

Cross-protective immunity of the hemagglutinin stalk domain presented on the surface of *Lactococcus lactis* against divergent influenza viruses in mice

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Research

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1 **Cross-protective immunity of the hemagglutinin stalk domain presented on the surface**
2 **of *Lactococcus lactis* against divergent influenza viruses in mice**

3 Han Lei*, Tong Gao, Qianhong Cen

4 **Abstract**

5 **Background**

6 Most of the current approaches to influenza vaccine design focus on antibodies against
7 influenza hemagglutinin (HA). However, these influenza vaccines typically provide strain-
8 specific protection against mostly homologous subtypes. There is an urgent need to develop a
9 universal vaccine that confers cross-protection against influenza viruses. Of note, the HA stalk
10 domain (HAs) is a new target for such an influenza vaccine.

11 **Results**

12 Recombinant *L.lactis*/pNZ8150-pgsA-HAs constructed in which pgsA was used as an anchor
13 protein and investigated the immunogenicity of HAs, in the mouse model by oral administration
14 without the use of a mucosal adjuvant. Mice were orally vaccinated with *L.lactis*/pNZ8150-
15 pgsA-HAs, and then produced significant humoral and mucosal immune responses. Importantly,
16 *L.lactis*/pNZ8150-pgsA-HAs provided significant cross-protection against H5N1, H3N2 or
17 H1N1 virus infection.

18 **Conclusions**

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1 Our data support the hypothesis that HAs presented on the surface of *L. lactis* can provide cross-
2 protective immunity against influenza A viruses. Taken together, these findings suggest that
3 *L.lactis*/pNZ8150-pgsA-HAs can be considered an alternative approach to developing a novel
4 universal vaccine during an influenza A pandemic.

5 **Keywords:** *L.lactis*/pNZ8150-pgsA-HAs, Cross-protection, Influenza A viruses.

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1 **Background**

2 Influenza A viruses cause a highly infectious respiratory disease that remains a public health
3 problem worldwide [1]. Humans can also be infected with influenza viruses that are routinely
4 circulating in animals, such as avian influenza virus subtypes A (H5N1) and swine influenza
5 virus subtypes A (H3N2 and H1N1). Vaccination is considered the most effective way to
6 prevent and control influenza A viruses, although influenza A vaccines must be reformulated
7 annually to match well with the predicated circulating strains [2, 3]. Additionally, most licensed
8 inactivated influenza A vaccines focus on the globular head domain of the major surface
9 glycoprotein of hemagglutinin (HA) of the virus. These vaccines mainly induce strain-specific
10 neutralizing antibodies, which target the highly variable regions of the globular head in the HA1
11 subunit. Therefore, they provide a limited breadth of protection [4, 5]. In fact, antibodies against
12 HA are the major metric by which immunity to influenza is measured, and they fall into two
13 basic categories: globular- and stalk-directed antibodies [6]. Compared with the high mutability
14 of the globular head region, the HA stalk domain (HAs) is less susceptible to mutations and is
15 relatively conserved across the divergent influenza subtypes [7]. Recent studies have shown
16 that neutralizing antibodies against the HAs can be broadly protective in passive transfer-
17 challenge in the mouse or ferret model [8-12]. Thus, the HAs is an alternative target for a novel
18 universal influenza vaccine because antibodies against the HAs are capable of neutralizing
19 diverse influenza A viruses [13].

20 *Lactococcus lactis* (*L. lactis*) has been engineered to express heterologous proteins [14]. Our
21 previous studies have indicated that *L. lactis*, expressing the HA, HA1, neuraminidase or
22 nucleoprotein of avian influenza H5N1 virus, is a safe and effective vaccine candidate against

1 H5N1 virus infection in the mouse or chicken model with the use of a mucosal adjuvant or
2 enteric capsule [15-18]. However, little is known regarding whether the HAs, presented on the
3 surface of *L.lactis* in the absence of a mucosal adjuvant, can provide cross-protective immunity
4 against divergent influenza A viruses.

5 Thus, to investigate the immunogenicity of the HAs presented on the surface of *L. lactis*,
6 recombinant *L.lactis*/pNZ8150-pgsA-HAs was constructed in which pgsA was used as an
7 anchor protein. Mice were administered orally recombinant *L.lactis*/pNZ8150-pgsA-HAs,
8 which elicited a robust humoral and mucosal immune responses against influenza A viruses.
9 Most importantly, unadjuvanted *L.lactis*/pNZ8150-pgsA-HAs could provide cross-protective
10 immunity against lethal challenge with homologous and heterologous influenza A viruses.

11 These data suggest that recombinant
12 *L.lactis*/pNZ8150-pgsA-HAs is expected to serve as an alternative approach for a truly
13 protective universal influenza vaccine against divergent influenza A viruses.

14

15 **Methods**

16 **Construction of recombinant *L.lactis*/pNZ8150-pgsA-HAs**

17 The HAs (876 bp) of A/Vietnam/1203/2004(H5N1) that including HA 2 and a part of HA1
18 (**Fig. 1a**) was PCR-amplified from pcDNA3.1-HA (kindly provided by St. Jude Children's
19 Hospital, Memphis, TN, USA) using the following primers with *Nde* I or *EcoR* I sites
20 underlined (forward primer: 5' CTACATATG TCC ACC
21 ATCATGAAATCCGAACTGGAGTAC 3', reverse primer: 5' CCGGGAATTC
22 TTAGTTGCAGATGCGACACTGGA 3'). The resulting *Nde* I/*EcoR* I fragment was cloned

1 into pNZ8150-pgsA at the C-terminal end, in which pgsA was used as an anchor protein [16],
2 and then electroporated into competent *L. lactis* NZ9000. The positive clone of
3 *L.lactis*/pNZ8150-pgsA-HAs was screened and expressed as described previously [16].
4 *L.lactis*/pNZ8150-pgsA was used as a negative control for subsequent analysis.

5 **Western blot analysis**

6 The expression level of HAs displayed on recombinant *L. lactis* surface was determined by
7 western blot analysis as described previously [16]. Briefly, 10^6 cells of *L.lactis*/pNZ8150-pgsA-
8 HAs pellets were mixed with 60 μ L of $6 \times$ loading buffer and boiled for 10 minutes, then
9 subjected to SDS-polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to
10 nitrocellulose membrane (Bio-Rad, Hercules, California, USA). The membrane was incubated
11 with a 1:500 monoclonal mouse anti-HA antibody (kindly provided by NIH Biodefense and
12 Emerging Infections Research Resources Repository, Manassas, VA, USA), after blocking with
13 5% skim milk at room temperature for 2 h. After it was incubated overnight at 4 °C, the
14 membrane was incubated with affinity-purified horseradish peroxidase (HRP)-conjugated anti-
15 mouse IgG (Sigma-Aldrich Corporation, St. Louis, MO, USA). The membrane was reacted
16 with the West Pico Chemiluminescent Substrate (Thermo Fisher Scientific Inc., Rockford, IL,
17 USA) and imaged using the Molecular Imager ChemiDoc XRS System (Bio-Rad Laboratories,
18 Inc., Hercules, CA, USA).

19 **Immunofluorescence assay (IFA)**

20 The HAs protein displayed on *L. lactis* surface was analyzed by an immunofluorescence assay
21 (IFA) (Leica, Wetzlar, Germany). Briefly, 10^6 *L.lactis*/pNZ8150-pgsA-Has cells were washed
22 three times with sterile phosphate-buffered saline (PBS), incubated with monoclonal mouse

1 anti-HA antibody (kindly provided by NIH Biodefense and Emerging Infections Research
2 Resources Repository, Manassas, VA, USA) at 4 °C for 1 h, followed by a FITC-conjugated
3 goat anti-mouse IgG at 4 °C for 30 min, and re-suspended with 100 µl of sterile PBS. Finally,
4 *L.lactis*/pNZ8150-pgsA-HAs cells were used for the immunofluorescence assay (IFA).
5 *L.lactis*/pNZ8150-pgsA cells were used as negative controls.

6 **Flow cytometric analysis**

7 Flow cytometry is very similar to IFA, as described above. 500 µL of *L.lactis*/pNZ8150-pgsA-
8 HAs were analyzed by flow cytometric analysis (BD FACS Calibur, BD Bioscience, San Jose,
9 CA, USA).

10 **Animals, vaccine, immunization and sample collection**

11 Specific-pathogen-free (SPF) six-week-old female BALB/c mice were used (SLC Company,
12 Shanghai, China) in this study and housed in cages ventilated under negative pressure with
13 HEPA-filtered air.

14 The concentration of recombinant *L.lactis*/pNZ8150-pgsA-HAs cells was adjusted to 10¹²
15 colony forming unit (CFU)/ml with sterile PBS. The mice (n=15 per group) were vaccinated
16 orally with 10¹² CFU of *L.lactis*/pNZ8008-Spax-HA2 at days 0, 1, 2, and 3 for prime
17 immunization and boosted at day 17, 18, 19, and 20. PBS and *L.lactis*/pNZ8150-pgsA cells
18 were used as controls.

19 At day 15 and day 34 after the initial immunization, blood samples, intestine and upper
20 respiratory washes were collected, respectively.

21 Two weeks after the last immunization, all vaccinated mice were transferred into an animal
22 BSL-3 containment facility and challenged intranasally with 20 µl of 10⁴ EID₅₀ of lethal dose

1 of A/Vietnam/1203/2004(H5N1), A/Beijing/47/1992 (H3N2) or A/California/04/2009(H1N1)
2 virus. The mice were monitored for 14 days and body weight loss and survival rate at post
3 challenge were calculated.

4 Additionally, lungs from vaccinated mice were harvested for the detection of virus shedding at
5 day 3 post-infection. Tissue samples were homogenized and processed as described previously
6 [15]. The virus titer in each sample was calculated by the Reed and Muench method [19] and
7 expressed as 50% tissue culture infective dose (TCID₅₀).

8 All animal immunizations were carried out at biosafety level 2 (BSL-2). Virus challenge
9 experiments must be strictly performed under the enhanced bio-safety level-3 laboratory (BSL-
10 3) containment facilities complying with the Guidelines for Use and Care of Experimental
11 Animals and were approved by the Animal Committee of the Institute of Nanchang University.

12 **Enzyme-Linked Immunosorbent Assay (ELISA)**

13 Antibody responses of serum IgG and IgA in the intestinal washes and upper respiratory washes
14 were determined by (ELISA) using recombinant HA protein (2 µg/ml) as a coating antigen, as
15 described previously [16]. Optical density (OD) was measured at 405 nm using an ELISA plate
16 reader. The IgG or IgA titer was determined to be the lowest dilution with an OD greater than
17 the mean OD of naïve controls plus 2 standard deviations.

18 **Statistical Analysis**

19 A two-tailed Student's t-test and one-way ANOVA were used for all statistical analyses. A *p*-value
20 of less than 0.05 was considered to be statistically significant.

21

22

1 **Results**

2 **HAs protein expressed on the surface *L.lactis***

3 The HAs gene of A/Vietnam/1203/2004 (H5N1) (**Fig. 1a**) was cloned into the C-terminal end
4 of pNZ8150-pgsA via a GS linker (**Fig. 1b**). The level of HAs protein expressed on *L. lactis*
5 was determined by western blot analysis. A highly specific band (approximately 100 kDa) was
6 detected for the pgsA-HAs fusion protein in the *L.lactis*/pNZ8150-pgsA-HAs cells (**Fig. 1c,**
7 **Lane 1**). In contrast, there was no band detected in the *L.lactis*/pNZ8150-pgsA cells (**Fig. 1c,**
8 **Lane 2**).

9 To confirm that HAs protein was expressed on the surface of *L. lactis*, *L.lactis*/pNZ8150-pgsA
10 and *L.lactis*/pNZ8150-pgsA-HAs cells were examined by IFA and flow cytometric analysis,
11 respectively. There were no positive signals in the *L.lactis*/pNZ8150-pgsA cells(**Fig. 1 d and e,**
12 **left side**). By contrast, *L.lactis*/pNZ8150-pgsA-HAs consistently exhibited specific fluorescent
13 signals, indicating that HAs was stably expressed on the surface of *L. lactis* (**Fig. 1 d and e,**
14 **right side**).

15 **Antibody responses detected by ELISA**

16 HA-specific antibody responses were measured by ELISA. As shown in **Fig. 2a**, there was no
17 significant serum IgG detected in the PBS, *L.lactis*/pNZ8150-pgsA or *L.lactis*/pNZ8150-pgsA-
18 HAs group at the prime immunization. A highly significant increase was observed in the
19 *L.lactis*/pNZ8150-pgsA-HAs group at the boost immunization, whereas there were still no
20 significant changes in the PBS or *L.lactis*/pNZ8150-pgsA group.

21 Mucosal IgA antibodies were also detected in the intestine and upper respiratory washes (**Fig.**
22 **2b and c**), respectively. There were no detectable IgA antibodies in the PBS, *L.lactis*/pNZ8150-

1 pgsA or *L.lactis*/pNZ8150-pgsA-HAs group at the prime and boost immunization. Only
2 *L.lactis*/pNZ8150-pgsA-HAs could induce a higher level of IgA antibodies after the boost
3 immunization.

4 Collectively, these results demonstrated that mice administrated orally with *L.lactis*/pNZ8150-
5 pgsA-HAs after the prime-boost immunization could produce a higher level of HA-specific IgG
6 and IgA antibodies responses.

7 **Cross-protection against virus challenge**

8 Lastly, to assess the cross protective efficacy of *L.lactis*/pNZ8150-pgsA-HAs, all vaccinated
9 mice were challenged with 20 μ l of 10^4 EID₅₀ of A/Vietnam/1203/2004(H5N1),
10 A/Beijing/47/1992 (H3N2) or A/California/04/2009(H1N1) and monitored for 14 days. As
11 shown in **Fig. 3**, the control groups that received PBS or *L.lactis*/pNZ8150-pgsA showed
12 significant body weight loss and a higher virus shedding in the lung, and died within 8 days
13 after the lethal dose of virus challenge (**Fig.3 a, b and c**). In contrast, mice vaccinated orally
14 with *L.lactis*/pNZ8150-pgsA-HAs experienced only mild weight loss and recovered by 14 days
15 (**Fig.3 a, b and c**). Further, relatively lower lung virus titers were detected at 3 days post-
16 challenge in the *L.lactis*/pNZ8150-pgsA-HAs group (**Fig.3 d, e and f**). Importantly,
17 *L.lactis*/pNZ8150-pgsA-HAs provided 100%, 80% and 80% against
18 A/Vietnam/1203/2004(H5N1), A/Beijing/47/1992 (H3N2) and A/California/04/2009(H1N1),
19 respectively (**Fig.3 g, h and i**).

20

21 **Discussion**

22 Due to continuous antigen changes, the influenza A virus is considered that has the potential to

1 be the next pandemic [2]. Most of the currently available vaccines against influenza only
2 provide strain-specific protection and require annual reformulation as the influenza virus can
3 escape vaccine-induced humoral immunity [19]. Therefore, there is an urgent need to develop
4 a universal influenza vaccine that relies on the utilization of highly conserved antigenic targets
5 such as M2 and NP [20, 21]. However, these conserved antigen epitopes are usually less
6 exposed to the host immune system, and as such, are naturally weakly immunogenic [22].
7 Influenza A HA consists of two subunits: HA1, which forms a globular head and HA2, which
8 forms a stalk domain [10]. Antibodies against the HAs can elicit broad neutralizing activity and
9 provide cross-protection against heterologous influenza viruses [8, 23, 24]. Based on these
10 previous findings, the HAs is an attractive target for developing a novel universal influenza
11 vaccine.

12 It has been hypothesized that the HAs presented on the surface of *L.lactis* may provide cross-
13 protective immunity against divergent influenza A viruses. In this study, HAs, including HA 2
14 and a part of HA1 (**Fig. 1a**), was displayed on the surface of *L.lactis*, *L.lactis*/pNZ8150-pgsA-
15 HAs showed a specific binding profile that could be labelled directly with anti-HA antibody
16 and FITC-conjugated goat anti-mouse IgG. It was then tested by immunofluorescence assay
17 and flow cytometric analysis (**Fig. 1d** and **e**). The binding profiles observed positively
18 correlated with the display efficacy of HAs, demonstrating that HAs presented on the surface
19 of *L. lactis* has reactive activity in vitro, which may contribute to immunogenicity in vivo.

20 In the mouse model, the contributions of HA-specific antibodies for broad-spectrum protection
21 against divergent influenza A viruses were emphasized. Oral vaccination with
22 *L.lactis*/pNZ8150-pgsA-HAs could elicit higher humoral and mucosal immune responses

1 compared with *L.lactis*/pNZ8150-pgsA (**Fig.2a, b and c**). Further, virus challenge is regarded
2 as the gold-standard for assessing the efficacy of an influenza vaccine. Mice administered orally
3 with *L.lactis*/pNZ8150-pgsA-HAs were protected completely (100%) from homologous
4 A/Vietnam/1203/2004(H5N1) virus challenge, as well as 80% against heterologous H3N2 or
5 H1N1 virus infection (**Fig. 3g, h and i**), and showed lower degree of virus shedding in the lung
6 (**Fig. 3d, e and f**). These findings provide reliable evidence that *L.lactis*/pNZ8150-pgsA-HAs
7 is an effective vaccine candidate to induce cross protective immunity against divergent
8 influenza A viruses.

9 It has been demonstrated previously that adjuvanted *L.lactis*/pNZ8110-pgsA-HA1, in which
10 pgsA was used as an anchor protein, provided immune protection against homologous H5N1
11 virus in the mouse model [16]. In this study, pgsA was designed to display HAs and also showed
12 stable characterization on the *L. lactis* surface. Based on these findings, presenting HAs on the
13 surface of *L. lactis* may provide an alternative approach to novel universal influenza vaccine
14 development.

15

16 **Conclusions**

17 The HAs represents a new research target for a novel universal influenza vaccine. Notably, the HAs
18 presented on the surface of *L. lactis* has the potential for inducing cross-protective immunity against
19 divergent influenza A viruses. Thus, this study strongly demonstrates that the discovery of
20 *L.lactis*/pNZ8150-pgsA-HAs may allow for an alternative design of influenza vaccines that would
21 afford broad coverage.

22

1 **Authors' contributions**

2 HL, TG and QC contributed to study design and data interpretation. HL wrote the manuscript and
3 produced all figures. All authors read and approved the final manuscript.

4

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6 The authors gratefully acknowledge for Dr. John Tam for technical assistance.

7

8 **Competing interests**

9 The authors declare that they have no competing interests.

10

11 **Availability of data and materials**

12 The datasets generated and analyzed during the current study are available from the
13 corresponding author on reasonable request.

14

15 **Consent for publication**

16 Not applicable.

17

18 **Ethics approval and consent to participate**

19 All animal studies complied with the Guidelines for Use and Care of Experimental Animals and
20 were approved by the Animal Committee of the Institute of Nanchang University consent to
21 participate.

22

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1 **Fig. 1. Expression of HAs presented on the *L. lactis* surface.** (a) Schematic description of
2 the full length of HA from the H5N1 strain containing a globular head and stalk domain. SP:
3 Signal peptide; FP: Fusion peptide; TM: Transmembrane domain; CT: Cytoplasmic tail. (b)
4 Schematic diagram of pNZ8150-pgsA-Has. A GS linker was inserted between pgsA and HAs
5 to stabilize the HAs protein expression. (c) Western blot analysis. Lane 1: *L.lactis*/pNZ8150-
6 pgsA-HAs; Lane 2: *L.lactis*/pNZ8150-pgsA. (d) Immunofluorescence microscopy assay.
7 *L.lactis*/pNZ8150-pgsA (left) and *L.lactis*/pNZ8150-pgsA-HAs (right) (magnification: 1,
8 000×). (e) Flow cytometric analysis (positive rate: 45.9%).

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11 **Fig. 2. Antibody responses detected by ELISA and HI assay.** Sera, intestine and upper
12 respiratory washes were collected from the vaccinated mice at day 15 and day 34 after the initial
13 immunization. (a) HA-specific IgG antibody responses in the sera. (b) HA-specific IgA
14 antibody responses in the intestine washes. (c) HA-specific IgA antibody responses in the upper
15 respiratory washes. The data are represented as the mean \pm SD. Asterisk indicates significant
16 difference compared with PBS and *L.lactis*/pNZ8150-pgsA controls ($p < 0.05$).

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18
19 **Fig. 3. Cross-protective efficacy against divergent influenza A viruses.** The results are
20 expressed in terms of percent body weight (a, b and c), lung virus titers (d, e and f) and percent
21 survival (g, h and i). Two weeks after the last immunization, mice were intranasally infected
22 with 20 μ l of 10^4 EID₅₀ of lethal dose of A/Vietnam/1203/2004(H5N1), A/Beijing/47/1992

- 1 (H3N2) and A/California/04/2009(H1N1) (C, F and I) (n=5 / group). The data for lung virus
- 2 titers are represented as the mean \pm SD. Asterisk indicates significant difference compared with
- 3 PBS and *L.lactis*/pNZ8150-pgsA controls ($p < 0.05$).

Figures

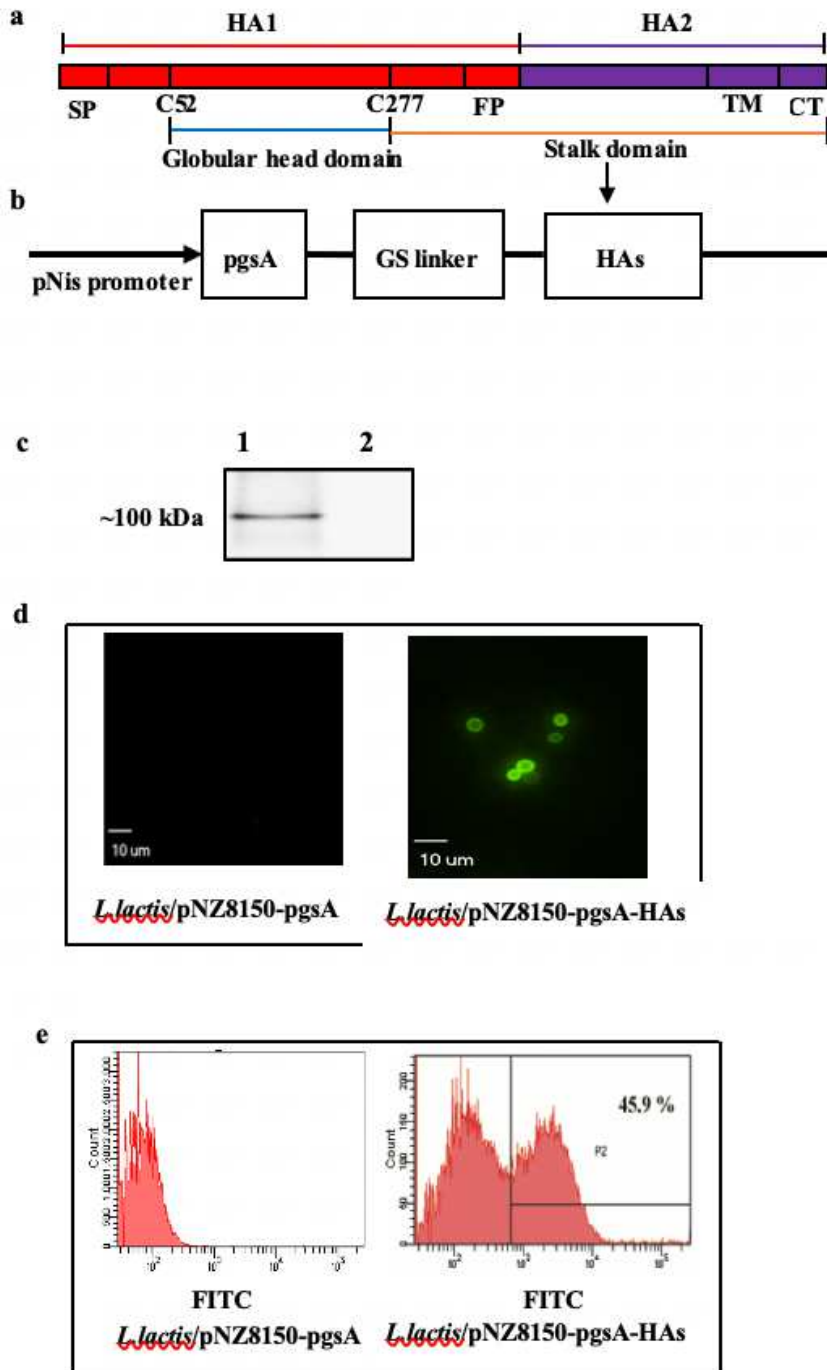


Figure 1

Expression of HAs presented on the *L. lactis* surface. (a) Schematic description of the full length of HA from the H5N1 strain containing a globular head and stalk domain. SP: Signal peptide; FP: Fusion peptide; TM: Transmembrane domain; CT: Cytoplasmic tail. (b) Schematic diagram of pNZ8150-pgsA-HAs. (c) Western blot analysis of pgsA and HAs. (d) Fluorescence microscopy images of *L. lactis* cells. (e) Flow cytometry histograms showing FITC fluorescence.

Has. A GS linker was inserted between pgsA and HAs to stabilize the HAs protein expression. (c) Western blot analysis. Lane 1: *L.lactis*/pNZ8150- pgsA-HAs; Lane 2: *L.lactis*/pNZ8150-pgsA. (d) Immunofluorescence microscopy assay. *L.lactis*/pNZ8150-pgsA (left) and *L.lactis*/pNZ8150-pgsA-HAs (right) (magnification: 1, 000×). (e) Flow cytometric analysis (positive rate: 45.9%).

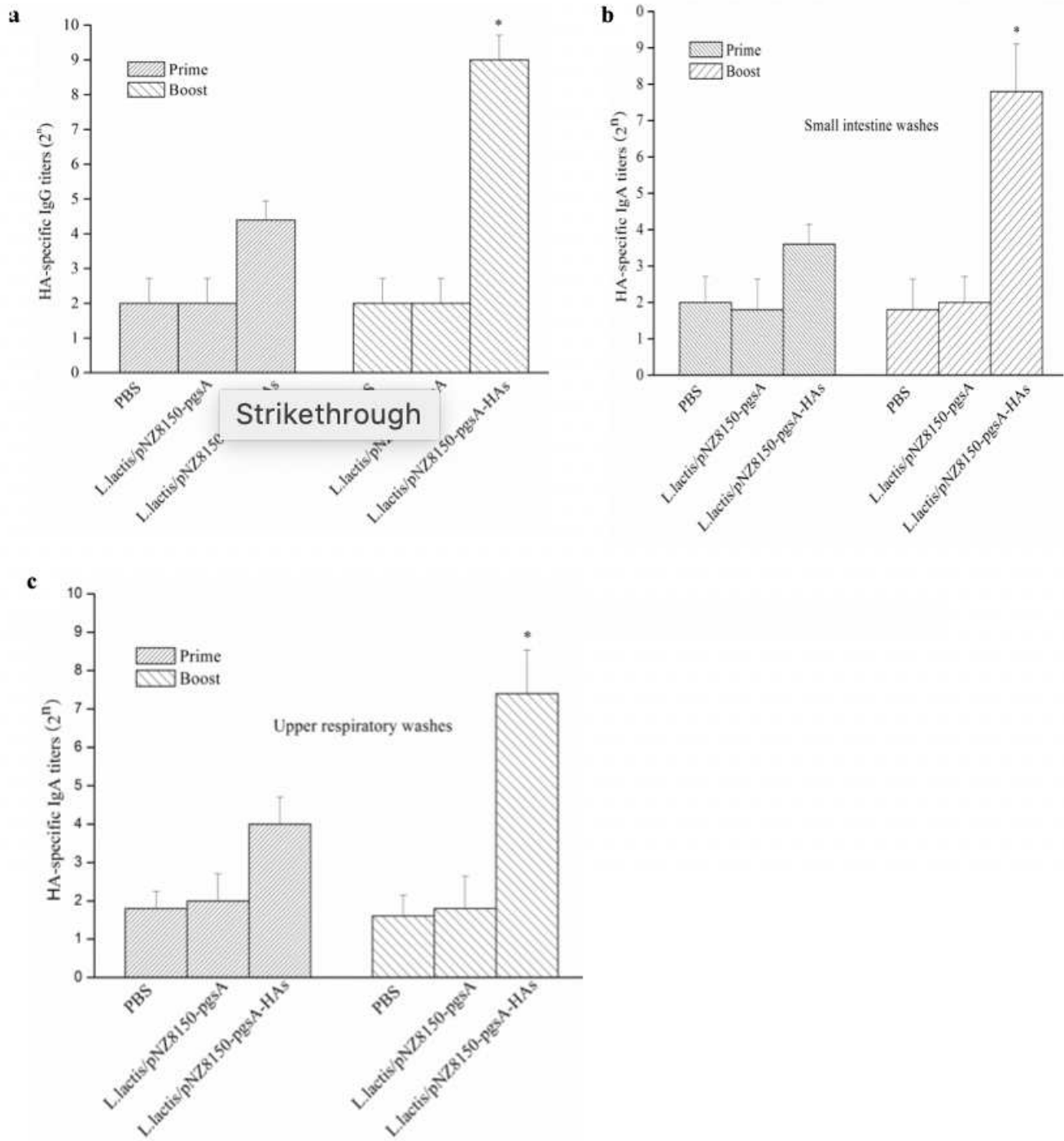


Figure 2

Antibody responses detected by ELISA and HI assay. Sera, intestine and upper respiratory washes were collected from the vaccinated mice at day 15 and day 34 after the initial immunization. (a) HA-specific IgG antibody responses in the sera. (b) HA-specific IgA antibody responses in the intestine washes. (c) HA-specific IgA antibody responses in the upper respiratory washes. The data are represented as the mean \pm SD. Asterisk indicates significant difference compared with PBS and *L.lactis*/pNZ8150-pgsA controls ($p \leq 0.05$).

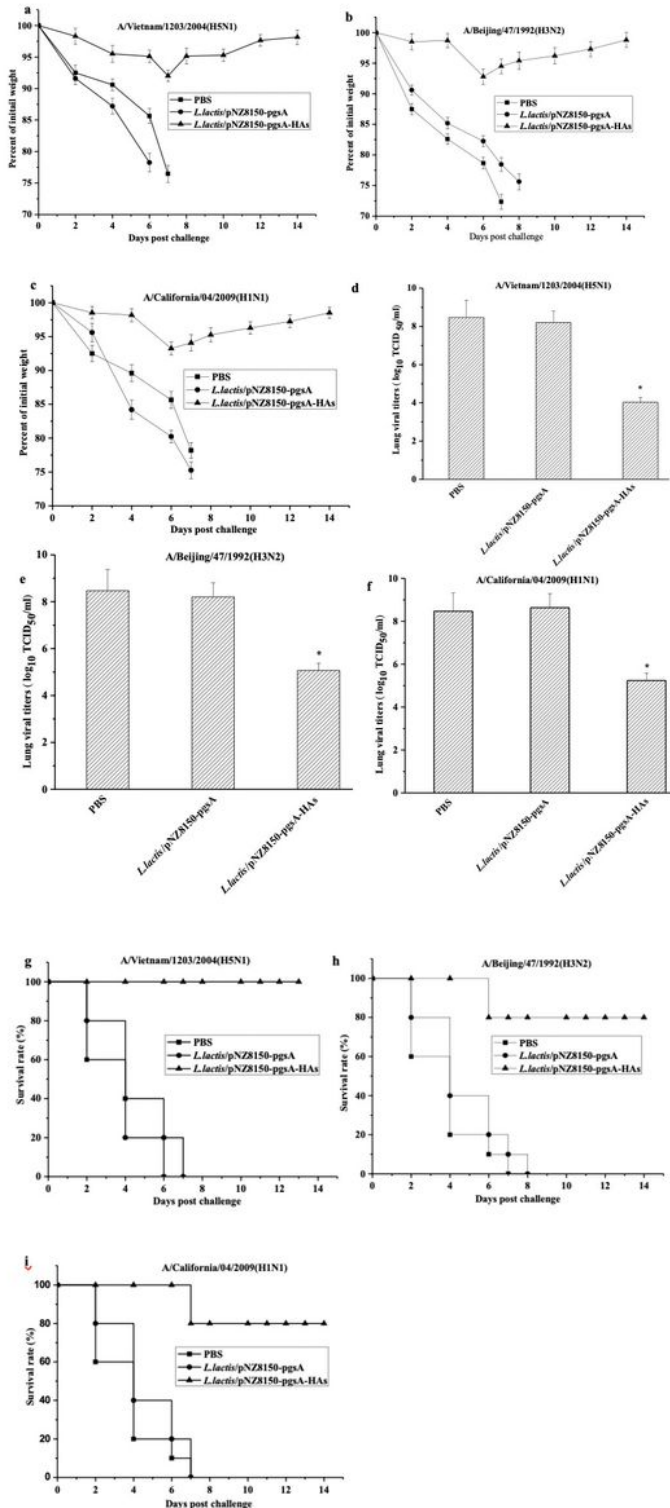


Figure 3

Cross-protective efficacy against divergent influenza A viruses. The results are expressed in terms of percent body weight (a, b and c), lung virus titers (d, e and f) and percent survival (g, h and i). Two weeks after the last immunization, mice were intranasally infected with 20 μ l of 10⁴ EID₅₀ of lethal dose of A/Vietnam/1203/2004(H5N1), A/Beijing/47/1992 (H3N2) and A/California/04/2009(H1N1) (C, F and I) (n=5 / group). The data for lung virus titers are represented as the mean \pm SD. Asterisk indicates significant difference compared with PBS and L.lactis/pNZ8150-pgsA controls (p \leq 0.05).