

Association between admission hypothermia and outcomes in very low birth weight infants in China: a multicentre prospective study

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Abstract

Background The objective of this study was to evaluate the association between admission hypothermia and neonatal outcomes in very low-birth weight (VLBW) infants in multiple neonatal intensive care units (NICUs) in China. **Methods** Since January 1, 2018, a neonatal homogeneous cooperative research platform has been established. The platform collects clinical data in a prospective manner on preterm infants with birth weights (BW) <1500 g and gestational ages (GAs) <34 weeks born in 28 NICUs in Shandong Province. These infants were divided into normothermia, mild or moderate/severe hypothermia groups according to the World Health Organization (WHO) classifications of hypothermia. Associations between outcomes and hypothermia were tested in a bivariate analysis, followed by a stepwise logistic regression analysis. **Results** A total of 1247 VLBW infants were included in this analysis, of which 1100 infants (88.2%) were included in the hypothermia group, 554 infants (44.4%) in the mild hypothermia group and 546 infants (43.8%) in the moderate/severe hypothermia group. Small for gestational age (SGA), caesarean section, a low Apgar score at 5 min and intubation in the delivery room (DR) were related to admission hypothermia (AH). Mortality was the lowest when their admission temperature was 36.5~37.5°C, and after adjustment for maternal and infant characteristics, mortality was significantly associated with AH. Compared with infants with normothermia (36.5~37.5°C), the adjusted ORs of all deaths increased to 4.148 (95% CI 1.505-11.437) and 1.806 (95% CI 0.651-5.009) for infants with moderate/severe hypothermia and mild hypothermia, respectively. AH was also associated with a high likelihood of respiratory distress syndrome (RDS), intraventricular haemorrhage (IVH), and late-onset neonatal sepsis (LOS). **Conclusions** AH is still very high in VLBW infants in NICUs in China. SGA, caesarean section, antenatal steroid use, a low Apgar score at 5 min and intubation in the DR were associated with increased odds of hypothermia. Moderate/severe hypothermia was associated with mortality and poor outcomes, such as RDS, IVH, LOS, etc.

Background

Preterm infants have difficulty maintaining body temperature after birth due to a high surface area-to-mass ratio, little subcutaneous adipose tissue, a thin stratum corneum and inadequate brown fat, especially among very low-birth weight (VLBW) infants [1–2].

Neonatal hypothermia (temperature below 36.5 °C) is a vital risk factor for neonatal mortality and morbidity in preterm infants [3–5]. Laptok et al. [6] reported that hypothermia increased the risk of mortality by 28% for every 1 °C drop in body temperature. In a multicentre study, Caldas et al. [7] reported that admission hypothermia (AH) was significantly associated with early neonatal death regardless of hospital performance. In Korea, Lee et al. [8] reported that 74.1% of 5860 VLBW preterm infants with a gestational age (GA) <33 weeks and hypothermia were admitted to neonatal intensive care units (NICUs), which was associated with high mortality and several important morbidities. Wilson et al. [9] reported that hypothermia occurred in 53.4% of 5697 infants born at a GA <32 weeks in a population-based study with samples from 11 European countries and that admission hypothermia (AH) after very preterm birth was a significant problem associated with an increased risk of early and late neonatal death. In an analysis of

risks associated with AH in preterm infants in the Canadian Neonatal Network, Lyu et al. [10] showed that both hypothermia and hyperthermia were associated with increased risks of adverse outcomes. However, in China, clinical data on AH in premature infants are scarce, and most of the studies include small samples from a single centre [11].

The aim of this study was to examine the association between AH and neonatal outcomes in VLBW infants in multiple NICUs in China.

Methods

This prospective, multicentre cohort study was carried out over a period of 12 months, from January 1, 2018, to December 31, 2018, in 28 NICUs in Shandong Province, China. The 28 recruited hospitals included 14 teaching hospitals and 14 non-teaching hospitals, with averages of 59 and 40 beds in the neonatology departments and NICUs, respectively.

Data quality and control

Since January 1, 2018, a homogeneous neonatal cooperative research platform has been implemented. The admission temperatures, mortality incidence and morbidity data of VLBW infants born in 28 level II and level III NICUs in Shandong Province were collected prospectively. The database provided maternal, delivery, and neonatal data until the first NICU discharge, and the data were collected by trained staff using a standardized operating procedure [12–13]. The admission temperature was defined as the infant's axillary or rectal temperature measured at admission to the NICU within one hour after birth, in accordance with local routines. The entered data were analysed for statistical adjustment for possible confounders in a multivariate analysis.

Population

Study population

The study population included all infants with a birth weight (BW) less than 1500 g and GA less than 34 weeks who were admitted to the NICUs of 28 level II or level III hospitals in China from January 1, 2018, to December 31, 2018, and their mothers.

Exclusion criteria

Infants who were out-born, who had redirection of intensive care [14] and who were missing temperature data were excluded.

Study variables

Dependent variable

The dependent variable was hypothermia.

Independent variables

The following perinatal variables were considered independent variables: gestational diabetes mellitus (GDM), maternal hypertension, premature rupture of membranes (PROM) (> 18 hours), antenatal steroid use, and caesarean section. The following neonatal variables were considered independent variables: multiple births (twins or more), sex, GA, BW, small for gestational age (SGA) (defined as a BW lower than the 10th percentile of the intrauterine growth curve of 2013-Fenton), Apgar scores at 1 min and 5 min, and intubation in the delivery room. Poor outcomes included respiratory distress syndrome (RDS), intraventricular haemorrhage (IVH), necrotizing enterocolitis (NEC), late-onset neonatal sepsis (LOS), bronchopulmonary dysplasia (BPD), retinopathy of prematurity (ROP), and extrauterine growth retardation (EUGR).

Operational definitions

Hypothermia was defined as an axillary temperature of less than 36.5 °C, according to the WHO [25]. Cold stress or mild hypothermia was defined as a temperature 36.0 °C to 36.4 °C, moderate hypothermia was defined as a temperature 32.0 °C to 35.9 °C, and severe hypothermia was defined as a temperature below 32 °C.

Normothermia was defined as a body temperature between 36.5 °C to 37.5 °C.

Redirection of intensive care was defined as limited care (not intensifying medical treatment) or withdrawal of care [14].

The diagnostic criteria of RDS, IVH, NEC and ROP were according to the Practice of Neonatology (5th Edition) [15].

LOS was diagnosed by the clinical manifestations of systemic infection after 3 days of birth and abnormal values for 2 or more of the following non-specific infection indicators: WBC < $5 \times 10^9/L$ or WBC > $20 \times 10^9/L$; C-reactive protein (CRP) ≥ 10 mg/L; platelets (PLTs) $\leq 100 \times 10^9/L$; and procalcitonin (PCT) > 2 ng/ml. If the blood or cerebrospinal fluid culture was positive, then culture-positive septicaemia was diagnosed [16].

BPD was defined as the requirement of any inspired fraction oxygen above 0.21 at the corrected GA of 36 weeks [17].

EUGR was defined according to the growth curve of 2013-Fenton, when BW, head circumference and body length were all < P10 at discharge or at a corrected GA of 36 weeks [18].

Statistical analysis

Demographic data are expressed as medians [M (Q₁, Q₃)] or percentages. In the univariate analysis, we used the Kruskal-Wallis test or chi-square test. We then evaluated the odds ratios (ORs) according to admission temperature using a multivariate logistic regression analysis, with adjustment for factors that

had a $P < 0.1$ in the univariate analysis. We also estimated curves for mortality according to the admission temperature. $P < 0.05$ was considered statistically significant. The statistical analyses were conducted using SPSS v. 25.0 (SPSS Inc., Chicago, Illinois).

Results

A total of 1582 in-born infants with a BW < 1500 g and GA < 34 weeks were enrolled in the study on their day of birth; 93 infants were excluded because they were out-born. Additionally, 150 infants with redirection of intensive care (limited care (not intensifying medical treatment) or withdrawal of care) and 92 infants with missing temperature data were excluded. The remaining 1247 infants were included in this analysis (Fig. 1). The final cohort had a median BW and GA of 1250 (480–1499) g and 29 (24.1–33.9) weeks, respectively.

Hypothermia

The mean (SD) admission temperature was 35.8 °C (0.6 °C), ranging from 32 °C to 37.5 °C. Only 11.8% of the study population had an admission temperature in the WHO recommended range of 36.5 °C to 37.5 °C. A total of 88.2% of infants had an admission temperature lower than 36.5 °C, including 554 infants (44.4%) in the mild hypothermia group and 546 infants (43.8%) in the moderate/severe hypothermia group. No hyperthermic (> 37.5 °C) infants were identified. The distributions of infants across the range of admission temperatures are reported in Fig. 2.

Risk factors for hypothermia

The univariate analysis of the risk factors of severe/moderate hypothermia indicated that BW, SGA, caesarean section, antenatal steroid use, a low 5-min Apgar score, intubation in the DR and maternal hypertension were associated with AH (Table 1). After adjusting for risk factors using logistic regression, SGA, caesarean section, antenatal steroid use, intubation in the DR, and a low 5-min Apgar score remained significantly associated with moderate/severe hypothermia (Table 2).

Table 1
 Characteristics of normothermic and hypothermic VLBW infants

	Moderate/severe hypothermia n = 546	Mild hypothermia n = 554	Normothermia n = 147	P*
GA [weeks, M (Q ₁ , Q ₃)]	29 (28, 31)	30 (28, 31)	30 (28, 31)	0.048
BW [g, M (Q ₁ , Q ₃)]	1230 (1050, 1370)	1280 (1100, 1400)	1280 (1130, 1430)	0.001
SGA	144 (26.4)	127 (22.9)	23 (15.6)	0.022
Sex (boy)	287 (52.6)	282 (50.9)	80 (54.4)	0.711
Caesarean section	425 (77.8)	398 (71.8)	73 (49.7)	< 0.001
Multiple birth (twins or more)	104 (19.0)	111 (20.0)	22 (14.9)	0.379
Antenatal steroid use	270 (49.5)	234 (42.2)	43 (29.3)	< 0.001
Apgar score at 1 min < 7	212 (38.9)	193 (34.8)	42 (28.6)	0.057
Apgar score at 5 min < 7	208 (38.1)	148 (26.7)	16 (10.9)	< 0.001
Intubation at DR	215 (39.4)	157 (28.3)	15 (10.2)	< 0.001
Maternal hypertension	248 (45.4)	227 (40.9)	41 (27.9)	0.001
GDM	64 (11.7)	65 (11.7)	18 (12.2)	0.983
PROM	236 (43.2)	193 (34.8)	52 (35.4)	0.023
Death	93 (17.0)	40 (7.2)	5 (3.4)	< 0.001
RDS	453 (82.9)	404 (72.9)	70 (47.6)	< 0.001

Data are presented as the median or n (%).

* Kruskal-Wallis or chi-square test.

Abbreviations: GA, gestational age; BW, birth weight; SGA, small for gestational age; PROM, premature rupture of membranes; DR, delivery room; GDM, gestational diabetes mellitus; RDS, respiratory distress syndrome; BPD, bronchopulmonary dysplasia; IVH, intraventricular haemorrhage; NEC, necrotizing enterocolitis; LOS, late-onset neonatal sepsis; ROP, retinopathy of prematurity; EUGR, extrauterine growth retardation.

	Moderate/severe hypothermia n = 546	Mild hypothermia n = 554	Normothermia n = 147	P*
BPD	77 (14.1)	75 (13.5)	18 (12.2)	0.191
IVH	86 (15.7)	35 (6.3)	4 (2.7)	< 0.001
NEC	31 (5.6)	17 (3.1)	5 (3.4)	0.087
LOS	198 (36.3)	170 (30.7)	32 (21.7)	0.002
ROP	44 (8.1)	42 (7.6)	13 (8.8)	0.873
EUGR	301 (55.1)	271 (48.9)	63 (42.8)	0.014
Data are presented as the median or n (%).				
* Kruskal-Wallis or chi-square test.				
Abbreviations: GA, gestational age; BW, birth weight; SGA, small for gestational age; PROM, premature rupture of membranes; DR, delivery room; GDM, gestational diabetes mellitus; RDS, respiratory distress syndrome; BPD, bronchopulmonary dysplasia; IVH, intraventricular haemorrhage; NEC, necrotizing enterocolitis; LOS, late-onset neonatal sepsis; ROP, retinopathy of prematurity; EUGR, extrauterine growth retardation.				

Table 2

Risk factors for the WHO criteria of moderate/severe hypothermia and mild hypothermia as determined by logistic regression models

	Adjusted OR* (95% CI) ^a		
	Moderate/Severe hypothermia	Mild hypothermia	Normothermia
GA	0.873 (0.744, 1.024)	0.955 (0.818, 1.114)	1.000
BW	1.000 (0.999, 1.001)	1.000 (0.999, 1.001)	1.000
Caesarean section	3.808 (2.411, 6.015)	2.547 (1.647, 3.939)	1.000
Antenatal steroids	2.035 (1.344, 3.083)	1.592 (1.059, 2.393)	1.000
Apgar score at 5 min < 7	2.206 (1.093, 4.453)	1.643 (0.815, 3.314)	1.000
Intubation at DR	3.107 (1.515, 6.371)	2.552 (1.247, 5.221)	1.000
PROM	1.203 (0.803, 1.802)	0.935 (0.628, 1.392)	1.000
Maternal hypertension	1.191 (0.730, 1.942)	1.100 (0.681, 1.778)	1.000
SGA	2.009 (1.149, 3.512)	1.521 (0.879, 2.631)	1.000
Abbreviations: OR, odds ratio; CI, confidence interval; GA, gestational age; BW, birth weight; SGA, small for gestational age; PROM, premature rupture of membranes			
*Adjusted for caesarean section, BW, SGA, Apgar score < 7 at 5 min, and intubation in the DR.			
^a ORs with P < 0.05			

Association between hypothermia and mortality and major morbidity in VLBW infants

The univariate analysis of adverse outcomes in VLBW infants indicated that RDS, IVH, LOS and EUGR were associated with hypothermia (Table 1). After adjusting for risk factors using logistic regression, RDS, IVH and LOS remained significantly associated with moderate/severe hypothermia (Table 3). The adjusted ORs of death increased to 1.806 (95% CI 0.651–5.009) and 4.148 (95% CI 1.505–11.437) for infants with mild hypothermia and moderate/severe hypothermia at NICU admission, respectively. The analysis of the correlation between admission temperature and death showed that the relationship was not a linear but a quadratic function equation and was statistically significant (P < 0.05) (Fig. 3).

Table 3

Multivariate analysis of the association between mortality and major morbidity and hypothermia

	Adjusted OR* (95% CI) ^a		
	Moderate/Severe hypothermia	Mild hypothermia	Normothermia
Death	4.148 (1.505, 11.437)	1.806 (0.651, 5.009)	1.000
RDS	5.028 (3.169, 7.979)	3.205 (2.099, 4.895)	1.000
BPD	1.366 (0.862, 2.166)	1.185 (0.734, 1.912)	1.000
IVH	9.813 (3.353, 28.719)	2.914 (0.984, 8.632)	1.000
NEC	0.692 (0.228, 2.104)	0.567 (0.186, 1.726)	1.000
LOS	2.081 (1.284, 3.373)	1.697 (1.063, 2.707)	1.000
ROP	1.339 (0.626, 2.862)	1.206 (0.580, 2.506)	1.000
EUGR	1.430 (0.901, 2.267)	1.094 (0.706, 1.695)	1.000
Abbreviations: OR, odds ratio; CI, confidence interval; RDS, respiratory distress syndrome; BPD, bronchopulmonary dysplasia; IVH, intraventricular haemorrhage; NEC, necrotizing enterocolitis; LOS, late-onset neonatal sepsis; ROP, retinopathy of prematurity; EUGR, extrauterine growth retardation			
*Adjusted for caesarean section, BW, SGA, Apgar score < 7 at 5 min, and intubation in the DR.			
^a ORs with P < 0.05			

Discussion

This is the first prospective, multicentre cohort study with a large sample size to investigate the association between mortality and major morbidity with hypothermia in China. Our study demonstrated that infants with hypothermia, particularly moderate/severe hypothermia, had adverse outcomes with relatively high rates of death; these findings are consistent with previous reports [19-20]. The multivariate analysis showed that the OR of death was 4.148 for VLBW infants with moderate/severe hypothermia at NICU admission in our study. Sindhu et al. [21] reported that a reduction in an infants' body temperature is the primary cause of 18-42% of annual infant mortality worldwide. A recent study by Tay et al. [22] reported that hypothermia at NICU admission in VLBW newborns was independently associated with mortality. Mortality was inversely related to admission temperature, although the relationship was not linear but rather a quadratic curve. A quadratic curve indicated that there was an admission temperature range with the lowest death rate, and hypothermia should be avoided in vulnerable VLBW infants.

The univariate and multivariate analyses showed that adverse outcomes in VLBW infants, including RDS, IVH and LOS, were associated with AH. This is consistent with the results of previous studies [23-24]. Laptok et al. [6] reported that hypothermia increased the risk of sepsis by 11% for every 1°C drop in body

temperature. Miller et al. [25] reported that moderate/severe hypothermia significantly increased the incidence of several morbidities, including death, high-grade IVH and late-onset sepsis. Chang H-Y et al. [26] reported that hypothermia was associated with IVH and RDS. Hypothermia leads to increased oxygen consumption, which leads to hypoxemia, which in turn leads to pulmonary vasoconstriction, the reduced release of pulmonary surfactant and decreased work by respiratory muscles, increasing respiratory distress in these vulnerable preterm infants[27].

In this study, we found that the incidence of hypothermia was 88.2%. The incidence of hypothermia at admission to the NICU in VLBW preterm infants was 31%-78% in previous studies [28-29]. In a retrospective observational study, Lyu et al. [10] showed that the incidence of hypothermia was 35.6%. In Taiwan, Chang H-Y[26] reported that the incidence of hypothermia was 76.8%. Compared with the above data, the incidence of AH in China is significantly higher than that at the international level.

The results showed that AH was associated with SGA, caesarean section, intubation at DR, and a low 5-min Apgar score. Caesarean delivery may contribute to hypothermia, as operating rooms are often kept at cool temperatures to maintain a comfortable operating environment. Johannsen et al. [30] showed that a relatively high ambient temperature in the DR may also prevent hypothermia in preterm infants in addition to the abovementioned methods to stabilize body temperatures of VLBW infants. The WHO has recommended that delivery or resuscitation room temperatures be set at a minimum of 25°C, with a suggested range of 25~28°C [3], which, anecdotally, is not often the case. SGA is associated with a large surface area-to-body mass ratio, decreased subcutaneous fat, high body water content, and immature skin, leading to increased evaporative water and heat losses [31]; therefore, SGA was also a risk factor for AH. A low 5-min Apgar score and intubation at DR may be associated with increased resuscitation efforts, an increased resuscitation time and inadequate thermal measures [8, 32]. Therefore, heat preservation measures should be included in the management of premature infant resuscitation and the "golden hour" after birth [33].

Our study had several limitations. We investigated only the incidence of hypothermia and studied the association between hypothermia and poor outcomes; we still have not conducted a quality improvement project considering VLBW infants. Based on the results of this study, our next research project will be to carry out a multicentre quality improvement project to reduce the incidence of hypothermia according to international evidence-based practices for improving quality (EPIQs).

Conclusion

AH is still very high in VLBW infants in NICUs in China. SGA, caesarean section, antenatal steroid use, a low Apgar score at 5 min and intubation in the DR were associated with increased odds of hypothermia. Moderate/severe hypothermia was associated with mortality and poor outcomes, such as RDS, IVH, LOS, etc.

Abbreviations

Very low-birth weight, VLBW; Neonatal intensive care unit, NICU; Admission hypothermia, AH; Gestational diabetes mellitus ,GDM; Gestational age, GA; Respiratory distress syndrome ,RDS; Intraventricular haemorrhage, IVH; Necrotizing enterocolitis,NEC; Late-onset neonatal sepsis, LOS; Bronchopulmonary dysplasia , BPD; Retinopathy of prematurity, ROP; Extrauterine growth retardation, EUGR.

Declarations

Ethics approval and consent to participate The Institutional Review Board of Shandong Provincial Hospital Affiliated with Shandong University approved this project (Approval Number: LCYJ: NO. 2019-004).

Consent for publication All authors have provided written informed consent, approved the submission of this version of the manuscript and take full responsibility for the manuscript. The legal guardians of all the participants signed informed consent forms indicating that their data could be used in various clinical studies.

Availability of data and material The data that support the findings of this study are available from the corresponding authors upon reasonable request.

Competing interests No financial or nonfinancial benefits have been received or will be received from any party related directly or indirectly to the subject of this article.

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Authors' contributions YHY, the corresponding author, doctorate, and professor of medicine, designed the study, trained and supervised the data collectors, interpreted the results and revised the manuscript. The first authors, namely, LW, played a role in the analysis and interpretation of the data and in preparing and drafting the manuscript. The co-first authors, namely, W-L, XY-H, LL-W, XF-F, YJ-D, CY-Z, QL, AR-S, YH-Z, GY, CL, XX-L, JC-W, ZYY, TC, XY-R, JL, MR-B, FD-P, M-G, BP-Q, RM-Z, SP-N, RX-Z, YC, YL-G, and LP-D, participated in the design of the study, the collection and interpretation of the data and writing the manuscript. All authors listed on the manuscript approved the submission of this version of the manuscript and take full responsibility for the manuscript.

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Figures

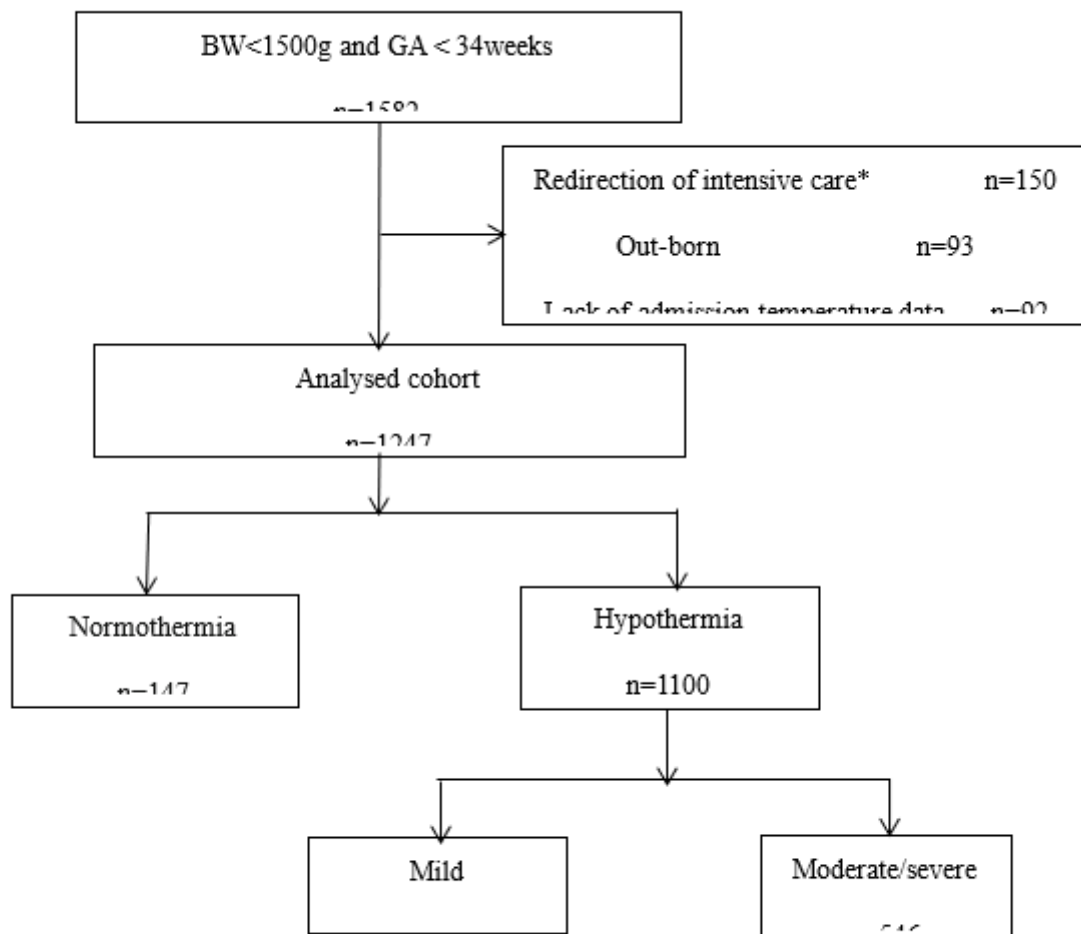


Figure 1

Flow diagram of the study population. *: limited care (not intensifying medical treatment) or withdrawal of care.

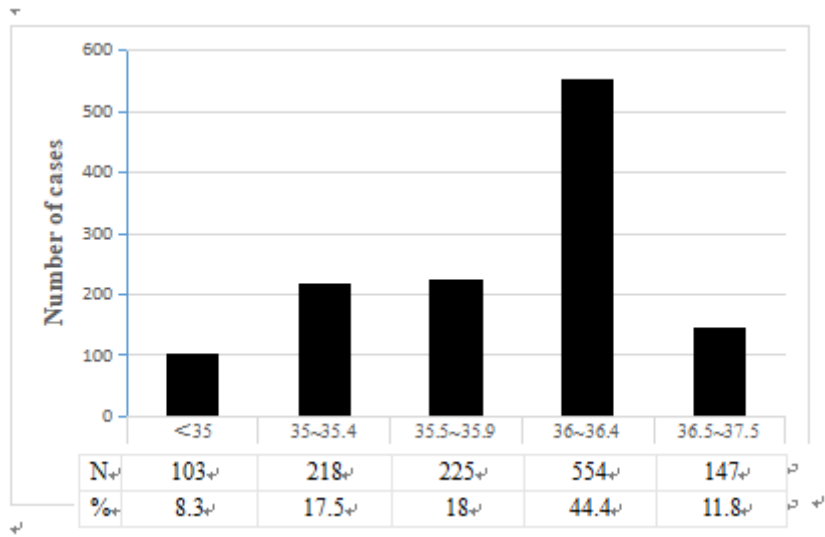


Figure 2

Temperature distribution of VLBW infants.

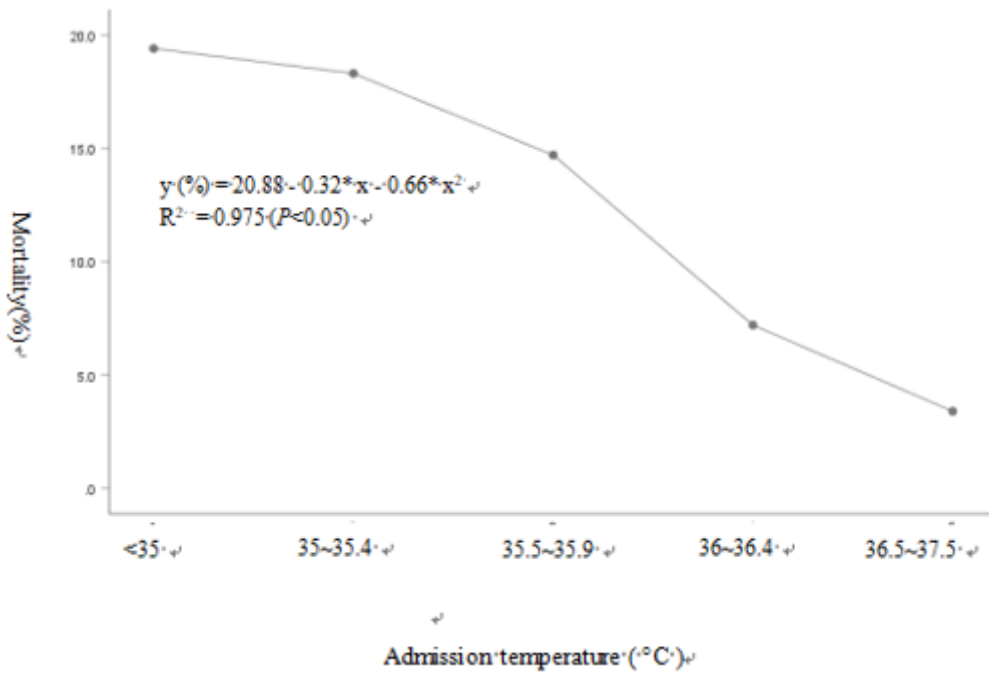


Figure 3

Relationship between admission temperature and mortality