low enough. In  
 247 addition, the order of detection performance from best to worst is  $LRT > ED > MED$ .



248 Figure 3. The detection probability  $P_d$  versus  
 normalized amplitude  $A_0$  with filters.

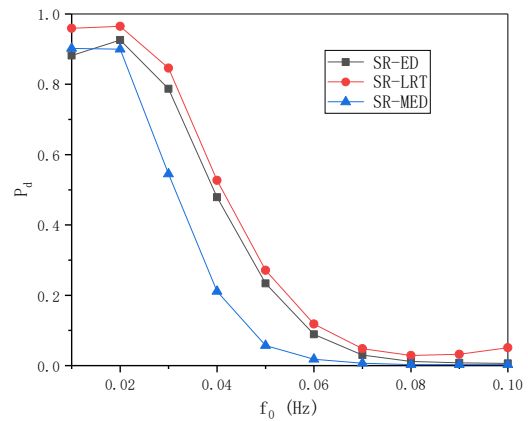
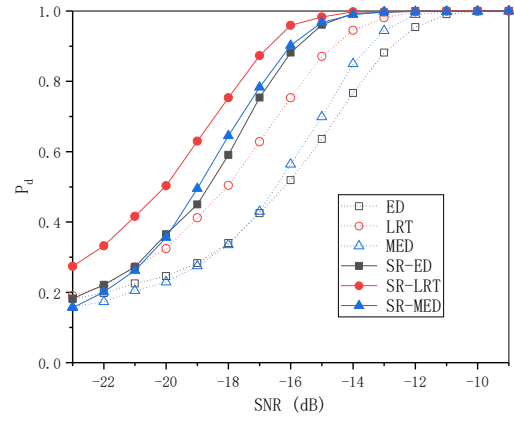
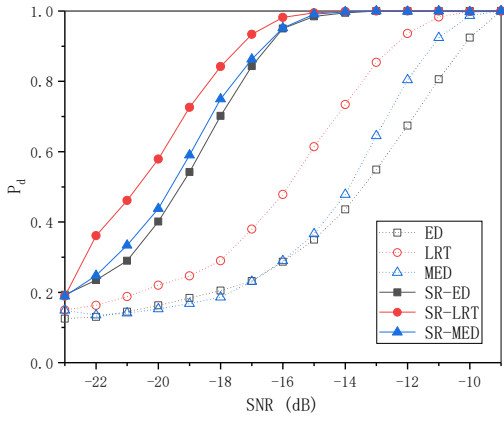


Figure 4. The detection probability  $P_d$  versus  
 normalized frequency  $f_0$  with filters.

249 Figure 5 and Figure 6 exhibit the variation curve of detection probability  $P_d$  versus  $SNR$  at the interval  
 250  $[-24, -9]$  dB without filters and with filters. The three algorithms ED, LRT, and MED based on SR are also  
 251 compared. According to the simulation conclusions in Figure 3 and Figure 4, the parameters are set as  $f_0 = 0.01$   
 252 Hz and  $A_0 = 0.5$  while other parameters are not changed.

253 It is shown that SR can enormously improve the detection performance in various detection algorithms. When  
 254 filters are employed, the advantage of SR is weakened compared with the situation without filters. However, PF  
 255 and AF can help to enhance the function of SR overall. The order of detection performance from best to worst is  
 256  $LRT > MED > ED$  whether SR and filters are employed or not.



257

Figure 5. The comparison of detection probability without filters.

Figure 6. The comparison of detection probability with filters.

258

Figure 7 and Figure 8 consider the wireless communication circumstances of Rayleigh fading channel, and exhibit the variation curve of detection probability  $P_d$  versus  $SNR$  when filters are exploited or not. ED, LRT, and MED are also compared in Figure 7 and Figure 8. The parameters are the same as in Figure 5 and Figure 6 except the fading coefficient  $\beta = 0.5$ .

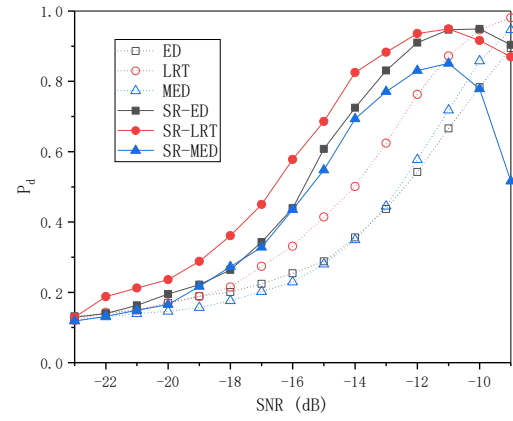
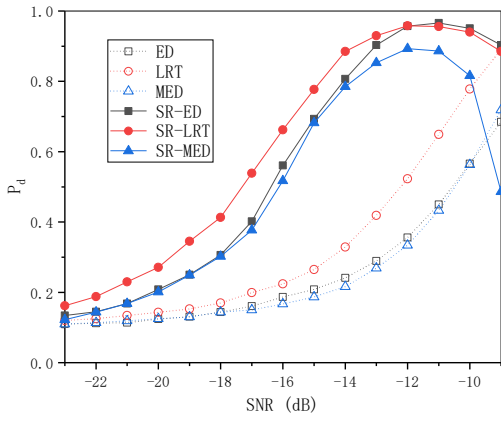
262

It is shown that SR can enhance the detection performance of various detection algorithms in the low SNR area at  $[-23, -11]$  dB. In this area, the situations and effects of SR and filters are the same as in Figure 5 and Figure 6. However, the difference lies in that the order of detection performance from best to worst is  $LRT > ED > MED$  whether SR and filters are employed or not. This indicates that when noise power is unknown, ED is the preferred alternative scheme rather than MED.

267

When  $SNR \geq -11$  dB, the detection performance with SR descends in Rayleigh fading whether filters are employed or not. This indicates that the channel matrix  $\mathbf{H}$  causes the external excitation  $\bar{s}(n)$  to become an aperiodic signal. The relatively weak noise cannot help  $\bar{s}(n)$  to jump across the potential barrier. Therefore, SR is not suitable for high SNR conditions under Rayleigh fading channel.

270



271 Figure 7. The comparison of detection probability under Rayleigh channel without filters. Figure 8. The comparison of detection probability under Rayleigh channel with filters.

272 **4.2. Discussion**

273 From the simulation results above, it can be found that SR can enhance the output SNR under the premise of  
 274 the adiabatic theory, which is ensured by the NST technology. The setting of  $f_c = 10$  Hz in the simulation is just  
 275 to demonstrate the feasibility for shifting frequency from high value to low value. When ultra high frequency is  
 276 needed, we can adjust SR parameters  $a$  and  $b$  to larger values.

277 Note that, the SR output  $x_i(n)$  is still a high frequency signal, that is, the frequency of  $x_i(n)$  is  $f_c$  rather  
 278 than  $f_0$ . The proposed sensing methods still work for very high frequency signals, and is applicable in various  
 279 real scenarios, such as LTE system, Ad hoc, Mesh.

280 The distribution of SR output  $x_i(n)$  is determined by Fokker-Planck Equation (FPE), whose exact closed-  
 281 form expression is not obtained yet. Therefore, the distributions of the detection statistics  $T_{ED}$ ,  $T_{LRT}$  and  $T_{MED}$   
 282 cannot be analytically obtained. We have to determine the detection threshold by simulation.

283 To ensure that the brown particle can jump across the potential barrier,  $A_0 > 0.344$  should be considered. But  
 284 extremely large  $A_0$  is harmful for detection. Additionally, smaller  $f_0$  can easily achieve SR condition. But, we  
 285 need to consider the calculation accuracy of computer device.

286 Under the aid of the NST technology, the filters AF and PF reduced the effect of noise component. Therefore,  
 287 the filters can improve the detection performance with SR. However, the performance promotion is less obvious  
 288 than the one without SR. It is probably because that the energy of SR output  $x_i(t)$  concentrates on low frequency  
 289 region, while the purpose of AF and PF is to reduce the noise in high frequency.

290 Additionally, SR is implemented by RK algorithm. So, more sample numbers are required to ensure the

291 approximation accuracy. This fact will lead to the increase of computation complexity. In actual wireless  
292 application, we should consider the compromise of the time and accuracy.

## 293 **5. Conclusion**

294 This paper proposes a novel covariance matrix detector employing SR and filters. The NST technology is  
295 introduced in SR to normalized the high frequency application to a low frequency expression. The test statistic is  
296 constructed by three detector of ED, LRT or MED. The simulation results verify the extraordinary effect that SR  
297 can recover the periodicity of the received signal. It is also found that the superior detection probability is obtained  
298 when  $A_0 = 0.3$  and  $f_0 = 0.01$  Hz. The detection methods with SR achieve better performance than the ones  
299 without SR under Gaussian channel, while perform robust only in low SNR region under Rayleigh channel. The  
300 contributions of AF and PF is little for the detectors with SR. the test statistic of LRT performs better than the  
301 ones of ED and MED in various simulation circumstance.

## 302 **Abbreviations**

303 Cognitive radio (CR); signal-to-noise ratio (SNR); stochastic resonance (SR); likelihood ratio test (LRT);  
304 maximum eigenvalues detector (MED); additive white Gaussian noise (AWGN); energy detector (ED); secondary  
305 user (SU); primary user (PU); matched filter (MF); covariance based detector (CBD); generalized likelihood ratio  
306 test (GLRT); maximum minimum eigenvalue (MME); minimum eigenvalue (EME); Tracy Widom (TW);  
307 arithmetic to geometric mean (AGM); eigenvalue based detector with higher order moments (EHOM); mean-to-  
308 square extreme eigenvalue (MSEE); normalized scale transformation (NST); generalized scale transformation  
309 (GST); generalized detector (GD); Runge Kutta (RK); preliminary filter (PF) and additional filter (AF); Neyman  
310 Pearson (NP)

## 311 **Availability of data and materials**

312 Not applicable.

## 313 **Competing interests**

314 The authors declare that they have no competing interests.

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## 317 **Authors' contributions**

318 The algorithms proposed in this paper have been conceived by all authors. Jin Lu designed and performed the

319 experiments, and then analyzed the results. Jin Lu wrote the paper. All authors read and approved the final  
320 manuscript.

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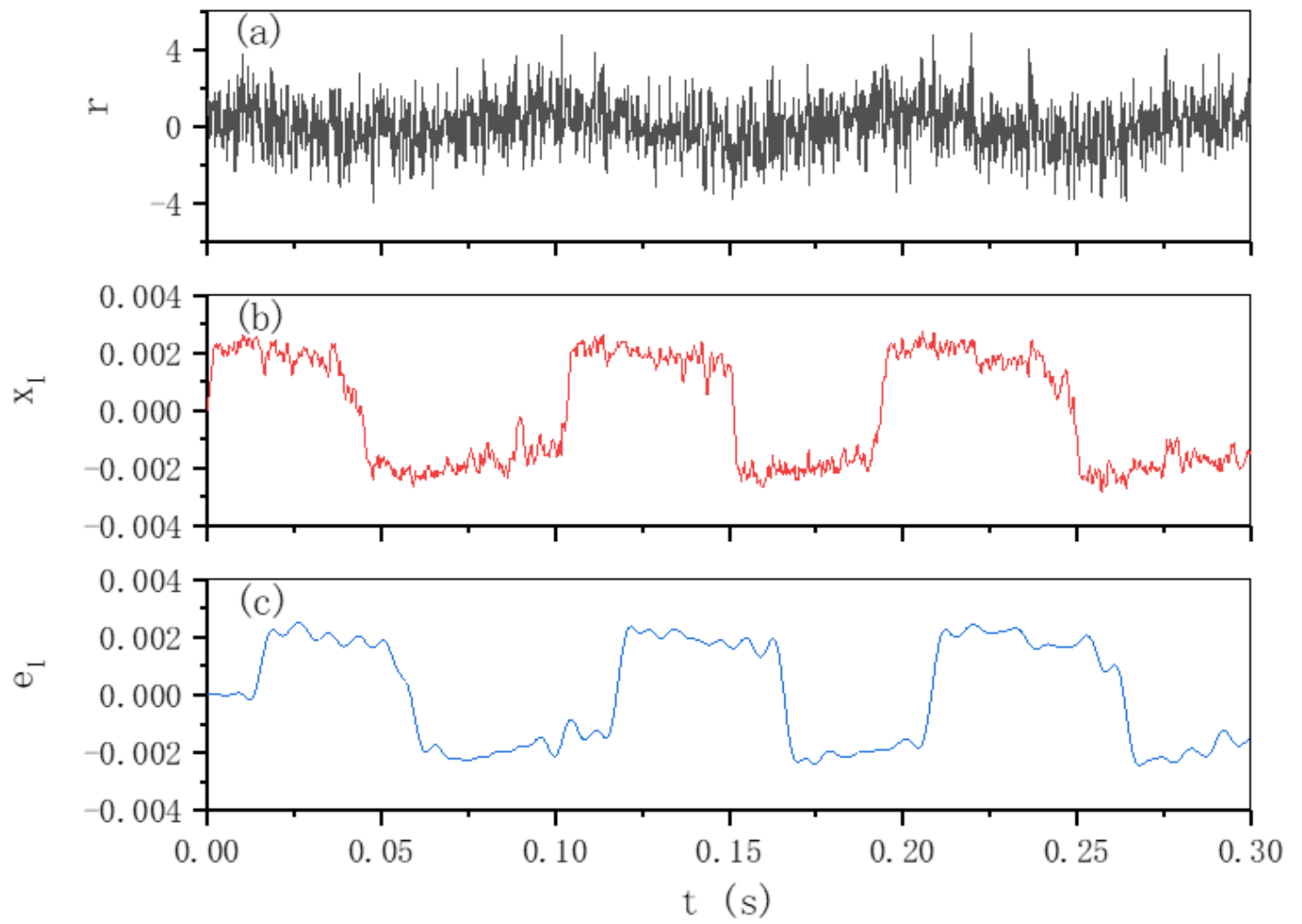
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# Figures



**Figure 1**

The comparison of time domain among the input, SR output, and PF output under H<sub>1</sub>.

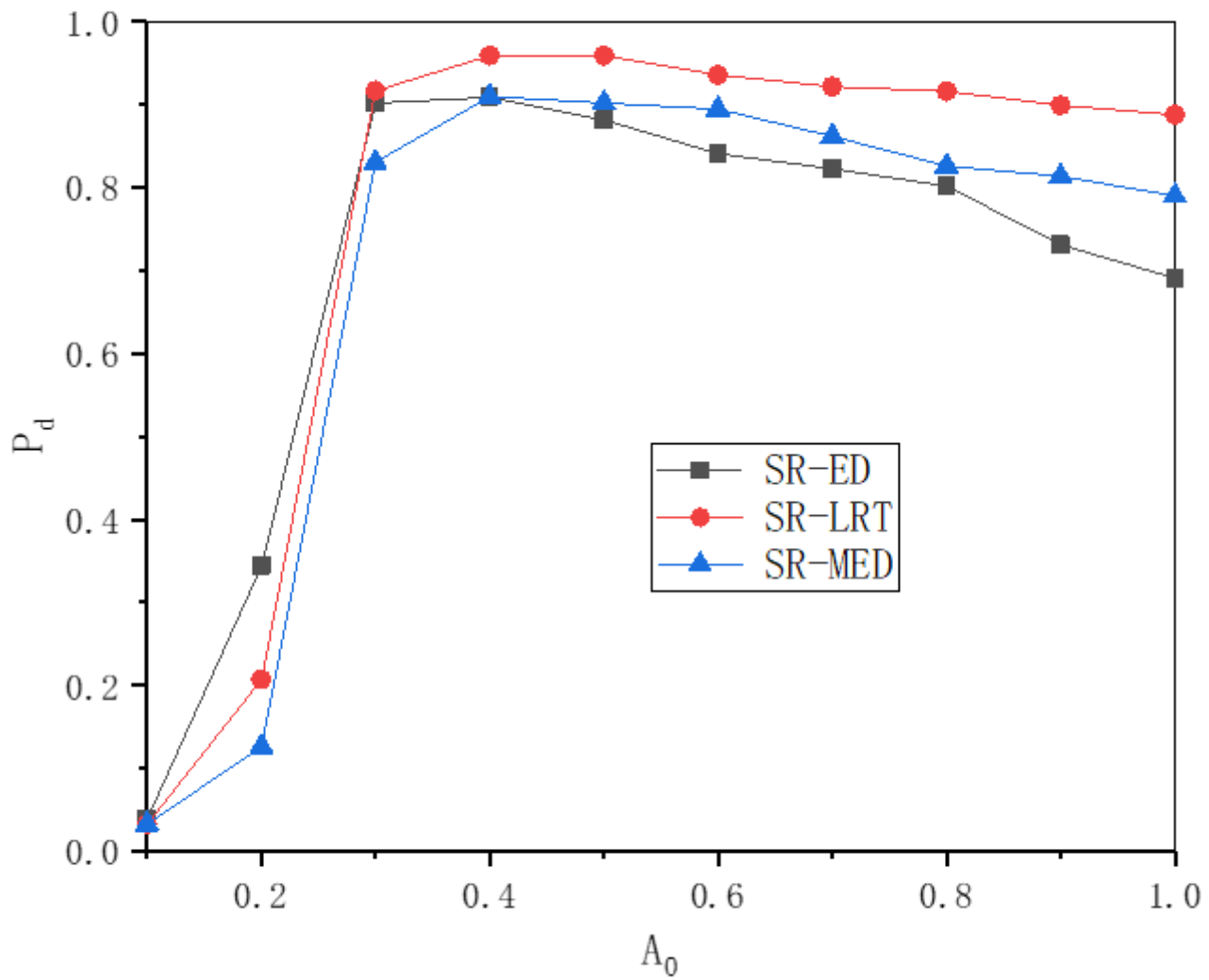
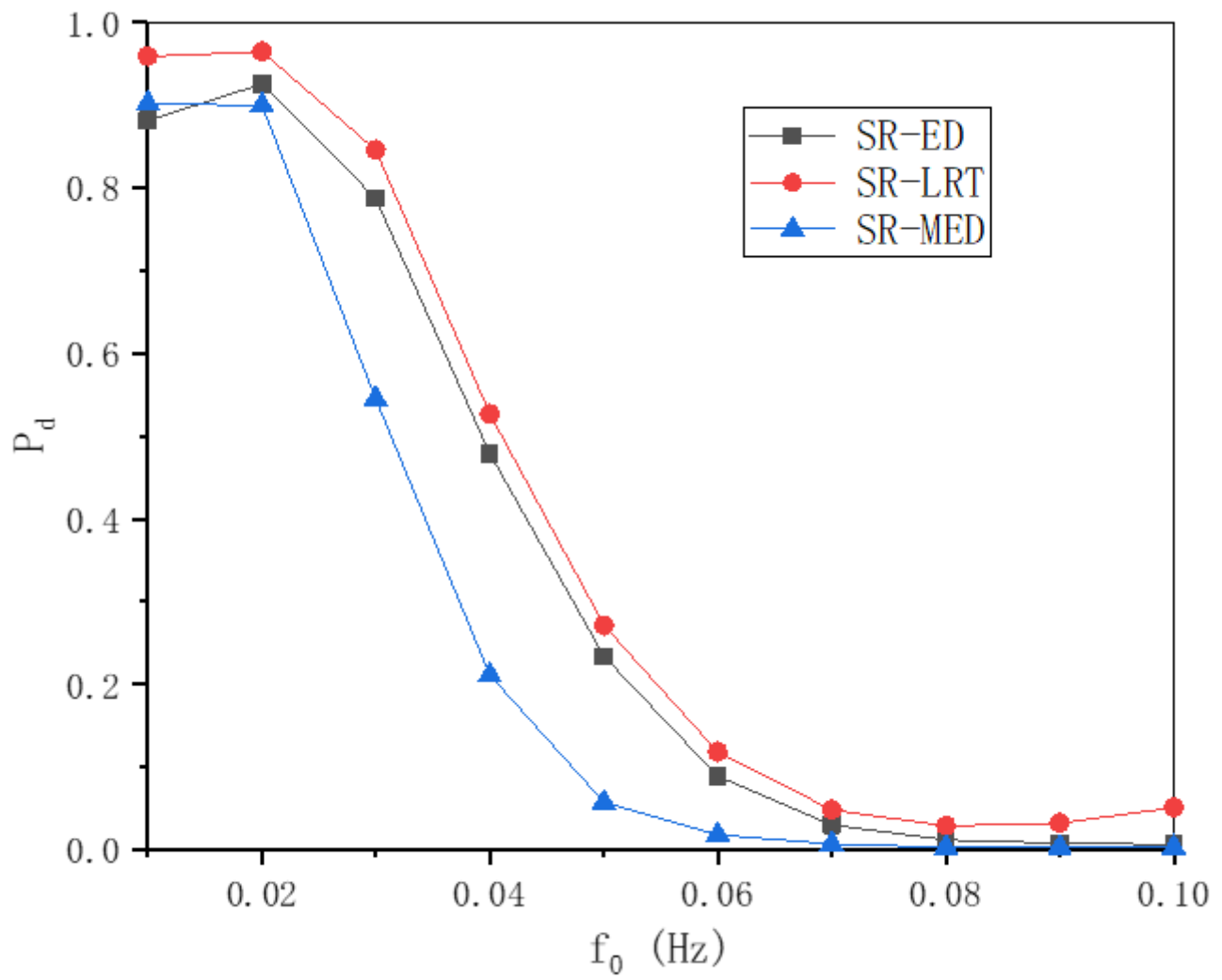


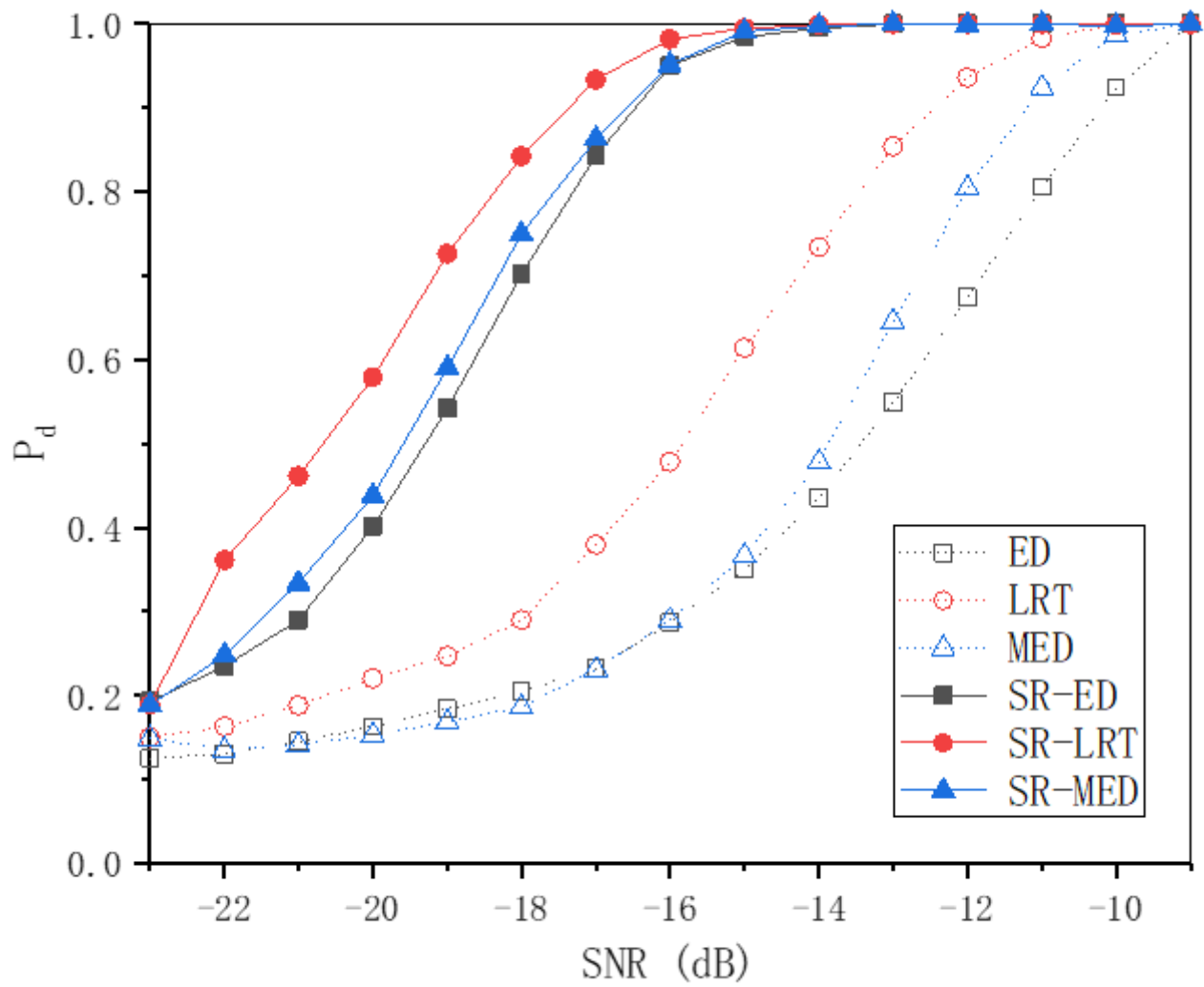
Figure 2

The detection probability  $P_d$  versus normalized amplitude  $A_0$  with filter



**Figure 3**

The detection probability  $P_d$  versus normalized frequency  $f_0$  with filters.



**Figure 4**

The comparison of detection probability without filters.

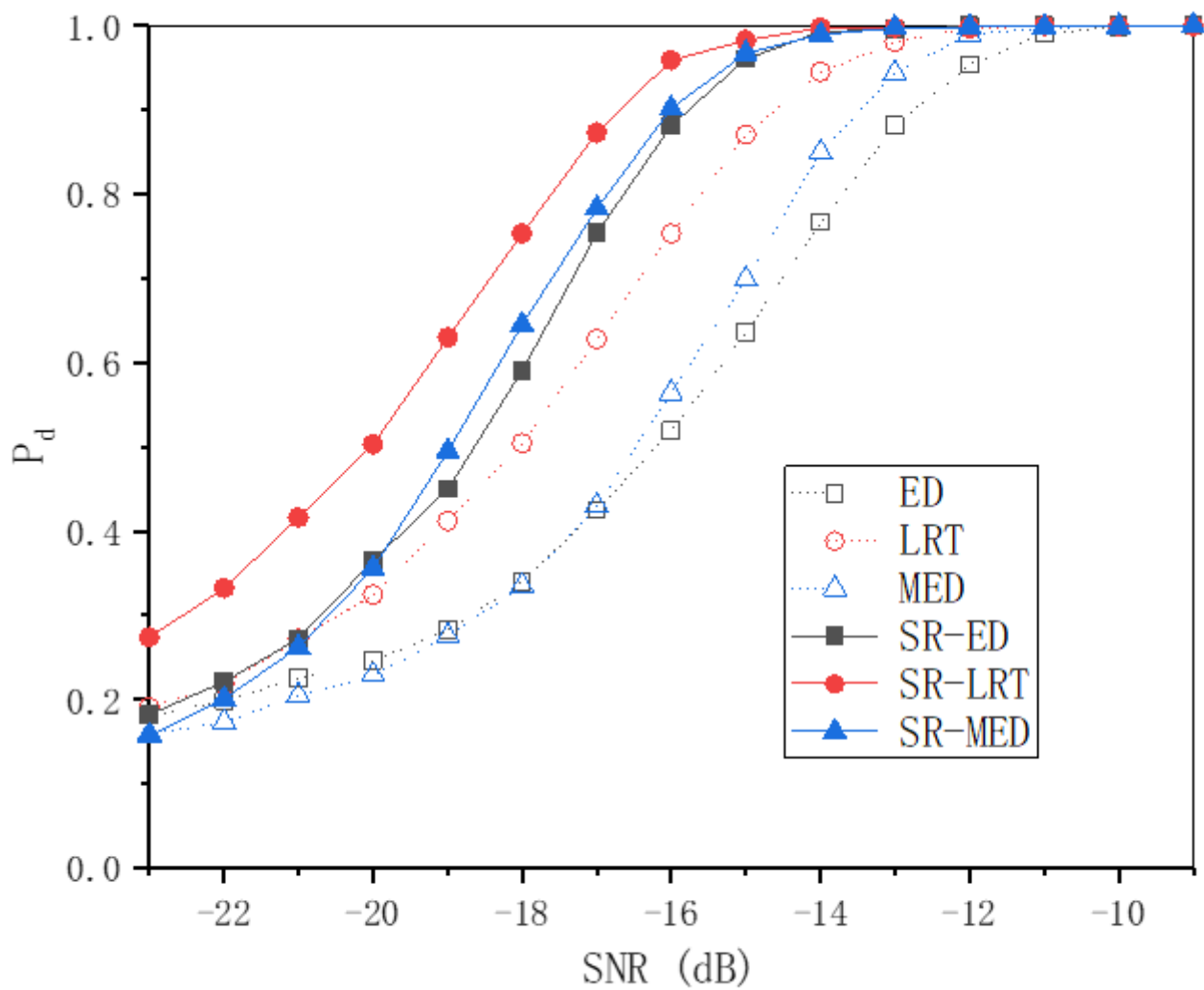
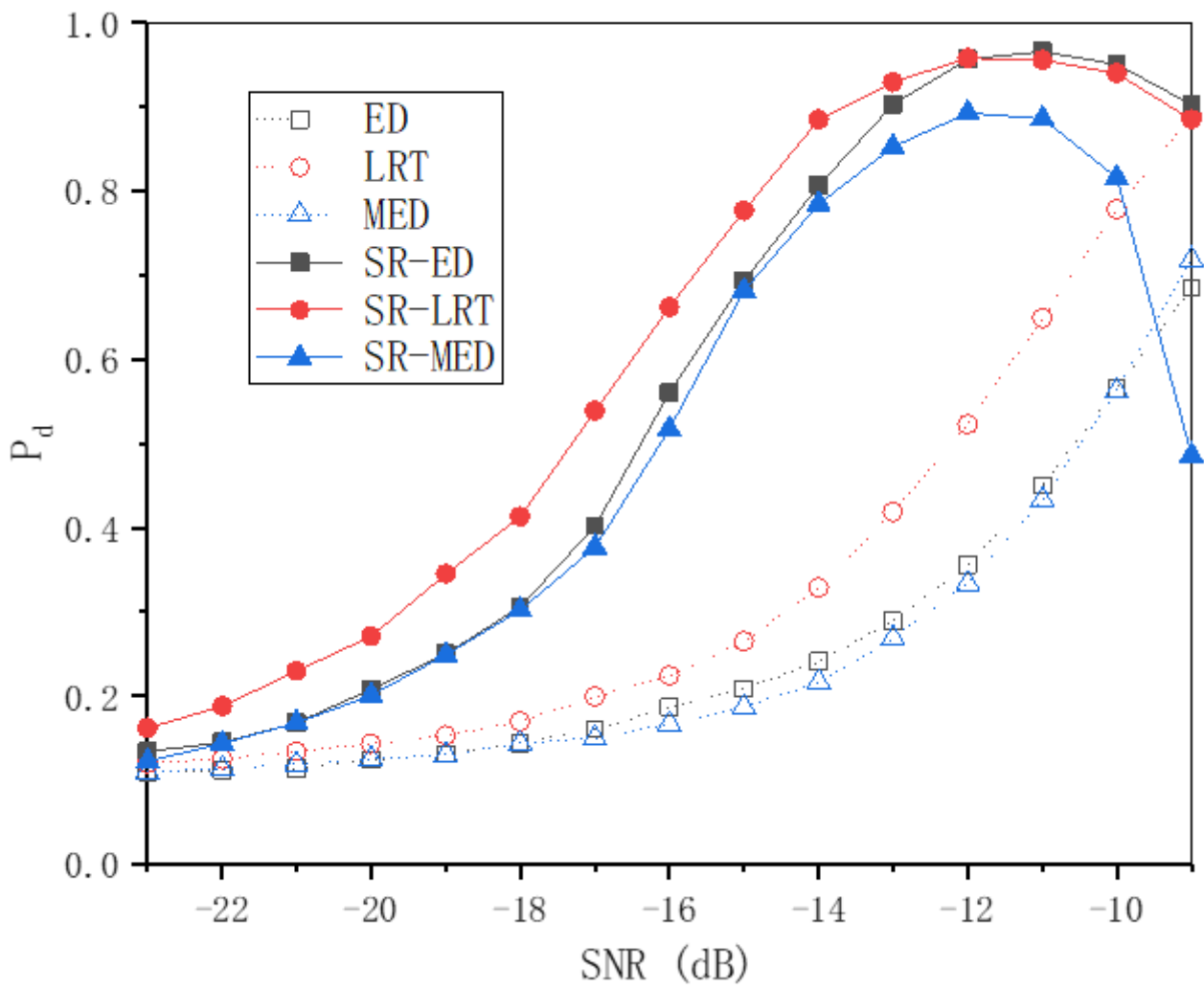


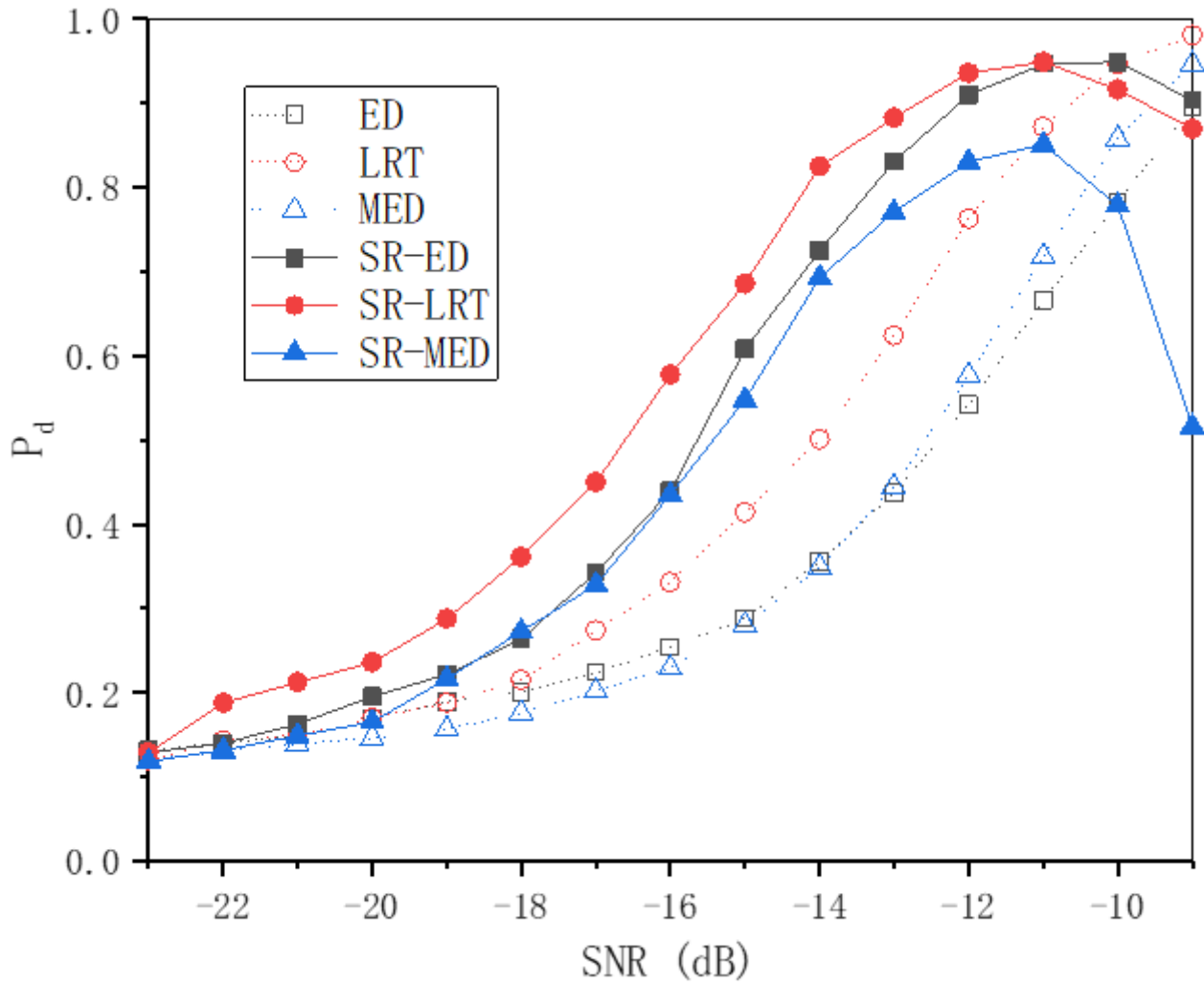
Figure 5

The comparison of detection probability with filters.



**Figure 6**

The comparison of detection probability under Rayleigh channel without filters.



**Figure 7**

The comparison of detection probability under Rayleigh channel with filters.