- 1 Immunoglobulin-G enzyme-linked immunosorbent assay predicts neutralising antibody response
- 2 in convalescent SARS-CoV-2 patients
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32 **Abstract**

- 33 Severe acute respiratory coronavirus 2 (SARS-CoV-2) has spread globally since its emergence in
- 34 2019. Most SARS-CoV-2 infections generate immune responses leading to rising levels of
- immunoglobulins (Ig) M, A and G which can be detected using diagnostic tests including enzyme-
- 36 linked immunosorbent assays (ELISA). Whilst implying previous SARS-CoV-2 infection, the detection
- 37 of Ig by ELISA does not guarantee the presence of neutralising antibodies (NAb) that can prevent the
- virus infecting cells. Plaque reduction neutralisation tests (PRNT) detect NAb but are not amenable
- 39 to mass testing as they take several days and require use of viable SARS-CoV-2 in high
- 40 biocontainment laboratories. We evaluated the ability of IgG and IgM ELISAs targeting SARS-CoV-2
- 41 spike subunit 1 (S1) and nucleocapsid protein (NP) at predicting the presence and magnitude of NAb
- 42 determined by PRNT. SARS-CoV-2 IgG ELISA correlated well with NAb and was highly sensitive
- 43 (93.8% [95% CI 79.2-99.2]) and specific (88.9% [95% CI 51.8-99.7%]) at predicting the presence of
- 44 NAb. There was not a strong correlation between IgM ELISA and PRNT result. IgG ELISA provides a
- 45 useful, high throughput method of predicting the presence of neutralising antibodies, with higher
- 46 ELISA results increasing the likelihood of having a greater NAb titre.

Introduction

- 48 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a novel, pandemic betacoronavirus
- 49 that began spreading globally in early 2020. To date, there have been over 111 million reported
- infections and more than 2.4 million deaths [1].

Most individuals infected with SARS-CoV-2 develop humoral immune responses, characterised by rising titres of immunoglobulins (Ig) M, A and G, within the first 2-3 weeks of infection [2, 3], which are detectable using enzyme-linked immunosorbent assays (ELISA). The presence of SARS-CoV-2 specific Ig therefore provides evidence of previous infection [4], although their detection does not guarantee the presence of functional immunity against the virus [5]. For example, the viral nucleocapsid protein (NP), an abundant viral antigen, generates robust antibody responses, and is therefore a good antigen for diagnostic serological assays [6], however these antibodies are not neutralising [7, 8].

Neutralising antibodies (NAb) primarily bind the receptor-binding domain of the spike (S) protein and disrupt virus entry by blocking interaction with the angiotensin converting enzyme 2 (ACE2) receptor of host cells [7, 9]. The activity of these functional antibodies can be measured using the plaque reduction neutralisation test (PRNT). However, this method is not amenable to mass testing, as the process takes several days and requires working with SARS-CoV-2 in high biocontainment laboratories, or with less pathogenic pseudotyped virus models.

Previous studies have reported that NAb levels correlate with IgG and IgM titres [10-13], but this relationship is variable, depending on the timing of sampling in the course of the infection and the antigen targets of the serological assays [14]. Here we evaluate the ability of SARS-CoV-2 IgG and IgM ELISAs to predict the presence and magnitude of SARS-CoV-2 NAbs in convalescent COVID-19 patients.

Methods

Ethical statement

The study was conducted in accordance with relevant UK guidelines and regulations. Ethics approval was provided by the Institutional Review Board (South Central – Oxford C Research Ethics Committee, Research Development and Assessment of Rapid Testing for SARS-CoV-2 outbreak study; Integrated Research Application System project ID:282104; Research Ethics Committee Reference 20/SC/0171; registered at clini-caltrials.gov NTC04351646). The approved protocol permitted the analysis of antibody responses using anonymised excess diagnostic material (EDM) from the pathology laboratory of patients with and without PCR-confirmed SARS-CoV-2 infection. Informed consent was not required under the ethical approval status of the work and due to the nature of the samples.

Serum samples

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84 Anonymized EDM serum samples from hospital patients with SARS-CoV-2 infection confirmed by 85 reverse transcription – quantitative polymerase chain reaction (RT-qPCR) were used for this study and were selected from 645 EDM serum samples that were collected from a pool of 177 patients 86 87 treated at St George's Hospital, London UK [15]. Where possible, samples were selected from 88 patients at least 10 days post-RT-qPCR confirmation. Samples were grouped based on their 89 normalised optical density (NOD) values derived from an anti-SARS-CoV-2 IgG ELISA [15] into 90 "negative NOD" values (< 0; indicating the patient had not seroconverted), "low NOD" (0 to 0.5), 91 "medium NOD" (0.9 to 1.1); and "high NOD" (> 1.5). The final sample available from all patients was chosen for this study. The narrow "medium NOD" window was purposely selected to reduce sample 92 93 numbers in this grouping, as the grouping 0.5 to 1.5 contained 5-6 times more samples than the 94 other groupings. A single sample was then selected from any patient with at least 3 samples with 95 NOD values remaining in one NOD grouping (i.e. indicating a stable antibody response). The serum 96 sample selected for any given patient was that collected furthest from the swab taken for 97 confirmation of SARS-CoV-2 infection (and at least 10 days post-swab). The approach resulted in 9, 9, 98 11 and 12 patient samples in each group (41 single patient serum samples in total). 99 All participants were confirmed as positive for SARS-CoV-2 using RT-PCR from nose/throat swabs (in 100 Sigma Virocult®, Corsham, UK) and Roche RNA extraction kits (Magnapure, West Sussex, UK) 101 followed by Altona Diagnostics RealStar® SARS-CoV-2 RT-PCR (S and E target genes, Hamburg, 102 Germany) or Roche cobas® SARS-CoV-2 Test (E and ORF target genes). 103 Enzyme-linked immunosorbent assays to detect anti-SARS-CoV-2 IgM 104 Anti-SARS-CoV-2 IgM ELISAs (Mologic, Bedfordshire, UK), which targets the nucleocapsid (NP) and 105 spike protein subunit 2 (S2) antigens, were used to measure antibodies, as per the manufacturer's 106 instructions. Briefly, sera were diluted and incubated on a pre-coated plate (30 minutes) at room 107 temperature and then washed three times. Conjugated antibody (anti-human IgM) was then applied 108 to each well and incubated (30 minutes) at room temperature. Following washing (x4), TMB 109 substrate was added and incubated for 10 minutes at room temperature before addition of stop solution. Optical densities (OD) were read at 450nm within 10 minutes of addition of the stop 110 solution. 111 Plaque reduction neutralisation tests 112 Vero E6 cells were seeded into 24-well cell culture plates at a density of 250,000 cells/ml and 113

incubated (24 hours, 37°C, 5% CO₂). The following day serum samples were heated to inactivate

complement (56°C for 1 hour). Heat-inactivated serum samples were 2-fold serially diluted in infection media (DMEM with 2% v/v FBS and 1:1000 50mg/ml gentamicin). Under biosafety level 3 conditions, SARS-CoV-2 isolate REMRQ0001/Human/2020/Liverpool [16] was added to an equal volume of diluted patient serum, at a titre of 800 pfu/ml, to achieve 12 final serum dilutions from 1:20 to 1:40960 for each patient sample. Following incubation (1 hour, 37°C), the virus-serum mixture (100 μ L) was inoculated onto Vero E6 cells and incubated (1 hour, 37°C, 5% CO₂) before applying an overlay of infection media containing agarose (0.4% w/v). Infected cells were then incubated (48 hours, 37°C, 5% CO₂). The assays were fixed with formaldehyde (37% w/v), stained with crystal violet solution (0.25% w/v) and allowed to air dry. The PRNT₈₀ was determined as the lowest dilution of serum that produced a \geq 80% reduction in the number of plaques compared to controls that contained no patient serum. The investigators were blinded to the ELISA status of the samples when performing the PRNTs.

Western blots

Western blots were conducted to investigate the antigen binding profiles associated with the neutralising responses. Recombinant spike subunit 1 protein (S1), spike subunit 2 protein (S2) and nucleoprotein (NP) (Native Antigen Company, Kidlington, UK) were prepared in NuPAGE LDS sample buffer (Invitrogen, Carlsbad, USA) with 50 mM dithiothreitol (Sigma, St Louis, USA), and heated for 10 minutes at 70°C. Protein (1 μg) was loaded on a Mini-PROTEAN TGX 12% PAGE gel (BioRad, Hercules, USA) and run under reducing conditions in Tris/Glycine/SDS Buffer (BioRad, Hercules, USA). Proteins were transferred to 0.2 μm nitrocellulose membrane using BioRad TransBlot Turbo system mixed MW programme, and blocked in 5% (v/v) goat serum (Sigma, St Louis, USA) in PBS (Gibco, Waltham, USA) with 0.1% (v/v) Tween 20 (Sigma, St Louis, USA) overnight at 4°C with gentle shaking. Blots were incubated with sera diluted 1 in 200 in blocking solution for 1 hour at room temperature with gentle shaking and washed three times for five minutes with PBS-0.1% (v/v) Tween 20. Blots were then incubated with goat anti-human Kappa-AP and anti-human Lambda-AP (Southern Biotech, Birmingham, USA), both diluted 1 in 1000 in blocking solution, for 1 hour at room temperature with gentle shaking, followed by three washes as above. Blots were developed with BCIP/NBT (Sigma, St Louis, USA) for 1 minute and stopped with H₂O. The investigators were blinded to the ELISA status of the samples. One IgG ELISA negative sample was excluded because there was not enough serum remaining for western blot. The investigators were blinded to the ELISA status of the samples when performing the western blots.

148 Statistical analysis

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A statistical model for ordinal outcomes was developed and fitted to estimate the association between IgG, IgM and timing of serum sampling post-symptom onset with PRNT₈₀. Briefly, the PRNT₈₀ outcome for the i-th patient, denoted by Y_i , was modelled as an ordinal variable taking the values from k=1, corresponding to a titre less than 1:20, to k=10, for a titre of 1:2560 or more. We assumed that the observed titre values corresponded to the discretization of a continuous antibody distribution, Y_i^* , which was assumed to follow a log-Gaussian distribution with mean ϑ_i and variance σ^2 .

This can be summarised as:

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$$P(Y_i = k) = P(a_k < Y_i^* < a_{k+1}), k = 1, ..., 10,$$
(1)

- where $a_1=0$, $a_2=20$, $a_3=40$ and so forth up $a_{10}=2560$ and with the convention $a_{11}=\infty$.
- 158 The probability in (1) is computed using the cumulative density function of a log-Gaussian
- 159 distribution.
- We model the mean concentration ϑ_i of PRNT₈₀ as a log-linear regression on IgG_i and IgM_i , and the
- days post-symptom, d_i , i.e.

$$log\{\theta_i\} = \alpha + \beta_{IgG}IgG_i + \beta_{IgM}IgM_i + \beta_d d_i$$

- where the α is the intercept; β_{IgG} and β_{IgM} express the strength of the effect of the
- IgG_i and IgM_i concentrations on the log-mean levels of PRNT₈₀; similarly, β_d indicates the effect of
- the days post-symptoms. The model was fitted via maximum likelihood using R software
- 166 (Supplementary 1).

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Results

- Twenty-five (61.0%) of the 41 patients were male, and the median age was 63 (IQR 55-71) years.
- Seventeen patients (41.5%) were classified as white, 13 (31.7%) non-white, and 11 (26.8%) were of
- unknown or 'other' ethnicity. Twenty-three patients (56.1%) had one or more comorbidities. Ten
- patients (24.4%) were obese, with a body mass index (BMI) >30. These patients come from a subset
- 173 already described [15].
- 174 Of the thirty-nine patients for whom symptom data was available, 35 (89.7%) were symptomatic at
- the time of their initial swab. Thirty-three of these patients (94.3%) had one or more of the classic
- triad of symptoms: cough, fever, and shortness of breath, 12 (34.3%) had gastrointestinal symptoms,
- including 9 patients with diarrhoea. Three patients (7.3%) had an incidental positive swab, taken

178 prior to admission to a rehabilitation facility, and three patients were swabbed following contact 179 with a patient who had tested positive. The median interval between the onset of symptoms and 180 date of the first positive swab was 4 days (IQR 3-7 days). Seven (17%) patients died within 28 days of 181 their first positive swab. The median timing of serum sampling post symptom onset was 29 days 182 (range 13-60 days). The sample timing post-symptom onset was not available for six patients. 183 The correlation of IgG ELISA and neutralising responses are shown in *Figure 1*. Using an NOD₄₅₀ of 184 0.2, the IgG ELISA was 93.8% [95% CI 79.2-99.2] sensitive, and 88.9% [95% CI 51.8-99.7] specific at 185 predicting a PRNT₈₀ ≥1:40 (*Table 1*). For IgM, an OD₄₅₀ cut-off of 0.3 was 75.0% [95% CI 56.6-88.5] sensitive, and 75.0% [95% CI 34.9-96.8] specific at predicting a PRNT₈₀ ≥1:40. There was insufficient 186 187 volume of one sample to perform the IgM ELISA. 188 One sample was positive by IgG ELISA (NOD₄₅₀ 0.41) but did not show a neutralising response 189 (PRNT₈₀ <1:20). An IgG negative (NOD₄₅₀ -0.1) sample and one sample with borderline negative IgG 190 (NOD₄₅₀ 0.07) demonstrated a neutralising titre of PRNT₈₀ 1:40 (*Figure 1B*). There was considerable 191 variation in the relative titres of IgG and IgM for each patient, with the majority of patients who 192 demonstrated a high PRNT₈₀ (≥1:320) having relatively higher IgG than IgM titres (*Figure 1C*). 193 Neutralising activity achieving PRNT₈₀ was seen down to a 1:2560 dilution of patient serum for both 194 IgG and IgM. 195 An ordinal outcomes model was fitted to explore the effects of IgG, IgM, and the timing of serum 196 sampling post-onset of symptoms on the degree of neutralisation. The maximum likelihood 197 estimates are shown in *Table 2*. IgG, denoted as βIgG , was a significant predictor of PRNT₈₀, with 198 each unit increase in IgG titre associated with a 0.626 [95% CI 0.291-0.961] increased likelihood of 199 being in a higher PRNT₈₀ category. Neither IgM (β IgM), nor time of serum sampling (β d), had a 200 significant relationship with the observed PRNT₈₀. As IgG ELISA result was the only significant 201 explanatory variable, IgM ELISA and timing of serum sampling post-symptom onset were excluded 202 from subsequent analysis. 203 It was assumed that the relationship between IgG titre and PRNT₈₀ was unlikely to be linear across all 204 ranges of observed IgG. Therefore, the model predictions for PRNT₈₀ based on IgG were considered 205 for the first, median, and third quartiles of the IgG titres (see Figure 2). For the first quartile, there 206 was a low probability (<0.2) of observing neutralising titres ≥ 1:20 (Figure 2A). For a median IgG titre, 207 the highest probability (0.15) was for PRNT₈₀ 1:160 (Figure 2B). IgG ELISA results in the 3rd quartile 208 had a generally higher probability of a higher PRNT₈₀ than median or 1st quartile IgG titres, albeit 209 with increasing uncertainty at higher PRNT₈₀ values (Figure 2C). Across the range of IgG titres

210 observed, we predicted that increasing IgG corresponded to PRNT₈₀, but with greater uncertainty in 211 predicting the neutralising response at higher IgG titres (Figure 2D). 212 Antibody binding to all three of the S1, S2 and NP antigens, or to both the S2 and NP antigens, were 213 seen in all samples that had high neutralising titres (PRNT₈₀ ≥1:80) (*Figure 3*). In samples with low 214 PRNT₈₀ (≤1:40) there was greater variability in antigen binding, with a larger proportion 215 demonstrating antibody binding to single antigens, or combinations of two antigens involving S1. 216 One IgM and IgG positive sample by ELISA showed binding to only NP. Three of the six samples that 217 did not achieve PRNT₈₀ ≥1:20 demonstrated no binding to S1, S2 or NP. 218 Discussion 219 Our data show that IgG ELISA (NOD₄₅₀ cut-off 0.2) can predict the presence of a NAb titre of ≥PRNT₈₀ 220 1:40 with high sensitivity (93.8%) and specificity (88.9%), and therefore can be used as a proxy of 221 neutralising response to SARS-CoV-2 in convalescent patients. Our ordinal outcomes model 222 demonstrates higher NAb titres correlate with increasing IgG titres. These findings are supported by 223 previous studies, which report a significant correlation between anti-spike and anti-RBD IgG titres 224 with the neutralisation titres established by microneutralisation tests, PRNTs and pseudotyped virus 225 neutralisation assays [6, 14, 17-19]. However, our findings also demonstrate considerable IgG NOD 226 variation for samples within the same PRNT₈₀ category, indicating it is not possible to make accurate 227 quantifiable predictions of the expected PRNT₈₀ based on the IgG ELISA titres alone. 228 The IgM ELISA NOD was not significantly associated with the NAb titres and was less sensitive and 229 specific at identifying a neutralising response (≥PRNT₈₀ 1:40) than the IgG ELISA. Previously, IgM 230 ELISAs have been reported to be more predictive of neutralising titres than IgG [13, 17, 20]. Given the short duration of IgM expression, the timing of serum sampling post-infection is an important 231 232 determinant of these relationships [13, 17, 20]. Furthermore, as serum samples were selected to 233 provide a range of IgG titres, it is possible that a larger sample size could have provided a wider 234 range of IgM titres to detect a relationship. IgA titres correlate well with neutralisation titres [21]. As 235 we did not measure IgA, it is possible that its neutralising activity may explain why some samples 236 had greater PRNT₈₀ values than expected from the IgG titres. 237 Anti-NP antibodies are not considered to have protective activity, despite correlating with 238 neutralising titres [2]. Our western blot analysis revealed that the majority of serum samples with 239 neutralising activity had antibodies directed against the NP, S1 and S2. This finding suggests that 240 anti-NP antibodies are raised as part of a suite of antibodies, and whilst not directly neutralising, are

indicative of the presence of other neutralising immunoglobulins. In general, the inclusion of the NP

- in an ELISA does not seem to prevent accurate prediction of the presence of a neutralising response.
- 243 For example, the Roche Elecsys Anti-SARS-CoV-2 ELISA, which targets only the NP has a similar
- 244 performance predicting neutralisation as the Abbott SARS-CoV-2 IgG ELISA, which targets both the
- NP and S1 [22]. However, one sample with a PRNT₈₀<1:20 which was positive by IgG and IgM ELISA
- in our study, only showed binding to the NP on the western blot. This highlights how isolated NP
- binding is capable of producing ELISA positive results which are not associated with neutralisation.
- 248 The main limitation of our study is the small sample size. As the relationship between serological
- assays and neutralising titres appears to be variable, a larger sample size would have sufficient
- 250 power to reveal overall trends and minimise the effects of outliers. Moreover, our cohort only
- includes hospitalised patients with severe COVID-19 and therefore the findings of this study may not
- be applicable to individuals with mild and asymptomatic infections, who may foster different
- 253 antibody responses.
- 254 In conclusion, IgG ELISA targeting SARS-CoV-2 S2 and NP can predict the presence of a NAbs to SARS-
- 255 CoV-2 in convalescent patients hospitalised with severe COVID-19.

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- 311 Analysis: GAK, EG. Writing Original Draft: GAK, SIO, CTW, SM. Writing Review & Editing: All.
- 312 Conflicts of interest: SK and HMS are shareholders and SK is advisor to QuantuMDx, a molecular
- 313 nucleic acid test-based diagnostic company. SK is also member of the Scientific Advisory Committee
- for the Foundation for Innovative New Diagnostics (FIND), a not-for-profit organisation that produces
- 315 global guidance on affordable diagnostics. GAK, SIO, EG, CTW, SM, DJC, LC, BMOD, NME, GLH, DEK,
- 316 EIP, TP and ERA report no competing interests.

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Tables

<u>Table 1</u> – Sensitivity and specificity of IgG and IgM ELISA at predicting a PRNT₈₀ titre of \geq 1:40 at different absorbance thresholds

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	≥PRNT ₈₀ 1:40		
	Absorbance	Sensitivity	Specificity
	cut-off	(%)	(%)
	0.3	93.8	88.9
	0.2	[79.2-99.2]	[51.8-99.7]
	0.2	87.5	88.9
laC	0.3	[71.0-96.5]	[51.8-99.7]
IgG	0.4	84.4	88.9
	0.4	[67.2-94.7]	[51.8-99.7]
	0.5	71.9	100
		[53.3-86.3]	[66.4-100.0]
	0.2	100	0.0
		[89.1-100.0]	[0.0-36.9]
	0.3 0.4	75.0	75.0
IaM		[56.6-88.5]	[34.9-96.8]
igivi		59.4	100.0
		[40.6-76.3]	[63.1-100.0]
	0.5	40.6	100.0
	0.5	[23.7-59.4]	[63.1-100.0]

<u>Table 2</u> – Maximum likelihood estimates and 95% confidence intervals

Parameter	Estimate	95% Confidence Interval
α	6.275	(5.197, 7.353)
eta_{IgG}	0.626	(0.291, 0.961)
eta_{IgM}	0.110	(-0.535, 0.754)
eta_d	-0.002	(-0.037 0.033)
σ	4563.0.85	(893.576, 23301.600)

Figures

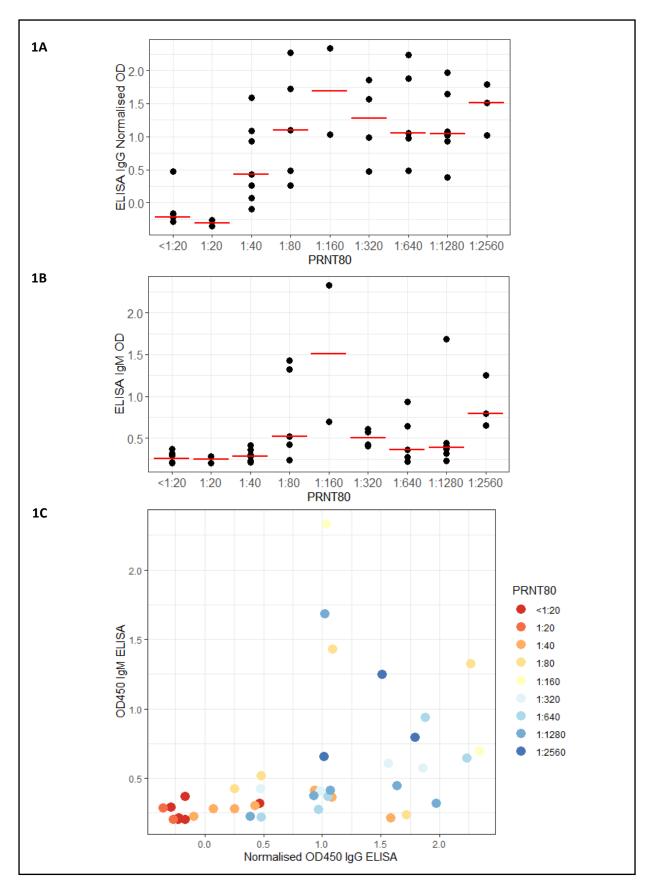


Figure 1: $IgG\ NOD_{450}\ (1A)$ and $IgM\ OD_{450}\ (1B)$ by $PRNT_{80}$ category.

Median OD values are indicated by the red horizontal bars. One sample had insufficient remaining volume for $IgM\ ELISA$ to be performed. Figure 1C shows paired $IgG\ and\ IgM\ ELISA$, and $PRNT_{80}$ results for each sample

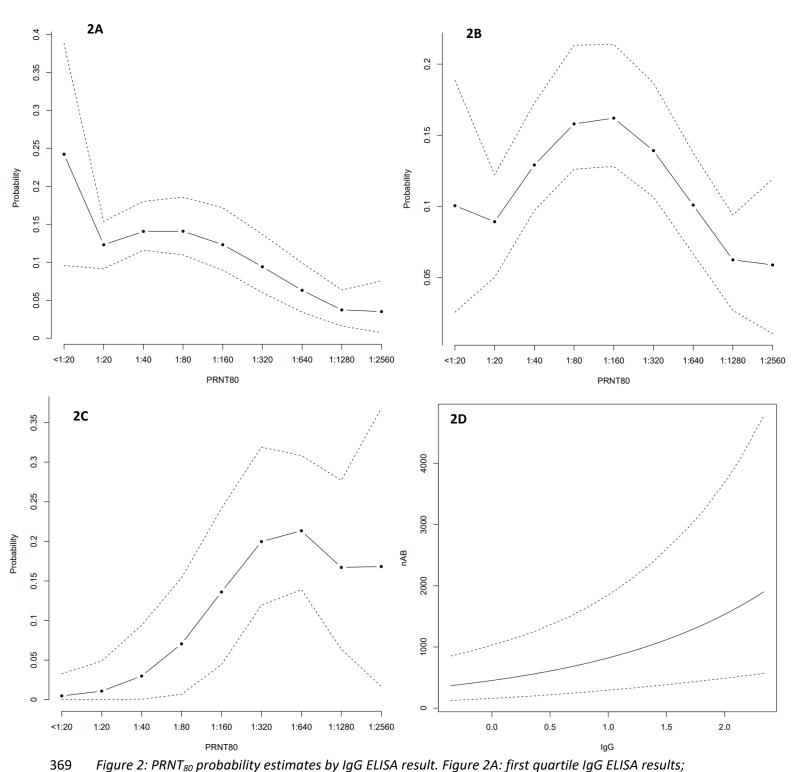


Figure 2: PRNT₈₀ probability estimates by IgG ELISA result. Figure 2A: first quartile IgG ELISA results; Figure 2B: median IgG ELISA results; Figure 2C: third quartile IgG ELISA results; Figure 2D: predicted PRNT₈₀ by IgG ELISA result

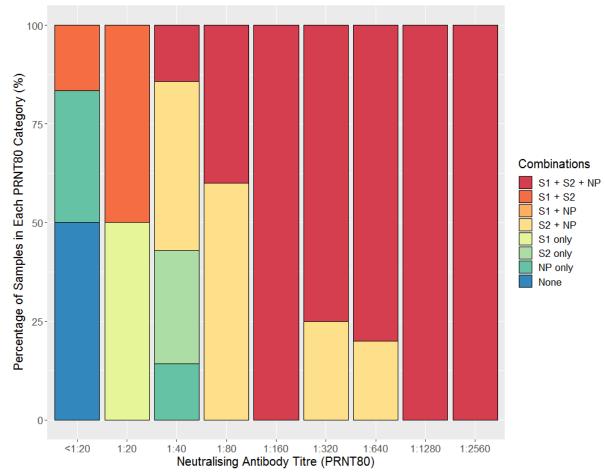


Figure 3: Percentage of samples in PRNT₈₀ categories binding to different antigen combinations by Western Blot. S1 = spike protein subunit 1; S2 = spike protein subunit 2; NP = nucleocapsid protein