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Takayuki Tokoroyama (✉ takayuki.tokoroyama@mae.nagoya-u.ac.jp)
Nagoya University

Koki Ogawa
Nagoya University

Motoyuki Murashima
Tohoku University

Noritsugu Umehara
Nagoya University

Hosei Nagano
Nagoya University

Takayuki Yorozu
Nihon Parkerizing

Junichi Uchida
Nihon Parkerizing

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Development of an Electrocautery Surface Coating Method to Suppress Surgical Smoke

Takayuki Tokoroyama¹*, Koki Ogawa¹, Motoyuki Murashima², Noritsugu Umehara¹, Hosei Nagano¹, Takayuki Yorozu³, Junichi Uchida³
¹ Nagoya University, Mechanical and Aerospace Engineering, Furo-cho, Chikusa-ku, Nagoya, Aichi 464-8603 Japan
² Tohoku University, Mechanical Systems Engineering, 6-6-01, Aoba, Aramaki-aza, Sendai, Miyagi, 980-8579 Japan
³ Nihon Parkerizing, Central Research Laboratories, 4, 2784, Hiratsuka-shi, Kanagawa, 254-0012 Japan

* Corresponding author: Takayuki Tokoroyama (takayuki.tokoroyama@mae.nagoya-u.ac.jp).

Abstract
The effectiveness of silicone coatings in preventing coagulated blood adhesion has already been established; however, the coatings’ influence on the generation of surgical smoke has yet to be elucidated. In an electrical circuit model, a proposed model of increased electrical resistance due to coagulated blood adhesion encompasses the electrode, silicone coating, and discharge target. To investigate the viability of an electrocautery surface coating that suppresses surgical smoke, smoke generation was analyzed via discharge experiments using electrocautery tip electrodes with different cross-sectional shapes and electrodes with no coating. The different coated electrodes A (fully covered and thicker than B), B (fully covered), C (side exposed), and uncoated electrode D were evaluated. The particle counts for coated electrodes A, B, C, and D showed approximately 5236, 10328, 812, and 5032 counts/L in 5 s. The electrical circuit model was suggested, and the side exposed electrode can limit the generation of surgical smoke.

Introduction
Electrocautery is one of the most used medical devices. The electrocautery system consists of a high-frequency power source, an electrocautery tip electrode that applies a concentrated current to the living tissue, and a counter-electrode plate that collects the current from the living tissue. The application of AC voltage to the closed circuit of this system and biological tissue generates Joule heat, causing a steam explosion of intracellular water to make an incision and thermal coagulation of blood proteins to stop bleeding. Electrocautery is critical in today's surgical practice since it contributes to less blood loss and quicker operative times, with the advantage of performing both incision and hemostasis with a single device.

An existing challenge with electrocautery is that coagulated blood and tissue markings on the tip electrode might obstruct the discharge and diminish the electrocautery’s performance. By contrast, electrodes with silicone coating on the electrode tip surface are commercially available. Silicon coating on the tip surface suppresses scorching during discharge, improves the wiping off of adhered coagulated blood, and makes it possible to perform incision and hemostasis by discharge without adhesion of biological tissue and blood on the electrode tip surface. In addition, silicone-based polymers, used as coating materials, have high biocompatibility. The change in electrical energy with discharge time is modest; they are commonly applied in surgical operations. However, silicone-based polymers have low electrical and thermal conductivity, which may induce a rise in electrical resistance and temperature around the electrode. Previously, the authors investigated the impact of coating coverage on the electrical properties during...
discharge and the ability of the electrode to transmit blood using electrodes with different coating patterns and electrodes without coatings. The results demonstrated that removing a portion of the coating to expose the metal surface lowered electrical resistance and increased temperature. Furthermore, it was discovered that by lowering the maximum temperature of the electrode tip surface, the wiping force of coagulated blood could be lowered. However, smoke is generated from the discharge site when electrocautery is used, and it is problematic for the surgeon because it blocks his/her vision and poses a health hazard. This smoke is called surgical smoke and has been reported to contain not only chemicals such as hydrocarbons, phenols, nitriles, and fatty acids but also carbonized living tissue, viable bacteria, and viruses. With the spread of novel coronavirus infections, the risk of exposure to coronavirus disease 2019 (COVID-19) via surgical smoke has also been noted. Surgical smoke particles generated by electrocautery are smaller than those produced by laser and ultrasonic scalpels, making it challenging to entirely prevent them with widely used surgical masks. Although smoke evacuation devices are applied in current surgical operations, there are still problems, such as interference with surgical operations and the need to replace filters due to blood suction. Methods to control the generation of surgical smoke are needed. Thus, we focused on the surface coating of the electrocautery tip mentioned above. The effectiveness of silicone coatings in preventing the adhesion of coagulated blood has already been demonstrated, the influence of the coatings on the generation of surgical smoke has yet to be elucidated, and their effectiveness in preventing surgical smoke has yet to be investigated. In this study, to examine the feasibility of an electrocautery surface coating that suppresses surgical smoke, discharge experiments were performed utilizing electrocautery tip electrodes with coatings of different cross-sectional shapes and electrodes with no coating. In an electrical circuit model, a proposed model of increased electrical resistance owing to coagulated blood adhesion encompasses the electrode, silicone coating, and discharge target. The effect of increasing electrical resistance on smoke generation was examined. The mechanism by which the surface coating of the electrocautery tip affects smoke generation was investigated by using a high-speed infrared camera to measure the temperature change in the vicinity of the electrode tip during the discharge.

**Methods**

**Specimen and experimental procedure**

**Coatings on the tips**

To clarify the impact of electrocautery surface coating on surgical smoke generation, three commercially available electrocautery tip electrodes with silicone coating were utilized. They are referred to as electrodes A, B, and C, respectively. In electrodes A and B, all metal surfaces are coated; however, in electrode C, the side surface of the electrode is not coated, leaving the metal surface exposed. The coating thicknesses are 155, 33, and 180 μm for A, B, and C, respectively. An uncoated electrocautery tip electrode was used for comparison—the so-called D. Fig. 1 shows the photographs and schematic diagrams of each electrode specimen. The electrode material is SUS304 stainless steel, and the coating material is a silicone-based polymer.

![Fig. 1 Photograph and schematic of coated electrode specimen](image)
Discharge target
Porcine blood was used as the discharge target to simulate the electrocautery discharge conditions in actual surgical procedures. Porcine blood was refrigerated at approximately 8 °C to maintain freshness and used within 1 week of collection. We purchased porcine blood from Tokyo Shibaura Zoki Co. Ltd., as experimental sample who provides organs of cattle and porcine as a medical, scientific research and education purpose. After the discharge tests, we discarded coagulated blood and electrode tips as biohazard material that the discard procedure is decided by Environment Health & Safety Office in Nagoya University.

Electrocautery discharge tests and methods for evaluating smoke generation
To evaluate the amount of surgical smoke generated during electrocautery discharge, an electrocautery device and a particle counting device were utilized. Fig. 2 shows a schematic diagram of the system. The electrocautery device consists of a series circuit consisting of an electrocautery power supply unit, electrocautery tip electrode, discharge target, and counter-electrode plate. The amount of heat generated is expressed in equations (1) and (2).

\[
W = I^2 R t_D \quad (1)
\]

\[
R = \frac{\rho l}{A} \quad (2)
\]

where \( W \) [J] is the amount of heat generated, \( I \) [A] is the effective current, \( R \) [Ω] is the electrical resistance of the biological tissue, and \( t_D \) [s] is the discharge time. The electrical resistance \( R \) of the biological tissue is calculated from the electrical resistivity of the tissue \( \rho \) [Ω/cm], the cross-sectional area of the current path \( A \) [cm²], and the length \( l \) [cm]. To accurately control the discharge time \( t_D \), a discharge control system was used to enable control of discharge and non-discharge in 1 ms increments. The discharge time is controlled by sending control commands from a personal computer to a microcomputer (Arduino Uno), which is then connected or disconnected via a relay device (G5NB-1A DC5V, Omron). The pencil-type electrocautery that holds the tip electrode is attached to the electrocautery holder, and the position of the holder can be adjusted in 10 μm increments using a micrometer feed screw. This allows for adjustment of the electrode’s insertion depth into the blood. The discharge target was set on a counter plate in a stainless steel container. In this experiment, the discharge times \( t_D \) was set to 1, 3, and 5 s, the power supply output \( P \) to 30 W, the power supply frequency \( f \) to 500 kHz, and the electrode insertion depth \( d \) to the discharge target to 2 mm.

![Fig. 2 Schematic diagram of the particle counting system](image)

The particle counting equipment was a light scattering particle counter (MET ONE HHPC 6+, Beckman Coulter). A light scattering particle counter was set 50 cm above the electrometallic discharge device in this experiment to count the number of particles per unit volume. The rated flow rate was 2.83 L/min, and the particle diameters measured were 0.5, 1.0, 2.0, and 5.0 μm. This is because particles with a
size of 0.5 – 5.0 μm are called “lung-damaging dust” and reach the periphery of the lungs, causing acute and chronic inflammatory changes [2]. The measurement time was set to 30 s from the start of discharge. To eliminate the influence of particles in the experimental environment, the number of particles per unit volume in the experimental environment was measured three times before the discharge started. The smoke generation rate $p$ was calculated by subtracting the average number of particles per unit volume in the environment from the number of particles $p_{\text{measured}}$ during discharge. Therefore, the amount of smoke generated is evaluated by the following equation (3).

$$p = p_{\text{measured}} - p_{\text{environment}} \quad (3)$$

**Methods for measuring and analyzing electrical characteristics during discharge**

An oscilloscope (TBS2072, Tektronix) was used to measure electrical characteristics during electrocautery discharge. In this experiment, the oscilloscope was connected to a voltage probe (P5002A, Tektronix) and a current probe (P6021A, Tektronix) on the circuit of the discharge device to measure the voltage and current during discharge. Since the power supply frequency was 500 kHz, the sampling frequency was set to 3.125 MHz based on the sampling theorem. Because the voltages and currents are alternating currents, the measurement results are discrete values that oscillate positively and negatively. Therefore, in this study, the practical values of the $n$th voltage and current, $f_e(n)$, were calculated using the $n$th measurement value, $f(n)$, and a constant number of measurement points, $N$, by the following equation (4):

$$f_e(t) = \sqrt{\frac{1}{N} \sum_{n=\frac{N}{2}}^{\frac{N}{2}} f(n)^2} \quad (4)$$

where $N = 31,250$ (0.01 s). The quotient of the voltage and current calculated from equation (4) was used as the electrical resistance value.

**Method of measuring temperature distribution during discharge**

During electrocautery discharge, an infrared high-speed camera (X6901sc, Photron) was used to measure the temperature distribution. In this experiment, a 1x magnification, 200 mm focal length objective lens was used to measure the temperature distribution of the liquid surface near the electrode insertion point during discharge by taking images from an angle of attack of approximately 45° to the liquid surface of the discharge target. The number of pixels captured was 640 × 512 pixels, the resolution of one pixel was 25 μm, the shooting speed was 300 fps, and the measurement temperature range was 35°C–350°C.

**Results**

**Results of smoke generation measurements during discharge tests utilizing each electrode specimen**

Figure 3 showed the measured smoke generation during discharge when discharge tests were conducted with coated electrodes A, B, and C and uncoated electrode D with discharge times $t_D = 1, 3, \text{and } 5 \text{s}$. The number of particles per unit volume at $t_D = 1 \text{ s}$ was approximately 618, 1286, 703, and 101 counts/L for each electrode, respectively. Electrode B showed the highest value, followed by electrodes A and C, with identical values. The particle counts at $t_D = 3 \text{ s}$ were approximately 3660, 7602, 829, and 1037 counts/L, respectively. The number of particles at electrodes A and B increased by approximately 5.9 compared to
the case $t_D = 1$ s, with electrode B having the most significant value as in the case $t_D = 1$ s. The number of particles at electrode D increased approximately 10.2-fold compared to the case $t_D = 1$ s. However, the number of particles at electrode C increased only approximately 1.2-fold compared to the case $t_D = 1$ s. Electrodes C and D had identical values. Moreover, the number of particles at electrode C increased only approximately 1.2-fold compared to the case $t_D = 1$ s. Furthermore, the particle count at $t_D = 5$ s were approximately 5236, 10328, 812, and 5032 counts/L, respectively. The number of particles at electrodes A and B increased by 1.4 when compared to the case $t_D = 3$ s, with electrode B having the most significant value as in both $t_D = 1$ and 3 s. The number of particles at electrode D increased approximately 4.9-fold compared with the case $t_D = 3$ s and was comparable with the value at electrode A. The number of particles at electrode C remained constant, meaning it did not increase. Consequently, at $t_D = 5$ s, the number of particles at electrode C was 84%–92% lower than at the other electrodes. The results show that electrode C, whose side surface is not coated, has the most significant surgical smoke reduction impact.

**Measurement results of electrical characteristics during discharge using each coated electrode specimen**

Figure 4 shows the measured electrical resistance of coated electrodes A, B, and C and uncoated electrode D during discharge testing with a discharge time of $t_D = 5$ s. The electrical resistance of electrode A gradually increased soon after the initiation of the discharge and remained steady at approximately 940 Ω after $t = 2.0$ s. The electrical resistance of electrode B increased rapidly immediately after the start of discharge and continued to increase slowly until the end of discharge, reaching a maximum of approximately 2900 Ω. The electrical resistance of electrode C increased slowly soon after the initiation of the discharge and remained steady at approximately 920 Ω after $t = 2.9$ s. The electrical resistance of electrode D remained stable at approximately 150 Ω from the start of discharge until $t = 1.0$ s, after which it increased rapidly until $t = 1.5$ s and continued to increase slowly until the end of discharge, reaching a maximum value of approximately 2200 Ω.

**Observation results during discharge using an infrared high-speed camera using each electrode specimen**

The temperature distribution results observed by a high-speed infrared camera during discharge and specimen observation after discharge are shown in Fig. 5 (a) and (b), respectively. The discharge tests were
conducted using an uncoated electrode D and a coated electrode C whose electrode side surface was not covered with a coating, with a discharge time $t_D = 5$ s. When electrode D was used, the heat was concentrated on the electrode’s side surface immediately after the discharge started to $t = 1.1$ s. Then the heat was transferred from the side surface to the flat surface of the electrode from $t = 1.4$ s onward. Bubbles were observed near the electrode insertion point from $t = 1.2$ s to 2.8 s. Additionally, a severe arc-like heating phenomenon was detected at the entire electrode insertion point after $t = 2.9$ s. The bubbles were observed to be generated by the electrode insertion point, as well as the electrode insertion area from $t = 2.9$ s onward. The maximum temperature in the vicinity of the electrode insertion area increased gradually immediately after the discharge began, reaching approximately 120 °C at $t = 1.3$ s and remaining stable at approximately 100 °C until $t = 2.8$ s. When an arc-like heat-generating phenomenon was detected at the electrode insertion point, a maximum temperature peak of around 280 °C was observed. Furthermore, the whole surface of the electrode was coated with coagulated blood after the discharge. When electrode C was utilized, however, heat was concentrated on the side surface of the electrode from the start of discharge to the end of discharge, and heat was observed to be transmitted from the side surface of the electrode to the flat surface of the electrode. Additionally, heat convection outward from the electrode’s side face was observed after time $t = 2.0$ s. Furthermore, from the beginning to the completion of the discharge, the side surface of the electrode was hotter than the flat surface of the electrode. The maximum temperature around the electrode insertion area increased rapidly after discharge began, reaching approximately 90 °C at $t = 0.9$ s and remaining stable at approximately 80 °C until $t = 4.2$ s. Following the discharge, the uncoated side surface of the electrode and the electrode tip were found to be sparsely coated with coagulated blood.
Discussion

Effect of electrical resistance during discharge on the amount of smoke generated

The side surface of the electrode was not coated after the discharge, whereas the tip of the electrode was sparsely coated with coagulated blood. The influence of electrical resistance during discharge on the amount of smoke generated during discharge experiments utilizing coated electrodes A, B, and C and uncoated electrode D is discussed based on the experimental results. Figure 6 shows the average electrical resistance under each experimental setting. Both metrics showed a strong positive relationship with a correlation coefficient of $R = 0.841$. This implies that the increase in electrical resistance during discharge has a significant influence on the amount of smoke generated and that the amount of smoke generated can be minimized by suppressing the increase in electrical resistance during discharge.

![Figure 6 Relationship between average electrical resistance and surgical smoke generation for each experimental condition](image)

**Effect of coating geometry on electrical properties and smoke generation during discharge**

Based on the experimental results, the influence of the coating shape on the electrical resistance during discharge is discussed when discharge tests are conducted using coated electrode C, whose electrode side surface is not covered with a coating, and uncoated electrode D. Figure 7 shows a schematic diagram of the electrical resistance rising model and an electrical circuit model when discharge experiments with electrodes C and D were conducted. In the case of electrode D, the temperature distribution observation results shown in Fig. 5 confirm that heat was transferred from the electrode’s side surface to the electrode’s flat surface, and bubbles were generated from the electrode insertion area. It was also confirmed that intense arc discharges occurred over the entire electrode insertion area after the middle stage of the discharge. This suggests that the transition from a boiling state to film boiling caused the formation of a vapor film on the electrode surface, which became an insulating layer, resulting in the generation of arc discharges. Furthermore, the postdischarge observation results confirmed that coagulated blood coated the whole surface of the electrode. This implies that the coagulated blood attached to the flat surface of the electrode from the side surface and formed an electrical resistance layer, increasing electrical resistance and Joule heat. The aforementioned reasons have increased the amount of smoke generated. In the case of electrode C, the temperature distribution observation results shown in Figure 5 confirm that heat convection flowed outward from the side surface of the electrode during the discharge. The postdischarge observation results
confirmed that the side surface of the electrode during the discharge. The postdischarge observation results confirmed that the side surface of the electrode, which is not covered by the coating, and the tip of the electrode, were slightly coated with coagulated blood. The exposed metal surface of the electrode’s side face enhanced thermal convection, causing bubbles to detach from the electrode insertion area and preventing the transition to film boiling. This is considered to have suppressed the transition to film boiling, preventing an increase in Joule heat and the generation of arc discharge, and therefore reducing the amount of smoke generated.

Fig. 7 (a) Electrical resistance schematic diagram and circuit model of electrode D and (b) electrode C

Conclusions
In this study, to investigate the feasibility of an electrocautery surface coating that suppresses surgical smoke, we evaluated the amount of smoke generated by discharge tests using an electrocautery tip electrode with a coating of different cross-sectional shapes and an uncoated electrode and proposed a model of increased electrical resistance due to coagulated blood adhesion in an electrical circuit model that included the electrode, silicone coating, and discharge target. The effect of increasing electrical resistance increase on the amount of smoke generated was evaluated. The method by which the electrocautery surface coating affects smoke generation was explored using a high-speed infrared camera to measure the temperature change in the region of the electrode during the discharge. The following are the significant results obtained.

1. Discharge tests were conducted utilizing coated electrodes A, B, and C and uncoated electrode D with varied coating geometries, and the amount of smoke generated during discharge was evaluated by measuring the number of particles per unit volume. The particle counts for coated electrodes A and B and uncoated electrode D at discharge time $t_D = 5$ s were approximately 5236, 10328, 812, and 5032 counts/L, respectively. Nonetheless, the number of particles on coated electrode C, whose side surface was not coated, was 812 counts/L, which is approximately 92% lower than the number of particles of other electrodes. This indicates that electrode C has the most significant smoke reduction effect.

2. Discharge tests were conducted, and the electrical resistance during discharge was determined. Coated electrodes A and B and uncoated electrodes D’s electrical resistance were 940, 2900, and 2200 $\Omega$, respectively. However, the electrical resistance of coated electrode C reached approximately 940 $\Omega$. The increase in electrical resistance was suppressed compared with other electrodes. A significant positive
correlation coefficient $R = 0.841$ was obtained between the average electrical resistance and the amount of smoke generated under each experimental condition. This indicates that the electrical resistance during discharge has a significant impact on the amount of smoke emitted. Therefore, it was established that the amount of smoke generation could be reduced by suppressing the increase in electrical resistance during discharge.

(3) The mechanism of smoke reduction at the coated electrode was examined by observing the temperature distribution using a high-speed infrared camera during discharge. When electrode C was used, outward heat convection was detected from the side surface of the electrode during discharge. This is probably due to the concentration of current and heat on the electrode’s side face of the electrode’s metal surface of the side face of the electrode was exposed, which increases the current density. This suppressed the transition of the boiling state to film boiling and the growth of coagulated blood and suppressed the increase in electrical resistance, which lowered the amount of smoke generated.

References


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Author contributions

T.T. designed the study, performed the main analysis, wrote the first draft and worked on critical revisions of the manuscript, developed the questions and contributed to the development of conceptual framework. M.M., K.O. performed the main analysis and framework. N.U., H.N., T.Y., J.U. supervised the project from study design to final draft and approved the final version of the manuscript. All authors agree to be accountable for all aspects of the work by ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Competing interests

The authors declare no competing interests.

Data availability
The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.