

1 **Impact of Iron Fortification on Anaemia and Iron Deficiency among**  
2 **Pre-school Children Living in Rural Ghana**

3  
4  
5 Samuel Kofi Tchum<sup>1,2\*</sup>, Fareed Arthur<sup>2</sup>, Bright Adu<sup>3</sup>, Samuel Asamoah Sakyi<sup>4</sup>, Latifatu Alhassan  
6 Abubakar<sup>1</sup>, Dorcas Atibilla<sup>1</sup>, Seeba Amenga-Etego<sup>1</sup>, Felix Boakye Oppong<sup>1</sup>, Francis Dzabeng<sup>1</sup>,  
7 Benjamin Amoani<sup>5</sup>, Thomas Gyan<sup>1</sup> and Kwaku Poku-Asante<sup>1</sup>  
8

9 <sup>1</sup>Department of Biochemistry and Biotechnology, College of Sciences, Kwame Nkrumah  
10 University of Science and Technology, Kumasi, Ghana; <sup>2</sup>Kintampo Health Research Centre,  
11 Kintampo-North, Ghana; <sup>3</sup>Department of Immunology, College of Health Sciences, Noguchi  
12 Memorial Institute for Medical Research, University of Ghana, Legon, Accra, Ghana; <sup>4</sup>Department  
13 of Molecular Medicine, School of Medical Sciences, Kwame Nkrumah University of Science and  
14 Technology, Kumasi, Ghana; <sup>5</sup>Department of Biomedical Sciences, School of Allied Health  
15 Sciences, University of Cape Coast, Cape Coast, Ghana.

16  
17 **\*Corresponding Author:** Samuel Kofi Tchum, Kintampo Health Research Centre, Kintampo-  
18 North, Bono East, Ghana.

19  
20  
21 Email: [kofi.tchum@kintampo-hrc.org](mailto:kofi.tchum@kintampo-hrc.org). Phone: +233 244923774  
22  
23  
24  
25  
26

27 **Abstract**

28 **Background**

29 Micronutrient interventions, principally vitamin A and zinc supplementation for children, and  
30 fortification of foods with iron and iodine, are considered the most cost-effective global  
31 development efforts. Multiple micronutrient powder is a mixture of at least iron, zinc and vitamin  
32 A used to prevent malnutrition in children and during health emergencies. Micronutrient  
33 deficiencies are a universal health burden among young children in developing countries.  
34 However, the use of this low cost but sustainable micronutrient powder as an innovative home-  
35 fortification approach to control a common nutritional disorder like iron deficiency anaemia  
36 among pre-school children living in malaria endemic sub-Saharan Africa is unclear. The aim of  
37 our study was to determine the effect of providing long-term continued prophylactic micronutrient  
38 powder with iron on the risk of iron deficiency and anaemia among pre-school children living in  
39 rural Ghana.

40 **Methods**

41 This population-based randomized-cluster trial was conducted in the Bono region of Ghana from  
42 3<sup>rd</sup> April to 6<sup>th</sup> July 2010. 1958 children were recruited, and 967 randomly assigned to receive  
43 prophylactic micronutrient powder with iron and 991 assigned to receive placebo. The trial  
44 participants were children aged between 6 to 35 months, identified at home and able to eat semi-  
45 solid foods (with or without breast milk). Structured questionnaires were administered, their blood  
46 samples were also taken for biochemical analysis. They were randomly assigned to receive daily  
47 micronutrient powder without or with iron (12.5 mg) added to complementary meals immediately  
48 after enrollment for five months. Each participant also received anti-malaria treated bednet and  
49 chemotherapy. Weekly follow up visits were conducted at home or health facility where data on

50 malaria using rapid diagnostic test and hospital admissions were collected. The primary outcome  
51 was post supplementation of prophylactic micronutrient powder with iron to mitigate the effects  
52 of iron deficiency and anaemia.

### 53 **Results**

54 1958 children were recruited and 967 randomly assigned to receive prophylactic micronutrient  
55 powder with iron and 991 assigned to receive placebo. Loss to follow up was 7 % (143), with vital  
56 status at 35 months of age reported for 1904 (97.2 %). Anthropometry, anaemia, iron status,  
57 demographic characteristics and dietary intakes were similar between the groups at baseline.  
58 Baseline haemoglobin level was significantly higher compared to haemoglobin level at endline ( $p$   
59  $< 0.0001$ ). Though, we recorded an increase in haemoglobin ( $p = 0.0001$ ) and ferritin ( $p = 0.0002$ )  
60 levels in the iron group than in the placebo group at the end of the intervention. Soluble transferrin  
61 receptor levels were more saturated among children from the iron group compared to placebo  
62 group ( $p = 0.012$ ). Anaemic status in the iron group improved compared to the non-iron group ( $p$   
63  $= 0.03$ ).

### 64 **Conclusion**

65 The risk of childhood morbidity and mortality in rural Ghana is high, mainly due to iron deficiency  
66 anaemia. National nutritional policy coupled with the current WHO recommendations are required  
67 to support the provision of prophylactic micronutrient powder with iron in order to improve  
68 anaemic and iron status among pre-school children in rural Ghana.

69

70 TRIAL REGISTRATION [clinicaltrials.gov](http://clinicaltrials.gov) Identifier: NCT01001871. Registered 27<sup>th</sup> October  
71 2009, <http://www.ClinicalTrials.gov/NCT01001871>

72

73 **Keywords:** Anaemia, Iron deficiency, Micronutrient powder, Iron deficiency anaemia.

74 **Introduction**

75 The global occurrence of anaemia for pre-school children is 43 % of which about 42 % is  
76 attributable to iron deficiency [1]. Early childhood anaemia diminishes cognitive ability and causes  
77 developmental delays and disability [1, 2]. Zinc deficiency is alleged to be as prevalent as iron  
78 deficiency affecting about 293 million children below five and is accountable for 13 % of lower  
79 respiratory tract infections [3]. Multiple micronutrient powder (MNP) is a mixture of at least iron,  
80 zinc, and vitamin A used to prevent malnutrition in children and during health emergencies [4].  
81 Micronutrient deficiencies are a universal health burden, particularly for young children in  
82 developing countries [5]. Micronutrient interventions, principally vitamin A and zinc  
83 supplementation for children, and fortification of foods with iron and iodine, are considered the  
84 most cost-effective global development efforts [6]. MNP with iron given to children improve  
85 motor and cognitive performance and mitigate severe anaemia prevalence [7, 8] but has no effect  
86 on malaria morbidity and mortality if anti-malarial interventions were available [9, 10].

87  
88 Little data exist on whether given long-term continued prophylactic MNP with iron to children  
89 aged 6 to 35 months living in malaria endemic sub-Saharan African countries will reduce iron  
90 deficiency anaemia (IDA). Moreover, the risk of iron deficiency (ID) and anaemia among pre-  
91 school children living in these countries remain a major public health threat [11]. Data obtained  
92 from 1993 to 2005 indicated that iron deficiency was prevalence in more than 24 % of the global  
93 pre-school children population [1, 12]. In sub-Saharan Africa, the problem was worsened by the  
94 coexistence of preventable anaemia and malaria resulting in increased childhood morbidity and  
95 mortality [13, 14]. Previous studies involving motor and mental (social and cognitive)  
96 development among pre-school children have indicated the need for early prevention of childhood

97 anaemia through innovative but sustainable iron intervention programmes because such poor  
98 motor and cognitive skills have been associated with moderate anaemia (haemoglobin < 100 g /  
99 L) and might be irreversible [15-17].

100

101 In 2003, a randomized placebo-controlled trial conducted in Pemba, Zanzibar involving 32,000  
102 pre-school Tanzanian children was stopped promptly on the advice from the trial's Data Safety  
103 Monitoring Board (DSMB) due to higher hospitalizations or mortality rate in the iron groups [10].  
104 However, a further secondary subgroup analysis involving a recruited iron-replete children at  
105 baseline (BL) discovered a limitation on the risk of adverse events, which led to ethical difficulties  
106 and complicated study designs in malaria endemic areas [10]. The UNICEF and WHO joint  
107 statement was uncertain about MNP use, since the absorption characteristics differ considerably  
108 from iron syrups or tablets if given to children aged between 6 and 36 months [18]. In 2006, the  
109 joint statement was amended to specifically recommend home fortification of foods plus MNP  
110 with iron to children at risk of iron deficiency and anaemia [19]. Finally, based on substantive  
111 findings, the WHO in 2016 recommended that in heavily malaria transmission areas, pre-school  
112 children at risk of iron deficiency and anaemia should be provided with oral iron intervention if  
113 they have access to anti-malaria intervention strategies (insecticide-treated bednets, anti-malarial  
114 drug therapy and vector-control programmes [3]). Further studies are therefore needed to answer  
115 the question of whether continued long-term prophylactic iron fortification might improve intrinsic  
116 iron and anaemic status among pre-school children living in malaria endemic regions. Thus, the  
117 overall aim of this study was to determine the effect of providing long-term continued prophylactic  
118 MNP with iron on the risk of iron deficiency and anaemia among pre-school children living in  
119 rural Ghana.

## 120 **Subjects and Methods**

121

### 122 **Study Area**

123 Our trial was conducted in Wenchi Municipality and Tain District in the Bono Region of Ghana.  
124 In 2010, the combined population for the two contiguous areas was 198,125. A total of 11,215 pre-  
125 school-aged children, representing nearly 0.3 % of total children under five in Ghana were living  
126 in the two districts [20]. A total of 8,548 compounds in 99 smaller communities existed in Wenchi  
127 Municipality (n = 89,739) and Tain District (n = 108,386) [20]. Rains in the trial areas start from  
128 April to November with a mean rainfall per annum of 1250 mm plus an average temperature range  
129 from 18 – 38 °C [21]. This period is characterized by high malaria transmission. In Ghana, malaria  
130 is mostly caused by *P. falciparum* with an estimated 2.3 million cases in 2017, thus making it  
131 holoendemic [22]. In 2003, anaemia prevalence among pre-school-aged children was 76.1 % (95  
132 % C.I. 73.9 -78.2 %) [23, 24].

133

### 134 **Study Design**

135 This study was a population-based randomized-cluster trial conducted in the Bono region of  
136 Ghana. The trial participants were young children, identified at home and able to eat semi-solid  
137 foods (with or without breast milk). For five months, all participants received daily micronutrient  
138 powder without or with iron (12.5 mg) added to complementary meals. However, children who  
139 had severe anaemia (haemoglobin < 70.0 g / L), severe malnutrition (weight-for-length z-score <  
140 -3.0), receipt of iron in supplements within the past 6 months or chronic disease were excluded.  
141 In order to maximize the opportunity for optimum anaemia and iron status assessment, the study  
142 occurred in the rainy season during high malaria transmission.

143

144 **Ethical Issues and Trial Monitoring**

145 The ethics committees of the Ghana Health Service (GHS), Food and Drugs Authority (FDA) of  
146 Ghana, Kintampo Health Research Centre (KHRC) and Hospital for Sick Children (SickKids)  
147 Canada approved our trial. Registered ClinicalTrials.gov number was NCT01001871. The trial  
148 was overseen by a Data and Safety Monitoring Board, which was constituted in October 2009 and  
149 held three meetings during the course of the trial. Members of the board included international and  
150 local health policy makers expertized in randomized controlled trials, nutrition, paediatrics,  
151 statistics and social sciences. The board's statistician summarized the compiled outcome data at  
152 the end of the recruitment phase and half-way via the intervention stage. The children's primary  
153 caregivers consented to participate in the study. For the interim analysis, if there were any serious  
154 adverse events (i.e. hospital admissions or deaths) in the iron group than the non-iron group, the  
155 agreement *a priori* was that the study would be terminated.

156

157 **Recruitment of Subjects**

158 Participants aged between 6 to 35 months were enrolled from early April 2010 through to July  
159 2010 and randomly assigned (ratio 1:1) to receive either iron or no iron at the compound level with  
160 the aid of computer-generated model. A cluster represents a compound which comprise of one or  
161 more households living in the same residence with the resident families having at least one child  
162 eligible for inclusion into the trial. In order to prevent cross contamination between the groups via  
163 food sharing, a cluster randomization design was employed. Upon enrolment, each child was  
164 provided with an insecticide treated net (ITN) and the caregiver was educated on its appropriate  
165 usage. Sachets containing the powdered fortificant (MNP-Sprinkles® Mumbai, India) without or

166 with iron were similar except a subtle ‘A’ or ‘B’ labelled markings and double-blinded to the  
167 caregivers and study team.

168

169 The children from the iron group were provided a daily MNP dose containing elemental iron (12.5  
170 mg) in microencapsulated ferrous fumarate, vitamin A (400 µg), ascorbic acid (30 mg) and zinc  
171 (5 mg) [25, 26]. Similar fortificant without iron (Placebo) was provided to the children in the non-  
172 iron group. Caregivers were all provided with MNP and instructed to mix the package’s contents  
173 with a small bit of semi-solid meals on daily basis. This dosing MNP regimen continued for 5  
174 months and then the participants were further monitored an extra month without the powdered  
175 fortificant. During the duration of the study, routine weekly household visits were conducted by  
176 field researchers (FRS) to assess participants’ health (including axillary temperature) and collected  
177 data on MNP adherence, ITN use and morbidity. Caregivers were also advised to take their sick  
178 or febrile children to the nearest health facilities for assessment and prompt treatment between the  
179 routine visits.

180

### 181 **Specimen and Data Collection**

182 The participants’ health was assessed at baseline (BL) and endline (EL) of MNP intervention  
183 including body temperature. At BL and EL, 500 µL blood sample was taken from the finger or heel  
184 into 0.5 mL ethylenediaminetetraacetic acid (EDTA) tube. The HemoCue Hb 201<sup>+</sup> analyzer  
185 (HemoCue AB, Angelholm, Sweden) was used to measure the haemoglobin (Hb) levels and severely  
186 anaemics were referred immediately. Preliminary rapid diagnostic test (RDT) (Paracheck *Pf* ®  
187 Device, Orchid Biomedical Systems, Verna, Goa, India) for malaria was quickly done and those  
188 confirmed positive for the test were treated for malaria. After recovery, participants were enrolled if



189 all other inclusion criteria were met. At the laboratory, haematological, malaria microscopy, acute  
190 protein phase and iron biomarkers were tested on the remaining blood. If a child is febrile (i.e.  
191 axillary temperature  $> 37.5$  °C) or febrile 48 hours ago, 100  $\mu$ L capillary blood sample was collected  
192 into 0.5 mL EDTA tube for full blood count, malaria rapid and blood smear test (for parasitaemia  
193 and speciation) during the study as described in the following procedures [26].

194

### 195 **Specimen Processing and Analysis**

196 Thick and thin blood films were prepared and the thin films fixed with methanol. Both smears  
197 were then geisma-stained. Each sample slide was read by two independent microscopists and if  
198 discrepancy between the two readers was over 50 %, a third microscopist was consulted [26]. The  
199 confirmed malaria cases (RDT assay) were treated with artemisinin-based combination therapy  
200 (ACT), a combination of Artesunate-Amodiaquine or Artemether-Lumefantrine administered as  
201 the current first-line national antimalarial chemotherapy treatment [27]. To verify if treatment was  
202 successful, the participants were monitored for 14 days after the first dose and on the 7<sup>th</sup> and 14<sup>th</sup>  
203 day, malaria status was assessed using both RDT and microscopy. The haematology auto-analyzer  
204 (Horiba ABX Micros 60-OT-CT-OS-CS, Montpellier, France) measured the full blood counts  
205 (FBC). The QuikRead 101 analyzer (Orion Diagnostica, Espoo, Finland) immunoturbidimetrically  
206 measured plasma C-reactive protein (CRP). Red blood cell zinc protoporphyrin (ZPP) was  
207 measured using a haematofluorometer (Model 206D, Aviv Biomedical Inc., Lakewood, NJ, USA).  
208 Indirect enzyme-linked immunosorbent assay (ELISA) measured plasma ferritin (Fn) (Spectro  
209 Ferritin S-22, Ramco Laboratories Inc. USA) and transferrin receptor (TfR) (TFC-94, Ramco  
210 Laboratories Inc. USA) levels as described in the following procedures [26].

211

212 **Outcomes**

213 Our primary outcomes were anaemia (Hb < 100 g / L) and iron deficiency (Fn < 30 µg / L, ZPP >  
214 52 µmol / mol heme). Acknowledging that Fn and ZPP interpretation will be confounded by acute  
215 phase response, we excluded these indicators for those children who had an elevated CRP (> 8 mg  
216 / L) [28, 29]. Secondary outcomes included clinical malaria, expressed as any parasitaemia level  
217 including reported febrile or axillary temperature > 37.5 °C within 48 hours [30]. Certain medical  
218 episodes such as malaria parasitaemia levels exceeding 5000 / µL, hospitalization as a result of  
219 diarrhoea (three or more watery or loose stools within 24 hours), other pneumonia symptoms (such  
220 as cough, tachypnea, lower chest wall indrawing and either pleural effusion or consolidation on a  
221 chest X-ray) and finally, cerebral malaria or meningitis based on clinical judgement were  
222 considered severe.

223

224 **Statistical Analysis**

225 Our hypothesis indicated that anaemia and iron deficiency prevalence rates would significantly  
226 improve among the children from the Fe group than their non-Fe counterparts. Using a 67 %  
227 reduction in anaemia prevalence as baseline rate [31], with power of 90 % and 5 % type I error, if  
228 all exposed participants had the same level of risk at start of the trial, then we can assumed a 30 %  
229 prevalence of anaemia among the placebo group at the end of MNP intervention. However, after  
230 accounting for a loss of 15 % to follow-up, a calculated sample size of 1940 participants (970 per  
231 group) was used. Visual Fox Pro version 9.0 data management programme was used to double-  
232 enter all clinical and epidemiological data for discrepancies, typographical errors and extreme  
233 observations. Errors from the database were regularly verified with field staff and discrepancies  
234 resolved prior to decoding the randomization and analyzing the data using STATA (Stata

235 Statistical Software: Release 11. College Station, TX: StataCorp LP, 2015). Descriptive statistics  
236 were used to summarize the study variables. The prevalence of anaemia, iron deficiency and iron  
237 anaemia at the end of the study was reported with their 95% confidence intervals. Using logistic  
238 regression, the risk of anaemia, iron deficiency and iron deficient anaemia were compared between  
239 the Fe and non-Fe group. Generalized estimating equation with robust standard errors was used to  
240 obtain population-averaged estimates and to account for the household level clustering. Parameter  
241 estimates were reported as odds ratio with their 95 % confidence intervals Separate models were  
242 considered for anaemia, iron deficiency and iron deficient anaemia. In all the models, we adjusted  
243 for child's age ( $\leq 12$  months, 13 - 24 months and  $> 24$  months) and sex. Also, we adjusted for  
244 baseline anaemia, baseline iron deficiency and baseline iron deficient anaemia in the model for  
245 anaemia, iron deficiency and iron deficient anaemia respectively. All analysis were carried out on  
246 an intention-to-treat (ITT) basis.

247

## 248 **Results**

249 A total of 2220 children aged 6 - 35 months from 22 communities were screened for eligibility  
250 from 3<sup>rd</sup> April to 6<sup>th</sup> July 2010 (Figure 1). Of these, 262 (11.8 %) were excluded according to pre-  
251 specified criteria. A total of 1958 children were randomly assigned to receive either prophylactic  
252 micronutrient powder (n = 967) or placebo (n = 991) (Figure 1). Characteristics of the children  
253 were similar between the groups (Table 1). By the end of the study (24 weeks), about 3.0 % of the  
254 participants were lost to follow-up (Fe = 67 versus non-Fe = 76) for 863.8 child years of total  
255 observation time. The lost to follow-up in both groups was as a result of moved-outs from the trial  
256 area. Adherence was similar between the groups (90.7 % for Fe versus 93.0 % for non-Fe children)  
257 and ITN use also did not differ (mean 91.9 %) (Table 1).

258 At baseline, the mean Hb concentration was similar in both the Fe and non-Fe group (10.3 g / L in  
259 both groups, p-value = 0.69) (Table 2). Out of the 1958 children enrolled, 1806 (92.2 %) were  
260 blood-sampled after the MNP intervention (Table 2). We also observed that, the mean Hb level in  
261 both groups was significantly higher at baseline compared to endline ( $p < 0.0001$ ). However, the  
262 mean Hb level after the intervention was significantly lower in the non-Fe group compared to the  
263 Fe group ( $9.3 \pm 1.5$  g / L versus  $9.7 \pm 1.7$  g / L respectively,  $p = 0.0001$ ) (Table 2).

264

265 Baseline prevalence of anaemia, iron deficiency and iron deficiency anaemia were similar in the  
266 Fe and non-Fe group ( $p > 0.05$ ) but the endline prevalence of these iron indicators were  
267 significantly improved in the Fe compared to the non-Fe children ( $p < 0.05$ ). Moreover, in Fe and  
268 non-Fe groups, the endline prevalence rates of anaemia, iron deficiency and iron deficiency  
269 anaemia were significantly improved compared to the baseline prevalence rates of these iron  
270 indicators ( $p < 0.05$ ) (Table 2). Similarly, among children who were iron deficient at baseline ( $n =$   
271 818), their mean ZPP concentration differences were greater in the non-Fe group (indicating  
272 greater risk of iron deficiency) compared to the Fe group ( $11.9 \pm 162.0$  for Fe versus  $9.6 \pm 139.3$   
273  $\mu\text{mol} / \text{mol}$  of heme for non-Fe versus Fe children) ( $p < 0.0001$ ). Paradoxically, almost all subjects  
274 in both groups who provided blood samples at endline were iron deficient (mean 99.1 %), thereby,  
275 rather grossly overestimating the prevalence of ID when compared with the conventional criteria  
276 ( $> 52 \mu\text{mol} / \text{mol}$  haem) (Table 2). Of the children who had blood-sampled at endline, 52.7 % were  
277 moderately anaemic (47.8 % for Fe versus 52.2 % for non-Fe children) and overall prevalence of  
278 severe anaemia ( $\text{Hb} < 70$  g / L) was 6.0 % (4.2 % for Fe versus 7.7 % for non-Fe children). At  
279 endline, the prevalence of anaemia was 58.6 % ( $N = 1059$ , 95 % CI: 56.3 % - 60.9 %).

280

281 The prevalence of moderate and severe anaemia were 52.7 % (N = 951, 95 % CI: 50.3 % – 55.0  
282 %) and 6.0 % (N = 108, 95 % CI: 5.0 % - 7.2 %) respectively (Table 2). Iron deficiency was  
283 prevalent in 24.5 % (N = 443, 95 % CI: 22.6 % - 26.6 %), while the prevalence of iron deficiency  
284 anaemia was 13.5 % (N = 243, 95 %: 12.0 % - 15.1 %) (Table 2). The prevalence of anaemia, iron  
285 deficiency and iron deficiency anaemia by Fe and non-Fe group was presented in Table 3. From  
286 the results of the risk adjusted logistic regression analysis, the odds of anaemia, iron deficiency  
287 and iron deficiency anaemia was significantly higher in the children from the non-Fe group  
288 compared to those in the Fe group (Table 3).

289

## 290 **Discussion**

291 The results of our study indicated that daily prophylactic micronutrient powder plus iron mixed  
292 with a small bit of semi-solid meals to children aged 6 - 35 month increased haemoglobin levels,  
293 improved anaemic and iron status in rural Ghana. These findings were consistent with studies that  
294 reported improvement in iron deficiency and anaemia after prophylactic micronutrient powder  
295 supplementation [7, 32, 33]. Our results were also similar to other MNP trials in Ghana [7, 26],  
296 Gambia [34], Turkey [35] and Kyrgyzstan [36] that also observed improved haemoglobin levels  
297 and iron status among young children on MNP supplements. The improved Hb levels and iron  
298 status may be due to iron response to the hormone erythropoietin, that accelerated the production  
299 of new erythrocytes via erythropoietic processes in the bone marrow [36]. In our study, we also  
300 observed that the transferrin receptor levels were more saturated after the intervention among the  
301 Fe-containing fortificant children than the placebo and this finding was consistent with other Fe-  
302 supplementation studies that evidenced the benefit of daily Fe fortificants among pre-school  
303 children [35, 37].

304  
305 Iron deficiency and IDA prevalence rates improved at the end of the intervention among the  
306 children in the Fe group than those from the non-Fe group (Table 2). Moreover, the children who  
307 were iron-deficient (AOR = 1.68) and iron-deficient anaemics (AOR = 2.12) in the Fe group were  
308 more likely to recover from ID and IDA respectively compared to their counterparts in the non-Fe  
309 group after the intervention. These findings were consistent with other Fe-MNP trials [38, 39].  
310 Even though, some other studies associated ID and IDA prevalence rates equivocally with the  
311 effect of MNP intervention [37, 40, 41]. Reasons for our observation, though poorly understood,  
312 maybe attributed to the fact that Fe is the only micronutrient, homeostatically regulated via  
313 absorption and the mechanism behind the iron-regulatory hormone, hepcidin is currently  
314 incomprehensible. However, some others investigators have suggested that in the presence of  
315 malaria and Fe fortificant, hepcidin may be upregulated to prompt dyserythropoiesis [42]. This  
316 then deprived the malaria pathogens of circulating Fe, a source of nutrient for their survival in the  
317 host [42]. The resultant increased Fe stored within ferritin in the hepatocytes via the transferrin  
318 receptors may lead to the replacement of Fe, a substrate for the enzyme ferrochelatase with zinc  
319 and may elevate ZPP levels [43]. The directionality of these findings suggested a risk-lowering  
320 effect of MNP [i.e. since the confidence interval favoured a lower risk ratio (RR)]. However, an  
321 exploratory sub-group analysis of baseline haemoglobin and ZPP concentrations indicated that  
322 children from the Fe group who were iron replete ( $ZPP \leq 52 \mu\text{mol} / \text{mol}$  of heme) with moderate  
323 anaemia (Hb 70 - 100 g / L) had a 25 % lower risk of symptomatic malaria (RR 0.85, 95 % C.I.  
324 0.53 - 1.36) and a 28 % lower risk of severe malaria (RR 0.82, 95 % C.I. 0.48 - 1.39) compared  
325 to non-Fe children who were iron replete and moderately anaemic at baseline (data not shown).  
326 Moreover, baseline moderate anaemia and iron deficiency were strongly associated with a 36 %

327 reduced risk of clinical (RR 0.73, 95 % C.I. 0.56 - 0.94) and a 39 % for severe malaria (RR 0.70,  
328 95 % C.I. 0.52 - 0.95) than being iron replete and anaemics at baseline. These findings were  
329 consistent with systematic reviews of 39 studies among 32,759 children on daily Fe supplement  
330 living in malaria hyper-endemic areas [3]. Paradoxically, whole blood ZPP levels were limited in  
331 discriminating between the participants with and without iron deficiency (Table 2). Even when  
332 combined with haemoglobin levels, no added diagnostic value was observed but rather grossly  
333 overestimated ID prevalence rate when compared to the conventional cut off points ( $> 52 \mu\text{mol} /$   
334  $\text{mol haem}$ ). These findings from our study were consistent with other MNP studies that used ZPP  
335 as additional iron indicator [44-46], but contrary to other MNP intervention findings too [46, 47].  
336 This may have been attributed to the differences in ZPP cut-offs used to define iron deficiency,  
337 which was lower in our study ( $> 52 \mu\text{mol} / \text{mol of heme}$ ) compared to Zanzibar ( $> 80 \mu\text{mol} / \text{mol}$   
338  $\text{of heme}$ ) [10]. Several ZPP cut-offs for defining iron deficiency have been proposed on the basis  
339 of the population group being studied and the specimen processing method (e.g. washed versus  
340 unwashed red blood cells). The blood samples in our study were washed before being analyzed.  
341 To our knowledge, this was not done in the Zanzibar trial and so a higher ZPP cut-off was observed  
342 [48]. Despite this adjustment, however, differences in iron status classification between trials may  
343 have affected the comparability of statistical outcomes. One main common finding between our  
344 study and that of Zanzibar was the significant protective effect of iron to mitigate the risk of iron  
345 deficiency and anaemia among the young children. In contrast, however, we did not find a  
346 significant risk lowering MNP effect associated with baseline iron deficiency alone without  
347 concurrent anaemia.

348

349 We did not increased the risk to hospital admission at 35 months between the intervention group  
350 and control arm. This differs from a similar trial in Zanzibar which reported an increase risk to  
351 malaria related admissions among study children who received iron [10]. Other suggestions may  
352 be that the lower risk to hospitalization in our study was due to anti-malaria ITNs and drug  
353 chemotherapy interventions that were provided to the study children. In our study, hospitalization  
354 rates were the same between the groups during or after the intervention (OR = 1.20, 95 % C.I.  
355 0.91 - 1.58;  $p > 0.05$ ). Moreover, the incidence of other clinical diagnoses (pneumonia, diarrhoea  
356 or meningitis) among the hospitalized children were also similar between groups with or without  
357 a concurrent malaria diagnosis ( $p > 0.05$ ). In 2009, a systematic review of 14 studies reported that  
358 the provision of iron mitigated the risk of clinical malaria but the effect was reversed when routine  
359 malaria management and surveillance were absent [49]. However, none of the studies included  
360 iron fortification intervention trials. It was also unclear whether the data on malaria morbidities  
361 were obtained by parental report or whether the children were examined by trained fieldworkers.  
362 Our study used powdered iron fortificant (ferrous fumarate) with different absorption  
363 characteristics from the iron supplements (provided in the form of iron and folic acid tablets) used  
364 in the Zanzibar trial. Furthermore, the microencapsulation of the iron (ferrous fumarate) protected  
365 the iron in the food matrix from oxidation, which likely reduced and delayed peak plasma iron  
366 concentrations [50-52]. This may have reduced the level of freely accessible iron in circulation  
367 and mitigated the risk of malaria.

368

369 Our study was conducted in the rainy season when malaria transmission was high, resulting in  
370 very dense malaria parasitaemia in both groups (Table 1) though, the Fe intervention had no  
371 influence on the level of malaria parasitaemia [26]. Per-protocol analysis, (49 % of the Fe group,



372 n = 1023) similar findings to the intent to treat (ITT) analysis in terms of the overall incidence of  
373 clinical and severe malaria was observed. Contrary to the ITT analysis, however, the risk of clinical  
374 malaria on the impact of the fortificant powder with iron was not modified by baseline iron  
375 deficiency or anaemia status. Normally, as maternal passively-acquired immunity wanes in infants  
376 and young children, malaria becomes a major contributor to anaemia due to direct haemolysis of  
377 both parasitized and uninfected erythrocytes by the body's immune system and also temporary  
378 bone marrow malfunction [3, 53]. Other contributors of anaemia are consumption of semi-solid  
379 weaning diet low in Fe that mostly contains non-bioavailable iron [54]. The high demand for more  
380 dietary Fe during childhood development may be a limiting factor among infants and young  
381 children from low income or poor homes [54]. After the study, a total of five deaths were recorded,  
382 malaria and septicaemia accounted for three deaths (1 for Fe versus 2 for non-Fe children) and  
383 both malnutrition with severe dehydration and road traffic accident (both Fe children) claimed one  
384 life each according to reports from conducted medical and verbal autopsy. But these child  
385 mortalities were not related to MNP intervention in our study. Yet these findings were not  
386 influenced by the MNP intervention.

387

## 388 **Conclusion**

389 Our findings did not only addressed a research gap in knowledge but advocated an important  
390 nutritional policy that will mitigate childhood iron deficiency and anaemia if implemented in iron  
391 supplementation programme as a preventive strategy based on recommendations from WHO and  
392 UNICEF [3, 18]. However, for ethical reasons, the provision of ITNs and prompt / appropriate  
393 malaria treatment (whenever indicated) ensured that all the children benefited from the prevailing  
394 malaria control activities. Therefore, we are confident that our results and the current WHO

395 guidelines [3] recommending the use of MNP to treat and prevent iron deficiency and anaemia  
396 among preschool children should rekindled the interest and advocacy to implement MNP use as a  
397 national nutrition policy in malaria endemic country like Ghana.

398

## 399 **Declarations**

400

### 401 **Ethical Approval and Consent to participate**

402 Ethics approval for the original clinical trial was obtained from the Ghana Health Service (GHS)  
403 Ethical Review Committee, Food and Drugs Authority (FDA) of Ghana, Kintampo Health  
404 Research Centre (KHRC) Institutional Ethics Committee Institutional Ethics Committee and  
405 Hospital for Sick Children (SickKids) Research Ethics Board, Canada. The secondary analysis of  
406 trial data, as well as the primary analysis of immunogenetic data, were approved by the SickKids  
407 Research Ethics Board and KHRC Institutional Ethics Committee Institutional Ethics Committee.  
408 Informed consent was obtained from each participant's primary caregiver before screening and  
409 enrolment in the trial.

410

### 411 **Consent for publication**

412 Not applicable.

413

### 414 **Availability of data and Materials**

415 The datasets supporting the conclusions of this article are available upon request.

416

417

418 **Competing Interest**

419 The authors declare that they have no competing interests.

420

421 **Funding**

422 Funding for the original study was provided by the National Institutes of Health (NIH) (grant

423 5U01HD061270-02); Eunice Kennedy Shriver National Institute of Child Health and Human

424 Development (NICHD); Office of Dietary Supplements (ODS); Kintampo Health Research Centre

425 (KHRC). The sponsor of the study had no role in study design, data collection, analysis or

426 interpretation, or writing of the report. But funding for this manuscript as part of my doctoral

427 research programme was not available (Not applicable).

428

429 **Contributors**

430 KT, FA, BA, SS, BA, TG and KP made primary contributions to overall trial development, design

431 and manuscript writing. KT coordinated the trial under the supervision of FA and KP. KT, LA and

432 DA conducted and managed the laboratory analyses. SA designed the database and data

433 management system. FO and FD conducted the statistical analyses. All the authors reviewed and

434 approved the final paper.

435

436 **Acknowledgements**

437 We would like to thank the study participants and their caregivers; the KHRC field team and staff;

438 chiefs, opinion leaders and elders of participating communities; participating health facilities; the

439 GHS staff in Wenchi and Tain; the Ethics Boards of KHRC, GHS and SickKids of Canada; the

440 DSMB; and FDA of Ghana.

441

442 **Authors' Information**

443 <sup>1</sup>Department of Biochemistry and Biotechnology, College of Sciences, Kwame Nkrumah  
444 University of Science and Technology, Kumasi, Ghana; <sup>2</sup>Kintampo Health Research Centre,  
445 Kintampo-North, Ghana; <sup>3</sup>Department of Immunology, College of Health Sciences, Noguchi  
446 Memorial Institute for Medical Research, University of Ghana, Legon, Accra, Ghana; <sup>4</sup>Department  
447 of Molecular Medicine, School of Medical Sciences, Kwame Nkrumah University of Science and  
448 Technology, Kumasi, Ghana; <sup>5</sup>Department of Biomedical Sciences, School of Allied Health  
449 Sciences, University of Cape Coast, Cape Coast, Ghana.

450

451 **References**

- 452 1. Black R, Allen L, Bhutta Z, Caulfield L, de Onis M, Ezzati M, Mathers C, Rivera J:  
453 **Maternal and Child Undernutrition Study Group: Maternal and child**  
454 **undernutrition 1-maternal and child undernutrition: global and regional exposures**  
455 **and health consequences.** *Lancet* 2008, **371**:243-260.
- 456 2. Walter T: **Effect of iron-deficiency anemia on cognitive skills and neuromaturation in**  
457 **infancy and childhood.** *Food and Nutrition Bulletin* 2003, **24**:S104-S110.
- 458 3. WHO: **Guideline: Daily Iron Supplementation in Infants and Children, WHO**  
459 **Guidelines Approved by the Guidelines Review Committee.** World Health Organization;  
460 2016.
- 461 4. WHO: **World Health Organization model list of essential medicines: 21st list 2019.**  
462 World Health Organization; 2019.
- 463 5. Horton S, Shekar M, Ajay M: **Scaling up nutrition: What will it cost?:** The World Bank;  
464 2009.

- 465 6. Muthayya S, Rah JH, Sugimoto JD, Roos FF, Kraemer K, Black RE: **The global hidden**  
466 **hunger indices and maps: an advocacy tool for action.** *PLoS One* 2013, **8**.
- 467 7. Zlotkin SH, Christofides AL, Hyder SZ, Schauer CS, Tondeur MC, Sharieff W:  
468 **Controlling iron deficiency anemia through the use of home-fortified complementary**  
469 **foods.** *The Indian Journal of Pediatrics* 2004, **71**:1015-1019.
- 470 8. Chang S, Zeng L, Brouwer ID, Kok FJ, Yan H: **Effect of iron deficiency anemia in**  
471 **pregnancy on child mental development in rural China.** *Pediatrics* 2013, **131**:e755-  
472 e763.
- 473 9. Nyakeriga AM, Troye-Blomberg M, Chemtai AK, Marsh K, Williams TN: **Malaria and**  
474 **nutritional status in children living on the coast of Kenya.** *Scandinavian Journal of*  
475 *Immunology* 2004, **59**:615-616.
- 476 10. Sazawal S, Black RE, Ramsan M, Chwaya HM, Stoltzfus RJ, Dutta A, Dhingra U, Kabole  
477 I, Deb S, Othman MK, Kabole FM: **Effects of routine prophylactic supplementation**  
478 **with iron and folic acid on admission to hospital and mortality in preschool children**  
479 **in a high malaria transmission setting: community-based, randomised, placebo-**  
480 **controlled trial.** *Lancet* 2006, **367**:133-143.
- 481 11. Murphy SC, Breman JG: **Gaps in the childhood malaria burden in Africa: cerebral**  
482 **malaria, neurological sequelae, anemia, respiratory distress, hypoglycemia, and**  
483 **complications of pregnancy.** *The American journal of tropical medicine and hygiene*  
484 2001, **64**:57-67.
- 485 12. Chandyo RK, Henjum S, Ulak M, Thorne-Lyman AL, Ulvik RJ, Shrestha PS, Locks L,  
486 Fawzi W, Strand TA: **The prevalence of anemia and iron deficiency is more common**

- 487 **in breastfed infants than their mothers in Bhaktapur, Nepal.** *European journal of*  
488 *clinical nutrition* 2016, **70**:456-462.
- 489 13. Breman JG: **The ears of the hippopotamus: manifestations, determinants, and**  
490 **estimates of the malaria burden.** *Am J Trop Med Hyg* 2001, **64**:1-11.
- 491 14. Miller JL: **Iron deficiency anemia: a common and curable disease.** *Cold Spring Harbor*  
492 *perspectives in medicine* 2013, **3**:a011866.
- 493 15. Grantham-McGregor S, Ani C: **A review of studies on the effect of iron deficiency on**  
494 **cognitive development in children.** *The Journal of nutrition* 2001, **131**:649S-668S.
- 495 16. Lozoff B, Jimenez E, Hagen J, Mollen E, Wolf AW: **Poorer behavioral and**  
496 **developmental outcome more than 10 years after treatment for iron deficiency in**  
497 **infancy.** *Pediatrics* 2000, **105**:e51-e51.
- 498 17. Arija V, Hernández-Martínez C, Tous M, Canals J, Guxens M, Fernández-Barrés S,  
499 Ibarluzea J, Babarro I, Soler-Blasco R, Llop S: **Association of Iron Status and Intake**  
500 **During Pregnancy with Neuropsychological Outcomes in Children Aged 7 Years: The**  
501 **Prospective Birth Cohort Infancia y Medio Ambiente (INMA) Study.** *Nutrients* 2019,  
502 **11**:2999.
- 503 18. WHO/UNICEF: **Iron supplementation of young children in regions where malaria**  
504 **transmission is intense and infectious disease highly prevalent: Joint**  
505 **statement.** Geneva, Switzerland: World Health Organization and UNICEF. World  
506 Health Organization and UNICEF; 2006.
- 507 19. De-Regil LM, Suchdev PS, Vist GE, Walleser S, Pena-Rosas JP: **Home fortification of**  
508 **foods with multiple micronutrient powders for health and nutrition in children under**  
509 **two years of age.** *Cochrane database of systematic reviews (Online)* 2011, **9**:CD008959.

- 510 20. GSS: *2010 Population & Housing Census: Brong-Ahafo Region*. Ghana Statistical  
511 Service; 2013.
- 512 21. Owusu-Agyei S, Asante KP, Adjuik M, Adjei G, Awini E, Adams M, Newton S, Dosoo  
513 D, Dery D, Agyeman-Budu A, et al: **Epidemiology of malaria in the forest-savanna  
514 transitional zone of Ghana**. *Malar J* 2009, **8**:220.
- 515 22. Addy-Tayie N: **3D-Printed Microscope Accessory: Affordable Technology for  
516 Efficient Diagnostics**. 2019.
- 517 23. WHO: **Worldwide prevalence of anaemia 1993-2005**. In *WHO Global Database on  
518 Anaemia*. Geneva, Switzerland: World Health Organization; 2008.
- 519 24. da Rocha Silla LM: **Intermittent Preventive Treatment with Dihydroartemisinin-  
520 Piperaquine in Ugandan Schoolchildren Selects for Plasmodium falciparum  
521 Transporter Polymorphisms That Modify Drug Sensitivity**. *Blood Cells Mol Dis* 2016,  
522 **60**:5649-5654.
- 523 25. **Dietary Reference Intakes Tables and Application**  
524 [<http://www.iom.edu/Activities/Nutrition/SummaryDRIs/DRI-Tables.aspx>]
- 525 26. Zlotkin S, Newton S, Aimone AM, Azindow I, Amenga-Etego S, Tchum K, Mahama E,  
526 Thorpe KE, Owusu-Agyei S: **Effect of iron fortification on malaria incidence in infants  
527 and young children in Ghana: a randomized trial**. *JAMA* 2013, **310**:938-947.
- 528 27. WHO: *Guidelines for the treatment of malaria*. World Health Organization; 2015.
- 529 28. Pepys MB: **C-reactive protein fifty years on**. *Lancet* 1981, **1**:653-657.
- 530 29. Verhoef H, West CE, Ndeto P, Burema J, Beguin Y, Kok FJ: **Serum transferrin receptor  
531 concentration indicates increased erythropoiesis in Kenyan children with  
532 asymptomatic malaria**. *Am J Clin Nutr* 2001, **74**:767-775.

- 533 30. UNICEF: **Malaria Prevention and Treatment**. In *Promoting Rational use of Drugs and*  
534 *Correct Case Management in Basic Health Services*. New York, USA: UNICEF; 2000.
- 535 31. Zlotkin S, Antwi KY, Schauer C, Yeung G: **Use of microencapsulated iron (II) fumarate**  
536 **sprinkles to prevent recurrence of anaemia in infants and young children at high risk**.  
537 *Bulletin of the World Health Organization* 2003, **81**:108-115.
- 538 32. Adam I: **Anemia, Iron Supplementation and Susceptibility to Plasmodium falciparum**  
539 **Malaria**. *EBioMedicine* 2016, **14**:13-14.
- 540 33. Ganz T: **Hepcidin and iron regulation, 10 years later**. *Blood* 2011, **117**:4425-4433.
- 541 34. Goheen M, Wegmüller R, Bah A, Darboe B, Danso E, Affara M, Gardner D, Patel J,  
542 Prentice A, Cerami C: **Anemia offers stronger protection than sickle cell trait against**  
543 **the erythrocytic stage of falciparum malaria and this protection is reversed by iron**  
544 **supplementation**. *EBioMedicine* 2016, **14**:123-130.
- 545 35. Paganini D, Zimmermann MB: **The effects of iron fortification and supplementation on**  
546 **the gut microbiome and diarrhea in infants and children: a review**. *The American*  
547 *journal of clinical nutrition* 2017, **106**:1688S-1693S.
- 548 36. Armstrong AL: **Anemia in Central-Asia Pre-school Children: Definition, Risk Factors**  
549 **and Evaluation of Home Fortification Intervention**. 2009.
- 550 37. Pasricha S-R, Hayes E, Kalumba K, Biggs B-A: **Effect of daily iron supplementation on**  
551 **health in children aged 4–23 months: a systematic review and meta-analysis of**  
552 **randomised controlled trials**. *The Lancet Global Health* 2013, **1**:e77-e86.
- 553 38. Thompson J, Biggs B-A, Pasricha S-R: **Effects of daily iron supplementation in 2-to 5-**  
554 **year-old children: systematic review and meta-analysis**. *Pediatrics* 2013, **131**:739-753.



- 555 39. Low M, Farrell A, Biggs B-A, Pasricha S-R: **Effects of daily iron supplementation in**  
556 **primary-school-aged children: systematic review and meta-analysis of randomized**  
557 **controlled trials.** *CMAJ* 2013, **185**:E791-E802.
- 558 40. Bryszewska MA, Laghi L, Zannoni A, Gianotti A, Barone F, Saa T, Danielle L, Bacci ML,  
559 Ventrella D, Forni M: **Bioavailability of microencapsulated iron from fortified bread**  
560 **assessed using piglet model.** *Nutrients* 2017, **9**:272.
- 561 41. Prentice AM, Verhoef H, Cerami C: **Iron fortification and malaria risk in children.**  
562 *JAMA* 2013, **310**:914-915.
- 563 42. Reichert CO, Da Cunha J, Levy D, Maselli LMF, Bydlowski SP, Spada C: **Hepcidin:**  
564 **homeostasis and diseases related to iron metabolism.** *Acta haematologica* 2017,  
565 **137**:220-236.
- 566 43. Burté F, Brown BJ, Orimadegun AE, Ajetunmobi WA, Afolabi NK, Akinkunmi F,  
567 Kowobari O, Omokhodion S, Osinusi K, Akinbami FO: **Circulatory hepcidin is**  
568 **associated with the anti-inflammatory response but not with iron or anemic status in**  
569 **childhood malaria.** *Blood* 2013, **121**:3016-3022.
- 570 44. Mwangi MN, Maskey S, Andang'o PE, Shinali NK, Roth JM, Trijsburg L, Mwangi AM,  
571 Zuilhof H, van Lagen B, Savelkoul HF: **Diagnostic utility of zinc protoporphyrin to**  
572 **detect iron deficiency in Kenyan pregnant women.** *BMC medicine* 2014, **12**:229.
- 573 45. Zimmermann MB, Molinari L, Staubli-Asobayire F, Hess SY, Chaouki N, Adou P, Hurrell  
574 RF: **Serum transferrin receptor and zinc protoporphyrin as indicators of iron status**  
575 **in African children.** *The American journal of clinical nutrition* 2005, **81**:615-623.

- 576 46. Teshome EM, Prentice AM, Demir AY, Andang'o PE, Verhoef H: **Diagnostic utility of**  
577 **zinc protoporphyrin to detect iron deficiency in Kenyan preschool children: a**  
578 **community-based survey.** *BMC hematology* 2017, **17**:11.
- 579 47. Kanuri G, Chichula D, Sawhney R, Kuriakose K, De'Souza S, Pais F, Arumugam K, Shet  
580 AS: **Optimizing diagnostic biomarkers of iron deficiency anemia in community-**  
581 **dwelling Indian women and preschool children.** *haematologica* 2018, **103**:1991-1996.
- 582 48. Zimmermann MB: **Methods to assess iron and iodine status.** *Br J Nutr* 2008, **99 Suppl**  
583 **3**:S2-9.
- 584 49. Ojukwu JU, Okebe JU, Yahav D, Paul M: **Oral iron supplementation for preventing or**  
585 **treating anaemia among children in malaria-endemic areas.** *Cochrane Database Syst*  
586 *Rev* 2009:CD006589.
- 587 50. Bergdahl B, Bogentoft C, Jonsson UE, Magnusson JO: **Fasting and postprandial**  
588 **absorption of digoxin from a microencapsulated formulation.** *Eur J Clin Pharmacol*  
589 1983, **25**:207-210.
- 590 51. Olver JS, Burrows GD, Norman TR: **The treatment of depression with different**  
591 **formulations of venlafaxine: a comparative analysis.** *Hum Psychopharmacol* 2004,  
592 **19**:9-16.
- 593 52. Baldi A, Bontempo V, Cheli F, Carli S, Sgoifo Rossi C, Dell'Orto V: **Relative**  
594 **bioavailability of vitamin E in dairy cows following intraruminal administration of**  
595 **three different preparations of DL-alpha-tocopheryl acetate.** *Vet Res* 1997, **28**:517-  
596 524.
- 597 53. Menendez C, Fleming A, Alonso P: **Malaria-related anaemia.** *Parasitology today* 2000,  
598 **16**:469-476.

599 54. Mwangi MN, Roth JM, Smit MR, Trijsburg L, Mwangi AM, Demir AY, Wielders JP,  
600 Mens PF, Verweij JJ, Cox SE: **Effect of daily antenatal iron supplementation on**  
601 **Plasmodium infection in Kenyan women: a randomized clinical trial.** *Jama* 2015,  
602 **314:**1009-1020.  
603