



## 42 **Background**

43 *Daphnia*, commonly known as the water flea, is a small crustacean usually inhabiting freshwa-  
44 ter ponds and lakes on all continents of the globe. It has long been used as a model for elucidation of  
45 animal responses and adaptations to environmental changes (1). It has been also used in diverse bio-  
46 logical research areas such as ecology, ecotoxicology, evolution, and reproductive biology due to its  
47 important position in the aquatic food chain, a high degree of phenotypic plasticity, and cyclical par-  
48 thenogenesis responding to environmental stimuli (1-7). Its sensitive behavioral and physiological re-  
49 sponses are parameters used as biomarkers of the effect induced by various substances (1-8). Thus, it  
50 has been used for reproduction tests, acute toxicity studies, and chronic toxicity tests in the OECD  
51 Guidelines (9, 10). The current state of knowledge of the nuclear genome of *Daphnia magna* (*D.*  
52 *magna*) is based on reports by Routtu et al. (11, 12), Dukic et al. (13), and Lee et. al. (14). Low- and  
53 high-density genetic linkage maps were obtained, in which they assembled the whole genome se-  
54 quence of *D. magna*. Specific genetic markers from a high-resolution genetic linkage map of *D. magna*  
55 xinb3 were evaluated in toxicological studies by Korea Institute of Toxicology (KIT) (14).

56 Genomic resources are steadily being developed for many species of the genus *Daphnia*. In  
57 particular, a database of around 12,000 expressed sequence tags (EST) is currently available  
58 (<http://wfleabase.org>) (15), providing a useful resource to isolate polymorphic genetic markers in this  
59 species. However, there is no information about pseudogenic sequences of mitochondrial origin  
60 (NUMTs) in the *D. magna* genome.

61 Nuclear DNA sequences that are homologous to the mitochondrial genome are often referred  
62 to as mitochondrial pseudogenes, or NUMTs (16). NUMTs may differ in length and be as large as the  
63 full length of the mitochondrial genome (17). It has been reported that the NUMT length is positively  
64 correlated with the genome size, suggesting potential roles of non-coding DNA gain and loss in NUMT  
65 accumulation (18). NUMTs have been documented in almost all eukaryotic genomes studied (19). The

66 transfer of mitochondrial DNA (mtDNA) sequences into the nuclear genome is an ongoing evolution-  
67 ary process (20), which has markedly influenced the evolution and function of eukaryotic genomes  
68 (19). Thus, NUMTs are good materials for studying the evolution of nuclear sequence without selec-  
69 tive constraints (21). However, because of their homology, NUMTs may confound mtDNA studies, as  
70 the NUMT co-amplification product could interfere with sequence analysis (22).

71 This is the first study in which the *D. magna* nuclear genome deposited in the GenBank  
72 database was analyzed for pseudogene sequences of mitochondrial origin. The aim of the present study  
73 was to identify NUMTs, their length, homology, and location for potential use in evolutionary studies  
74 and to check whether their occurrence causes co-amplification during mitochondrial genome analyses.

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## 77 **Results**

78 Bioinformatic analysis showed 1909 fragments of the mitochondrial genome, of which 1630  
79 fragments were located in ten linkage groups (LG) of the nuclear genome of *D. magna*. The other  
80 fragments were localized from scaffolds and used during genome sequencing. All the NUMTs found  
81 in this research are listed in Supplementary file 1. The total length of the NUMT sequences in the  
82 linkage groups corresponded to the number of fragments on individual LG (Table 1). The total length  
83 of NUMTs in the *D. magna* genome was 44.391 base pairs (bp), which accounted for 0.042% of the  
84 length of the nuclear genome. Their percentage content was 0.037% in the longest linkage group 2, in  
85 which 228 NUMTs were identified, and 0.047% in the shortest linkage group 10 (Table 1). The most  
86 frequently occurring fragments of the mtDNA sequence in the nuclear genome included *ND2* (115),  
87 *ND3* (113), *TRNA-CYS* (110), and 16S rRNA (105). However, the highest number of NUMTs was  
88 observed for the non-coding area, i.e. the D-loop (147) (Table 2). The lowest numbers of mtDNA  
89 fragments found in nDNA were observed mainly for genes encoding tRNA molecules: *TRNA-PRO*  
90 and *TRNA-MET* (6), *TRNA-TYR* and *TRNA-ASN* (4), and *TRNA-SERI* (2). In contrast, fragments of  
91 the mitochondrial gene sequence *TRNA-ILE* were the only sequences that were not found in the nuclear

92 genome of *D. magna*. The highest numbers of mtDNA fragments were recorded on LG2 - 228, and  
 93 the lowest - on LG8 - 134 (Table 2).

94 The longest fragments of the mitochondrial genome present in the nuclear genome were ob-  
 95 served for the D-loop (182 bp), *ND4* (108 bp), *ND3* (99 bp), and *ND5* and *COX3* (94 bp each) (Table  
 96 3). The 182-bp fragment of the D-loop constituted 63% of the entire sequence of this region. In con-  
 97 trast, in the case of the other protein-encoding genes, the fragment size ranged from 4% (*CYTB*) to  
 98 32% (*ATP8*). In turn, NUMTs were recorded among genes encoding tRNA, constituting over 90% of  
 99 the mtDNA gene sequence: *TRNA-ARG* and *TRNA-THR* (95% each) and *TRNA-GLU* (97%). All the  
 100 analyzed sequence fragments had a minimum length in the range of 16-25 bp (Table 3).

101 Of the 1630 NUMTs (Table 1), 253 fragments, representing 16% of all NUMTs, showed 100%  
 102 homology with the mtDNA gene sequences. 100% sequence homology for *TRNA-MET* was found for  
 103 all 6 NUMTs (Tables 2 and 4). 23 NUMTs whose sequence homology was 100% were observed for  
 104 the D-loop region and 21 NUMTs for the gene *ND3*. In contrast, in the case of genes *TRNA-SER1* and  
 105 *TRNA-ALA*, no NUMTs with 100% sequence homology were observed (Table 2). The mean values of  
 106 the percentage of sequence identity ranged from 88.0% (*ATP8*) to 100% (*TRNA-MET*). The percentage  
 107 identity for the individual linkage groups was in the range of 90-91%. The largest homology was rec-  
 108 orded on LG10 (90.7%) and the lowest - on LG6 (90.2%) (Table 4). At least one sequence with 100%  
 109 homology was identified on each of the linkage groups.

110 **Table 1** Percentage content of NUMTs in the linkage groups of nuclear genomes

Linkage group (LG)	PHYSICAL LENGTH (BP) FROM LEE ET. AL 2019	SUM OF NUMTS LENGTHS (BP)	PERCENTAGE CONTENT OF NUMTS ON LG
LG1	14,058,888	5,063	0.036%
LG2	16,351,056	6,071	0.037%
LG3	11,081,246	4,389	0.040%
LG4	10,002,879	4,147	0.041%
LG5	10,116,075	4,600	0.045%
LG6	9,588,688	3,997	0.042%
LG7	10,149,764	4,829	0.048%
LG8	9,006,911	3,533	0.039%
LG9	8,299,553	3,966	0.048%
LG10	8,061,327	3,796	0.047%
Total	<b>106,716,387</b>	<b>44,391</b>	<b>0.042%</b>

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**Table 2** Distribution of mtDNA gene fragments in the linkage groups and the sum of fragment lengths

mtDNA SEQUENCE	LG										NUMBER OF NUMTs (100% IDENTICAL)*	SUM OF GENE FRAGMENTS (bp)
	1	2	3	4	5	6	7	8	9	10		
<i>TRNA-GLN</i>	3	6	5	7	2	5	3	2	5	4	<b>42</b> (15)	966
<i>TRNA-MET</i>	2	2	1	1	-	-	-	-	-	-	<b>6</b> (6)	102
<i>ND2</i>	12	18	15	12	12	9	12	12	6	7	<b>115</b> (15)	3235
<i>TRNA-TRP</i>	3	2	3	3	2	-	-	3	1	2	<b>19</b> (6)	447
<i>TRNA-CYS</i>	11	13	9	14	5	14	11	10	11	12	<b>110</b> (12)	2596
<i>TRNA-TYR</i>	-	1	-	-	-	-	-	1	1	1	<b>4</b> (1)	100
<i>COX1</i>	1	1	2	2	5	3	7	3	3	4	<b>31</b> (2)	1175
<i>TRNA-LEU1</i>	5	1	9	3	1	6	5	3	1	2	<b>36</b> (10)	786
<i>COX2</i>	2	6	3	4	14	7	5	5	2	4	<b>52</b> (9)	1492
<i>TRNA-LYS</i>	-	2	3	-	2	-	2	2	-	1	<b>12</b> (3)	290
<i>TRNA-ASP</i>	3	-	1	2	-	2	1	3	-	-	<b>12</b> (1)	273
<i>ATP8</i>	-	5	1	2	-	1	3	2	1	-	<b>15</b> (3)	438
<i>ATP6</i>	4	7	4	4	5	2	2	1	2	3	<b>34</b> (2)	957
<i>COX3</i>	3	2	2	-	4	2	3	-	3	4	<b>23</b> (3)	717
<i>TRNA-GLY</i>	2	3	4	-	2	1	5	2	2	2	<b>23</b> (3)	512
<i>ND3</i>	17	17	14	6	9	14	10	9	15	2	<b>113</b> (21)	2876
<i>TRNA-ALA</i>	1	6	1	5	1	1	1	2	-	1	<b>19</b> (0)	407
<i>TRNA-ARG</i>	2	1	5	5	2	5	-	1	1	3	<b>25</b> (4)	695
<i>TRNA-ASN</i>	1	1	-	1	-	-	-	-	1	-	<b>4</b> (1)	112
<i>TRNA-SER1</i>	-	-	-	-	-	-	-	2	-	-	<b>2</b> (0)	52
<i>TRNA-GLU</i>	5	7	4	2	4	3	4	4	5	-	<b>38</b> (8)	924
<i>TRNA-PHE</i>	-	1	-	-	2	1	-	2	2	1	<b>9</b> (2)	187
<i>ND5</i>	17	15	7	11	7	10	10	3	10	8	<b>98</b> (10)	3244
<i>TRNA-HIS</i>	-	3	1	2	2	3	7	1	3	2	<b>24</b> (6)	536
<i>ND4</i>	7	4	3	4	2	1	2	4	3	7	<b>37</b> (1)	1194
<i>ND4L</i>	4	3	3	1	1	2	1	2	-	6	<b>23</b> (2)	615
<i>TRNA-THR</i>	5	2	-	-	2	-	3	3	2	-	<b>17</b> (9)	355
<i>TRNA-PRO</i>	-	-	1	3	-	-	1	-	1	-	<b>6</b> (1)	151
<i>ND6</i>	9	14	12	7	5	8	14	10	4	8	<b>91</b> (7)	2445
<i>CYTB</i>	8	14	7	5	6	5	4	3	6	4	<b>62</b> (8)	1631
<i>TRNA-SER2</i>	9	7	9	3	9	6	5	4	5	11	<b>68</b> (16)	2644
<i>ND1</i>	15	12	9	13	6	11	13	6	5	6	<b>96</b> (16)	1486
<i>TRNA-LEU2</i>	-	3	-	-	1	1	-	1	-	-	<b>6</b> (2)	135
<i>16S rRNA</i>	11	19	3	7	15	8	11	11	10	10	<b>105</b> (10)	3700
<i>TRNA-VAL</i>	2	2	1	1	3	1	2	2	-	-	<b>14</b> (4)	320
<i>12S rRNA</i>	12	16	6	7	5	6	12	5	13	10	<b>92</b> (10)	2617
<i>TRNA-ILE</i>	-	-	-	-	-	-	-	-	-	-	<b>0</b>	0
<b>D-LOOP</b>	13	12	22	13	29	12	12	10	11	13	<b>147</b> (23)	3979
<b>SUM</b>	<b>189</b>	<b>228</b>	<b>170</b>	<b>150</b>	<b>165</b>	<b>150</b>	<b>171</b>	<b>134</b>	<b>135</b>	<b>138</b>	<b>1630</b> (253)	
<b>SUM OF FRAGMENTS IN THE LG (bp)</b>	5063	6071	4389	4147	4600	3997	4829	3533	3966	3796		44391

\*The counts of NUMTs that were 100% identical with the sequence from mtDNA are indicated in brackets.

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**Table 3** Minimal and maximal length of each mtDNA gene fragments located in the nuclear genome

SEQUENCE	LENGTH (IN BP)	MIN (IN BP)	% MIN	MAX (IN BP)	% MAX
<i>TRNA-GLN</i>	68	16	24%	44	65%
<i>TRNA-MET</i>	65	16	25%	18	28%
<i>ND2</i>	987	18	2%	58	6%
<i>TRNA-TRP</i>	64	16	25%	38	59%
<i>TRNA-CYS</i>	64	16	25%	40	63%
<i>TRNA-TYR</i>	64	18	28%	35	55%
<i>COX1</i>	1537	19	1%	72	5%
<i>TRNA-LEU1</i>	68	16	24%	39	57%
<i>COX2</i>	679	18	3%	52	8%
<i>TRNA-LYS</i>	70	17	24%	35	50%
<i>TRNA-ASP</i>	63	17	27%	32	51%
<i>ATP8</i>	168	17	10%	54	32%
<i>ATP6</i>	675	18	3%	47	7%
<i>COX3</i>	789	19	2%	94	12%
<i>TRNA-GLY</i>	63	16	25%	32	51%
<i>ND3</i>	354	17	5%	99	28%
<i>TRNA-ALA</i>	62	19	31%	28	45%
<i>TRNA-ARG</i>	64	16	25%	<b>61</b>	<b>95%</b>
<i>TRNA-ASN</i>	67	16	24%	45	67%
<i>TRNA-SER1</i>	65	25	38%	27	42%
<i>TRNA-GLU</i>	65	16	25%	<b>63</b>	<b>97%</b>
<i>TRNA-PHE</i>	68	16	24%	26	38%
<i>ND5</i>	1708	19	1%	94	6%
<i>TRNA-HIS</i>	63	16	25%	42	67%
<i>ND4</i>	1315	19	1%	108	8%
<i>ND4L</i>	306	17	6%	38	12%
<i>TRNA-THR</i>	63	16	25%	<b>60</b>	<b>95%</b>
<i>TRNA-PRO</i>	64	16	25%	34	53%
<i>ND6</i>	504	18	4%	64	13%
<i>CYTB</i>	1133	18	2%	46	4%
<i>ND1</i>	927	18	2%	88	9%
<i>TRNA-SER2</i>	69	16	23%	35	51%
<i>TRNA-LEU2</i>	67	16	24%	27	40%
<i>16S rRNA</i>	1373	19	1%	78	6%
<i>TRNA-VAL</i>	72	16	22%	38	53%
<i>12S rRNA</i>	752	18	2%	72	10%
<i>TRNA-ILE</i>	64	-	-	-	-
<b>D-LOOP</b>	289	17	6%	<b>182</b>	<b>63%</b>

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131 **Table 4** Mean % identity of mtDNA gene fragments located in the linkage groups

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SEQUENCE	LG1	LG2	LG3	LG4	LG5	LG6	LG7	LG8	LG9	LG10	MEAN % IDENTITY FOR EACH GENE
<i>TRNA-GLN</i>	93.8	88.8	94.3	92.9	92.3	85.1	97.1	89.4	90.5	95.0	<b>91.6</b>
<i>TRNA-MET</i>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	-	-	-	-	-	-	<b>100.0</b>
<i>ND2</i>	88.7	91.4	90.2	88.0	89.3	88.4	91.2	90.0	87.2	87.2	<b>89.5</b>
<i>TRNA-TRP</i>	90.9	95.2	88.3	90.2	93.8	-	-	89.7	<b>100.0</b>	94.0	<b>91.8</b>
<i>TRNA-CYS</i>	92.6	89.7	89.1	89.9	88.4	93.3	91.4	87.6	88.7	92.4	<b>90.5</b>
<i>TRNA-TYR</i>	-	85.7	-	-	-	-	-	<b>100.0</b>	94.7	97.1	<b>94.4</b>
<i>COX1</i>	79.5	92.3	82.6	94.6	87.8	82.2	88.3	90.7	92.8	95.4	<b>89.1</b>
<i>TRNA-LEU1</i>	91.0	<b>100.0</b>	92.5	91.9	<b>100.0</b>	93.6	91.5	95.2	86.2	83.3	<b>92.2</b>
<i>COX2</i>	81.6	95.4	86.5	87.5	92.1	90.2	91.1	89.0	85.0	89.0	<b>90.2</b>
<i>TRNA-LYS</i>	-	95.2	86.5	-	98.6	-	95.1	91.9	-	90.5	<b>92.6</b>
<i>TRNA-ASP</i>	96.8	-	95.0	84.4	-	85.6	90.9	91.5	-	-	<b>90.9</b>
<i>ATP8</i>	-	88.4	88.5	84.8	-	<b>100.0</b>	84.5	87.7	90.9	-	<b>88.0</b>
<i>ATP6</i>	86.9	85.1	90.4	88.4	93.1	90.3	86.9	95.2	85.5	92.7	<b>88.9</b>
<i>COX3</i>	84.9	90.5	87.6	-	90.5	91.3	97.1	-	88.3	94.6	<b>90.9</b>
<i>TRNA-GLY</i>	90.4	92.1	92.0	-	95.2	90.9	94.3	85.0	95.2	88.3	<b>92.0</b>
<i>ND3</i>	90.2	91.5	89.2	88.5	96.1	91.1	90.3	89.9	91.5	95.7	<b>91.0</b>
<i>TRNA-ALA</i>	90.5	93.7	94.7	89.8	94.7	90.9	88.0	90.7	-	94.7	<b>91.9</b>
<i>TRNA-ARG</i>	89.0	90.5	92.0	94.3	89.7	87.0	-	90.9	94.7	97.7	<b>91.7</b>
<i>TRNA-ASN</i>	84.6	<b>100.0</b>	-	88.0	-	-	-	-	95.6	-	<b>92.0</b>
<i>TRNA-SERI</i>	-	-	-	-	-	-	-	90.3	-	-	<b>90.3</b>
<i>TRNA-GLU</i>	89.8	90.8	89.3	90.2	93.0	95.1	87.6	91.6	96.2	-	<b>91.5</b>
<i>TRNA-PHE</i>	-	<b>100.0</b>	-	-	90.1	90.5	-	95.5	92.6	91.3	<b>93.1</b>
<i>ND5</i>	89.5	88.0	86.1	92.5	89.4	92.4	86.8	86.0	89.5	88.6	<b>89.2</b>
<i>TRNA-HIS</i>	-	95.1	<b>100.0</b>	<b>100.0</b>	80.7	87.6	91.2	88.9	89.0	<b>100.0</b>	<b>91.8</b>
<i>ND4</i>	89.5	86.1	90.9	87.3	93.0	89.7	87.3	88.5	88.7	94.1	<b>89.8</b>
<i>ND4L</i>	86.1	87.4	84.6	82.9	<b>100.0</b>	88.9	95.0	87.3	-	90.8	<b>88.5</b>
<i>TRNA-THR</i>	98.3	89.5	-	-	95.0	-	98.3	<b>100.0</b>	94.0	-	<b>96.6</b>
<i>TRNA-PRO</i>	-	-	91.3	90.7	-	-	<b>100.0</b>	-	92.6	-	<b>92.7</b>
<i>ND6</i>	92.9	89.0	89.7	92.6	87.8	90.9	89.1	89.2	88.7	92.6	<b>90.2</b>
<i>CYTB</i>	91.1	89.4	89.8	93.0	91.1	87.1	88.9	93.4	92.2	90.9	<b>90.5</b>
<i>ND1</i>	90.7	92.7	93.1	88.3	92.3	88.3	91.5	92.5	90.6	86.1	<b>90.6</b>
<i>TRNA-SER2</i>	95.3	92.3	94.4	95.2	89.4	93.6	96.6	90.2	90.3	88.8	<b>92.3</b>
<i>TRNA-LEU2</i>	-	92.0	-	-	85.2	85.2	-	<b>100.0</b>	-	-	<b>91.1</b>
<i>16S rRNA</i>	86.3	87.6	81.7	91.9	87.1	88.4	86.4	87.9	86.1	92.7	<b>87.8</b>
<i>TRNA-VAL</i>	<b>100.0</b>	83.5	90.5	92.0	90.9	90.5	83.3	<b>100.0</b>	-	-	<b>91.4</b>
<i>12S rRNA</i>	89.1	89.9	88.2	87.8	90.2	88.9	89.2	93.0	93.1	85.5	<b>89.5</b>
<b>D-LOOP</b>	91.9	90.0	91.7	91.8	88.8	91.0	90.3	92.6	91.5	88.0	<b>90.6</b>
MEAN % IDENTITY FOR EACH LG	<b>90.6</b>	<b>90.3</b>	<b>90.3</b>	<b>90.5</b>	<b>90.4</b>	<b>90.2</b>	<b>90.4</b>	<b>90.6</b>	<b>90.6</b>	<b>90.7</b>	<b>90.4</b>

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134 **Discussion**

135 This is the first study in which the *D. magna* nuclear genome deposited in the GenBank data-

136 base was analyzed for pseudogene sequences of mitochondrial origin. The first complete information

137 about the genome of *D. magna* was published by Lee et al. in 2019 (14). To date, there is no infor-  
138 mation about pseudogenes localized in the genome of the water flea. Our research provides complete  
139 bioinformatic information about the location of NUMTs found in the reference genome, which may  
140 be useful for future phylogenetics, evolution, and/or population analyses.

141 A computer-based search for NUMTs in the nuclear genome of 85 species of animals, plants,  
142 fungi, and protists showed that the total length of detected NUMTs varied from 0 to 823.9 kb per  
143 nuclear genome (24). For instance, the NUMT content was 0 in *Anopheles gambiae*, 263.478 bp in  
144 *Homo sapiens*, and more than 800 kbp in *Oryza sativa* (24). In the case of *D. magna* (Table 1), the  
145 overall length of NUMT in the nuclear genome was 44.391 bp. The total length of 24 NUMTs was  
146 9.989 bp in the *Pteromalus puparum* genome, and 42.972 bp in *Nasoni vitripennis* (25), and more than  
147 230 kbp in *Apis mellifera* (26). There seems to be a positive correlation between the haploid genome  
148 sizes (C-values) and NUMT amount/prevalence in eukaryotes (27).

149 Another explanation for the differences in the total length of NUMTs is the fact that they accu-  
150 mulate in the genomes in a continuous evolutionary process (18). Like in other species, e.g. *M. lucifu-*  
151 *gus* (28), the percentage of NUMTs in the genome was less than 0.1%. In contrast, the number and  
152 length of NUMTs may vary depending on the computer-based query for NUMTs in the BLAST tool,  
153 as in the case of the genome of *Canis lupus familiaris* (22, 29). In our study, the sequence search in  
154 *BLASTN 2.6.0* implemented in CLC Genomics Workbench 12.0 yielded 1909 results, although 279  
155 results were found in various scaffolds used during sequencing of the *D. magna* genome. In this paper,  
156 however, the NUMT results from the scaffold were excluded due to the potential occurrence of arti-  
157 facts created during sequencing, as observed by Shi et al. (28), where surprisingly, an entire mitochon-  
158 drial genome was found in the scaffold AAPE02072785 in the *M. lucigufus* genome. It is also worth  
159 considering that, in this work, the BLAST search result took into account all search results, even those  
160 fragments whose length was only 16 bp (Table 3). The results of the NUMTs found in scaffolds are  
161 listed in Supplementary file 1.



162 NUMTs were used to define characteristics and to clarify phylogenetic inconsistencies sug-  
163 gested by paralog sequences (18, 30). The analysis performed by Mishmar et al. (31) revealed that  
164 mtDNA fragments, which were integrated into the nucleus before the radiation of modern human  
165 mtDNAs, confirming that mtDNAs similar to today's African macro-haplogroup L were the first hu-  
166 man mtDNAs.

167 The analysis of NUMTs in *D. magna* revealed that the latest evolutionary sequences are  
168 pseudogenes derived from the sequence *TRNA-MET*, since the homology of the entire gene sequence  
169 was 100%. The lowest homology (70.33%) was characteristic for the pseudogene derived from the  
170 mtDNA D-loop sequence located on LG5 (no tabulated data). It may probably be the oldest element  
171 of mitochondrial DNA incorporated into the nuclear genome. However, due to the high degree of  
172 mutation in the D-loop, the thesis requires further verification. Similarly, NUMTs derived from *TRNA-*  
173 *ALA* and *TRNA-SERI* may have been one of the first sequences derived from mtDNA. However, by  
174 assessing only sequence homology, the sequence of incorporation of mitochondrial pseudogenes into  
175 the nuclear genome cannot be determined. Nevertheless, as observed by Mishmar et al. (31), the nu-  
176 clear genome accumulates mutational changes at a much slower rate than mtDNA. Hence, the se-  
177 quences of "recent" NUMTs can provide valuable information about the mtDNA sequences of the  
178 earliest humans.

179 NUMTs exhibit different degrees of homology to their mitochondrial counterparts. They are  
180 variable in size, evenly distributed within and among chromosomes, and, in some cases, they are highly  
181 rearranged and/or fragmented (32). However, the size of the mitochondrial chromosome does not cor-  
182 relate with the NUMT frequency or size distribution (19). The transfer to the nucleus can be influenced  
183 by the vulnerability of mitochondria to stress and other factors which may cause the escape of mtDNA  
184 to the cytoplasm (32). Mutations in mtDNA may occur in the entire mitochondrial genome; however,  
185 they are most frequently detected in the hypervariable regions of D-loops (33-35).

186           The number of mutations as well as their incidence in the D-loop area may be related to the  
187 number of NUMTs occurring in the nuclear genome.

188           The higher the mutation rate, the greater the likelihood of transfer of the D-loop fragment into  
189 the cytoplasm followed by its incorporation into the nuclear genome as a pseudogene. In the *D. magna*  
190 genome, the greatest numbers of pseudogenes from the D-loop (147) were observed, and only 23 of  
191 them had 100% sequence homology (Table 1). However, no pseudogenes derived from the *TRNA-ILE*  
192 gene sequence were observed, although this does not mean that they cannot appear in the future during  
193 the evolution of this species (Table 1).

194           The number of somatic cell divisions from the zygote to meiosis (and the loss of the nuclear  
195 envelope during each division) should influence the frequency of mitochondrion-to-nucleus DNA  
196 transfer (36). It is therefore possible for fragments from all mtDNA genes, including *TRNA-ILE*, to  
197 occur in the nuclear genome during embryogenesis independently in different individuals.

198           In the human genome, NUMTs are commonly associated with repetitive elements, suggesting  
199 a possible role for transposable elements in mtDNA integration in the nuclear genome (31). Certain  
200 NUMTs are repeated multiple times within the human genome (32, 37). In the case of the *D. magna*  
201 genome, some NUMTs were also observed, which were repeated many times in different linkage  
202 groups (no tabulated data). However, their association with repetitive elements in the nuclear genome  
203 requires additional research. The average levels of NUMT sequence homology for the individual link-  
204 age groups do not differ significantly from each other, which may indicate a random and even inclusion  
205 of sequence fragments into each of them (Table 4).

206           The cytochrome C oxidase subunit I (*COI*) has possibly been the most commonly studied  
207 marker. However, its popularity is mainly associated with its use as a maker for DNA barcoding of  
208 animal diversity (38). There are several factors causing inadequacy of mtDNA in general and *COI*  
209 individually, such as male-biased gene flow, selection on any mtDNA nucleotide(s) (as the whole

210 genome is one linkage group), retention of ancestral polymorphism, and introgression following hy-  
211 bridization (39). Presently, there are huge numbers of *COI* sequences in public databases, and most of  
212 them have a limited length, generally close to the length of the barcoding region. It is known that the  
213 possibility of the presence of NUMTs in the existing data should not be ignored (40). Since the success  
214 of taxonomic differentiation is positively correlated with the barcode length, the minibarcode length  
215 is usually kept above 100 bp. For example, an approximately 250-bp region of 16S rRNA can be suc-  
216 cessfully amplified from various medicinal preparations and food products. It provides correct identi-  
217 fication of animal species (41, 42). Gene fragments that are often used for species identification in *D.*  
218 *magna* are in the following ranges: *COXI* (19-72 bp), *CYTB* (18-46 bp), 12s rRNA (18-72 bp), and  
219 16s rRNA (19-78 bp); each of them constitutes less than 10% of the length of the entire gene (Table  
220 3). Hence, the NUMT sequences of frequently analyzed genes are generally shorter than the respective  
221 mitochondrial sequence; thus, the possibility of NUMT coamplification should decrease with an in-  
222 creased length of the targeted mitochondrial marker (24, 43, 44). However, it is worth paying attention  
223 to the coverage of NUMTs derived from the *TRNA-ARG* (95%), *TRNA-GLU* (97%), and *TRNA-THR*  
224 (95%) genes (Table 3). Perhaps, in these cases, they are not NUMTs but functional genes coding for  
225 nuclear tRNA molecules, and the differences in homology and sequence length are evolutionary mod-  
226 ifications resulting from the function performed in the nucleus, such as changes in the anti-codon re-  
227 gion.

228 NUMTs are highly polymorphic in terms of the sequence, homo/heterozygosity status, and pres-  
229 ence/absence at a specific locus (45). These features facilitate the use of NUMTs as specific population  
230 markers, as proposed for the human population by Lang et al. (46). The biological importance of  
231 NUMTs may correlate with their location on the chromosome. Depending on the location of the inser-  
232 tion, NUMTs may perturb the function of the genes (44). Additionally, *de novo* integration of NUMT  
233 pseudogenes into the nuclear genome has an adverse effect in some cases: promoting various disorders

234 and aging, as observed in humans (47). Chatre and Ricchetti (48) report that migratory mitochondrial  
235 DNA can also have an impact on the replication of the nuclear region in *Saccharomyces cerevisiae*.

236

## 237 **Conclusions**

238 This article described the first occurrence of mitochondrial pseudogenic sequences (NUMTs)  
239 in the nuclear genome of *D. magna*. There was no full sequence homology for two genes: *TRNA-SER1*  
240 as well as *TRNA-ALA* and NUMTs. The total length of NUMTs in the nuclear genome was 44.391 bp  
241 (from 16 to 182 bp), which accounted for 0.042% of the entire genome. The best-matched NUMTs  
242 covering more than 90% of the mtDNA gene sequence were identified for the *TRNA-ARG* (95%),  
243 *TRNA-GLU* (97%), and *TRNA-THR* (95%) genes, and they may be included in the functional nuclear  
244 tRNA genes. The NUMT length varied from 16 to 63 bp for *tRNA* genes, from 17 to 108 bp for coding  
245 genes, from 18 to 78 bp for *rRNA* genes, and from 17 to 182 bp for the D-loop region. Therefore, using  
246 the product of total DNA isolation in mtDNA studies, coamplification of nDNA fragments is unlikely  
247 in the case of amplification of the whole *tRNA* genes as well as fragments of other genes and the D-  
248 loop with a length exceeding 200 bp. It was observed that fragments *TRNA-MET* (from 16 to 18 bp  
249 length) had the highest level of sequence homology, which means that they could be evolutionarily the  
250 youngest. The lowest degree of homology was found in the pseudogene derived from the mtDNA D-  
251 loop sequence. It may probably be the oldest element of mitochondrial DNA incorporated into the  
252 nuclear genome; however, due to the high degree of mutation in the D-loop, the thesis requires further  
253 analysis and elucidation.

254

## 255 **Methods**

256 The whole sequence and annotation of the nuclear and mitochondrial genome of *D. magna*  
257 were obtained from GenBank (the accession numbers for the nuclear and mitochondrial genome are  
258 GCA\_003990815.1 and NC\_026914.1, respectively). The presence of NUMTs in the *D. magna*

259 nuclear genome GCA\_003990815.1 was evaluated using the BLAST (BLASTN 2.6.0) (23) program  
260 implemented within the CLC Genomics Workbench 12.0 software package  
261 (<https://www.qiagenbioinformatics.com/>). The following parameters of the BLAST approach were  
262 implemented: number of threads 64; low complexity filter (to avoid hits to sequences that are not indeed  
263 related); Match/Mismatch and Gap Costs = Match 2 Mismatch 3 Existence 5 Extension 2; Max number  
264 of hit sequences 100.

265

### 266 **Abbreviations**

267 *D. magna* - *Daphnia magna*, NUMTs - nuclear copies of mitochondrial DNA, bp - base pairs, LG -  
268 linkage groups, KIT - Korea Institute of Toxicology, EST - expressed sequence tags, nDNA - nuclear  
269 DNA, mtDNA - mitochondrial DNA, BLAST - Basic Local Alignment Search Tool, *ND1-6 and ND4L*  
270 - NADH dehydrogenase subunits 1–6 and subunit 4L, *COI-3 or COX1-3* - cytochrome oxidase subu-  
271 nits I-III, *ATP6 and 8* - ATPase subunit 6 and 8, *CYTB* - cytochrome b, 12S rRNA - gene for small  
272 subunit ribosomal RNA, 16S rRNA - Gene for large subunit ribosomal RNA, TRNA - gene coding  
273 transfer RNAs

274

275

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279

### 280 **Authors' contributions**

281 KK and BŚ designed this research, KK performed bioinformatics analyses, KK, AT, and BŚ analyzed  
282 the data and wrote the manuscript, BŚ, AB, and MP reviewed and provided editorial advice.  
283 BŚ supervised this research. All authors have read and approved the final version of the manuscript.

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290

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292 All data generated or analysed during this study are included in this published article in Supplementary  
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294

### 295 **Consent for publication**

296 Not applicable.

297

### 298 **Competing interests**

299 The authors declare that they have no competing interests.

300

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