

# Prevalence and mechanisms of carbapenem resistance among *Klebsiella aerogenes* in a tertiary hospital in China

**Luxiang Liu**

Zhejiang University

**Jingjing Quan**

Zhejiang University

**Dongdong Zhao**

Zhejiang University

**Weichao Liao**

Zhejiang University

**Jiaojian Lv**

Lishui Peoples' Hospital

**Huang Zhuge**

Lishui Peoples' Hospital

**Feng Zhao**

Zhejiang University

**Yunsong Yu**

Zhejiang University

**Zhihui Zhou** (✉ [zhouzhihui@zju.edu.cn](mailto:zhouzhihui@zju.edu.cn))

Zhejiang University School of Medicine Sir Run Run Shaw Hospital

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## Research article

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## Abstract

**Background:** *Klebsiella aerogenes* has emerged as one of the most important nosocomial pathogens for patients in intensive care units (ICU) in recent years. This study aims to evaluate the prevalence and molecular characteristics of clinical carbapenem-resistant *K. aerogenes* (CRKA) isolates in a tertiary hospital in China.

**Results:** Twenty CRKA were identified among all the isolates, with the rate of 5.5% (20/364). Six CRKA isolates produced KPC-2 and 1 CRKA isolate produced NDM-1. PFGE and MLST indicated that the 20 CRKA strains were clonal diversity. All the *bla*<sub>KPC-2</sub> gene and *bla*<sub>NDM-1</sub> gene were located on plasmids and all the plasmids with *bla*<sub>KPC-2</sub> and *bla*<sub>NDM-1</sub> genes could successfully transfer to EC600 or J53. Twelve of 13 CRKA strains without any carbapenemase genes were positive for efflux pump inhibition test.

**Conclusion:** Overall, the prevalence of CRKA in the tertiary hospital in Zhejiang Province of China is 5.5%. Only 35% of CRKA produce carbapenemase and efflux pumps might play an important role in the carbapenem resistance of *K. aerogenes*. It is necessary to strengthen the surveillance of carbapenem resistance in the hospital to prevent the horizontal and clonal spread of CRKA.

## Background

Carbapenems are the most potent and reliable  $\beta$ -lactam antibiotics for the treatment of serious infections caused by multidrug-resistant Enterobacteriaceae [1, 2]. However, carbapenem-resistant Enterobacteriaceae (CRE) has been gradually increased recent decades and has been the serious challenges for the public health [3]. Infections especially bloodstream infections (BSIs) with CRE are generally associated with high mortality [4]. *Klebsiella aerogenes*, formerly known as *Enterobacter aerogenes*, is a genus of a common Gram-negative, facultative anaerobic bacteria belonging to the family of Enterobacteriaceae. As opportunistic bacteria, *K. aerogenes* has emerged as one of the most important nosocomial pathogens for patients in intensive care units (ICU), especially for those who have mechanical ventilation [5]. Since the first report of imipenem-resistant *K. aerogenes* in 1990 [6], the reports about carbapenem-resistant *K. aerogenes* (CRKA) have increased gradually. The prevalence of CRKA was 4.0% in France [7] and 12.5% in the USA [8], while it has reached 21% in a Chinese hospital in Shanghai [9] and 26.7% in a tertiary care hospital in Central India [10]. The mechanisms of carbapenem resistance of *K. aerogenes* usually include production of carbapenemases, overproduction of  $\beta$ -lactamases, efflux pumps, porin deficiency, and a change in penicillin-binding proteins [11, 12].

In the study, we evaluated the prevalence and molecular characteristics of clinical CRKA isolates in a tertiary teaching hospital in Zhejiang province, China. Furthermore, the mechanisms of carbapenem resistance were also investigated.

## Results

**Prevalence of CRKA** Among the 364 *K. aerogenes*, 20 isolates were identified as CRKA, with the prevalent rate of 5.5% (20/364). The resistance rates of ertapenem, meropenem and imipenem were 5.2%, 3.0% and 3.3%, respectively. Most of the CRKA were resistant to ertapenem, imipenem and meropenem, except one isolate was only resistant to imipenem and four isolates were only resistant to ertapenem.

**Antimicrobial susceptibility testing of CRKA** Colistin, tigecycline and amikacin showed good in vitro activity against all the 20 CRKA, with susceptibility rates of 100%, 75% and 90%, respectively. The proportions of the isolates that were resistant to ceftriaxone, cefepime, levofloxacin, piperacillin/tazobactam and ceftazidime/avibactam were 90%, 70%, 60%, 65%, 55%, respectively (Table 1).

**Characterization of carbapenemases** Six of the CRKA isolates (30%, 6/20) carried the gene encoding KPC-2 while one isolate (5%, 1/20) carried the gene encoding NDM-1, and no other carbapenemase genes were detected. Apart from 3 CRKA that did not carry any resistance genes, other CRKA all harbored kinds of resistance genes associated with  $\beta$ -lactamase, quinolones, aminoglycosides or rifampicin resistance (Table 2).

**PFGE and MLST** seventeen distinct PFGE groups (PFGE types A-Q) were observed among the 20 CRKA isolates, among which 3 groups (type A, type B and type C) accounted for 10% (2/20), 10% (2/20) and 10% (2/20) of the CRKA isolates, respectively. The remaining 14 groups (D-Q) included single isolate each group. Among the 20 clinical CRKA isolates, 12 sequence types were detected, with ST4 and ST14 being the two dominant STs. It should be noted that there were 5 unknown STs marked as New in our study (Figure 1).

**Transferability and location of carbapenemase genes** According to the S1-PFGE and Southern blot, all the detected carbapenemase genes were located at the plasmids. The *bla*<sub>KPC-2</sub> carried by strains 11907, 15423, 34366 and 34602 were located at plasmids of similar size, ranging from about 78.2kb to 104.5kb, while *bla*<sub>KPC-2</sub> carried by strains 12517 and 15923 were located at plasmids with the same size of 104.5kb. And the *bla*<sub>NDM-1</sub> carried by strain 16840 was located at plasmid with a size of 54.7kb (Figure 2). All the six *bla*<sub>KPC-2</sub>-carrying plasmids and one *bla*<sub>NDM-1</sub>-carrying plasmid could successfully transfer from *K. aerogenes* to *E. coli* EC600 or *E. coli* J53. The Seven *E. coli* transconjugants acquired *bla*<sub>KPC-2</sub> or *bla*<sub>NDM-1</sub> genes and exhibited significantly decreased carbapenem susceptibility. The MICs of imipenem, meropenem and ertapenem ranged from 2 to 64ug/mL and the antimicrobial susceptibility patterns of *E. coli* transconjugants were similar to those of the donor (Supplemental table 2).

**Detection of efflux pump activity** All of the 13 CRKA that were absent of carbapenemase were performed efflux pump inhibition test. A greater than or equal to 4 folds concentration decrease in MIC for at least one carbapenem tested in combination with PA $\beta$ N or CCCP was shown in (53.8%, 7/13) and

(92.3%, 12/13) of the CRKA strains, respectively. This indicated that the activation of efflux pumps might contribute to the carbapenem resistance of CRKA isolates (except the isolate 33127) (Table3).

## Discussion

*K. aerogenes* has regularly been involved in nosocomial infections outbreaks since 1993, particularly in the Western Europe [13–16]. Since 2010, *K. aerogenes* is the fifth highest Enterobacteriaceae and the seventh highest Gram-negative Bacillus responsible for notorious nosocomial infections in France [17].

In the present study, twenty CRKA were identified among all isolates, with the rate of 5.5% (20/364), slightly higher than the France (4.0%) [7], but much lower than some other countries or regions (The USA 12.5% [8], India 26.7% [10]), including Shanghai (21%) [9]. Although CRKA generally represents a low proportion of CRE compared to carbapenem-resistant *K. pneumoniae*, our findings demonstrated that CRKA had emerged in the clinics.

Carbapenamase production is one of the main resistance mechanisms of CRE. Carbapenemases consist of ambler class A or D serine  $\beta$ -lactamases and ambler class B metallo- $\beta$ -lactamases (MBLs). *K. pneumoniae* carbapenemase (KPC, Class A) is the most common carbapenemase in carbapenemase producing Enterobacteriaceae (CPE) [3]. It was first discovered in the USA in 1996 [18], and has spread worldwide since then. Recently years, KPC-producing Enterobacteriaceae have become a public health concern worldwide, including in China [19, 20]. However, in this study, only six CRKA and one CRKA were identified as producing KPC-2 and NDM-1. Further analysis of PFGE and MLST revealed that most of the KPC-2-producing CRKA were non-clonal spread, except two CRKA (34366 and 34602), which were isolated from the same department. Several study had reported that KPC-2-producing CRKA once caused an epidemic and outbreak in hospitals of China [9, 21]. In our study, S1-PFGE followed by Southern blot demonstrated that all the  $bla_{KPC-2}$  and  $bla_{NDM-1}$  genes were all located at plasmids. Furthermore, the filter mating experiments confirmed that all the  $bla_{KPC-2}$  and  $bla_{NDM-1}$ -carrying plasmids could transfer to the recipient bacteria. All of the above shows that plasmids play important roles in the transmission of carbapenamase genes and indicate the potential threat of outbreak and prevalence of CRKA.

In addition to carbapenemases, other mechanisms such as the overexpression of efflux pumps contributing to the carbapenem resistance in *K. pneumoniae* are now well documented [22]. The efflux pump inhibitors PA $\beta$ N and CCCP are active against RND pumps in Gram-negative bacteria, including *E. cloacae*, *E. coli*, *K. pneumoniae*, *P. aeruginosa*, *S. enteric* and so on [23]. Despite of the potential toxic effect of PA $\beta$ N and CCCP on bacteria, concentrations of 25  $\mu$ g/ml of PA $\beta$ N and 25  $\mu$ g/ml of CCCP could not kill bacteria [23, 24]. Our results showed that the MICs of CRKA isolates could decreased significantly in the presence of PA $\beta$ N and CCCP, indicating that efflux pumps might play an important role in the carbapenem resistance of *K. aerogenes*. Moreover, there were still 1 isolates of CRKA without carbapenase and had no efflux pump inhibitor inhibition phenotype in the present study. Further research is needed to confirm the mechanisms of carbapenem resistance.

## Conclusion

Overall, the prevalence of CRKA in the tertiary hospital in Zhejiang Province of China is 5.5% during the years from 2011 to 2017. Only 35% of CRKA produce carbapenamase and efflux pumps might play an important role in the carbapenem resistance of *K. aerogenes*.  $bla_{KPC-2}$  and  $bla_{NDM-1}$  are major carbapenamase genes and always transfer through plasmids. It is necessary to strengthen the surveillance of carbapenem resistance in the hospital to prevent the horizontal and clonal spread of CRKA.

## Methods

**Bacterial isolates** All the *K. aerogenes* isolates (n=364) were collected from 2011-2017 in a tertiary hospital in Zhejiang province, China (isolates of 2014 were lost). These isolates were non-duplicate and were collected on routine workdays without any specific exclusion criteria. *Escherichia coli* strain ATCC 25922 was used as control for antimicrobial susceptibility testing. In addition, *E. coli* EC600 (resistant to rifampicin) and *E. coli* J53 (resistant to Sodium azide) were used as recipients for conjugation test.

**Antimicrobial susceptibility testing** Antimicrobial susceptibility testing was performed using the microbroth dilution method recommended by the Clinical and Laboratory Standards Institute (CLSI) [25]. Breakpoint MICs of tigecycline and colistin were determined following the guidelines of the US Food and Drug Administration (with MICs $\leq$ 2 $\mu$ g/mL denoting susceptibility and $\geq$ 8 $\mu$ g/ml denoting resistance) and the EUCAST 2018 (with MICs $\leq$ 2 $\mu$ g/ml denoting susceptibility and $\geq$ 4 $\mu$ g/ml denoting resistance) ([http://www.eucast.org/clinical\\_breakpoints/](http://www.eucast.org/clinical_breakpoints/)), respectively. And the breakpoints MICs of ceftriaxone, cefepime, levofloxacin, amikacin, ertapenem, meropenem, imipenem, piperacillin/tazobactam and cefoperazone/sulbactam were determined following criteria of the CLSI 2018 [25].

**Detection of carbapenamase genes** The presence of genes encoding the carbapenemases KPC, NDM, VIM, IMP, and OXA-48 were investigated in all of the CRKA isolates using the primers described in Supplemental table 1. The amplified products were observed and confirmed by agarose gel electrophoresis and the positive ones were sequenced with Sanger sequencing. The sequences were further confirmed using BLAST searches (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>).

**Pulsed field gel electrophoresis (PFGE)** Clonal relationships of CRKA were analysed using PFGE, which was performed according to a previously described protocol. Briefly, the DNA fragments were separated with a CHEF-Mapper XA PFGE system (Bio-Rad, USA). Electrophoresis was performed for

22 hours at 14°C with pulse times ranging from 5 to 35s at 6 V/cm. Salmonella strain H9812 was used as the molecular marker. The DNA fingerprints generated were analysed according to the criteria proposed by TENOVER et al [19].

**S1-PFGE and Southern blot hybridization** The location of the carbapenemase genes were determined by S1-nuclease digestion and pulsed-field gel electrophoresis (S1-PFGE) combined with Southern blotting hybridizations. Gegomic DNA of all carbapenemases-producing isolates were extracted and embedded in gold agarose gel plugs (SeaKem® Gold Agarose, Lonza, Atlanta, GA USA). The plugs were digested with S1 nuclease (TaKaRa, Dalian, China), and the DNA fragments were separated by PFGE. The plasmid was characterized by S1-PFGE, and the location of carbapenemase genes was identified by Southern hybridization with digoxigenin-labelled probe using the DIG-High Prime DNA Labeling and Detection Starter Kit II (Roche Diagnostics).

**Transferability of carbapenemase genes** Conjugation experiments were carried out in Luria-Bertani broth with *E. coli* EC600 (resistant to rifampicin) or *E. coli* J53 (resistant to Sodium azide) as the recipient as described previously [26]. *E. coli* transconjugants were selected on Mueller-Hinton agar containing rifampicin (600ug/ml) or Sodium azide (200ug/ml) and ertapenem (2ug/ml). The colonies that grew on the selecting medium were picked up and identified using the MALDI-TOF and PCR analysis.

**Whole genome sequencing** The whole genomes of all the CRKA strains were extracted and sequenced by Illumina HiSeq2000 platform. Sequencing data was assembled by CLC Genomics Workbench software (Version 9.0). The multi-locus sequence type (MLST) and other resistant genes were analyzed on the website of Center of Genomics Epidemiology (<http://www.genomicepidemiology.org/>).

**Efflux pump inhibition test** In addition, to investigate the role of efflux pumps in the carbapenem resistance mechanisms, the MICs of carbapenems were determined in the presence of the efflux pump inhibitor Phe-Arg  $\beta$ -naphthylamide dihydrochloride (PA $\beta$ N:25ug/ml) [27] and carbonyl cyanide m-chlorophenylhydrazone (CCCP:25ug/ml) [9], respectively. A 4-fold or greater reduction in the MIC values after addition of CCCP or PA $\beta$ N was considered as significance [28].

## Abbreviations

CRKA: carbapenem-resistant *Klebsiella aerogenes*; CRE: carbapenem-resistant *Enterbacteriaceae*; BSI: bloodstream infection; ICU: intensive care units; PFGE: pulsed-field gel electrophoresis; MLST: multi-locus sequence type; MIC: minimum inhibition concentration; CLSI: Clinical and Laboratory Standards Institute; FDA: US Food and Drug Administration; PA $\beta$ N: Phe-Arg  $\beta$ -naphthylamide dihydrochloride; CCCP: carbonyl cyanide m-chlorophenylhydrazone.

## Declarations

### Ethics approval and consent to participate

The clinical isolates were part of the routine hospital laboratory procedure. The present study mainly focused on bacteria, but not the patients. So the ethical approval was not required.

### Consent for publication

Not applicable.

### Availability of data and materials

All data generated or analyzed during this study are included in this published article and its supplementary information files.

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### Competing interests

The authors declare that they have no competing interests.

### Authors' contributions

All authors participated in the conception and design of the study; conceived and drafted the manuscript: LLX, ZZH and QJJ; performed the experiments: QJJ, LWC and ZF; analyzed the data: LLX, LJJ and ZGH; revised the paper: ZZH, ZDD and YYS. All authors read and approved the final manuscript.

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## Tables

Table 1. *In-vitro* activities of antimicrobial agents against CRKA isolates.

Antibiotics	MIC <sub>50</sub>	MIC <sub>90</sub>	MIC range	S (%)	I (%)	R (%)
	µg/mL	µg/mL	µg/mL			
TX	64	1256	0.03-1256	10	0	90
FEP	32	1256	0.008-1256	25	5	70
LEV	2	16	0.06-64	5	35	60
ETP	16	32	0.25-128	5	0	95
MEM	4	16	0.125-128	35	10	55
IPM	4	64	0.5-64	30	10	60
PTC	256	1512	2-1512	20	15	65
CPS	32	128	0.25-128	35	10	55
AMK	2	16	1-1256	90	0	10
CST	0.06	0.125	0.015-2	100	0	0
TGC	1	8	0.125-16	75	10	15

MIC<sub>50/90</sub>, minimum inhibitory concentration at which 50% and 90% of isolates were inhibited, respectively; S, susceptible; I, intermediate; R, resistant; TX, Ceftriaxone; FEP, Cefepime; LEV, Levofloxacin; ETP, Etapenem; MEM, Meropenem; IPM, Imipenem; PTC, Piperacillin/tazobactam; CPS, Cefoperazone/sulbactam; AMK, Amikacin; CST, Colistin; TGC, Tigecycline.

Table 2. Resistance genes carried by CRKA.

Isolates	β-Lactamase	Quinolones	Aminoglycosides	Rifampicin
11914	-	-	-	-
12173	<i>bla</i> <sub>SHV-120</sub>	<i>qnrS1</i>	-	-
12517	<i>bla</i> <sub>KPC-2</sub> / <i>bla</i> <sub>CTX-M-14</sub>	<i>qnrS1</i> / <i>aac(6')-Ib-cr</i>	<i>aac(6')-Ib3</i>	<i>ARR-3</i>
12888	-	<i>qnrS1</i>	-	-
13274	-	<i>qnrS1</i>	<i>aph(3'')-Ib</i> / <i>aph(6)-Id</i> / <i>aadA2</i>	-
13322	-	-	-	-
13469	<i>bla</i> <sub>SHV-120</sub>	<i>qnrS1</i>	-	-
13865	<i>bla</i> <sub>TEM-1B</sub> / <i>bla</i> <sub>OXA-1</sub>	<i>aac(6')-Ib-cr</i>	<i>aac(3)-IIa</i> / <i>aph(3'')-Ib</i>	-
	<i>bla</i> <sub>CTX-M-15</sub>		<i>aph(6)-Id</i>	
15322	-	<i>qnrS1</i>	-	-
15390	-	-	-	-
15423	<i>bla</i> <sub>KPC-2</sub> / <i>bla</i> <sub>TEM-1C</sub>	<i>qnrS1</i>	<i>aadA1</i>	<i>ARR-2</i>
	<i>bla</i> <sub>OXA-10</sub>			
15923	<i>bla</i> <sub>KPC-2</sub> / <i>bla</i> <sub>CTX-M-14</sub>	<i>qnrS1</i>		
16010	<i>bla</i> <sub>CTX-M-27</sub>	<i>aac(6')-Ib-cr</i> / <i>qnrB52</i>	<i>aadA16</i>	<i>ARR-3</i>
16840	<i>bla</i> <sub>NDM-1</sub> / <i>bla</i> <sub>SHV-12</sub>	-	-	-
33127	-	<i>qnrS1</i>	-	-
34102	-	-	-	-
34366	<i>bla</i> <sub>KPC-2</sub> / <i>bla</i> <sub>TEM-1B</sub>	<i>qnrS1</i>	-	-
34602	<i>bla</i> <sub>KPC-2</sub>	<i>qnrS1</i>	-	-
11907	<i>bla</i> <sub>KPC-2</sub> / <i>bla</i> <sub>CTX-M-14</sub>	<i>qnrS1</i>	<i>aac(6')-Ib-Hangzhou</i>	-
	<i>bla</i> <sub>TEM-1B</sub>	<i>aac(6')-Ib-cr</i>	-	-
131029	<i>bla</i> <sub>TEM-1B</sub>	<i>qnrS1</i>	-	-

Table 3. MICs of carbapenems with or without PAβN or CCCP for 13 CRKA isolates

Isolates	MIC ( $\mu\text{g/mL}$ )					
	ETP (+CCCP)	MEM (+CCCP)	IMP (+CCCP)	ETP (+PA $\beta$ N)	MEM (+PA $\beta$ N)	IMP (+PA $\beta$ N)
12173	128 (0.06)	128 (0.03)	64 (0.25)	128 (4)	128 (0.25)	128 (2)
13274	1256 (0.25)	128 (0.03)	64 (0.25)	1256 (4)	128 (0.125)	64 (2)
131029	32 (0.5)	4 (0.06)	4 (0.25)	32 (16)	4 (4)	4 (8)
12888	2 (0.5)	0.25 (0.03)	1 (0.03)	2 (2)	0.25 (0.25)	1 (1)
13322	16 (0.008)	1 (0.015)	0.5 (0.06)	16 (0.06)	1 (0.125)	0.5 (2)
15322	4 (0.5)	0.125 (0.015)	1 (0.125)	4 (4)	0.125 (0.5)	1 (1)
33127	32 (16)	2 (1)	2 (1)	32 (64)	2 (4)	2 (4)
34102	4 (1)	0.25 (0.06)	1 (0.5)	4 (2)	0.25 (0.25)	1 (1)
15390	16 (0.03)	4 (0.008)	1 (0.03)	16 (0.25)	4 (0.125)	16 (1)
11914	2 (0.008)	0.125 (0.015)	0.5 (0.125)	2 (2)	0.125 (0.03)	0.5 (4)
13469	8 (0.25)	2 (0.03)	8 (0.25)	8 (4)	2 (0.25)	8 (1)
16010	8 (1)	0.5 (0.06)	2 (0.015)	8 (8)	0.5 (1)	2 (2)
13865	0.25 (0.25)	0.125 (0.03)	4 (0.25)	0.25 (2)	0.125 (0.25)	4 (1)

ETP, Etapenem; MEM, Meropenem; IMP, Imipenem; PA $\beta$ N: Phe-Arg  $\beta$ -naphthylamide dihydrochloride; CCCP: carbonyl cyanide m-chlorophenylhydrazone.

## Figures

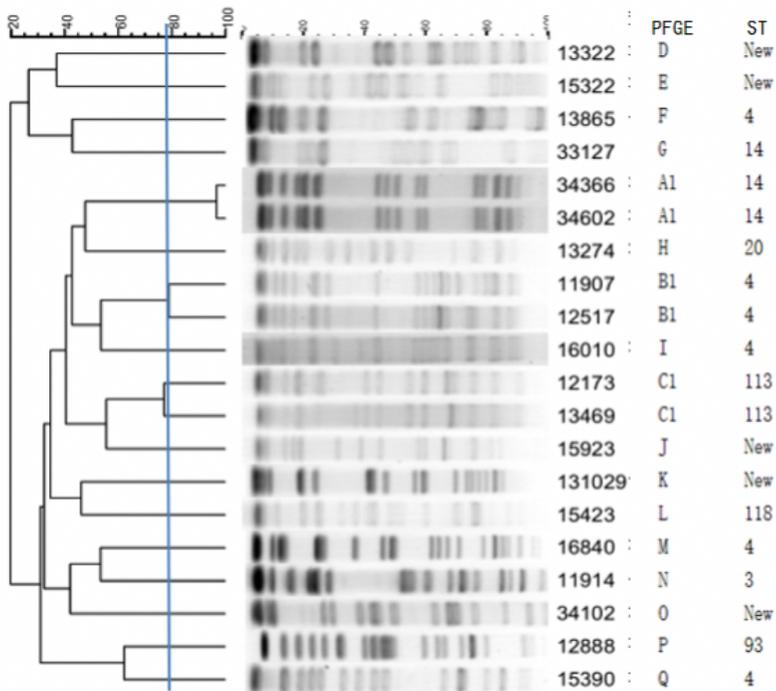
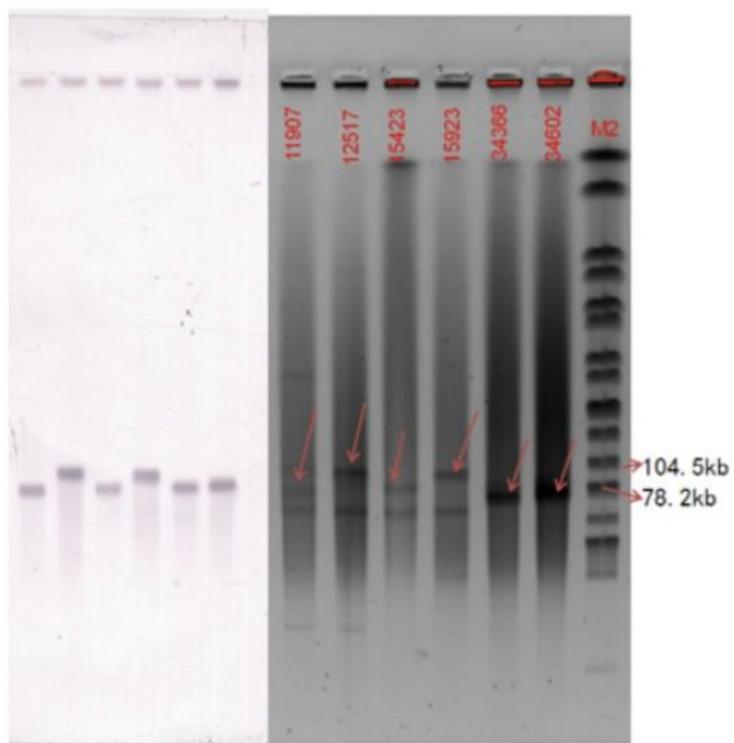


Figure 1

PFGE of XbaI-digested DNA and MLST

(Fig. 2A)



(Fig. 2B)

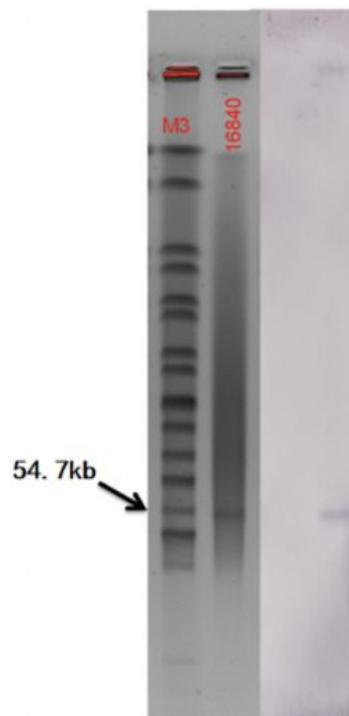


Figure 2

S1-digested plasmid DNA and Southern blot hybridization with blaKPC-2 (A) and blaNDM-1 (B).

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Supplementalmaterials.docx](#)