

# Proteins involved in the endoplasmic reticulum stress are key players in synovitis of osteoarthritis, chronic pyrophosphate arthropathy and rheumatoid arthritis, and correlate with the histological score

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## Research article

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1 **Proteins involved in the endoplasmic reticulum stress are key players in synovitis of**  
2 **osteoarthritis, chronic pyrophosphate arthropathy and rheumatoid arthritis, and**  
3 **correlate with the histological score**

4

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8

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23

24 **Running Headline:** Proteins of ER stress in synovitis

25 **Conflict of interest:** All authors have nothing to disclose

## 1 **Abstract**

2 **Background:** It is now well recognized that osteoarthritis (OA) synovial membrane presents  
3 inflammatory components. The aim of this work is to provide evidence that similar  
4 inflammatory mechanisms exist among the three pathologies presenting an inflammatory  
5 gradient: OA, chronic pyrophosphate arthropathy (CPPA) and rheumatoid arthritis (RA).

6 **Methods:** Synovial biopsies of OA (n=9), CPPA (n=7) and RA (n=8) patients were first  
7 characterized by a histological score based on synovial hyperplasia and infiltration of  
8 lymphocytes, plasma cells, polymorphonuclear and macrophages. All biopsies were also  
9 analyzed by 2D-nano-UPLC-ESI-Q-Orbitrap for protein identification and quantification.  
10 Protein levels were correlated with the histological score.

11 **Results:** Histological score was in the range of 3 to 8 for OA, 5 to 13 for CPPA and 12 to 17  
12 for RA. Of the 4336 proteins identified by mass spectrometry, 51 proteins were selected for  
13 their strong correlation ( $p < 0.001$ ) with the histological score of which 11 proteins (DNAJB11,  
14 CALR, ERP29, GANAB, HSP90B1, HSPA1A, HSPA5, HYOU1, LMAN1, PDIA4, and  
15 TXNDC5) were involved in the endoplasmic reticulum (ER) stress. Protein levels of S100A8  
16 and S100A9 were significantly higher in RA compared to OA (for both) or to CPPA (for  
17 S100A8 only) and also significantly correlated with the histological score. Eighteen  
18 complement component proteins were identified, but only one (complement C1q  
19 subcomponent, subunit B and C) was weakly correlated with the histological score.

20 **Conclusions:** This study highlights the inflammatory gradient existing between OA, CPPA and  
21 RA synovitis either at the protein level or at the histological level. Inflamed synovitis was  
22 characterized by the overexpression of ER stress proteins.

23

24 Key words: proteomic, synovitis, endoplasmic reticulum, biomarker, chaperone, S100A8,  
25 S100A9, complement

26

1 **Abbreviation**

2 2D-nano-UPLC-ESI-Q-Orbitrap : 2 dimensional-nano-ultra performance liquid

3 chromatography-electrospray ionization-Q-Orbitrap

4 CALR: calreticulin

5 CPPA: chronic pyrophosphate arthropathy

6 CRP: C-reactive protein

7 DAMPS: damage-associated molecular patterns

8 ER: endoplasmic reticulum

9 ERAD: ER-associated degradation

10 GRP78: Glucose-Regulated Protein 78 kDa

11 LC-MS/MS: Liquid chromatography-tandem mass spectrometry

12 LFQ: label free quantitative

13 MRI: magnetic resonance imaging

14 OA: osteoarthritis

15 PMN: polymorphonuclear cells

16 RA: rheumatoid arthritis

17 TLR: toll-like receptors

18 TXNDC5: Thioredoxin domain-containing protein 5

19 UPR: unfolded protein response

20 US: ultrasonography

21

22

# 1 INTRODUCTION

2 Osteoarthritis (OA) was for long considered as a degenerative cartilage disease for  
3 which synovitis was only visualized in the late stages and considered as secondary to mechanic  
4 aggression of bone and cartilage degradation. However, several observations demonstrated that  
5 synovitis could appear even in the early stages of OA. Synovium can also acquire an  
6 “inflammatory” phenotype in OA with similar characteristics than those observed in  
7 rheumatoid arthritis (RA) [i.e. synovial lining and villous hyperplasia, infiltration by  
8 macrophages and lymphocytes, neo-angiogenesis and fibrosis](1,2). Using magnetic resonance  
9 imaging (MRI), Roemer *et al.* noted a synovitis in 96.3 % of knee joints with effusion and in  
10 70 % of knee joint without effusion(3). We previously published by using ultrasonography (US)  
11 examination that 53.7% (322/600) of patients with painful knee OA had no sign of  
12 inflammation whereas 2.7% (16/600) had synovitis alone, 14.2% (85/600) had both synovitis  
13 and effusion and 29.5% (177/600) had joint effusion alone(4). US knee synovitis and US joint  
14 effusion were significantly associated with a more severe radiological grade (Kellgren-  
15 Lawrence grade  $\geq 3$ ) and a moderate-important joint effusion at clinical examination(4).  
16 Further, several other studies have confirmed the correlation between synovitis area observed  
17 by MRI and specific histologic features of synovitis(5).

18 Two major pathways at least can explain the development of synovitis: activation of  
19 toll-like receptors (TLR) and activation of the complement cascade(1). Endogenous “damage-  
20 associated molecular patterns” (DAMPS) can activate the innate immune response through  
21 TLRs recognition promoting pro-inflammatory mediators secretion(6,7).

22 Activation of the complement cascade induces complement deposits sparsely found in  
23 the synovium of OA patients. Deposits of synovial complement components were only  
24 observed during acute OA flare but not during chronic OA(8). More recently, proteomic

1 analyses of OA synovial fluids(9,10) and transcriptomic studies of OA synovial  
2 membranes(10) confirmed the expression and activation of complement in joints(11).

3 Proteomic analysis of synovial tissue was rarely performed(12,13) and none was  
4 compared to the histological pattern of synovium. In this work, we compared protein profiles  
5 generated by a proteomic study to the histological features of synovial biopsies obtained from  
6 patients with OA, chronic pyrophosphate arthropathy (CPPA) or RA. It unraveled an increased  
7 gradient of inflammation and synovial lining hyperplasia among the three pathologies both at  
8 the protein and histological levels.

9

## 10 **METHODS**

### 11 **Patients and synovial tissue**

12 All experiments undertaken with patient material complied with the regulations and  
13 ethical guidelines of the CHU of Liege, Belgium. Synovial biopsies of OA (n=9), CPPA (n=7)  
14 and RA patients (n=8) were obtained by needle arthroscopy from affected knees. For each  
15 patient, 3 synovial fragments were stored at -80°C until used for proteomic study. Three other  
16 fragments were also processed for formalin fixation (24 h) using a standard procedure and were  
17 embedded in paraffin for microscopic examination of the hematoxylin and eosin (H&E) stained  
18 sections and histological scoring.

19

### 20 **Histological score**

21 Hematoxylin eosin stained sections were scored as previously done for routine clinical  
22 analysis and randomly analyzed as described in Tak *et al*(14). Briefly, all areas of each biopsy  
23 section were examined by trained anatomopathologists (P.D. and E. B.). Histological features  
24 included synovial hyperplasia and the degree of infiltration of lymphocytes, plasma cells or  
25 polymorphonuclear cells (PMN), separately. The TAK score(14) was slightly modified: a)

1 synovial hyperplasia was scored on a five-point scale (0-4) instead of a four-point scale (0-3)  
2 because synovitis hallmark in RA as in OA is proliferation and hyperplasia of the lining cells(2)  
3 and b) PMN infiltration was scored on a four-point scale (0-3) instead of a five-point scale (0-  
4 4) because PMN infiltration is less intense than any other mononuclear cells infiltration.  
5 Macrophage infiltration was also determined by using immunohistochemistry with an anti-  
6 CD68 antibody [anti-CD68 (KP-1) Primary Antibody, Ventana Medical System], and the CD68  
7 expression was scored separately using a semi-quantitative 4 scale score (0-3) with 0 for no  
8 infiltration, 1, 2 and 3 for respectively mild, moderate and severe infiltration using a pre-defined  
9 atlas(15). The histological score was determined by the sum of the components, as for other  
10 methods(14,15), leading to a maximum of 18.

11

## 12 **LC-MS/MS analysis for proteomic analysis**

13 The biopsies were weighted (5mg) and resuspended in 300 $\mu$ L of RIPA buffer in the  
14 presence of complete and phospho stop solutions. The biopsies were then disrupted using a bi-  
15 switch (+ 60mg of  $\mu$ beads) for cycles of 30 sec high speed and 30 sec low speed at 4°C, during  
16 2X15 min, to allow proteins dissolution in the buffer. The protein concentration of each sample  
17 was determined using the RCDC protein assay kit according to manufacturer recommendations  
18 (BioRad, Hercules, CA, USA). For each sample, 15 $\mu$ g of protein were diluted in ammonium  
19 bicarbonate (50mM) to get a protein concentration of 0.5 $\mu$ g/ $\mu$ L. The proteins were then reduced  
20 (DTT), alkylated with iodoacetamide and precipitated using the 2D clean-up kit (GE  
21 Healthcare, Belgium). The protein pellets were then resuspended in ammonium bicarbonate (50  
22 mM) at a concentration of 0.5 $\mu$ g/ $\mu$ L and digested with trypsin. For each sample, 3.5 $\mu$ g of  
23 peptides were desalted using Ziptip C18 (Millipore Corp., Billerica, MA, USA) according to  
24 the manufacturer's instructions. The eluted fractions were then dried by speed-vac. Dry pellets  
25 were stored at -20°C until used for analysis. Before injection into the 2D-nano-UPLC system,



1 2.5 $\mu$ g of the digested proteins were resuspended in 9 $\mu$ L of 100mM ammonium formate solution  
2 adjusted to pH10. A standard MassPREP™ (MPDS mixture) digestion mixture (Waters Corp.,  
3 Milford, USA) which contains a mixture of yeast enolase (ENO1, P00924), rabbit glycogen  
4 phosphorylase b (GPB, P00489), yeast alcohol dehydrogenase (ADH, P00330) and bovine  
5 serum albumin (BSA, P02769) was spiked in each sample at a quantity of 150 fmoles of ADH  
6 digest per injection.

7  
8 All samples were injected on a 2D-nanoAquity UPLC (Waters, Corp., Milford, USA)  
9 coupled online with a ESI-Q-Orbitrap (Q Exactive, Thermo Fisher Scientific) in positive ion  
10 mode. Briefly, the liquid chromatography approach used was a 2-dimensional method (2D-LC)  
11 comprising 3 steps of 180 min. The 3 steps were carried out on a high pH column with  
12 increasing percentage of acetonitrile. The eluted peptides were then injected onto a low pH  
13 column for which each step consists of a 5min gradient from 99% buffer A (A = H<sub>2</sub>O, 0.1%  
14 formic acid, B = acetonitrile) to 93% of A followed by a gradient of 135min from 93% of A to  
15 65% of A. The acquisition method was a TopN-MSMS where N was set to 12, meaning that  
16 the spectrometer acquired one Full MS spectrum, selected the 12 most intense peaks in this  
17 spectrum (singly charged precursors excluded) and recorded a Full MS<sup>2</sup> spectrum of each of  
18 these 12 compounds. The parameters for MS spectrum acquisition were: mass range from 400  
19 to 1750 *m/z*, resolution of 70000, automated gain control (AGC) target of 10<sup>6</sup> or maximum  
20 injection time of 200ms. The parameters for MS<sup>2</sup> spectrum acquisition were: isolation window  
21 of 2.0 *m/z*, collision energy (NCE) of 25, resolution of 17500, AGC target of 10<sup>5</sup> or maximum  
22 injection time of 50ms. The database searches were performed by the software MaxQuant  
23 ver.1.5.2.8. Protein identifications were considered as significant if these proteins were  
24 identified with at least 2 peptides including at least one unique peptide per protein considering  
25 a false positive discovery rate (FDR) <0.01.

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**Data analysis**

Epidemiological data of the 3 groups (OA, CPPA and RA) were compared using the Kruskal Wallis test with the posthoc test of Dunn’s for continuous variables and Chi square test for qualitative variables. Comparison of two-by-two groups for K&L was performed with Mann Whitney test.

For MS/MS values, Maxquant analysis leads to the identification and calculation of the normalized protein intensities. The label free quantitative (LFQ) intensities can be directly compared between samples (for a given protein) and can be imported in Perseus software (version 1.5.5.0) for statistical differential analysis. Only proteins identified in 7 biopsies of at least one of the three groups (OA, CPPA and RA) were considered for further analysis. 1871 proteins were selected accordingly. LFQ intensities were Log2 transformed for all statistical analyses. Correlation coefficients were obtained using Pearson test after verifying that all values passed the D’Agostino normality test. Multiple-comparison test (ANOVA) was used for comparing protein intensities of the three groups [OA, CPPA and RA]. DAVID Bioinformatics resources 6.8 was used for the identification of KEGG pathways. STRING 10.5 was used for functional protein association network.

**RESULTS**

**Characteristics of patients**

Clinical and biologic data are summarized in Table 1. Parameters related to age, gender and BMI were not statistically different between OA, CPPA and RA patients. Severity of OA and CPPA was defined according to the Kellgren Lawrence (K&L) grade(16) and was not statistically different between the two groups. Histological score was significantly different between the 3 groups (P value = 0.0003) and was higher in RA compared to OA (P<0.001) or

1 CPPA ( $P < 0.05$ ) but not different between OA and CPPA groups according to the posthoc test.  
2 CRP values exceeding the normal range were observed in 20%, 40% and 90% of OA, CPPA  
3 and RA patients, respectively; all patients being untreated by corticosteroids or any disease  
4 modifying anti-rheumatic drugs, including biologics.

5

## 6 **Histological score**

7 Histological score was in the range of 3 to 8 for OA, 5 to 13 for CPPA and 12 to 17 for  
8 RA, which represents a continuum for the inflammatory process of the synovial membrane  
9 through the 24 biopsies, the least severe being the OA group in contrast to CPPA (medium  
10 score) and RA (highest score) (Table 1, Figure 1A). Of note, there is an overlap between the 3  
11 pathologies, and some patients with OA have already a medium high inflammatory score.  
12 Synovial hyperplasia and infiltration of lymphocytes were observed in all samples (Figure 1A  
13 and 1B), whereas plasmocytic infiltration was observed in only 3/9 OA (33%), 3/7 (43%) CPPA  
14 and 8/8 RA synovitis, and PMN infiltration in 0/9 OA, in 1/7 (14%) CPPA and in 6/8 (75%)  
15 RA synovitis (Figure 1A and 1B). Macrophage infiltration was present in 8/9 (89%) of OA, in  
16 5/7 (71%) of CPPA and in 8/8 RA synovitis (Figure 1A and 1C).

17

## 18 **Proteomics analysis**

19 Proteins were extracted and digested from the 24 biopsies for proteomic analysis by  
20 mass spectrometry (MS/MS). 4336 proteins were identified by MS/MS, but only 1871 proteins  
21 were selected for their significant identification in 7 biopsies of at least one of the three groups  
22 (OA, CPPA or RA). The 1871 proteins intensities were then correlated with their corresponding  
23 histological score. Fifty-one proteins presented a statistically significant correlation with a P  
24 value being at least  $< 0.001$  (Table 2), including 39 proteins with a positive correlation ( $r > 0.63$ )  
25 and 12 proteins with a negative correlation ( $r < -0.65$ ) (Table 2). Some proteins of Table 2 are

1 illustrated in Figure 2 for the three groups, as well as correlation parameters between MS/MS  
2 log<sub>2</sub> protein intensities and respective histological score. Highlighted grey lines of Table 2  
3 feature 31 proteins detected in the entire set of the 24 biopsies, including 27 proteins being  
4 positively correlated with the histological score and 4 proteins being negatively correlated  
5 (Table 2).

6 We also confirmed that DAMP proteins, S100A8 and S100A9, were detected in the 24  
7 synovial membrane biopsies and that their protein levels were strongly increased in RA  
8 compared to OA biopsies (ANOVA, Tuckey post hoc test  $P < 0.01$ ). Only S100A8 but not  
9 S100A9 protein levels were discriminant between CPPA and RA groups ( $P < 0.01$ ). As expected,  
10 a strong correlation between S100A8 and S100A9 protein levels ( $r = 0.95$ ,  $P < 0.0001$ ) was  
11 determined among the 3 groups.

12 DAVID analysis was performed on the 51 biomarkers to highlight their functional  
13 classifications (Figure 3A). The pathway entitled “protein processing in endoplasmic reticulum  
14 (ER)” was selected and weighted in the balance for 22% (Figure 3A). In this pathway, 11  
15 proteins were identified: *DNAJB11*, *CALR*, *ERP29*, *GANAB*, *HSP90B1*, *HSPA1A*, *HSPA5*,  
16 *HYOU1*, *LMAN1*, *PDIA4*, and *TXNDC5* (Figure 3B) and were connected by the STRING  
17 protein-protein interaction network (see red writings in Figure 3C). Statistically significant  
18 positive correlations were confirmed and summarized in Figure 3D, except for *HSPA1A* that  
19 was negatively correlated to the 10 others. Out of that observation, we calculated the ratio of  
20 *HSPA1A* to *TXNDC5* protein levels that was negatively correlated ( $r = -0.6$ ,  $P = 0.002$ ) with the  
21 histological score (Figure 3E). Although DAVID analysis did not highlight a pathway centered  
22 on the alarmins S100A8 and S100A9, both proteins were significantly correlated with almost  
23 all 50 other proteins selected in Table 2, except for *PARP1* and *CRTAC1* for S100A8 or  
24 S100A9, and *GANAB* and *GNB2L1* for S100A9 (Table 3). The same 39 proteins as in Table 2

1 were positively correlated with S100A8 or S100A9, as well as the 12 proteins that were  
2 negatively correlated (Table 3).

3 A special attention was drawn to the status of complement component protein levels: 18  
4 have been identified among the 1871 proteins retained (Additional file 1). Only complement  
5 C1q subunits B and C were slightly significantly correlated with the histological score.

6

## 7 **DISCUSSION**

8 OA synovitis share at a lower degree many common features with RA synovitis  
9 including abnormal synoviocytes proliferation, leukocytes infiltration and angiogenesis. In our  
10 study, synovial hyperplasia and lymphocyte infiltration were observed in all samples.  
11 Macrophages infiltration was detected in all biopsies except for 2 OA and 1 CPPA synovitis.  
12 Plasmocytes infiltration was observed in all RA and in 33-43% of non-RA biopsies, whereas  
13 PMN infiltration was present in 75% of RA biopsies and in 0-10% of non-RA biopsies. The  
14 histological score highlighted an inflammatory continuum through the 24 biopsies with an  
15 overlap between the 3 studied pathologies in agreement with other publications(1,2,15). It  
16 emphasizes the absence of a unique pattern for each studied disease and the heterogeneity of  
17 cell infiltration, either quantitatively and qualitatively. Synovitis is composed of various  
18 inflammatory cells and proliferating synoviocytes that induce the secretion of classical  
19 inflammatory mediators but also the secretion of thousands of proteins that represents the dark  
20 proteome, a Gordian knot that can only be unraveled by a tissue proteomic analysis.

21 To the best of our knowledge, this is the first proteomic study of human synovitis for  
22 which proteins levels were compared to their corresponding histological score. DAVID and  
23 STRING analyses highlighted 11 proteins involved in the endoplasmic reticulum pathway:  
24 *DNAJB11*, *CALR*, *ERP29*, *GANAB*, *HSP90B1*, *HSPA1A*, *HSPA5*, *HYOU1*, *LMAN1*, *PDIA4*,  
25 and *TXNDC5*. All these proteins were detected in the 24 biopsies supporting the relevance of

1 their identifications. How can we connect ER proteins to the inflammatory process inside  
2 synovitis? Endoplasmic reticulum (ER) is an intracellular organelle playing a major role in  
3 proper proteins folding through the activation of several chaperone proteins, including protein  
4 disulfide isomerase (PDI), ERP29, the Hsp70 family member Glucose-Regulated Protein 78  
5 kDa (GRP78/BiP) (*HSPA5*), and calreticulin (*CALR*). However, despite the function of these  
6 chaperones, the success rate for proper folding is often quite low. Incompletely folded proteins  
7 are forced to be removed from cells by a process called UPR (unfolded protein response)  
8 activated along with the ER-associated degradation (ERAD), enhancing protein degradation by  
9 the proteasome. Some cellular disturbances such as nutrient deprivation, hypoxia or loss of  
10 calcium homeostasis can disrupt ER efficiency and lead to the accumulation of unfolded  
11 proteins enhancing a stress response in the ER.

12 Under normal conditions, GRP78/BiP (*HSPA5*) maintains the canonical UPR regulators  
13 (IRE1 $\alpha$ , PERK and ATF6) in an inactivated form, while upon pathological conditions, it  
14 dissociates from the three UPR proteins inducing UPR activation(17). Contribution of the ER  
15 stress in RA pathogenesis has been recently described(17). Inflammation and ER stress work  
16 together by driving inflammatory cells to produce pro-inflammatory cytokines but also to  
17 enhance FLS proliferation and angiogenesis(17,18). Further, synovial hyperplasia is linked to  
18 chronic inflammation and joint destruction(1). GRP78/BiP has been localized predominantly  
19 in the lining but also sublining layers of RA (more pronounced) and OA synovium(18). Down-  
20 regulation of GRP78/BiP increases apoptosis of RA FLS and conversely its overexpression  
21 prevents cells from apoptotic death induced by an ER stressor(18). Selective abrogation of  
22 GRP78/BiP blunts activation of NF- $\kappa$ B and protect mice from collagen arthritis(19).

23 ERdj3 (*DNAJB11*) acts as a component with other co-chaperone proteins SDF2 and  
24 SDF2L1 in the GRP78/BiP chaperone cycle to prevent the aggregation of misfolded  
25 proteins(20) and regulates GRP78/BiP occupancy in living cells(21). Two other ER

1 chaperones, such as GRP94/endoplasmin (*HSP90B1*) and calreticulin (*CALR*) contribute to the  
2 autoimmune process in different ways. Under physiologic conditions, GRP94/endoplasmin  
3 optimizes the function of B cells by chaperoning TLRs(22). Indeed, GRP94/endoplasmin  
4 ablation in B cells attenuated antibody production in the context of TLR stimulation(22). Under  
5 pathologic conditions, GRP94/endoplasmin translocates to the cell surface and extracellular  
6 space and could function as an autoantigen to induce autoantibodies and enhance immune  
7 responses(23). GRP94/endoplasmin may also act as an endogenous ligand of TLR2 to promote  
8 chronic inflammation(23). GRP94/endoplasmin induces the transcription of TLR2, TNF- $\alpha$  and  
9 IL8 but not TLR4 in synovial macrophages(24). GRP94/endoplasmin is highly expressed in the  
10 lining and sublining layers of RA synovium correlating with lining thickness (lining) and the  
11 inflammatory score (sublining)(24). Its expression was also detected in control OA  
12 synovium(24). A recent study demonstrated that the upregulation of Bcl-XL and Mcl-1  
13 expression in RA FLS by calreticulin promoted apoptosis resistance of RA FLS(25).  
14 Calreticulin promotes angiogenesis by activating nitric oxide signaling pathway in RA(26).  
15 Further, soluble calreticulin can induce the expression of pro-inflammatory cytokines by  
16 macrophages(27). Calreticulin was previously detected by another proteomic study focusing on  
17 formalin-fixed paraffin-embedded (FFPE) synovial tissues provided from OA and RA  
18 tissues(12).

19 Hypoxia-upregulated protein 1 (*HYOU1*) or GRP170 is co-regulated and associated  
20 with two other chaperones GRP78/BiP and GRP94/endoplasmin, suggesting their coordinated  
21 activity in the maintenance of protein homeostasis(28). HYOU1 presents an important  
22 cytoprotective role in hypoxia-induced cellular perturbation(29) and can contribute to cell  
23 survival when ER is under stress. However, surface or extracellular HYOU1 exerts documented  
24 immunoregulatory activities in some immunopathologies but its role in rheumatic diseases  
25 remains unknown(30). In addition to its function as a “danger” molecule alerting the immune

1 system of tissue damage, the extracellular HYOU1 has also the capacity of amplifying the  
2 inflammatory response triggered by microbial signals and possibly by DAMPs(30). HYOU1  
3 also promoted pulmonary fibrosis in mice by increasing pulmonary levels of TGF- $\beta$ 1 and  
4 myofibroblasts(31).

5 Thioredoxin domain-containing protein 5 (*TXNDC5*) is a protein disulfide isomerase  
6 with clear pro-inflammatory properties. *TXNDC5* contributes to abnormal RA FLS  
7 proliferation, migration and IL-6 production by inhibiting IGFBP1 expression(32).  
8 Downregulation of *TXNDC5* could contribute to RA FLS antiangiogenic and proapoptotic  
9 features through the suppression of CXCL10 and TRAIL(33). Further, *TXNDC5* synergizes  
10 with heat shock cognate 70 protein (HSC70) to exacerbate the inflammatory phenotype of RA  
11 FLS through NF- $\kappa$ B signaling(34). *TXNDC5* expression was increased in synovial tissues of  
12 RA patients compared to OA as identified by a proteomic study(13) or by  
13 immunochemistry(35). Further, elevated levels were found in the synovial fluid and serum of  
14 RA patients(35).

15 The role of ERp29 (*ERP29*) seems controversial in the literature regarding to apoptosis.  
16 It protects cells such as neurons from apoptosis(36) whereas it sensitizes some others such as  
17 cancer cells(37). Murine macrophages upon interaction with heat-inactivated *Candida albicans*  
18 unravel an anti-inflammatory response with the overexpression of ERp29(38). Protein  
19 disulfide-isomerase-A4 (PDIA4) mediates resistance to cisplatin-induced cell death in lung  
20 adenocarcinoma(39). PDIA4 mRNAs were significantly increased in patients' inflamed colonic  
21 mucosa compared to uninflamed mucosa and controls(40). Protein ERGIC-53 (*LMANI*) is a  
22 type I transmembrane protein that is located at the ER, ER-Golgi Intermediate Compartment  
23 (ERGIC) and cis-Golgi. Protein ERGIC-53 facilitates transport of several cargo proteins  
24 including factors critical to the coagulation cascade from the ER to Golgi(41). Interaction of  
25 Protein ERGIC-53 with  $\beta$ -amyloid protected cultured neuronal cells from  $\beta$ -amyloid-induced



1 apoptosis(42). GANAB is a key glycoprotein quality control protein in ER removing glucose  
2 residues from immature glycoproteins(43). Although ERp29, PDIA4, Protein ERGIC-53 and  
3 GANAB proteins were highly positively correlated in our work with the histological score, their  
4 presence and their role in the pathophysiology of synovitis have not yet been described.

5 As for other chaperones, the heat shock 70 kDa protein 1A/1B or Hsp72 (*HSPA1A*) can  
6 be released by normal cell under stress or by damaged cell, but unlike most of other chaperones,  
7 HSPA1A displays anti-inflammatory properties. Recombinant human HSPA1A suppresses the  
8 production of pro-inflammatory cytokines in RA FLS by inhibition of NF- $\kappa$ B pathway and  
9 decreases collagen-induced arthritis in mice(44). Interestingly, in our study, HSPA1A levels  
10 were significantly lower in RA than in OA or CPPA synovium and negatively correlated with  
11 the histological score as well as with the 10 other aforementioned protein levels of the ER  
12 pathway suggesting a defective anti-inflammatory response in favor of a pro-inflammatory one  
13 under the control of ER proteins.

14 S100A8 and S100A9 were expressed in the 24 biopsies and positively correlated with  
15 the histological score. Further, they were almost correlated to all 51 proteins highlighted for  
16 their correlation with the histological score. S100A8 and S100A9 proteins are Ca<sup>2+</sup> binding  
17 proteins constitutively expressed by neutrophils and monocytes. They are well-known DAMPs  
18 proteins participating to leukocyte recruitment and cytokines secretion and are highly expressed  
19 in many inflammatory conditions. They were detected in serum(45), synovial fluid(46) and  
20 joint tissue(12,13) of RA patients. In the OA synovium, they are mainly produced by M-1 like  
21 macrophages and slightly by M-2 like macrophages but not by FLS, inducing inflammatory  
22 cytokines and MMPs expression(47). S100A8 and S100A9 are actively involved in the  
23 thickening of the intimal layer and in the development of joint destruction in murine  
24 collagenase-induced OA but not in destabilized medial meniscus-induced OA(48). Further,  
25 S100A8 and S100A9 levels in the OA synovitis significantly correlate with synovial lining

1 thickness and cellularity in the subintima(48). Gene and protein expression of S100A9 were  
2 increased in inflamed areas as compared to normal area of human OA synovitis(49).

3 Eighteen complement component proteins were identified but none except complement  
4 C1q was positively correlated to the histological score. These findings are in contrast with two  
5 other proteomic analyses(9,10) performed in OA synovial fluids but not in tissue. Indeed,  
6 Gobezie *et al.* identified C3 as a discriminant marker exhibiting a sensitivity of 90% and a  
7 specificity of 85% in OA synovial fluids(9). Similarly, we also identified previously increased  
8 levels of C3f fragment in synovial fluid of OA patients (11). Complement components can be  
9 delivered from blood by ultrafiltration. Numerous publications mentioned that complement  
10 components could be produced by synovial tissue cells(50). However, in our study, complement  
11 component levels in OA, CPPA and RA were not correlated to the histological score and were  
12 not statistically elevated among the three pathologies, suggesting that complement cascade was  
13 further playing a role in synovial fluids and not in synovial tissue.

14

## 15 **CONCLUSION**

16 This study highlights the continuum existing between OA, CPPA and RA synovitis both  
17 at the protein and the histological score levels. These two levels are connected giving  
18 pathophysiological relevance of the proteomic synovium analysis. Pannus development in these  
19 diseases is characterized by overexpression of many proteins involved in the ER stress, mostly  
20 chaperones and co-chaperones. All studied ER proteins except HSPA1A exhibit pro-  
21 inflammatory properties when they are exposed on the cell membrane or secreted outside  
22 favoring inflammatory cytokine production as well as proliferation and migration of FLS. Five  
23 proteins (*HSPA5*, *HSB90B1*, *CALR*, *TXNDC5* and *HSPA1A*) have been previously identified in  
24 the RA synovium and to a lesser extent in the OA synovium. Six proteins (*DNAJB11*, *HYOUI*,  
25 *ERp29*, *PDIA4*, *LMAN1* and *GANAB*) have never been described in RA or in OA synovium,

1 and none in CPPA synovium. These data confirm an important role for these chaperones and  
2 co-chaperones of the ER pathway in the pathophysiology of RA, but more importantly strongly  
3 suggest a similar unknown pattern in the pathophysiology of OA and CPPA. S100A8 and  
4 S100A9 were correlated to the histological score and to most of highlighted proteins in Table  
5 2. Complement components seem to behave independently from the histological score. We  
6 have also highlighted the negative correlation between the histological score and the  
7 HSPA1A/TXNDC5 ratio, which fits with the capacity of TXNDC5 to exacerbate the  
8 inflammatory phenotype of FLS(34). This proteomic analysis suggests the need for future  
9 developments such as: 1) the identification of other pathways including other proteins that are  
10 not correlated with the histological score, and 2) the characterization of protein clusters  
11 correlated with each type of cells infiltrating the pannus as well as with FLS proliferative  
12 capacity. This tool may allow to develop a molecular classification of complex rheumatic  
13 diseases.

14

## 15 **DECLARATIONS**

16

### 17 **Ethics approval and consent to participate**

18 All experiments undertaken with patient material complied with the regulations and ethical  
19 guidelines of the CHU of Liege, Belgium.

20 **Consent for publication:** Not applicable

21 **Availability of data and materials:** The datasets used and/or analyzed during the current study  
22 are available from the corresponding author on reasonable request.

23 **Competing interests:** We declare that we have no competing of interest.

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1 **Authors' contributions:**

2 All authors were involved in drafting and revision of the manuscript and all authors approved  
3 the final version to be published.

4 Study conception and design: de Seny D, Malaise MG

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9

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## 11 **FIGURE LEGENDS**

12 **Figure 1: Histological scoring of synovial biopsies** A) Classification of OA, CPPA and RA  
13 synovial biopsies (n = 24) according to the histological score based on the following criteria:  
14 synovial hyperplasia (hs, 0 – 4), infiltration of lymphocytes (ly, 0 – 4), plasmocytes (pl, 0 – 4),  
15 polynuclear neutrophils (po, 0 – 3) and macrophages (CD68, 0 – 3). B) Histological  
16 representation of hematoxylin eosin stained sections for synovial hyperplasia and infiltration of  
17 lymphocytes/plasmocytes and polynuclear neutrophils in one OA, CPPA or RA biopsy. C)  
18 Immunohistochemistry using anti-CD68 antibody showing macrophage infiltration in OA,  
19 CPPA and RA synovial biopsies.

21 **Figure 2: Distribution of protein intensities among the 3 groups (OA, CPPA and RA) and  
22 correlation with the histological score**

23 Illustration of some proteins from Table 2 for which log<sub>2</sub> intensities obtained by MS/MS are  
24 represented among the three groups (OA, CPPA and RA) and statistically correlated to the  
25 histological score.

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**Figure 3: ER stress proteins detected in the inflamed synovial membrane:** A) DAVID analysis performed on the 51 biomarkers highlighted for their significant correlation to the histological score, for their functional classifications. The pathway entitled “protein processing in endoplasmic reticulum (ER)” was selected. B) Proteins involved in the ER pathway according to DAVID analysis. C) STRING protein-protein interaction among the 51 proteins highlighted in Table 2. Red writings indicate proteins involved in the ER network according to DAVID analysis. D) Correlation parameters between the 11 proteins involved in the ER according to DAVID. E) Negative correlation between HSPA1A and TXNDC5 protein levels.

**Additional file 1: Correlation parameters between complement components and the histological score**

Representation of complement components intensities obtained by MS/MS among the three groups (OA, CPPA and RA). \* represent P-values < 0.05; ns = not significant

1 **Table 1: Patients description**

2 Clinical and pathological characteristics of patients with osteoarthritis (OA), chronic  
 3 pyrophosphate arthropathy (CPPA) or rheumatoid arthritis (RA)

	OA	CPPA	RA	P value
n	9	7	8	
Age [median (interval)]	55 (36-89)	65 (50-74)	57 (29-78)	0.3
% of woman	88% (8/9)	71% (5/7)	62% (5/8)	0.44
BMI [median (interval)]	32.2 (17.6-41.9)	24.2 (22-33)	24.4 (16.4-33.9)	0.4
K&L score [median (interval)]	3 (0-4)	2 (0-4)	/	0.6
Histological inflammatory score	4 (3-8)	5 (5-13)	14 (12-17)	0.0003
Anti-CCP (positive %)	0%	0%	60%	0.002
Rheumatoid factor (positive %)	0%	0%	40%	0.032
CRP (Positive %)	20%	40%	90%	0.020
ESR (Positive %)	10%	0%	60%	0.01

OA = osteoarthritis; CPPA = chronic pyrophosphate arthropathy; RA = rheumatoid arthritis;  
 BMI = Body mass index; K&L = Kellgren and Lawrence score;

4 anti-CCP = anti-cyclic citrullinated peptide; CRP = C reactive protein; ESR = erythrocyte sedimentation rate

5

1 **Table 2: Correlation parameters between protein intensities and histological score**

2 Intensities of 51 proteins quantified by MS/MS were significantly correlated to the histological  
 3 score. n = number of biopsies for which the protein was detected; r = coefficient correlation  
 4 (Pearson test). P-values are statistically significant < 0.001.

5

Gene ID	Prot ID	Prot name	n	r	P-value
LSP1	P33241	Lymphocyte-specific protein 1	16	0.83	< 0.0001
MZB1	Q8WU39	Marginal zone B- and B1-cell-specific protein	15	0.80	0.0004
MANF	P55145	Mesencephalic astrocyte-derived neurotrophic factor	23	0.79	< 0.0001
EML4	Q9HC35	Echinoderm microtubule-associated protein-like 4	20	0.78	< 0.0001
LAP3	P28838	Cytosol aminopeptidase	24	0.77	< 0.0001
DNAJB11	Q9UBS4	DnaJ homolog subfamily B member 11	24	0.77	< 0.0001
DEFA1	P59665	Neutrophil defensin 1	21	0.76	< 0.0001
ERP29	P30040	Endoplasmic reticulum resident protein 29	24	0.75	< 0.0001
IDH2	P48735	Isocitrate dehydrogenase [NADP], mitochondrial	24	0.75	< 0.0001
LCP1	P13796	Plastin-2	24	0.74	< 0.0001
TXNDC5	Q8NBS9	Thioredoxin domain-containing protein 5	24	0.73	< 0.0001
HSP90B1	P14625	Endoplasmin or glucose-related protein 94 (GRP94)	24	0.73	< 0.0001
CALR	P27797	Calreticulin	24	0.73	< 0.0001
PRDX4	Q13162	Peroxiredoxin-4	24	0.73	< 0.0001
SRP72	O76094	Signal recognition particle subunit SRP72	22	0.73	0.0001
HSPA5	P11021	78 kDa glucose-regulated protein (GRP78) or BiP	24	0.72	< 0.0001
ARHGDI2	P52566	Rho GDP-dissociation inhibitor 2	24	0.72	< 0.0001
PDIA4	P13667	Protein disulfide-isomerase A4	24	0.72	< 0.0001
TAPBP	O15533	Tapasin	18	0.72	0.0009
CORO1A	P31146	Coronin-1A	24	0.71	< 0.0001
S100A8	P05109	Protein S100-A8	24	0.71	0.0001
CTSS	P25774	Cathepsin S	22	0.69	0.0003
CTSZ	Q9UBR2	Cathepsin Z	24	0.69	0.0002
MNDA	P41218	Myeloid cell nuclear differentiation antigen	23	0.69	0.0003
LMNB1	P20700	Lamin-B1	24	0.69	0.0002
TUBA4A	P68366	Tubulin alpha-4A chain	24	0.68	0.0002
PMM2	O15305	Phosphomannomutase 2	20	0.68	0.0009
CNPY2	Q9Y2B0	Protein canopy homolog 2	24	0.68	0.0003
PTPRC	P08575	Receptor-type tyrosine-protein phosphatase C	23	0.68	0.0004
S100A9	P06702	Protein S100-A9	24	0.68	0.0003
LMAN1	P49257	Protein ERGIC-53	24	0.68	0.0003
EEF1G	P26641	Elongation factor 1-gamma	24	0.67	0.0004
STAT1	P42224	Signal transducer and activator of transcription 1-alpha/beta	23	0.66	0.0007
GBP1	P32455	Interferon-induced guanylate-binding protein 1	24	0.65	0.0005
GNB2L1	P63244	Guanine nucleotide-binding protein subunit beta-2-like 1	24	0.65	0.0006
PARP1	P09874	Poly [ADP-ribose] polymerase 1	24	0.64	0.0007
PFN1	P07737	Profilin-1	24	0.64	0.0008
HYOU1	Q9Y4L1	Hypoxia up-regulated protein 1 (GRP170)	24	0.63	0.0009
GANAB	Q14697	Neutral alpha-glucosidase AB	24	0.63	0.001
TNXB	P22105	Tenascin-X	23	-0.65	0.0008
CRTAC1	Q9NQ79	Cartilage acidic protein 1	22	-0.66	0.0009
HSPA1A	P0DMV8	Heat shock 70 kDa protein 1A/1B (HSP70-1)	24	-0.66	0.0005
SPTBN1	Q01082	Spectrin beta chain, non-erythrocytic 1	24	-0.67	0.0003
SNTB2	Q13425	Beta-2-syntrophin	24	-0.67	0.0004
LEMD2	Q8NC56	LEM domain-containing protein 2	21	-0.67	0.0008
LMNB2	Q03252	Lamin-B2	24	-0.68	0.0003
CKB	P12277	Creatine kinase B-type	20	-0.68	0.0009
GPX3	P22352	Glutathione peroxidase 3	23	-0.69	0.0002
CPQ	Q9Y646	Carboxypeptidase Q	18	-0.75	0.0004
SYNE3	Q6ZM23	Nesprin-3	23	-0.75	< 0.0001
SCARA5	Q6ZMJ2	Scavenger receptor class A member 5	15	-0.85	< 0.0001

6

1 **Table 3: Correlation parameters between S100A8/S100A9 and the 50 protein intensities**  
2 **correlated to the histological score**

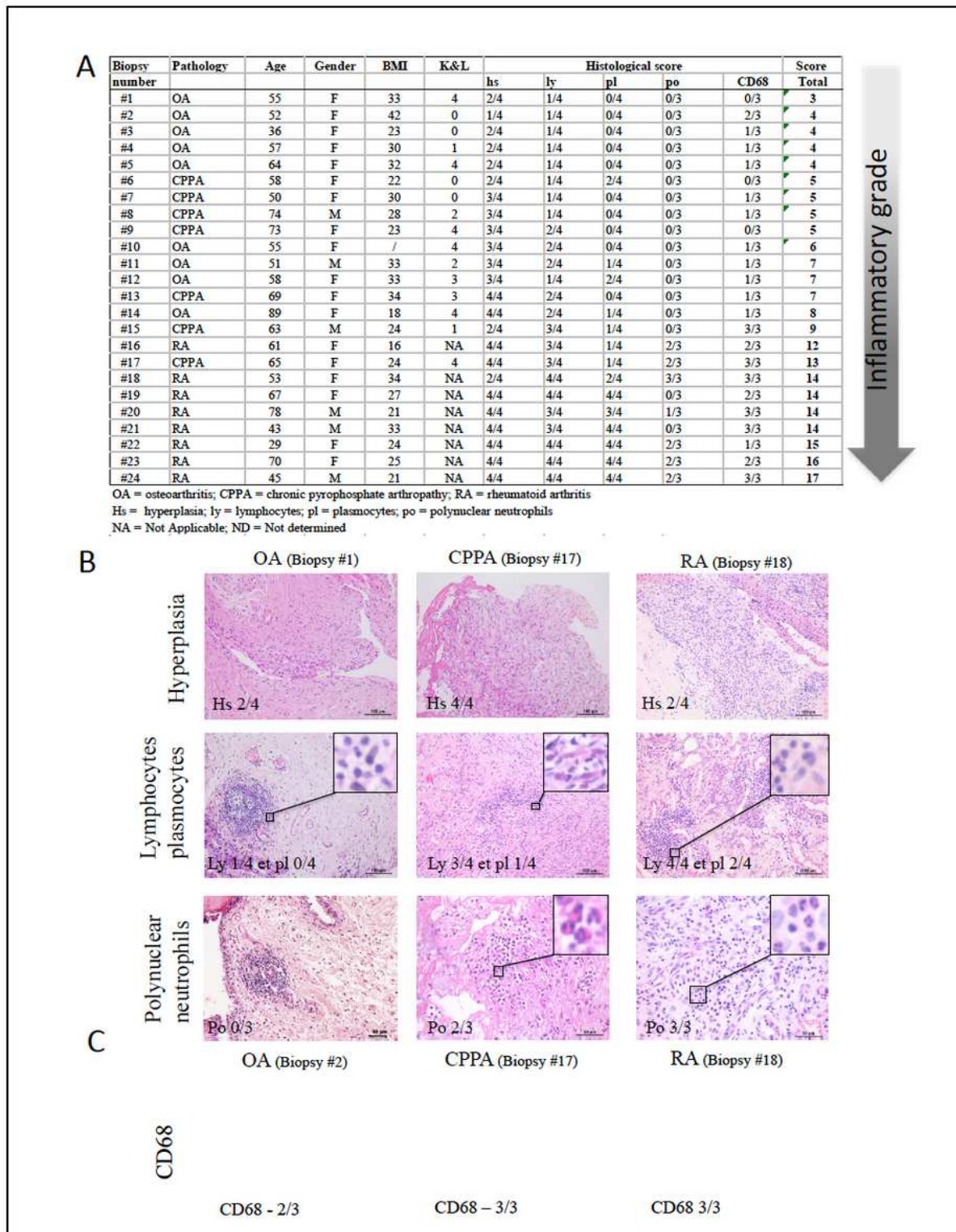
3 Alarmins S100A8 and S100A9 were both correlated to the 50 other protein intensities obtained  
4 by MS/MS and correlated to the histological score. r = coefficient correlation (Pearson test).

5 \*, \*\*, \*\*\* represent P-values < 0.05; 0.01 and 0.001, respectively. ns = not significant

	S100A8		S100A9	
	Pearson r	P value	Pearson r	P value
Protein S100-A9	0.9545	***	0.9545	***
Neutrophil defensin	0.9131	***	0.8637	***
Lymphocyte-specific protein 1	0.8527	***	0.8197	***
Coronin-1A	0.8417	***	0.8041	***
Plastin-2	0.837	***	0.8021	***
Cathepsin Z	0.8266	***	0.7937	***
Myeloid cell nuclear differentiation antigen	0.8118	***	0.7771	***
Tapasin	0.7384	***	0.6698	**
Profilin-1	0.7348	***	0.6748	***
Mesencephalic astrocyte-derived neurotrophic factor	0.7223	***	0.7417	***
Cathepsin S	0.7125	***	0.6177	**
Receptor-type tyrosine-protein phosphatase C	0.707	***	0.643	***
Rho GDP-dissociation inhibitor 2	0.6958	***	0.6217	**
Calreticulin	0.68	***	0.6088	**
Cytosol aminopeptidase	0.679	***	0.6264	**
Marginal zone B- and B1-cell-specific protein	0.667	**	0.7098	**
Elongation factor 1-gamma	0.6522	***	0.5827	**
Lamin-B1	0.6505	***	0.587	**
Echinoderm microtubule-associated protein-like 4	0.6346	**	0.5933	**
Phosphomannomutase 2	0.614	**	0.6091	**
Endoplasmic reticulum resident protein 29	0.5962	**	0.644	***
Peroxiredoxin-4	0.578	**	0.5787	**
Protein canopy homolog 2	0.5758	**	0.6744	***
Signal transducer and activator of transcription 1-alpha/beta	0.5704	**	0.5427	**
DnaJ homolog subfamily B member 11	0.5504	**	0.5635	**
Interferon-induced guanylate-binding protein 1	0.5373	**	0.566	**
Hypoxia up-regulated protein 1 (GRP170)	0.5357	**	0.5298	**
78 kDa glucose-regulated protein (GRP78) or BiP	0.5233	**	0.5043	*
Endoplasmic	0.5164	**	0.4804	*
Isocitrate dehydrogenase [NADP], mitochondrial	0.5118	*	0.4164	*
Protein disulfide-isomerase A4	0.5076	*	0.4499	*
Protein ERGIC-53	0.4971	*	0.4479	*
Tubulin alpha-4A chain	0.4941	*	0.4951	*
Neutral alpha-glucosidase AB	0.483	*	0.396	ns
Guanine nucleotide-binding protein subunit beta-2-like	0.4502	*	0.3353	ns
Signal recognition particle subunit SRP72	0.4444	*	0.4308	*
Thioredoxin domain-containing protein 5	0.4049	*	0.4182	*
Poly [ADP-ribose] polymerase 1	0.3561	ns	0.2772	ns
Cartilage acidic protein 1	-0.3355	ns	-0.3434	ns
Heat shock 70 kDa protein 1A/1B (HSP70-1)	-0.5366	**	-0.5838	**
LEM domain-containing protein 2	-0.6254	**	-0.5722	**
Nesprin-3	-0.6296	**	-0.6015	**
Tenascin-X	-0.6321	**	-0.5679	**
Spectrin beta chain, non-erythrocytic 1	-0.6884	***	-0.6734	***
Scavenger receptor class A member 5	-0.7069	**	-0.6619	**
Carboxypeptidase Q	-0.7156	***	-0.7019	**
Lamin-B2	-0.7414	***	-0.7293	***
Glutathione peroxidase 3	-0.7475	***	-0.7667	***
Beta-2-syntrophin	-0.7522	***	-0.8171	***
Creatine kinase B-type	-0.7555	***	-0.7136	***

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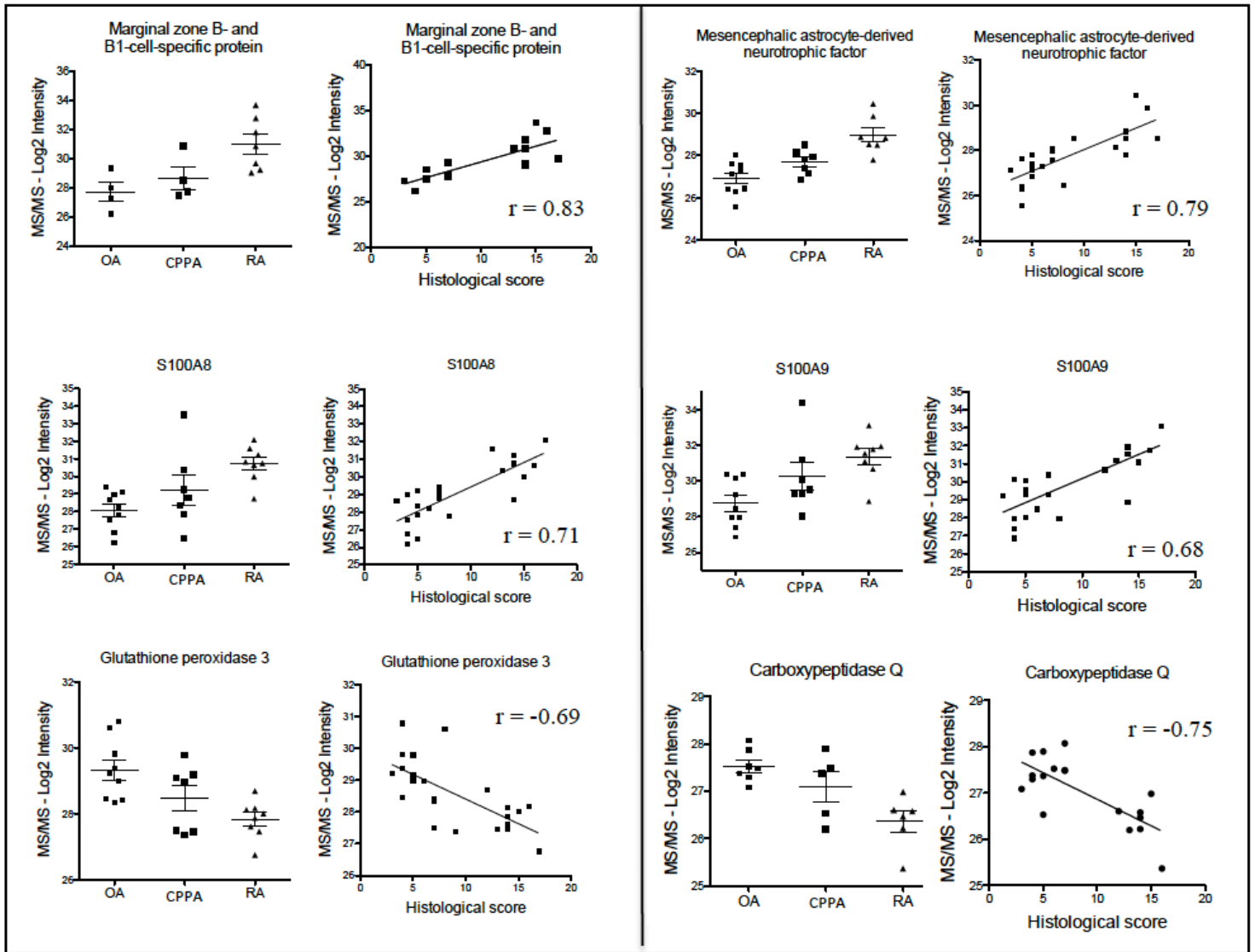
# Figures



**Figure 1**

Histological scoring of synovial biopsies A) Classification of OA, CPPA and RA synovial biopsies (n = 24) according to the histological score based on the following criteria: synovial hyperplasia (hs, 0 – 4), infiltration of lymphocytes (ly, 0 – 4), plasmacytes (pl, 0 – 4), polynuclear neutrophils (po, 0 – 3) and

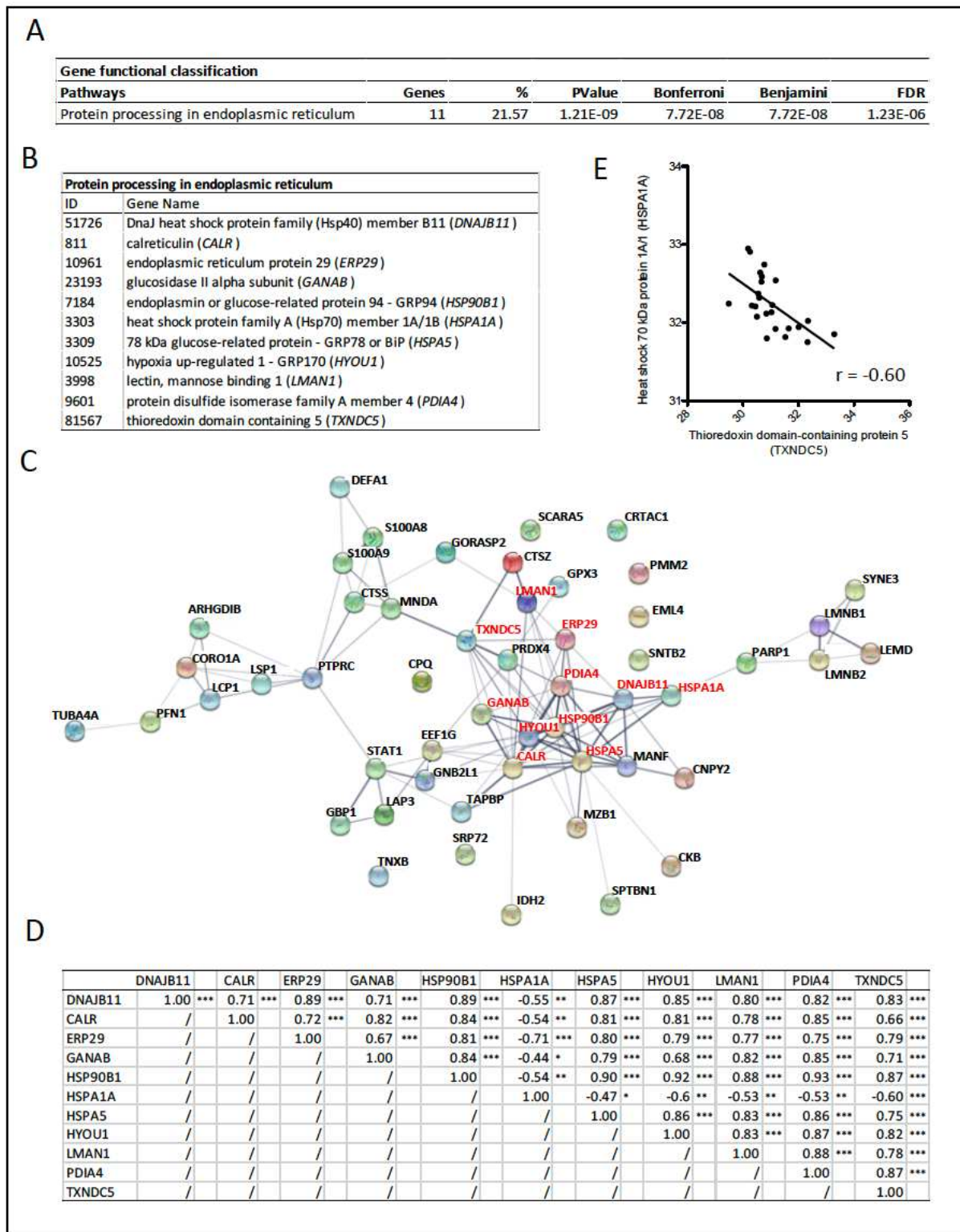
macrophages (CD68, 0 – 3). B) Histological representation of hematoxylin eosin stained sections for synovial hyperplasia and infiltration of lymphocytes/plasmocytes and polynuclear neutrophils in one OA, CPPA or RA biopsy. C) Immunohistochemistry using anti-CD68 antibody showing macrophage infiltration in OA, CPPA and RA synovial biopsies.



**Figure 2**

Distribution of protein intensities among the 3 groups (OA, CPPA and RA) and correlation with the histological score. Illustration of some proteins from Table 2 for which log<sub>2</sub> intensities obtained by MS/MS are represented among the three groups (OA, CPPA and RA) and statistically correlated to the histological score.





**Figure 3**

ER stress proteins detected in the inflamed synovial membrane: A) DAVID analysis performed on the 51 biomarkers highlighted for their significant correlation to the histological score, for their functional classifications. The pathway entitled “protein processing in endoplasmic reticulum (ER)” was selected. B) Proteins involved in the ER pathway according to DAVID analysis. C) STRING protein-protein interaction among the 51 proteins highlighted in Table 2. Red writings indicate proteins involved in the ER network

according to DAVID analysis. D) Correlation parameters between the 11 proteins involved in the ER according to DAVID. E) Negative correlation between HSPA1A and TXNDC5 protein levels.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Additionalfile1.pdf](#)