

1 **A high-resolution, spatially explicit estimate of fossil-fuel CO<sub>2</sub>**  
2 **emissions from the Tokyo Metropolis, Japan**

3 Richao Cong<sup>1,2\*</sup>, Makoto Saito<sup>1\*</sup>, Tetsuo Fukui<sup>3</sup>, Ryuichi Hirata<sup>1</sup> and Akihiko Ito<sup>1</sup>

4 <sup>1</sup> Center for Global Environmental Research, National Institute for Environmental Studies,  
5 Tsukuba, Japan

6 <sup>2</sup> Fukushima Branch, National Institute for Environmental Studies, Tamura District, Japan

7 <sup>3</sup> Institute of Behavioral Sciences, Tokyo, Japan

8 *\*Correspondence:*

9 [richao.cong@nies.go.jp](mailto:richao.cong@nies.go.jp); [saito.makoto@nies.go.jp](mailto:saito.makoto@nies.go.jp)

## 10 **Abstract**

11 **Background:** The quantification of urban greenhouse gas (GHG) emissions is an important  
12 task in combating climate change. Emission inventories that include spatially explicit  
13 emission estimates facilitate the accurate tracking of emission changes, identification of  
14 emission sources, and formulation of policies for climate-change mitigation. Many currently  
15 available gridded emission estimates are based on the disaggregation of country- or state-  
16 wide emission estimates, which may be useful in describing city-wide emissions but are of  
17 limited value in tracking changes at subnational levels. Urban GHG emissions should  
18 therefore be quantified with a true bottom-up approach.

19 **Results:** Multi-resolution, spatially explicit estimates of fossil-fuel carbon dioxide (FFCO<sub>2</sub>)  
20 emissions from the Tokyo Metropolis, Japan, were derived. Spatially explicit emission data  
21 were collected for point (e.g., power plants and waste incinerators), line (mostly traffic), and  
22 area (e.g., residential and commercial areas) sources. Emissions were mapped on the basis of  
23 emission rates calculated for source locations. Activity, emissions, and spatial data were  
24 integrated, and the results were visualized using a geographic information system approach.

25 **Conclusions:** The annual total FFCO<sub>2</sub> emissions from the Tokyo Metropolis in 2014 were  
26 44,855 Gg CO<sub>2</sub>, with the road-transportation sector (16,323 Gg CO<sub>2</sub>) accounting for 36.4% of  
27 the total. Spatial emission patterns were verified via a comparison with the East Asian Air  
28 Pollutant Emission Grid Database for Japan (EAGrid-Japan), which demonstrated the  
29 applicability of this methodology to other prefectures and therefore the entire country.

30

31 **Keywords:** Carbon dioxide, CO<sub>2</sub> emission inventory, Fossil fuel, GIS, High-resolution map,  
32 Tokyo emissions

## 33 **Background**

34 Fossil-fuel combustion is a major contributor to increasing atmospheric carbon dioxide (CO<sub>2</sub>)  
35 concentrations [1], with cities worldwide being responsible for more than 70% of the global  
36 total fossil-fuel CO<sub>2</sub> emissions (FFCO<sub>2</sub>) [2]. As large sources of FFCO<sub>2</sub>, cities have great  
37 potential for emission mitigation [3]. In response to the need for local climate action, many  
38 global cities have participated in climate action groups, such as the C40 Cities Climate  
39 Leadership Group [4] and the Global Covenant of Mayors for Climate & Energy [5], and  
40 started compiling emission inventories (EIs). The EIs are often compiled following the  
41 Global Protocol for Community-Scale Greenhouse Gas Emission Inventories (GPC) [6].  
42 The Paris Agreement of the United Nations Framework Convention on Climate Change  
43 (UNFCCC) recognizes the importance of climate-change mitigation at subnational levels [7].  
44 However, subnational emission estimates (e.g., state, province, city (UN-Habitat [2]), and  
45 private sector) are beyond the scope of the current Intergovernmental Panel on Climate  
46 Change (IPCC) guidelines. The inventory framework implemented under the Kyoto Protocol  
47 focuses on national compliance with global emission-reduction targets [8], rather than  
48 monitoring emission changes at subnational levels.

49 Subnational emission estimates can be obtained from spatially explicit emission data. Gurney  
50 et al. [9] loosely categorized emission modeling approaches as ‘downscaled’ or

51 ‘mechanistic’. In general, emission estimates using mechanistic approaches are more suitable  
52 for tracking emission changes in local areas. For example, emissions in the National  
53 geoinformation technologies, temporospatial approaches, and the Protocol for Reducing  
54 GHG Emission Uncertainties (GESAPU) are calculated at the locations of sources (e.g.,  
55 points, lines, and areas), taking into account the emission processes [10]. Thus, GESAPU  
56 estimates can be used to keep track of local emission changes over the domain of a single  
57 country. In the US, Gurney et al. have developed city-scale emission data for several cities,  
58 including Indianapolis [11], Los Angeles [12], Salt Lake City [13], and Baltimore [14] under  
59 the Hestia Project. In Japan, Mori [15] developed a multi-resolution fossil-fuel CO<sub>2</sub> emission  
60 model for Osaka.

61 This paper presents the first spatially explicit FFCO<sub>2</sub> emission data for the Tokyo Metropolis  
62 (population 13.6 M in 2016; area 2,189 km<sup>2</sup>) for the year 2014. We distinguish our work  
63 from the East Asian Air Pollutant Emission Grid Database for Japan (EAGrid-Japan) by the  
64 use of a multi-resolution approach and updated information. We describe varieties of  
65 statistical and geospatial data used in estimating and mapping emissions, and compare our  
66 emission estimates with existing estimates at aggregated city and grid cell levels. We also  
67 discuss current limitations and future improvements.

68

## 69 **Methods**

### 70 **Emission definition and modeling framework**

71 The focus here is on quantifying FFCO<sub>2</sub> emissions from the Tokyo Metropolis using the  
72 modeling framework described in Fig. 1. Following previous studies [10, 11, 15], a multi-  
73 resolution emission modeling approach was employed, where CO<sub>2</sub> emissions (‘emissions’  
74 hereinafter) were calculated on an individual source basis in a bottom-up manner, rather than  
75 using aggregated emission-sector levels. Emissions were spatially allocated using verified  
76 geographic latitude and longitude coordinates (mainly for point sources) and spatial  
77 geolocation data (for line and area sources), with examples of point-source locations and  
78 geospatial data shown in Fig. 2.

79 The total emissions for the year 2014 were calculated using the best available locally  
80 collected data following the 2006 IPCC guidelines [16]. Emission sources therefore included  
81 electricity generation (IPCC code 1A1ai), civil aviation (1A3a), waterborne navigation  
82 (1A3d), waste incineration (4C1), road transportation (1A3bi, 1A3bii, and 1A3biii), industrial  
83 and commercial sources (1A1aiii, 1A2, 1A4a, and 1A4ciii), residential sources (1A4b), and  
84 agricultural machine use (1A4cii). These emissions were calculated using the Tier 3 approach  
85 [16]. The emission-sector definitions, spatial information and data, activity data, and  
86 emission factors are summarized in Table 1.

87

### 88 **Point-source emissions**

89 Point-source emissions include those from electricity generation, civil aviation, waterborne  
90 navigation, and waste incineration (Table 1). For electricity generation, only fossil-fueled  
91 power plants in Tokyo ( $n = 19$ ) were considered (see Additional File 1; Table S1 for details).

92 The 2014 total emissions from all power plants were calculated by formula:

$$93 \quad E_{eg} = 24 \cdot \sum_{i=1}^n \sum_{j=1}^{12} C_i \cdot D_j \cdot R_{g,j} \cdot EF_{g,j}, \quad (1)$$

94 where  $E_{eg}$  represents the total annual emissions from electricity generation (Gg CO<sub>2</sub>); the  
 95 coefficient 24 indicates the number of hours per day;  $C_i$  is the electricity generation capacity  
 96 (kW) of power plant  $i$  in Tokyo with one type of generator (steam, gas cogeneration, or  
 97 internal combustion);  $D_j$  is the number of days in month  $j$ ;  $R_{g,j}$  is the mean operating ratio of  
 98 fossil-fueled power plants with generator type  $g$  in month  $j$  for 2014; and  $EF_{g,j}$  is the  
 99 emission factor for fossil-fueled electricity generation by generator type  $g$  in month  $j$  (Gg  
 100 CO<sub>2</sub> kWh<sup>-1</sup>). The  $C_i$  values were obtained from the Electrical Japan Database (data were  
 101 collected in April 2017) [17] and operator companies [18–20]. The  $R_{g,j}$  values are reported  
 102 by power plant operators and published by the government of Japan each year [21]. The  
 103 emission factors of power plants in 2014 ( $EF_{g,j}$ ) were derived from electricity generation  
 104 amounts by power plants, the monthly fuel consumption (e.g., coal, crude oil, heavy oil, light  
 105 oil, liquefied natural gas, liquefied petroleum gas, and other gas) [22], and official guidelines  
 106 for GHG emissions counting [23]. Where there was a lack of individual data for a plant,  $R_j$   
 107 and  $EF_j$  values for plants with the same type of generator were used. The stack centroid was  
 108 used as the representative emission location for multiple smoke stacks in a single power plant  
 109 facility. An example of point-source emissions in SG Ward (see Table S2) is shown in Fig.  
 110 2A.

111 Civil aviation emissions included those from passenger and cargo aircraft during landing and  
 112 take-off (LTO) at an international airport, four helipads, and six domestic airports (Table S1).  
 113 The 2014 total emissions from LTO movements were calculated as follows:

$$114 \quad E_{ca} = 2 \cdot \sum_{i=1}^n \sum_a F_{a,i} \cdot N_a \cdot EC_e \cdot EF_f, \quad (2)$$

115 where  $E_{ca}$  represents the total annual emissions from civil aviation (Gg CO<sub>2</sub>);  $F_{a,i}$  is the total  
 116 number of arrivals for type  $a$  aircraft with one type of engine at airport  $i$  in 2014 (the  
 117 coefficient 2 indicates landings = departures in LTO cycles);  $N_a$  is the number of engines on  
 118 type  $a$  aircraft;  $EC_e$  is the jet fuel (‘energy’) consumption per engine during an LTO cycle by  
 119 engine type  $e$  (Gg per engine per LTO); and  $EF_f$  is the emission factor of jet fuel (3.154 Gg  
 120 CO<sub>2</sub> Gg<sup>-1</sup>) [23]. The  $F_{a,i}$  and  $N_a$  values were summarized from 2014 flight records [24–32]  
 121 and websites [33]. The monthly proportion of aircraft types at Haneda airport was used as the  
 122 annual proportion for 2014 due to the lack of annual flight timetables for each aircraft type.  
 123 The monthly proportions of arrivals for each aircraft type were obtained from flight  
 124 timetables for April 2017 [34, 35]. The  $EC_e$  values were extracted from the Aircraft Engine  
 125 Emissions Databank of the International Civil Aviation Organization (ICAO) [36] and  
 126 guidance on helicopter emissions [16, 37]. As aircraft are mobile sources, the calculated  
 127 emissions were mapped using representative points on airport runways (diameter ~1 km) as  
 128 point sources.

129 Emissions from the waterborne navigation sector included emissions from fuel consumed by  
 130 vessels during round trips to ports in Tokyo ( $n = 15$ ; Table S1). The 2014 total emissions  
 131 from vessels were calculated as follows:

$$132 \quad E_{wn} = \sum_{i=1}^n \sum_{t,m} I_{t,m} \cdot R_{t,m} \cdot T_{t,m} \cdot N_{t,i} \cdot EF_t, \quad (3)$$

133 where  $E_{wn}$  represents the total annual emissions by vessels (Gg CO<sub>2</sub>);  $I_{t,m}$  is the emission  
 134 intensity of fuels (tonne per vessel) for type  $t$  vessels (including merchant vessels, car ferries,  
 135 evacuation vessels, fishing vessels, and other vessels) at mode  $m$  (travelling, mooring, cargo

136 loading, and unloading);  $R_{t,m}$  is the load factor of type- $t$  vessels in mode  $m$ ;  $T_{t,m}$  is the fuel  
 137 consumption time of type- $t$  vessels in mode  $m$  (h);  $N_{t,i}$  is the annual number of type- $t$  vessels  
 138 travelling to port  $i$ ; and  $EF_t$  is the emission factor (Gg CO<sub>2</sub> tonne<sup>-1</sup>) for type- $t$  vessels  
 139 consuming heavy oil or light oil. The  $I_{t,m}$ ,  $R_{t,m}$ , and  $T_{t,m}$  values and the average travel speed  
 140 for these vessels were obtained from technical reports [38, 39]. As shown by the parameters  
 141 listed in Table S3, the mean travel distance was assumed to be 1 km in travelling mode to  
 142 derive the travel time. The emissions from travelling mode were considered for fishing  
 143 vessels but all mode were considered for the other types of vessels. The  $N_{t,i}$  values were  
 144 obtained from statistical data on vessels in ports [40, 41]. The  $EF_t$  data were extracted from  
 145 the official guideline [23]. As waterborne vessels are mobile sources, representative points on  
 146 port buildings were used as their point-source locations.

147 Emissions from incineration plants do not contribute to FFCO<sub>2</sub> emissions. However, this  
 148 study included their emissions because the emission intensity is significant. Emissions from  
 149 incineration plants for municipal solid waste (MSW,  $n = 46$ ) and industrial waste ( $n = 15$ )  
 150 (Table S1) included those from the combustion of wastes containing carbon (e.g., papers,  
 151 plastics, textiles, rubbers, and oil) and the combustion agent (CA, “city gas” comprising  
 152 liquid petroleum gas and natural gas). Emissions from MSW waste combustion were  
 153 calculated as follows:

$$154 \quad E_{mww} = \sum_{i=1}^n (\sum_c T_i \cdot R_{c,i} \cdot FC \cdot EF_c + T_i \cdot CR \cdot EF), \quad (4)$$

155 where  $E_{mww}$  represents the 2014 total emissions from MSW incineration (Gg CO<sub>2</sub>);  $T_i$  is the  
 156 total amount of combustible content in waste (tonne) incinerated annually at plant  $i$ ;  $R_{c,i}$  is  
 157 the proportion of type- $c$  content of waste (i.e., waste paper, plastic, rubber, and textiles in  
 158 MSW) at plant  $i$ ;  $FC$  is the fossil carbon content in waste;  $EF_c$  is the emission factor for  
 159 combustible content type  $c$  in waste (paper  $1.69 \times 10^{-5}$ ; plastic  $2.55 \times 10^{-3}$ ; textiles  $2.29 \times 10^{-3}$ ;  
 160 rubber  $1.72 \times 10^{-3}$  Gg CO<sub>2</sub> tonne<sup>-1</sup>) [23];  $CR$  is the mean consumption of CA ( $1.29 \text{ m}^3$   
 161 tonne<sup>-1</sup>) [42]; and  $EF$  is the emission factor for CA ( $2.21 \times 10^{-6}$  Gg CO<sub>2</sub> m<sup>-3</sup>) [23]. The  $T_i$   
 162 and  $R_{c,i}$  values for all 46 MSW incineration plants in Tokyo were obtained from the  
 163 investigation report on MSW for Tokyo, 2014 [43]. Here we assumed that paper and textiles  
 164 in wastes were in equal amounts and the fossil carbon content ( $FC$ ) of these wastes were  
 165 50%. The  $CR$  values derived from available data for 19 MSW incineration plants in Tokyo  
 166 [42] were used for all MSW incineration plants.

167 Emissions from industrial waste combustion were calculated as follows:

$$168 \quad E_{iww} = \sum_c T_c \cdot FC \cdot EF_c, \quad (5)$$

169 where  $E_{iww}$  represents the 2014 total emissions from industrial waste incineration (Gg CO<sub>2</sub>);  
 170  $T_c$  is the total annual amount of combustible content in waste (tonnes) at all plants in Tokyo  
 171 for type  $c$  (i.e., waste paper, plastic, textiles, and oil in industrial waste); and  $EF_c$  is the  
 172 emission factor for fossil carbon in waste type  $c$  (oil  $2.92 \times 10^{-3}$  Gg CO<sub>2</sub> tonne<sup>-1</sup>) [23].  $T_c$   
 173 values were extracted from the investigation report on industrial waste incineration [44]. The  
 174  $FC$  value for industrial waste was assumed to be 1 with no CA used due to the high-purity  
 175 carbon content. The emissions from 15 industrial-waste incineration plants were derived by  
 176 allocating the  $E_{iww}$  with plant disposal capacities [45]. The central points of the chimneys at  
 177 waste incineration plants were mapped as emission points.

178

179 **Line-source emissions**

180 Line-source emissions included those of the road-transport sector, based on traffic census  
181 data compiled by the Ministry of Land, Infrastructure, Transport and Tourism for each  
182 prefecture every five years, with the latest being in 2015 [46]. The census data include road  
183 information (name, width, length, number of lanes, and classification for each road segment),  
184 hourly and daily traffic volumes, and 12-h mean daytime vehicle speeds for small vehicles  
185 (light passenger cars, regular passenger cars, light trucks, and small freight cars) and large  
186 vehicles (bus, regular truck, and special-use vehicles). The road segment data indicate the  
187 network links, as shown in the example of a digital road map (DRM; [47]) in Fig. 2B. The  
188 census targets five road classifications: high-speed national highways, urban highways,  
189 general national highways, major regional roads (prefectural roads and designated city roads),  
190 and general regional roads. Emissions on minor roads that were not covered by the census  
191 were not considered.

192 Road transportation emissions were calculated for single road segments ( $n = 45,564$ ) as  
193 follows:

194 
$$E_{rt} = \sum_{i=1}^n \sum_v Q_{v,i} \cdot L_i \cdot EF_{v,s}, \quad (6)$$

195 where  $E_{rt}$  represents the 2014 total emissions from road transportation (Gg CO<sub>2</sub>);  $Q_{v,i}$  is the  
196 annual traffic volume (derived from daily data) for type  $v$  vehicles at a vehicle speed (from 5  
197 to 90 km/h) on road segment  $i$ ;  $L_i$  is the length of road segment  $i$  (km);  $EF_{v,s}$  is the emission  
198 factor for type- $v$  vehicles by vehicle speed  $s$  (Gg CO<sub>2</sub> km<sup>-1</sup> per vehicle). The census  
199 identification numbers for road segments were used with Google Maps software to select  
200 point coordinates for each observed road segment, with the selected points being mapped to  
201 identify the same roads on the DRM. The information from the traffic census, such as road  
202 classification, daily traffic volume, and mean speed, were combined for the DRM road  
203 segments. The average traffic conditions for each road classification in each municipality unit  
204 [48] were substituted for the road segments not covered by the census. The  $L_i$  values were  
205 calculated from the DRM using a geographic information system (GIS) tool. The  $EF_{v,s}$  values  
206 were obtained from Dohi et al. [49] (Table 2). Emissions were mapped on the center line of  
207 each road segment.

208

209 **Area-source emissions**

210 Area-source emissions included those from the industrial, commercial, residential, and  
211 agricultural sectors. The main source of industrial, commercial, and residential emissions is  
212 fuel consumption in buildings, for which the Hestia project [14] estimated non-electrical  
213 energy using the eQUEST simulation tool [50] by incorporating the building classification  
214 and age, with the building emissions based on the building total floor areas (TFAs) [51].  
215 Since spatial data (building polygons) are not available for buildings of all ages in Japan,  
216 census data were used to allocate the emissions using building polygons (Fig. 2C) based on  
217 the TFAs.

218 Emissions from the industrial and commercial sector included those from fossil-fuel  
219 consumption by workers. Emissions from all areas in Tokyo, based on the economic census  
220 ( $n = 5,318$ ), were calculated as follows:

221 
$$E_{ic} = \sum_{i=1}^n \sum_q W_{q,i} \cdot \frac{TE_q}{TW_q}, \quad (7)$$

222 where  $E_{ic}$  represents the 2014 total emissions from the industrial and commercial sector (Gg  
 223 CO<sub>2</sub>);  $W_{q,i}$  is the number of workers for category  $q$  in census area  $i$  (Table 3);  $TE_q$  is the total  
 224 annual emissions for category  $q$  (Gg CO<sub>2</sub>); and  $TW_q$  is the total number of workers in category  
 225  $q$ . The  $W_{q,i}$  values for all of the categories in census areas were obtained from the 2014  
 226 economic census [52]. The census area comprised politically based blocks with an average area  
 227 of around 0.5 km<sup>2</sup>. The  $TW_q$  values were obtained from economic census data [52], and the  
 228  $TE_q$  values were extracted from the Tokyo energy-balance table [53]. The annual CO<sub>2</sub> emission  
 229 factors by workers ( $\frac{TE_q}{TW_q}$ ; Gg CO<sub>2</sub> per worker) were derived for each category (Table 3). The  
 230 annual emissions from the fuels used in energy conversion (e.g., electricity generation and  
 231 waste incineration) were not included in the energy-balance table [53] to avoid counting them  
 232 twice. The total emissions for the industrial and commercial sector were allocated to every  
 233 census area, similar to the approach used by Gately and Hutyra (2017) [54] with commercial  
 234 emissions.

235 Total emissions were allocated to individual buildings in each census area, with all of the  
 236 building polygons being associated to a given building use (industrial and commercial, or  
 237 residential), using land-use maps covering four areas (23 wards in Tokyo, the Tama city area,  
 238 Tama rural area, and island areas) at spatial resolutions of 3 × 3 m to 43 × 43 m [55]. The  
 239 data on individual building polygons (e.g., site area (m<sup>2</sup>), height (m), number of floors, and  
 240 floor area (m<sup>2</sup>)) were obtained as follows. Each building site area was estimated from the  
 241 building polygon maps, and the building height was estimated from the difference in heights  
 242 between a raster-type digital surface model (DSM) [56] and a vector-type digital-elevation  
 243 model (DEM) [57]. DSM v. 1.1 was based on digital photos from the Advanced Land  
 244 Observing Satellite, with an accuracy within 5 m [56]. A 30 × 30 m DSM dataset was used  
 245 with a 5 × 5 m DEM dataset (updated in 2016) based on airborne laser observations (2015),  
 246 with an elevation accuracy within 0.7 m (standard deviation) [57]. The number of floors was  
 247 estimated by dividing the building height by the average ceiling height (2.9 m for residential  
 248 buildings, and 3.5 m for industrial and commercial buildings). The TFAs were estimated by  
 249 multiplying the site area by the number of floors. The emission factors of the buildings (Gg  
 250 CO<sub>2</sub> m<sup>-2</sup>) in each census area were calculated by dividing the total emissions by the TFAs,  
 251 aggregated over the census areas. Finally, the emissions from each industrial and commercial  
 252 building were estimated by multiplying the emission factors by the TFAs of the individual  
 253 buildings. Industrial and commercial emissions were mapped at the level of individual  
 254 buildings.

255 Emissions from the residential sector were calculated for all of the population census areas in  
 256 Tokyo ( $n = 5,578$ ) by formula:

$$257 \quad E_{re} = \sum_{i=1}^n \sum_{f,h,b} A_{h,b,i} \cdot EF_{f,h,b}, \quad (8)$$

258 where  $E_{re}$  represents the 2014 total emissions from the residential sector (Gg CO<sub>2</sub>);  $A_{h,b,i}$  is  
 259 the number of households with occupancy  $h$  (with four categories: 1, 2, 3, or ≥4 occupants) in  
 260 type  $b$  buildings (collective or detached) in the census area  $i$ ; and  $EF_{f,h,b}$  is the total annual  
 261 emission intensity (Gg CO<sub>2</sub> yr<sup>-1</sup> per household) of fuel type  $f$ , in household with occupancy  
 262  $h$ , and for building type  $b$ . The  $A_{h,b,i}$  values were obtained from the 2015 population census  
 263 data [58], and the  $EF_{f,h,b}$  were from an investigation report on energy consumption in

264 households as provided in Table 4 [59]. Finally, the total emissions from each census area  
 265 were allocated to each building in proportion to the TFAs and with consideration of whether  
 266 the buildings were collective or detached, and mapped at the level of individual buildings.  
 267 Agricultural emissions in this study are defined as emissions from fossil fuel use in  
 268 agricultural machinery. The emissions processes were considered as those arising during crop  
 269 planting, and those associated emissions were calculated for 62 municipalities in Tokyo as  
 270 follows:

$$271 \quad E_{am} = \sum_{i=1}^n \sum_p A_{p,i} \cdot EF_p, \quad (9)$$

272 where  $E_{am}$  represents the 2014 total emissions from agricultural machinery use (Gg CO<sub>2</sub>);  $A_{p,i}$   
 273 is the area for crop type  $p$  cultivated in municipality  $i$  (ha); and  $EF_p$  is the annual emission  
 274 factor for farmland by crop type (Gg CO<sub>2</sub> ha<sup>-1</sup>). The  $A_{p,i}$  value for each Tokyo municipality  
 275 was obtained from the agricultural census [60] and an investigation report on agricultural  
 276 products in Tokyo [61], and the  $EF_p$  values were from a 2003 report [62] and an academic  
 277 paper [63] (Table 5). Farmland was divided into two categories, rice paddy fields and other  
 278 farmland, using a land-use map at a 10 × 10 m spatial resolution based on remote-sensing data  
 279 for the 2006–2011 period [64]. Finally, the agricultural emissions mapped in each municipality  
 280 [48] were sorted into a 10 × 10 m mesh for mapping based on the two types of farmland.

281

## 282 **Data integration**

283 Emission calculations and spatial emissions mapping/modeling were integrated using ArcGIS  
 284 v. 10.4. The world geodetic system (1984) was used for mapping all of the emission sources,  
 285 and a symbol tool was used here for visualizing the emissions on maps. A 3D map of the  
 286 emission sources in SG Ward is shown in Fig. 2D as an example, allowing visualization of  
 287 the emissions from local facilities, road segments, and buildings.

288 All of the data used, their versions or editions, and sources are summarized in Table S4. More  
 289 than two million building polygons were used to produce emission maps around 500 MB in  
 290 size. The emission maps were not gridded products since a multi-resolution approach was  
 291 adopted. The original maps were converted to a 1 km mesh size (Fig. 3) for convenience in  
 292 data handling.

293

## 294 **Results and discussion**

### 295 **Total emissions from the Tokyo Metropolis**

296 Tokyo is one of 47 prefectures in Japan and comprises 23 central city wards and multiple  
 297 cities, towns, and villages (Table S2). The three highest point-source gridded emissions in  
 298 2014 occurred in SG, OT, and MN Wards at 6,183, 1,814, and 253 Gg CO<sub>2</sub> km<sup>-2</sup>,  
 299 respectively (Fig. 3A), due to two large power plants and a major airport being located within  
 300 these areas. The highest line-source emissions occurred in KT, OT, and EG Wards at 155,  
 301 146, and 144 Gg CO<sub>2</sub> km<sup>-2</sup>, respectively (Fig. 3B). The highest gridded emissions for area  
 302 sources (Fig. 3C) occurred in CD, CO, and SJ Wards at 173, 168, and 164 Gg CO<sub>2</sub> km<sup>-2</sup>,  
 303 respectively. These high emissions are primarily due to the high floor numbers and large  
 304 building areas for residential, industrial, and commercial use concentrated in these areas. A  
 305 total emissions map is given in Fig. 3D, with the three highest emissions being 6,210 in SG  
 306 Ward, 1,965 in OT Ward, and 295 Gg CO<sub>2</sub> km<sup>-2</sup> in MN Ward, respectively.



307 The estimated total 2014 FFCO<sub>2</sub> emissions from Tokyo were 44,855 Gg CO<sub>2</sub> (Table 6),  
308 which comprised individual sector contributions of 16,323 from road transportation; 13,085  
309 from the industrial and commercial sector; 6,478 from electricity generation; 5,302 from the  
310 residential sector; 1,879 from civil aviation; 1,483 from waste incineration; 279 from  
311 waterborne navigation; and 26 Gg CO<sub>2</sub> from the agricultural machine use sector. Total annual  
312 emissions from the area, line, and point sources were 18,413, 16,323, and 10,119 Gg CO<sub>2</sub>,  
313 respectively.

314 The highest point-source emissions (Fig. 4) for 2014 were as follows. Power plants:  
315 Shinagawa (3,219), Oi (2,965), and Roppongi energy service (140 Gg CO<sub>2</sub>) plants; Civil  
316 aviation: Haneda (1,814), Chofu (30), and Oshima (8 Gg CO<sub>2</sub>) airports; Waterborne  
317 navigation: Tokyo (253), Mikurajima (5), and Okada (4 Gg CO<sub>2</sub>) ports; and waste  
318 incineration plants: Tokyo Waterfront Recycle Power (188), Koto new plant (140), and  
319 Minato plant (89 Gg CO<sub>2</sub>). The data for all 106 point sources are given in Table S1. The 19  
320 power plants contributed 64.1% of the 2014 total point-source emissions (6,478), the 11  
321 airports 18.6% (1,879), the 61 waste incineration plants 14.6% (1,483), and the 15 ports 2.8  
322 % (279 Gg CO<sub>2</sub>).

323 The highest line-source emissions for 2014 (Fig. 5) were associated with 30 road segments on  
324 two urban highways: Central loop line highway in KS Ward (19.7) and the Coastline  
325 highway in KT Ward (18.8 Gg CO<sub>2</sub> km<sup>-1</sup>). The 2014 emissions from high-speed national  
326 highways (total length 150 km) were 1,048 Gg CO<sub>2</sub> (6.4% of the total line-source emissions);  
327 urban highways (576 km) 4,867 Gg CO<sub>2</sub> (29.8%); general national highways (726 km) 3,520  
328 Gg CO<sub>2</sub> (21.6%); major regional roads (1,625 km) 4,761 Gg CO<sub>2</sub> (29.2%); and general  
329 regional roads (1,614 km) 2,128 Gg CO<sub>2</sub> (13.0%).

330 The highest area-source emissions from the industrial and commercial sector for 2014 were  
331 recorded in the inner-city areas in CD (172.4), CO (167.0), and SJ (162.0 Gg CO<sub>2</sub> km<sup>-2</sup>)  
332 Wards (Fig. 6A), respectively. The industrial and commercial emissions counted from  
333 economic census areas were shown in Fig. 6B. Those from the residential sector were in KT  
334 (10.0), TS (9.9), and TT (9.5 Gg CO<sub>2</sub> km<sup>-2</sup>) Wards (Fig. 7A), respectively. The residential  
335 emissions counted from population census areas were shown in Fig. 7B. Those from the  
336 agricultural sector (Fig. 8A) were recorded in MS (0.45) and NK Cities (0.36), and EG Ward  
337 (0.33 Gg CO<sub>2</sub> km<sup>-2</sup>), respectively. The agricultural emissions counted for 62 municipalities  
338 (Fig. 8B) were finally allocated for high-spatial-resolution map (Fig. 8C).

339

#### 340 **Comparison with other emission estimates**

341 The Tokyo government has reported annual GHG emissions every year since 1990, with  
342 emissions being calculated with a top-down approach based on energy consumption [65]. In  
343 the governmental EI, emissions for each sector in Tokyo are based on the final energy  
344 consumption, including electricity, city gas, liquefied petroleum gas, and kerosene, with  
345 emissions being apportioned according to economic indicators, such as family expenditure,  
346 commodity values, numbers of vehicles, buildings areas, and passenger and cargo transport in  
347 Tokyo.

348 Annual emissions between the present EI and the EI prepared by the Tokyo government are  
349 compared for four major categories (Fig. 9A1-2). The governmental EI includes total  
350 emissions from the Tokyo Metropolis of 62,120 Gg CO<sub>2</sub> for 2014. The governmental EI

351 includes the following emissions: 29,320 from the industrial and commercial sector; 19,650  
352 from the residential sector; 11,570 from transportation; and 1,570 Gg CO<sub>2</sub> from waste  
353 incineration. Based on the annual emissions by sector and fuel type in the report [65], we  
354 derived the non-electric emissions for the residential sector from the governmental EI as  
355 5,532, consistent with our result of 5,302 Gg CO<sub>2</sub>. However, those for the industrial and  
356 commercial sector are different (governmental EI 6,080, the present EI 13,085 Gg CO<sub>2</sub>). For  
357 waste incineration, the governmental EI considered only emissions from the fossil-carbon  
358 content of waste (1,570), whereas we included both these emissions (1,473) and the  
359 combustion agent (10 Gg CO<sub>2</sub>).

360 The differences in emissions between the two EIs could be associated mainly with electricity  
361 production. This study estimated the emissions from electricity generation as point sources  
362 based on fossil-fuel consumption at power plants (direct emissions, Scope-1 [6]), while the  
363 Tokyo government estimated them based on the final energy consumption (consumption-  
364 based emissions, Scope-2 [6]). For example, the government EI includes emissions from  
365 electricity consumption by railways and the electricity generated outside the Tokyo area [66].  
366 These differences resulted in higher annual emissions from electricity consumption in the  
367 government EI (39,460) compared with the EI of the present study (6,478 Gg CO<sub>2</sub>).

368 The EAGrid is a reliable EI for multiple pollutants that was developed for the East Asia  
369 region in 1995 [67] and revised in 2000 with a focus on local emission sources in Japan  
370 (EAGrid-Japan 2000) [68]. In the most recent version (2010), emissions were estimated by  
371 adjusting the 2000 emissions according to the increase in national fuel consumption from  
372 2000 to 2010 (see Fukui et al. [69]), without any change in the distribution of emission  
373 sources. Here we relied on data for the Tokyo domain provided by the developer of EAGrid-  
374 Japan 2010 [69].

375 Total emissions in the EAGrid-Japan 2010 EI for Tokyo are 42,009, which is 6.3% lower  
376 than our estimate for 2014 (44,855 Gg CO<sub>2</sub>). To compare the two sets of results by source  
377 type, the sectoral emissions of the present EI in three categories are summarized in Fig. 9B1  
378 and those for EAGrid are shown in Fig. 9B2. The point-source emissions of EAGrid (5,631  
379 Gg CO<sub>2</sub>) include those from power plants, waste incineration plants, vessels, and aircraft; line  
380 sources from road transportation (14,672 Gg CO<sub>2</sub>); and area sources (21,705 Gg CO<sub>2</sub>) from  
381 residential and commercial combustion equipment, factory and building boilers, off-road  
382 transportation (construction, agricultural, and factory machine use), open burning, and  
383 facilities.

384 Spatial distributions of the emissions between the two EIs were compared at a 1 × 1 km  
385 resolution by scaling the total EAGrid emissions to our 2014 EI (Fig. 10). The difference in  
386 the point sources (Fig. 10A) shows that some gridded emissions of this study were lower than  
387 those in EAGrid. To map the gridded values of EAGrid, the counted total emissions from  
388 each airport and port were allocated by the area of the facility' boundary, with the number of  
389 point sources being higher than those in this study. Other differences are due to the EAGrid  
390 EI, which does not include recently constructed major sources, such as Shinagawa power  
391 plant and Haneda airport domestic terminal 2. As shown in Fig. 11A, the correlation of the  
392 gridded emissions of point sources between the two sets of results is very low ( $R^2 = 0.31$ ).  
393 Line-source differences (Fig. 10B) vary from -100 to +100 Gg CO<sub>2</sub> km<sup>-2</sup>. The differences  
394 between the year of traffic census and road maps resulted in the difference in emissions. Road

395 segment lengths were obtained from the 1995 DRM in the EAGrid EI [68], whereas we used  
396 the current 2015 versions. Area-source differences (Fig. 10C) are variable because the  
397 EAGrid EI includes residential, industrial, commercial, off-road, open burning, and other  
398 emissions as area sources, whereas this study only considers residential, industrial and  
399 commercial, and agricultural sectors as area sources. The area-source emissions in the present  
400 EI were 3,292 Gg CO<sub>2</sub>, lower than those of the EAGrid. As shown in Fig. 11B-C, the  
401 correlations of the gridded emissions for line and area sources between the two sets of results  
402 are high ( $R^2 = 0.74$  for line sources and 0.71 for area sources).  
403 Differences in total emissions vary between  $-1,500$  and  $+4,500$  Gg CO<sub>2</sub> km<sup>-2</sup> (Fig. 10D),  
404 with differences being smaller in the western mountain and forest areas and larger in the  
405 inner-city areas (eastern Tokyo). As shown in Fig. 11D, the correlation of the total gridded  
406 emissions between the two sets of results is moderate ( $R^2 = 0.69$ ). The number of cells in the  
407 present EI is much greater than that in EAGrid in the 0–10 Gg CO<sub>2</sub> km<sup>-2</sup> emission range (Fig.  
408 12), with the present EI therefore including more low-emission areas than the EAGrid, while  
409 greater 20–50 Gg CO<sub>2</sub> km<sup>-2</sup> emissions are included in the latter. The numbers of cells are  
410 consistent for the other emission ranges. Thus, we could conclude that even the number of  
411 cells in some emission ranges and the total annual emissions between the two sets of results  
412 seem to be close but the distributions of the source emissions are different.

413

#### 414 **Current limitations and future perspectives**

415 Uncertainties associated with emission factors, activity data, and emission spatial modeling  
416 introduce uncertainties in the final emission estimates [e.g., 10, 70]. We refer to the  
417 uncertainties on the basis of activity data and emission factors (Table S5) using IPCC  
418 guidelines [16, 71]. The total uncertainty is estimated to be  $\pm 3.57\%$ , equivalent to  $44,855 \pm$   
419  $1,601$  Gg CO<sub>2</sub>.

420 Uncertainties introduced from emissions calculations and mapping processes are likely to be  
421 large due to the assumptions and approximations used. For example, the operation ratio of  
422 power plants varies with individual plants; however, this study applied averaged operating  
423 ratios for the whole plants in the calculation process. This approach reduces the variability in  
424 emissions at each power plant, leading to poor representation of emissions with higher  
425 temporospatial resolution than we applied here. The road segments that are not fully covered  
426 by the census contribute over 4,205 km in our calculation. We substituted the average traffic  
427 conditions for the road segments to estimate the emissions. This approach could overestimate  
428 the traffic quantities and emissions for the segments.

429 In mapping processes, this study treated the mobile emissions of aircraft and vessels as point  
430 sources. This means that the whole emissions over their moving paths were aggregated to a  
431 point, leading to an overestimate of the point-source emissions. The building emissions were  
432 estimated using TFAs of buildings in each census area. In this estimate we used DSM data  
433 with a spatial resolution of 30 m, but this spatial resolution is insufficient to calculate the  
434 heights and TFAs for individual buildings. Additionally, our downscale approach did not  
435 distinguish occupied and vacant houses. All of these limitations should be improved in the  
436 next study. As in previous studies (e.g., Hestia [14]), better data availability for emissions  
437 calculations and mapping should greatly improve the accuracy of estimates.

438 We plan to update our emission estimates once updated activity data become available. The  
439 methods employed here are applicable to other parts of Japan, and the entire country could be  
440 covered, although further objective evaluation is necessary. Future work should also include  
441 improvements of the methodology for mapping emissions from traffic on narrow roads,  
442 modeling of temporal variations (seasonal, weekly, and diurnal), and extending the time  
443 period of this study.

## 444 **Conclusions**

446 Spatially explicit estimates of FFCO<sub>2</sub> emissions were prepared for the Tokyo Metropolis,  
447 with the EI being primarily compiled using a bottom-up approach. Following the 2006 IPCC  
448 guidelines, geolocation data were collected for point, line, and area sources, with the  
449 emissions mapped where possible. Detailed activity data, including the operating ratios of  
450 power plants, load factors of vessels, fossil-carbon contents of waste, and emission factors for  
451 fossil-fueled power generation, aircraft movements, navigation, and combustion processes,  
452 were utilized to improve the accuracy of emission estimates. The utilization of spatially  
453 verified national census data, regional/city specific emission factors, and emission factors for  
454 road segments, as well as the consideration of low-emission sectors, such as waterborne  
455 navigation and agricultural machinery use, were highlighted. This EI demonstrated that the  
456 Tier 3 approach could be applicable not only at a national scale but also a sub-national scale.  
457 The total emissions from the Tokyo Metropolis in 2014 were estimated to be 44,855 Gg CO<sub>2</sub>.  
458 The highest emission sector was road transportation (16,323 Gg CO<sub>2</sub>), which accounted for  
459 36.4% of the total emissions. Spatial emission patterns were compared with those of EAGrid-  
460 Japan, highlighting differences in the distributions of source types. The differences resulted  
461 mainly from the counting and mapping approaches used, and the different sector categories.  
462 This methodology is applicable to other prefectures and can be used to cover the entire  
463 country. This EI facilitates the acquisition of information on emissions from high-emission  
464 point sources, buildings, and road segments more than other gridded datasets. It may also be  
465 used to validate other EIs and to prepare urban carbon budgets in addition to aiding policy  
466 makers in controlling GHG emissions.

## 467 **Abbreviations**

469 CO<sub>2</sub>: carbon dioxide

470 FFCO<sub>2</sub>: carbon dioxide emissions from fossil fuel combustion

471 EI: emission inventory

472 GPC: Global Protocol for Community-Scale Greenhouse Gas Emission Inventories

473 UNFCCC: UN Framework Convention on Climate Change

474 IPCC: Intergovernmental Panel on Climate Change

475 GHG: greenhouse gas

476 GESAPU: National geoinformation technologies, spatiotemporal approaches, and the  
477 Protocol for Reducing GHG Emission Uncertainties  
478 EAGrid-Japan: East Asian Air Pollutant Emission Grid Database for Japan  
479 MW: megawatt  
480 LTO: landing and take-off  
481 ICAO: International Civil Aviation Organization  
482 GT: gross tonnage  
483 MSW: municipal solid waste  
484 CA: combustion agent  
485 DRM: digital road map  
486 GIS: geographic information system  
487 TFA: total floor area  
488 DSM: digital surface model  
489 DEM: digital elevation model

490

## 491 **Declarations**

### 492 **Ethics approval and consent to participate**

493 Not applicable.

### 494 **Consent for publication**

495 Not applicable.

### 496 **Availability of data and materials**

497 The data used in this study are either presented in this manuscript or available from the data  
498 sourced indicated. The authors plan to make the data product developed in this study publicly  
499 available with a DOI.

### 500 **Competing interests**

501 The authors declare that they have no competing interests.

502 **Funding**

503 This study was funded by the Ministry of the Environment, Japan, and partly supported by  
504 the Low-Carbon Research Program of the National Institute for Environmental Studies.

505 **Authors' contributions**

506 RC carried out the data collection and analysis with support from MS. TF provided the EAGrid-  
507 Japan2010 emission inventory and guidance on the use of the inventory in the data analysis.  
508 RC wrote the manuscript with input from MS. RC and AI provided critical comments and  
509 shaped the study and manuscript. All the authors contributed to the final version of the  
510 manuscript and approved the submission.

511 **Acknowledgements**

512 We thank Tomohiro Oda who conceived this study, and provided valuable input and feedback  
513 to the data analysis as well as manuscript development. The authors also acknowledge that this  
514 study greatly benefited from the Master's thesis work done by Yutaka Mori at Osaka University  
515 under the supervision of Tomohiro Oda. We thank Shamil Maksyutov from the National  
516 Institute for Environment Studies for his valuable comments on this article.

517 **Authors' information**

518 **Affiliations**

519 Center for Global Environmental Research, National Institute for Environmental Studies, 16-  
520 2, Onogawa, Tsukuba, Ibaraki, 305-8506, Japan

521 Richao Cong, Makoto Saito, Ryuichi Hirata & Akihiko Ito

522 Fukushima Branch, National Institute for Environmental Studies, Fukushima Environmental  
523 Creation Centre, 10-2, Fukasaku, Miharu Town, Tamura District, Fukushima, 963-7700, Japan

524 Richao Cong

525 Institute of Behavioral Sciences, 2-9, Ichigaya-honmuracho, Shinjuku-ku, Tokyo, 162-0845,  
526 Japan

527 Tetsuo Fukui

528 **Corresponding authors**

529 Correspondence to Richao Cong or Makoto Saito.

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## Figure captions

**Fig. 1.** Conceptual framework for emission mapping.

**Fig. 2.** Examples of vector maps for emission sources in SG Ward, Tokyo. (A) Locations of point emission sources. (B) Road segments for line sources. (C) Building polygons for area sources (blue line shows the boundary of the area). (D) 3D emission map for all sources (Gg CO<sub>2</sub> yr<sup>-1</sup> per road segment for road emissions and Gg CO<sub>2</sub> yr<sup>-1</sup> per polygon for others).

**Fig. 3.** Emission maps with 1 × 1 km mesh for (A) point sources, (B) line sources, (C) area sources, and (D) all sources. Unit: Gg CO<sub>2</sub> km<sup>-2</sup> yr<sup>-1</sup>.

**Fig. 4.** Map of 106 point sources in Tokyo (areas in blue frames indicate islands). The point in cyan indicates the highest emissions at Shinagawa power plant (3,219 Gg CO<sub>2</sub> yr<sup>-1</sup>).

**Fig. 5.** Map of emissions from line sources for each road segment. The 30 road segments with the highest emissions are marked in black. Unit: Gg CO<sub>2</sub> km<sup>-1</sup> yr<sup>-1</sup>.

**Fig. 6.** Map of emissions from the industrial and commercial sector with (A) 1 × 1 km mesh, unit: Gg CO<sub>2</sub> km<sup>-2</sup> yr<sup>-1</sup>; (B) 5,318 economic census areas, unit: Gg CO<sub>2</sub> yr<sup>-1</sup>. The municipality boundary for the area with the highest annual emissions (up to 82.2 Gg CO<sub>2</sub>) is marked in cyan.

**Fig. 7.** Map of emissions from the residential sector with (A) 1 × 1 km mesh, unit: Gg CO<sub>2</sub> km<sup>-2</sup> yr<sup>-1</sup>; (B) 5,578 population census areas, unit: Gg CO<sub>2</sub> yr<sup>-1</sup>. The municipality boundary for the area with the highest annual emissions (up to 6.6 Gg CO<sub>2</sub>) is marked in cyan.

**Fig. 8.** Maps of emissions from the agricultural machine use sector with (A) 1 × 1 km mesh, unit: Mg CO<sub>2</sub> km<sup>-2</sup> yr<sup>-1</sup>. (B) 62 municipalities, unit: Mg CO<sub>2</sub> yr<sup>-1</sup>. The area with the highest annual emissions (2,765 Mg CO<sub>2</sub> yr<sup>-1</sup>) is marked in cyan. (C) high-spatial-resolution map on a grid with cell size of 10 × 10 m, unit: Kg CO<sub>2</sub> yr<sup>-1</sup> per cell.

**Fig. 9.** Comparisons on annual CO<sub>2</sub> emissions in Tokyo between the present EI (A-1) and Tokyo government 2014 EI (A-2), and between the present EI (B-1) and EAGrid-Japan 2010 (B-2). Unit: Gg CO<sub>2</sub>.

Note: In A-1, the industrial and commercial category includes emissions from the industrial and commercial sector and the electricity generation sector. The transportation category includes emissions from the road transportation, civil aviation, and waterborne navigation sectors.

In A-2, the transportation category includes emissions from the railway, road transportation, civil aviation, and waterborne navigation sectors.

In B-2, point sources include power plants, waste incineration plants, vessels, and aircrafts. Line sources refer to road transportation. Area sources include residential and commercial combustion equipment, factory and building boilers, off-road transportation, open burning, and facilities without identified locations.

784 **Fig. 10.** Differences in emissions between the present EI and 2010 EAGrid-Japan EI at a  
785 resolution of  $1 \times 1$  km for: (A) point sources, (B) line sources, (C) area sources, and (D) all  
786 sources. Unit:  $\text{Gg CO}_2 \text{ km}^{-2} \text{ yr}^{-1}$ . (Difference = emissions from the present EI – 2010  
787 EAGrid-Japan EI, after adjustment of EAGrid emissions, as described in the text.).  
788

789 **Fig. 11.** Scatter plots of gridded emissions by source types between the present EI and  
790 EAGrid for: (A) point sources, (B) line sources, (C) area sources, and (D) all sources. Unit:  
791  $\log_{10} \text{Gg CO}_2 \text{ km}^{-2} \text{ yr}^{-1}$ .  
792

793 **Fig. 12.** Frequency distribution of gridded emissions ( $\text{Gg CO}_2 \text{ km}^{-2} \text{ yr}^{-1}$ ) for this study  
794 (green) and EAGrid-Japan 2010 adjusted (blue).  $n = 2,688$ .  
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### 796 **Table captions**

797 **Table 1** Spatial data used for identifying CO<sub>2</sub> emission sources, and other data used for  
798 counting emissions for each sector in Tokyo. Note that several sectors are not consistent with  
799 the IPCC definitions. For example, (1) only the LTO cycle emissions were used here for civil  
800 aviation, whereas the IPCC sector includes emissions from LTO cycle and cruise; (2) only  
801 passenger and cargo ships with round trips to the ports were considered here for the  
802 waterborne navigation sector, whereas the IPCC sector covers all water-borne transport, from  
803 recreational craft to large ocean-going cargo ships; and (3) two IPCC sectors (manufacturing  
804 and commercial sectors) were combined to form the industrial and commercial sector.  
805

806 **Table 2** CO<sub>2</sub> emission factors for vehicles by vehicle type and speed (2010), extracted from  
807 experimental results [49].  
808

809 **Table 3** Annual consumption of fossil fuels, worker numbers, and CO<sub>2</sub> emission factors for  
810 workers by category in Tokyo, derived from the 2014 economic census [52] and the energy-  
811 balance table for Tokyo (2014) [53].  
812

813 **Table 4** CO<sub>2</sub> emission factors for households by occupancy and building type, based on an  
814 investigation of residential energy consumption [59].  
815

816 **Table 5** CO<sub>2</sub> emission factors for farmland by crop type [62, 63].  
817

818 **Table 6** Estimates of annual CO<sub>2</sub> emissions from Tokyo (2014) by sector and source type.  
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### 820 **Additional file 1**

821 **Table S1** Ownership, facility description, location, and emissions for 106 point-type emission  
822 sources (2014). Note: \* indicates that the average utilization rate of CAs (2014) from these facilities  
823 was extended to all of the waste incineration plants.

- 824 **Table S2** Municipality names, abbreviations, areas, populations, and emissions for the 62  
825 Tokyo municipalities (2014).
- 826 **Table S3** Parameter setting for calculation the emissions from vessels [38, 39].
- 827 **Table S4** Components of this study and relevant data sources.
- 828 **Table S5** Uncertainties from activity data and emission factors, by sector.