

A method for evaluation of patient-specific lean body mass from limited-coverage CT images and its application in PERCIST: comparison with predictive equation

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Abstract

Background : To introduce a novel and reliable method for estimating LBM by limited-coverage (LC) CT images from PET/CT examinations and test its validity, then to analyse whether SUV normalised by LC-based LBM could change the PERCIST 1.0 response classifications, based on LBM estimated by the James predictive equation (PE).

Methods: First, 199 patients who received whole-body PET/CT examinations were retrospectively retrieved. A patient-specific LBM equation was developed based on the relationship between LC fat volumes (FV LC) and whole-body fat mass (FM WB). This equation was cross-validated with an independent sample of 97 patients who also received whole-body PET/CT examinations. Its results were compared with the measurement of LBM from whole-body CT (reference standard) and the results of the James PE. Then, 241 patients with solid tumours who underwent PET/CT examinations before and after treatment were retrospectively retrieved. The treatment responses were evaluated according to the PE-based and LC-based PERCIST 1.0. Concordance between them was assessed using Cohen's κ coefficient and Wilcoxon's signed-ranks test. The impact of differing LBM algorithms on PERCIST 1.0 classification was evaluated.

Results: The FV LC were significantly correlated with the FM WB ($r=0.977$). Furthermore, the results of LBM measurement evaluated with LC images were much closer to the reference standard than those obtained by the James PE. The PE-based and LC-based PERCIST 1.0 classifications were discordant in 27 patients (11.2 %; $\kappa = 0.823$, $P = 0.837$). These discordant patients' percentage changes of SUL peak were all in the interval above or below 10 % from the threshold (± 30 %), accounting for 43.5 % (27/62) of total patients in this region. The degree of variability is related to changes in LBM before and after treatment.

Conclusions: LBM algorithm-dependent variability in PERCIST 1.0 classification is a notable issue. SUV normalised by LC-based LBM could change PERCIST 1.0 response classifications based on LBM estimated by the James PE, especially for patients with a percentage variation of SUL peak close to the threshold.

Background

Quantitative ^{18}F -fluorodeoxyglucose positron emission tomography (^{18}F -FDG PET) is expected to play a major role in assessing whether a tumour is responding to therapy, allowing physicians then to quickly determine whether to continue, change, or abandon treatment [1–3]. Fundamentally, quantitative PET treatment response assessment is based on the alteration in the standardized uptake value (SUV) between baseline and follow-up studies. But many technical factors affect SUVs and may lead to spurious variation [4]. To ensure the accuracy of assessment results, the PET evaluation response criteria in solid tumours (PERCIST) 1.0 have been proposed to mitigate these problems [5].

Nevertheless, there are still many sources of error in SUV measurement [6]. PERCIST 1.0 recommends using lean body mass (LBM) normalised SUV (SUL), due to its less variable between individuals of different body weights [5]. Modern PET/CT scanners commonly use the James predictive equation (PE), which relies on sex, height (cm) and total body weight (kg), to estimate LBM [7]. However, this equation has some limitations that weaken its reliability. A previous study has shown that the James PE was significantly different from LBM determined from dual-energy x-ray absorptiometry, which is one of the best reference methods [8]. In addition, Tahari et al. found inappropriately low hepatic SUL values in the very obese female patients when using this PE [7]. Therefore, a more accurate method should be proposed to ensure the reliability of LBM.

Computed tomography (CT) has become a standard method for measuring body composition [9]. Because different tissue types vary in x-ray attenuation on the basis of their different densities and chemical compositions, they can be distinguished on CT images. Thus, LBM can also be measured from the CT image obtained during the PET/CT examination. However, conventional PET/CT examinations for most situations covered only the range from skull vertex to upper thighs and could not measure whole-body LBM. With this in mind, some have proposed using the limited-coverage (LC) CT to estimate LBM and demonstrated that LBM estimated from LC images has an excellent agreement with LBM measured on a whole-body CT [10, 11].

Intuitively, more accurate measurement of LBM estimates from LC images should produce more accurate SUL normalisations than LBM estimates from the James PE. However, the impact of the LBM algorithm on the PERCIST 1.0 classification of treatment response is unclear. PERCIST 1.0 suggests a threshold of 30% change in SUL (combined with a minimal absolute change) to define either partial response or progressive disease [5]. In practice, whether the difference between SUL normalisations would influence the response classifications, and how much the influence would be, has not been reported. Therefore, the aims of this study are to introduce a novel and reliable method to estimate LBM by LC images from PET/CT examinations and compare the results with LBM estimates of the James PE. Then, analysis of whether SUV normalised by LC images-based LBM could change the PERCIST 1.0 response classifications based on LBM estimated by the James PE.

Methods

Patients

First, a total of 296 patients who received whole-body ^{18}F -FDG PET/CT examinations between December 2011 and March 2018 were retrospectively reviewed. All patients were successively assigned into equation (106 men and 93 women) and validation (54 men and 43 women) groups. Then, patients with solid tumours who underwent ^{18}F -FDG PET/CT before and after treatment were retrospectively retrieved as the application group between the same periods. The inclusion criteria of this group were as follows: non-diabetic, still-existing ^{18}F -FDG-avid lesions and no appearance of new ^{18}F -FDG-avid lesions after treatment. Finally, a total of 241 patients (145 men and 96 women) were included in this study, including

72 with non-small cell lung cancer, 53 with head and neck tumours, 46 with breast cancer, 22 with oesophageal cancer, and 48 with colorectal cancer. The study was approved by the institutional review board of the First Affiliated Hospital of Jinan University and complied with national legislation and the Declaration of Helsinki guidelines. All patients gave consent to use their ^{18}F -FDG PET/CT results and relevant clinical data for this study.

PET/CT examinations

All ^{18}F -FDG PET/CT examinations were performed with the same protocol using a GE Discovery PET/CT 690 system. PET/CT images were acquired 50 to 70 min after intravenous injection of ^{18}F -FDG at a dose of 0.08-0.10 mCi/kg body weight. The scanning range covered the whole-body for the equation and validation groups, and covered from the top of the skull to mid-thigh for the application group. CT data were acquired in breath-hold with 120 kV, 80-160 mA modulated using the GE AutomA technique with a slice thickness of 3.75 mm, slice interval of 3.27 mm, pitch of 1.375, matrix size of 512 × 512 and scan field-of-view of 50 cm. PET data were acquired in 3D time-of-flight (TOF) mode with a 2-min scan per bed position, slice thickness of 3.27 mm, slice interval of 3.75 mm, matrix size of 192×192 and scan field-of-view of 70 cm. The PET data were reconstructed in terms of the point spread function (PSF) together with TOF technology.

Estimated LBM from the LC images: Method development and validation

Fat tissues were defined as voxels identified and measured by CT as having CT numbers between -190 and -30 Hounsfield units. A built-in software package of the Advantage Workstation (GE Healthcare) was used to calculate fat volumes (FV). A fat-tissue average density of 0.923g/mL was applied to convert whole-body FV to whole-body fat mass (FM_{WB}) [12]. LC images were obtained by truncating whole-body images. The LC region was defined from top level of the thorax to distal point of the ischium. All image slices outside of this region were omitted. The volumes of LC fat tissues (FV_{LC}) were measured using the same method.

Traditionally, LBM was equivalent to the fat free mass (FFM) [11]. Because, in practice, the FM_{WB} cannot be measured in conventional PET/CT examination, the relationships between FM_{WB} and FV_{LC} were analysed in the populations from the equation group, and an equation was developed using FM_{WB} as the dependent variable and FV_{LC} as independent variables. Subsequently, the LBM could be estimated from LC images (LBM_{LC}) according to the following equation:

$$\text{LBM}_{\text{LC}} (\text{kg}) = W (\text{kg}) - (\alpha + \beta \times \text{FV}_{\text{LC}}) (\text{kg})$$

Eq.1

where α and β are the intercept and slope of the equation we developed, respectively.

To test the reliability of this method, LBM by LC images was compared with those derived by the James PE in an independent sample of 97 patients from the validation group. The reference standard was the

measurement of LBM from whole-body CT. The James PE was defined as follows:

$$\text{LBM}_{\text{PE}} (\text{kg}) = 1.10 \times W - 120 \times (W/H)^2 \text{ (for male)}$$

$$\text{LBM}_{\text{PE}} (\text{kg}) = 1.07 \times W - 148 \times (W/H)^2 \text{ (for female)} \quad \text{Eq.2}$$

where W is weight in kg, and H is height in cm.

PERCIST evaluation

The therapeutic responses were analysed with PET volume computer-assisted reading (PET VCAR) of the Advantage Workstation (GE Healthcare). PET VCAR, using the James PE to estimate LBM and then measuring the SUL_{peak} of target lesion ($\text{SUL}_{\text{peak-PE}}$), is one program the clinician can use to assist in monitoring treatment response according to PERCIST 1.0. The detailed instructions for PET VCAR were described in our previous study [13]. According to the LBM_{PE} calculated by Equation 2 and the $\text{SUL}_{\text{peak-PE}}$ of target lesion measured by PET VCAR, the LC images-based SUL_{peak} ($\text{SUL}_{\text{peak-LC}}$) of the same target lesion could be calculated.

As defined in PERCIST 1.0 [5], we chose the hottest lesion as the target lesion on the baseline and subsequent follow-up scans. The hottest lesion on the follow-up scan could be a lesion different from the previously measured lesion, on the assumption that it had been present since baseline. On the basis of the variation of $\text{SUL}_{\text{peak-PE}}$ and $\text{SUL}_{\text{peak-LC}}$ between the baseline and follow-up scans, patients were classified as partial metabolic response (PMR), stable metabolic disease (SMD), and progressive metabolic disease (PMD) separately according to PERCIST 1.0.

Statistical analysis

The patients' characteristics were presented as mean values and standard deviations. The unpaired *t*-test was used to analyse the differences in patients' characteristics between the equation and validation groups. For the equation group, Pearson correlation coefficients (*r*) were used to evaluate the relationship between the FV_{LC} and FM_{WB} . Then, simple linear regression was applied to generate equation to estimate FM_{WB} from FV_{LC} . The populations from the validation group were used for cross-validation: paired *t*-test and Bland-Altman plots were used separately to examine the difference and agreement between the outcomes of LBM_{PE} and LBM_{LC} and the reference standard.

The paired *t*-test was used to evaluate the differences in the same parameters between before and after treatment for the application group. Concordance and differences among the PERCIST 1.0 results of these two methods were assessed using Cohen's κ coefficient and Wilcoxon's signed-ranks test. Furthermore, to evaluate the impact of the LBM algorithm on assessment of the therapeutic response, we first analysed the distribution of $\text{SUL}_{\text{peak-PE}}$ variation in patients with discordant response classifications. Subsequently, the variation of the LBM_{PE} and LBM_{LC} was evaluated in these patients. Graphs and analyses were performed using Prism GraphPad and the SPSS software.

Results

Patient characteristics

Patients' clinical characteristics and parameters in the equation, validation and application groups are shown in Table 1. The patients' characteristics of the equation group were not significantly different from those of the validation group ($P>0.05$). For the application group, there were no significant differences in blood glucose levels, injected doses of ^{18}F -FDG, and uptake times between baseline and follow-up examinations ($P>0.05$). The interval between both PET/CT examinations was 136 ± 51 d.

Patient-specific LBM equation and cross-validation

For patients from the equation group, the mean FV_{LC} were 11084.01 cm^3 (range of 1362.69 - 31516.25 cm^3) and the mean FM_{WB} was 17.71 kg (range of 2.55 - 45.48 kg). There was a strong correlation between them ($r=0.977$, $P<0.0001$). According to this relationship, a patient-specific equation for LBM from FV_{LC} was established:

$$\text{LBM}_{\text{LC}} (\text{kg}) = \text{W} (\text{kg}) - (2.892 + 1.3337 \times \text{FV}_{\text{LC}}) (\text{kg})$$

Eq.1

For patients from the validation group, the results of LBM_{PE} , LBM_{LC} and LBM_{WB} were 47.01 ± 8.38 , 42.26 ± 8.23 , and $42.13 \pm 8.43 \text{ kg}$, respectively. The LBM_{PE} results were significantly higher than the LBM_{WB} results ($P<0.001$), according to the paired t -test, whereas the difference was not statistically significant between the LBM_{LC} and LBM_{WB} ($P=0.408$). Bland-Altman plots for each of estimated LBMs are shown in Figure 1. Compared with LBM_{PE} , LBM_{LC} exhibited stronger agreement with LBM_{WB} , with closer mean (-0.1 kg) to LBM_{WB} mean and narrower 95 % CI (-3.1 ; $+2.9 \text{ kg}$).

Comparison of treatment response assessments between PE-based and LC images-based PERCIST

With PE-based PERCIST 1.0, the percentage change in SUL_{peak} was $-39.78 \% \pm 18.52$ and $+34.03 \% \pm 19.18$, respectively, in the groups of tumours showing a decrease and an increase in ^{18}F -FDG uptake, with 47 patients showing PMD, 82 SMD and 112 PMR. With LC images-based PERCIST 1.0, the percentage change in SUL_{peak} was $-39.07 \% \pm 17.88$ and $+34.19 \% \pm 18.85$, respectively, in the groups of tumours showing a decrease and an increase in ^{18}F -FDG uptake, with 53 patients showing PMD, 75 SMD, and 113 PMR. PE-based and LC images-based PERCIST 1.0 classifications were discordant in 27 patients (11.2 %), with an almost perfect agreement in response classification between the two assessments ($\kappa=0.823$, $P=0.837$; Table 2). The reason for the inconsistency in the evaluation results was due to the difference between the change rate of the PE-based SUL_{peak} and the LC images-based exceeding the threshold.

Distribution of the percentage change of SUL_{peak} in patients with discordant classifications

The distributions of percentage change in $SUL_{peak-PE}$ for patients with discordant response classifications were all within the interval above or below 10 % from the threshold (± 30 %), accounting for 43.5 % (27/62) of total patients in this region. Over 10 %, although the variations of $SUL_{peak-LC}$ were different with $SUL_{peak-PE}$, these differences were not enough to change the original classifications.

The percentage change of 62 patients' $SUL_{peak-PE}$ and $SUL_{peak-LC}$ are shown in Figure 2. Using 5 % interval as minimum unit, a total of 24 patients' percentage change of $SUL_{peak-PE}$ were found in the interval above or below 5% from the threshold, and 17 (70.8 %) discordances were found in this region. In addition, 38 patients' PE-based SUL_{peak} were found in the interval above or below 5-10 % from the threshold, and 10 (26.3 %) discordances were found in this region.

Impact of LBM-dependent variation on PERCIST evaluation

Of 27 patients with discordant response classifications, 16 patients' LBM showed a decrease at the follow-up examination, leading to PMR being changed to SMD and SMD to PMD. The reduction percentages of LBM_{PE} ($7.2\% \pm 2.2$) were significantly higher than those of LBM_{LC} ($2.8\% \pm 1.8$) ($P < 0.001$). Five patients' LBM showed increase, resulting in changes from SMD to PMR, and PMD to SMD. The increase percentages of LBM_{PE} ($5.7\% \pm 1.5$) were significantly higher than those of LBM_{LC} ($1.8\% \pm 1.7$) ($P = 0.001$). Furthermore, six patients' weight increase and therefore LBM_{PE} showed increase ($1.8\% \pm 1.0$) at the follow-up examination, whereas LBM_{LC} showed decrease ($4.8\% \pm 1.9$), resulting in changes from SMD to PMR, and PMD to SMD.

Discussion

In this study, we proposed a novel and straightforward method to estimate the patient's whole-body LBM from the LC images, and the results of this method showed an excellent agreement with the LBM obtained from the whole-body CT. Furthermore, and more importantly, we found that the classifications of PERCIST 1.0 according to the $SUL_{peak-PE}$ could be reclassified according to the $SUL_{peak-LC}$. The closer the percentage changes of SUL_{peak} to the threshold, the greater the change that could have happened.

CT is considered one of the preferred approaches for measuring whole-body FM [9], but the application of this technology is limited by the radiation exposure. The previous studies demonstrated that the single abdominal image was highly correlated with the total volume of fat tissue ($r = 0.88-0.963$) [14-16]. Accordingly, some investigators proposed, as a compromise between accuracy and reduced radiation burden, using a single cross-sectional image at the abdomen to predict whole-body FM [16, 17]. In this study, we analysed the correlation between a wider range of LC fat volumes and whole-body FM. Similarly, the results showed that the FV_{LC} were significantly correlated with the FM_{WB} ($r = 0.977$). Compared with single-slice, the region of LC contained more fat tissues in the body and theoretically could enable estimation of FM_{WB} more accurately.

Previous studies demonstrated that the LBM from the LC images was more accurate than the results obtained from the James PE. In a study by Chan [10], the LBM was estimated from the James PE and the LC images (at least from skull base to 5 cm below the pelvis). The results showed that the LBM was overestimated by the James PE, but not by the LC images method. The reliability of this method was confirmed in a later study [11]. Similar results were obtained in this study. We found that the LBM_{PE} was significantly higher than the reference standard, but not for the LBM_{LC} . The Bland–Altman plots showed that the results of LBM_{LC} were more accurate than those of LBM_{PE} .

A reasonable explanation for the heterogeneity of LBM_{PE} is that the LBM has ethnicity specificity [18], the James PE was derived from Caucasian populations and was therefore not suitable to apply to a different ethnic group [19]. On the other hand, one major advantage of the method we proposed over the James PE is that it is based mainly on the directly measured LBM of an individual, albeit incomplete, rather than on an assumed similarity between the subject and some specific study population. The accuracy of this method has been proved by the validation group. Furthermore, different from the method proposed by Chan [10], who estimated LBM by using the relative contribution of FM from a larger LC (from the eye to thigh), the method we proposed in this study concerned using the relationship between FV_{LC} and FM_{WB} to estimate LBM. The reason for selecting the region of the thorax to ischium is that the conventional PET/CT scans definitely included this anatomic region, and the anatomical location is clear and easy to popularise.

Through the clinical application, we found that PE-based and LC images-based PERCIST 1.0 was discordant in 27 (11.2%) patients. These discordances were due to differences in the values of $SUL_{peak-PE}$ and $SUL_{peak-LC}$ and, more important, to the variance in LBM between the baseline and follow-up scans. In general, patients who received cancer treatment suffered from weight loss, especially skeletal muscle loss [20, 21]. In this study, 16 of 27 patients had a decrease in LBM after treatment, leading to the classifications of PMR and SMD determined by PE-based PERCIST 1.0, and were reclassified as SMD and PMD by LC images-based PERCIST 1.0. These differences illustrate that the percentage of reduction of LBM_{PE} was significantly higher than that of LBM_{LC} ($P < 0.001$), and the percentage change of $SUL_{peak-PE}$ was therefore smaller than that of $SUL_{peak-LC}$. These could lead to worse classifications when the percentage change of $SUL_{peak-LC}$ exceeded the thresholds. In addition, five of 27 patients had an increase in LBM after treatment; conversely, the response evaluation based on LC images-based PERCIST 1.0 tended to be more optimistic, from SMD and PMD reclassified as PMR and SMD.

It is noteworthy that six of 27 patients had an increase in body weight and therefore in LBM_{PE} after treatment, but LBM_{LC} showed a decrease, resulting in more optimistic classifications based on LC images-based PERCIST 1.0. These discrepancies are most likely explained by a state, for patients with chronic diseases, in which the loss of muscle mass was associated with preserved or even increased body fat content [22]. Multiple reasons for this state have been suggested, including received chemotherapy, decreased physical activity, increased total caloric intake, altered endocrine function and

inflammation [22, 23]. There could be marked weakness despite normal or even increased weight, causing the James PE derived from a population of normal health to produce a larger error.

Although heterogeneity was found only in the region close to the threshold, it is also crucial, because for individual patients, different response classifications may lead to different treatment programs. To ensure the accuracy of response evaluation, PERCIST 1.0 describes in detail methods for controlling the quality of ^{18}F -FDG PET imaging conditions and provides a much more detailed framework for lesion selection, region of interest definition, and response classification [5]. However, in this study, we found that on the basis of standardised PET/CT scanning process and quality control, accurate measurement of LBM is also essential to ensure the accuracy of evaluation.

The main limitation of the current study is that we only found the response classifications by PE-based and LC images-based PERCIST 1.0 were discordant in some patients. Although, theoretically, more accurate measurement of LBM_{LC} should produce more accurate PERCIST 1.0 classifications than LBM_{PE} , further prospective study is needed to investigate the correlation of these discordances with pathological examinations to confirm this conclusion.

Conclusions

The whole-body LBM could be estimated from LC CT data acquired in PET/CT examinations. The patient-specific LBM was more accurate than results obtained by the James PE, which has been routinely used in the modern PET/CT system. LBM algorithm-dependent variability in PERCIST 1.0 classification is a notable issue. SUV normalised by LC images-based LBM could change PERCIST 1.0 response classifications based on LBM estimated by the James PE, especially for patients with a percentage change of SUL_{peak} close to the threshold. The degree of variability is related to changes in LBM before and after treatment.

Abbreviations

| | |
|------------------------|---|
| SUV | standardised uptake value |
| PERCIST 1.0 | PET evaluation response criteria in solid tumours 1.0 |
| LBM | lean body mass |
| PE | predictive equation |
| FM _{WB} | whole-body fat mass |
| FV _{LC} | volumes of limited-coverage fat tissues |
| LBM _{PE} | LBM estimated from James PE |
| LBM _{LC} | LBM estimated from trunk CT images |
| SUL _{peak-PE} | SUV corrected for LBM _{PE} |
| SUL _{peak-LC} | SUV corrected for LBM _{LC} |
| PMR | partial metabolic response |
| SMD | stable metabolic disease |
| PMD | progressive metabolic disease |

Declarations

Ethics approval and consent to participate

The study was approved by the institutional review board of the First Affiliated Hospital of Jinan University and complied with national legislation and the Declaration of Helsinki guidelines.

Consent for publication

Not applicable.

Availability of data and material

The datasets used and analysed during the current study are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

JS and HX contributed to study design. JS, ZT and HX contributed to study coordination. All authors contributed to data acquisition and analysis. JS and HX contributed to manuscript writing. All authors read and approved the final manuscript.

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Tables

Table 1. Clinical characteristics and parameters of the patients in the equation, validation and application groups

| Parameter | Equation group | | Validation group | | Application group | |
|--------------------------------------|----------------|-----------------|------------------|-----------------|---------------------|--------------------|
| | Men (n=106) | Women (n=93) | Men (n=54) | Women (n=43) | Before treatment | After treatment |
| | Mean SD | ± Mean ± SD | Mean SD | ± Mean ± SD | Mean ± SD | Mean ± SD |
| Age (yr) | 53.5 16.7 | ± 52.1 ± 14.8 | 54.0 15.3 | ± 53.0 ± 11.6 | 54.5 ± 14.9 | 54.5 ± 14.9 |
| height(cm) | 166.6 6.7 | ± 156.3 ± 5.9 | 168.3 6.5 | ± 156.6 ± 5.9 | 167.6 ± 5.6 | 167.6 ± 5.6 |
| weight (kg) | 63.5 15.1 | ± 56.2 ± 9.2 | 63.6 10.4 | ± 55.7 ± 9.5 | 63.3 ± 9.6 | 59.6 ± 10.1 |
| Blood glucose level (mg/dL) | — | — | — | — | 102.6 ± 15.6 | 106.2 ± 13.6 |
| Dose of ¹⁸ F-FDG (mCi) | — | — | — | — | 6.7±0.7 | 6.5 ± 0.7 |
| Uptake time (min) | — | — | — | — | 60.5±4.3 | 60.4 ± 4.5 |

Table 2. Comparison of treatment response assessments between PE-based and LC images-based PERCIST

| | PE-based | | LC images-based | |
|-------|----------|-----|-----------------|-------|
| | PMD | SMD | PMR | Total |
| PMD | 41 | 6 | 0 | 47 |
| SMD | 12 | 65 | 5 | 82 |
| PMR | 0 | 4 | 108 | 112 |
| Total | 53 | 75 | 113 | 241 |

$\kappa = 0.823$, indicating perfect agreement between the two assessments

$p = 0.837$, Wilcoxon's signed-ranks test, indicating no significant differences between the two assessments

Figures

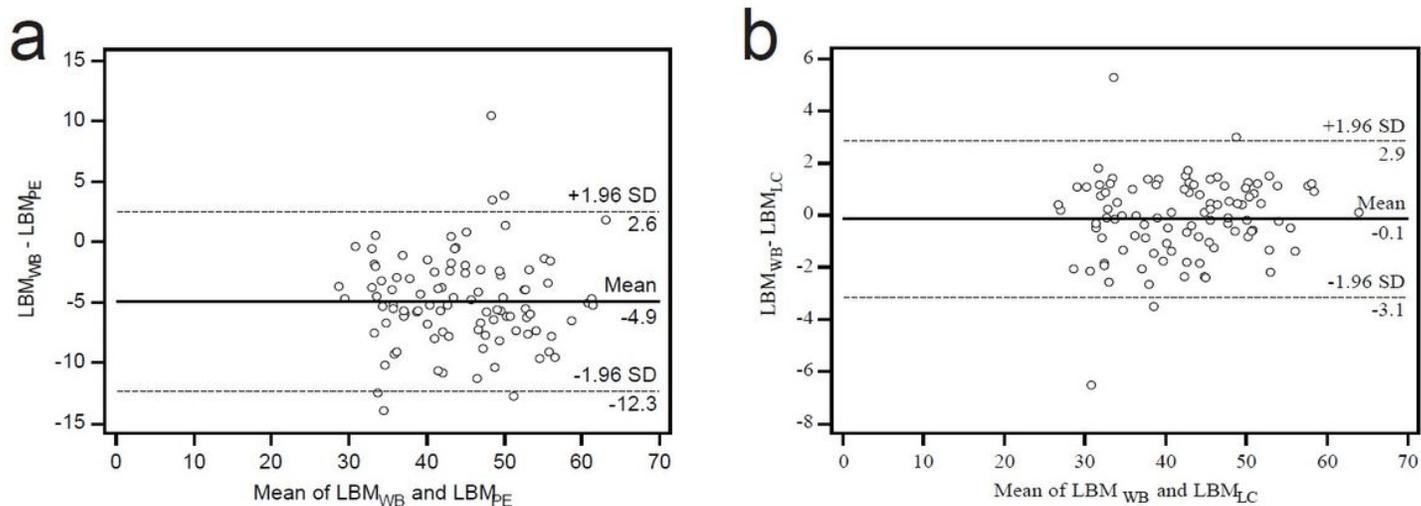


Figure 1

Bland-Altman plots of LBM computed using the James PE (a) and the method we developed (b) with LBMWB as reference standard.

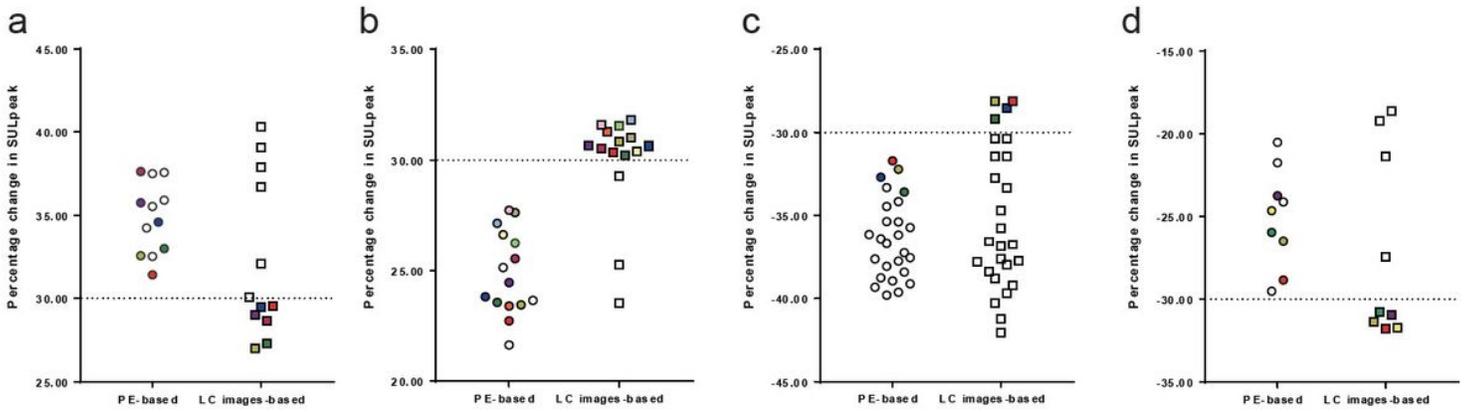


Figure 2

The distribution of percentage change of PE-based SULpeak in 62 patients with PMD (a), SMD (b and c) and PMR (d) and the corresponding distribution of percentage change of LC images-based SULpeak. Color icons illustrate the patients with discordant response classification. Dotted lines illustrate the thresholds of PERCIST 1.0 requirement.