

A retrospective study on prophylactic regional lymphadenectomy versus nodal observation only in the management of dogs with stage I, completely resected, low-grade cutaneous mast cell tumors

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1 **A retrospective study on prophylactic regional lymphadenectomy versus nodal observation**
2 **only in the management of dogs with stage I, completely resected, low-grade cutaneous mast**
3 **cell tumors**

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24
25
26 **Abstract**

27 **Background.** While lymphadenectomy of metastatic lymph nodes (LNs) has been associated
28 with improved outcome, the clinical utility of prophylactic lymphadenectomy in dogs with stage
29 I cutaneous mast cell tumors (cMCTs) remains a controversial topic. To assess the therapeutic
30 role of lymphadenectomy of uninvolved regional LNs, the long-term outcome of cMCT-bearing
31 dogs with cytologically negative and surgically unresected regional LNs (observation only, OO)
32 was compared with that of dogs with a surgically resected and histologically negative regional
33 LNs (prophylactic regional lymphadenectomy, PRL).

34 **Results.** A retrospective analysis of 64 dogs with a low-grade, completely resected stage I cMCT
35 was performed: 35 (54.7%) dogs were subjected to OO and 29 (45.3%) underwent PRL. Dogs
36 were monitored for a median of 813 and 763 days in the OO group and PRL group, respectively.
37 The number of dogs undergoing MCT progression was significantly higher in the OO group ($P =$
38 0.028) and curve comparison revealed a tendency to a better time to progression in the PRL
39 group ($P = 0.058$). No significant difference in survival time ($P = 0.294$) was observed between
40 dogs in the OO and PRL groups.

41 **Conclusions.** Our results showed that lack of immediate lymphadenectomy was associated with
42 a higher risk for tumor progression. This preliminary judgement, reinforced by the findings that
43 lymphadenectomy was well tolerated in all cases, and that histopathology provides the definitive
44 assessment of the nodal pathological status, may suggest that prophylactic lymphadenectomy is
45 indicated in the management of stage I MCTs. Larger prospective studies are warranted for
46 generating clinical evidence of this latter hypothesis.

47

48 **Keywords**

49 Canine, lymphadenectomy, mast cell tumor, observation, stage I

50

51

52 **Background**

53 In canine cutaneous mast cell tumors (cMCTs), lymphatic drainage from the primary tumor has
54 long been recognized as the most common initial route of metastatic spread, with the first site of
55 metastasis identified as the draining nodal basin.¹⁻³

56 While the therapeutic effect of regional lymphadenectomy has been documented in dogs with stage
57 II MCTs, the role of prophylactic regional lymphadenectomy in animals with stage I disease
58 remains a controversial topic.⁴ In daily routine, dogs very often present with a well-differentiated
59 cMCT and a cytologically negative lymph node (LN). It is difficult to advise owners about the need
60 to surgically remove the LN alongside the primary tumor, as the amount and quality of information
61 currently available does not offer a definitive answer to the question of the prognostic effect of
62 prophylactic regional lymphadenectomy in early stage cMCTs.

63 Both an elective lymphadenectomy and a watchful-waiting policy have their proponents. The
64 suspected high incidence rate of undetected or late LN metastasis in cMCTs is the main argument in
65 favor of prophylactic lymphadenectomy, which is based on the rationale that further metastatic
66 spread could be prevented at the level of the regional LN by eliminating the potential first
67 neoplastic reservoir.⁸

68 Conversely, the main arguments against prophylactic lymphadenectomy include the morbidities
69 from the procedure including risk of lymphedema, increased length of surgery and complications
70 from wound healing with unclear benefit, and the interference with the protective immune response
71 to metastatic disease by removal of unaffected regional LNs.^{5,6} This being said, it must be stressed
72 that such evidence derives from human medicine only, where patients undergo massive nodal
73 dissection and immunity studies have been performed.

74 Additionally, the recent introduction of sentinel LN mapping in the diagnostic work-up of MCTs
75 has introduced the question regarding the clinical usefulness of prophylactic regional
76 lymphadenectomy. According to two recent studies, the sentinel LN was different to the regional
77 LN in 42-60% of dogs with cMCT.^{3,7}

78 Another problem of utmost importance concerning prophylactic lymphadenectomy indication is
79 related to the diagnostic methods to classify a dog as node-positive or negative. The clinical
80 examination, upon which the WHO classification is based, is far from being accurate, as palpation
81 as well as imaging studies are unreliable predictors of nodal metastasis by themselves.

82 It has been recently shown that non-palpable or normal-sized LNs may harbor metastatic disease
83 and approximately 50% of those nodes either had early metastatic (HN2 according to the Weishaar
84 classification) or overtly metastatic (HN3) disease, whereas the other half of dogs had nodes with
85 no evidence of metastatic disease (HN0) or minimal suspicion of metastasis (HN1).^{8,9}

86 In this frame, cytologic evaluation of the regional LN is always advised for the assessment of
87 metastatic involvement. Fine-needle aspiration (FNA) of the regional LN has been established as a
88 cost-effective diagnostic tool to screen dogs for metastatic disease.

89 Until 2009, the reporting and interpretation of LN cytology had caused considerable confusion in
90 comparing results from different settings. The introduction of the Krick criteria provided the
91 opportunity to establish standard terminology and reporting guidelines for different diagnostic
92 categories.¹⁰ Based on cytology, five categories associated with escalating risk of malignancy have
93 been proposed: “normal LNs”, “hyperplastic LNs”, “possible”, “probable” and “certain” metastasis
94 based on the number of mast cells and the number and size of mast cell aggregates.¹⁰ However, not
95 unexpectedly, cytologic diagnosis of nodal metastasis may yield false-positive or false-negative
96 results, leading to >25% of discrepant cases when cytology and histology are compared.^{11,14}

97 Another classification has been proposed by Weishaar et al. to standardize the histological
98 assessment of metastatic involvement in dogs with cMCTs. While the labeling of the categories
99 HN1 and HN2 is misleading, and the system is not based on a standardized trimming approach of
100 examined nodes that may result in similar high false-positive and false-negative results as the
101 cytologic system, the proposed classification was found to correlate with clinical outcome in the
102 original study.⁹ Regardless, the question that arises is whether LNs with no to rare (0–3), scattered,
103 individualized mast cells in sinuses and/or parenchyma (HN0) or greater than three individualized

104 mast cells in sinuses and/or parenchyma in a minimum of four high-power fields (HN1) represent
105 no metastatic disease or whether dogs with such nodes will go on to develop macroscopic disease,
106 stressing a different biology at play. If HN0/HN1 LNs are essentially clinically and prognostically
107 insignificant, then this would limit lymphadenectomy to only those LNs harboring metastatic
108 disease (HN2/HN3), thereby eliminating the need for consideration of routine lymphadenectomy.
109 Such distinct difference would also further highlight the need of more accurately determining those
110 nodes with true evidence of metastatic disease.

111 Thus, to investigate whether removal of potential clinically occult metastatic disease is associated
112 with improved outcome, we first carried out an agreement study aimed at assessing the concordance
113 between Krick's cytological classification and Weishaar's histological classification in diagnosing a
114 LN as non-metastatic. Then, we retrospectively compared dogs with stage I, completely resected,
115 low-grade cMCTs undergoing prophylactic regional lymphadenectomy (PRL group) with those
116 where the regional LN was only monitored over time (observation only group, OO group).

117 We hypothesized that PRL provides a clinical benefit and is well tolerated.

118 Informed consent was obtained from animal owners for using data for the research purpose. Since
119 this was a retrospective study, no approval from the Ethical Committee was required.

120

121

122 **Results**

123

124 *Agreement study*

125 Eighty-two cMCT-bearing dogs with cytologically negative regional LN undergoing subsequent
126 lymphadenectomy and histological examination were reviewed: 48 (58.5%) LN aspirates were
127 interpreted as normal and 34 (41.5%) as reactive. On the original histopathology reports, 48
128 (58.5%) LNs were interpreted as non-metastatic (HN0), 30 (36.6%) as pre-metastatic (HN1) and 4
129 (4.9%) as early metastatic (HN2). The negative predictive value of cytology in the identification of

130 cMCT nodal metastases was 95.1%. This was considered sufficient to confirm the reliability of
131 cytology in the identification of dogs without LN metastasis and to perform the subsequent clinical
132 study.

133

134 *Clinical study*

135 Overall, 64 dogs were included in the analysis: 35 (54.7%) were subjected to OO and 29 (45.3%)
136 underwent PRL.

137 Patients and tumor characteristics

138 Among OO dogs, the most represented breeds were Labrador retrievers (n=10, 28.6%), Boxer
139 (n=10, 28.6%) and American Staffordshire terriers (n=4, 11.4%). Of the remaining dogs, 3 were
140 mixed-breed dogs, and 7 were breeds that were represented once or twice.

141 Median age was 6 years (range, 2-11) and median weight was 33 kg (range, 8.4-50.4). There were
142 18 females (15 spayed) and 17 males (12 neutered).

143 Tumors were located on limbs (n=16; 45.7%), trunk (n=9; 25.7%), head and neck (n=8; 22.9%),
144 and inguinal region (n=2; 5.7%). Median maximum tumor diameter was 1.4 cm (range, 0.5-5.4); 33
145 (94.3%) cMCTs were not ulcerated, while 2 (5.7%) were. According to Krick's criteria, 27 (77.1%)
146 LN aspirates were interpreted as normal and 8 (22.9%) as reactive. All dogs were asymptomatic at
147 presentation.

148 Based on the Patnaik grading system, there were 5 (14.3%) grade 1 cMCTs, and 30 (85.7%) grade 2
149 cMCTs. All were Kiupel low-grade.

150 Among PRL dogs, the most represented breed was Labrador retriever (n=7, 24.1%). Of the
151 remaining dogs, 7 (24.1%) were mixed-breed dogs, and 12 were breeds that were represented once
152 or twice. Median age was 7 years (range, 1-13) and median weight was 27.4 kg (range, 5-55). There
153 were 18 females (14 spayed) and 11 males (3 neutered).

154 The tumors were located on limbs (n=11; 37.9%), head and neck (n=8; 27.6%), trunk (n=4; 13.8%),
155 mammary region (n=3; 10.3%), and inguinal region (n=3; 10.3%). Median maximum tumor

156 diameter was 1.3 cm (range, 0.3-9 cm); 27 (93.1%) cMCTs were not ulcerated, while 2 (6.9%)
157 were.

158 All dogs were asymptomatic at presentation.

159 Based on the Patnaik grading system, there were 3 (10.3%) grade 1 cMCTs; and 26 (89.7%) grade 2
160 cMCTs. All were Kiupel low-grade. Nineteen (65.5%) LNs were interpreted as non-metastatic
161 (HN0) and 10 (34.5%) as pre-metastatic (HN1).

162 The only difference among groups regarding demographic features and possible prognostic
163 variables was a tendency towards a proportion of breeds predisposed to low-grade cMCTs in the
164 OO group (Table 1).

165

166 Treatment and outcome

167 In the OO group, the median follow-up time was 813 days (range, 290-2900). Overall, 6 dogs
168 (17.1%) experienced cMCT progression after a median of 822 days (range, 560-1380): 4 (11.4%)
169 experienced local relapse, 2 (5.7%) experienced nodal metastases in the LNs that had been
170 previously aspirated, and 1 (2.9%) developed visceral metastasis. Median TTP was not reached.
171 Twelve (34.3%) dogs developed new cMCTs after a median of 734 days (range, 197-1409).

172 At the end of the study, 25 (71.4%) dogs were alive, 7 (20%) had died because of tumor-unrelated
173 causes, and 3 (8.6%) had died because of cMCT-related causes after 1215, 1300 and 1471 days.
174 Median ST was not reached.

175 In the PRL group, surgical complications related to lymphadenectomy did not occur, and no
176 longer hospitalization was required compared with dogs undergoing surgical resection of the
177 primary tumor only. The median follow-up time was 763 days (range, 181-2039). None
178 experienced cMCT progression. Three dogs (10.3%) developed *de novo* cMCTs after 321, 417
179 and 1092 days.

180 At the end of the follow-up period, 28 (96.6%) dogs were alive, and 1 (3.4%) had died because of
181 tumor-unrelated causes after 835 days.

182

183 The number of dogs undergoing cMCT progression was significantly higher in the OO group
184 (P=0.028) and curve comparison revealed a tendency to a better TTP in the PRL group (P=0.058;
185 Figure 1; Table 1). Similarly, the number of dogs developing new cMCTs was significantly higher
186 in the OO group (P=0.037; Table 1).

187 No significant difference in ST (P=0.294) was observed between dogs in the OO and PRL groups
188 (Figure 2).

189 On Cox proportional hazards regression analysis, no factor was significantly associated with an
190 increased risk of cMCT progression or cMCT-related death.

191 cMCT progression and cMCT-related death were not affected by Krick cytological LN score
192 (normal or reactive) in the OO group, or by Weishaar histological LN score (HN0 or HN1) in the
193 PRL group.

194

195

196 **Discussion**

197 Over the past decade, meaningful treatments for canine cMCTs have been developed. Nevertheless,
198 the significant uncertainty in staging work-up and the considerable variability in current practice,
199 mainly due to the lack of prospective evidence, have led to the unstandardized management of
200 localized disease. While lymphadenectomy is the current standard approach for clinically suspected
201 or positive LNs, regardless of histological grade of the primary tumor,^{4,21,22} whether clinically
202 unaffected LNs should undergo prophylactic regional lymphadenectomy when the primary cMCT is
203 resected or whether only the primary cMCT should be resected remains a dilemma. Thus, the goal
204 of this retrospective study was to assess the therapeutic role of prophylactic lymphadenectomy of
205 pathologically uninvolved regional LNs in canine cMCTs. Our results overall showed no significant
206 differences in ST between operated dogs and those undergoing OO. However, a significantly higher

207 proportion of dogs developing tumor progression and new cMCTs was observed in the group of
208 dogs not receiving an elective regional LN dissection as part of their primary therapy.

209

210 As a general rule, an accurate preoperative diagnosis and strict follow-up are required to provide
211 minimally invasive treatment while ensuring the therapeutic effect by narrowing down the target
212 based on the risk–benefit balance. In other words, when it comes to surgical management, based on
213 the current evidence, the extent of LN dissection should be adapted to clinical stage, as this
214 corresponds to metastatic spread. To do so, several critical aspects need to be taken into
215 consideration.

216 First, the identification of pathologically negative LNs contributes to the problem. Peripheral LNs
217 are initially evaluated by means of physical examination and cytology, and a high degree of
218 inaccuracy for these methods has been documented in the literature.^{8,11,13} While the ultimate goal
219 of FNA is to obtain cytologic material sufficiently to render a diagnosis of metastatic or non-
220 metastatic LNs confidently, based on the current literature, the proportion of clinically negative,
221 histologically positive cases ranges, in a worrying way, from 10 to 50%.^{8,11,14}

222 Krick et al. established standard cytologic reporting and terminology guidelines;¹⁰ however, the
223 reliability and accuracy of any reporting system is built on experience, not only with cytologic
224 interpretations, but years of follow-up of cytologic specimens and their correlations with
225 histopathology whenever available. In the current study, 82 cytologically negative nodes from the
226 same institutions with the available corresponding histological reports on the surgical sample were
227 retrospectively reviewed, yielding a false negative rate of approximately 5%.

228 Moreover, while FNA of the regional LN is quick and cost-effective, in routine clinical practice the
229 acquisition of diagnostic and representative samples may be hampered by several issues, including
230 sampling error, difficulties in approaching non-palpable LNs and lack of ultrasound guidance. In
231 doubtful cases or non-diagnostic/poorly-representative samples, lymphadenectomy should be
232 performed to obtain a histopathological diagnosis.

233

234 Second, the indications for PRL remain subjects of much debate, since there are widely divergent
235 views concerning the efficacy of routine lymphadenectomy and no evidence-based guidelines.

236 Resection of the primary cMCT and concurrent lymphadenectomy or resection of the primary
237 cMCT only have both advantages and disadvantages.

238 The argument in favor of PRL is based on the possibility that clinically or even histologically
239 normal nodes may contain isolated malignant cells which, if not removed, may worsen outcome.⁴ It
240 is hypothesized that neoplastic cells may lie dormant in the regional LN for a considerable amount
241 of time, only to recur or spread at a later point. This phenomenon has been well documented in
242 human patients with melanoma, and prophylactic lymphadenectomy of the sentinel LN is
243 recommended for selected patients.^{15,16}

244 Conversely, removing LNs that appear unaffected may be unnecessary and potentially harmful, and
245 the following have been considered issues speaking against PRL, including higher morbidity
246 associated with the procedure, increased duration of surgery and costs. Also, considering the host-
247 tumor immunologic relationships, there may be concern raised for routinely removing unaffected
248 LNs. Indeed, the extirpation of an immunologic defense organ may alter the host response to the
249 tumor.⁶

250 In the current series, lymphadenectomy was well tolerated, with no reported surgical complications.
251 Additionally, even if this study failed to demonstrate a survival benefit for dogs undergoing
252 lymphadenectomy compared with the nodal observation group, among the operated cases there was
253 a reduced incidence of MCT progression and new MCT development.

254 The first observation seems to be in line with the previously reported hypothesis that PRL might
255 eliminate a potential neoplastic reservoir, representing at the same time a safe clinical procedure
256 without evident complications. Indeed, in the current study HN1 LNs were considered as
257 uninvolved, but still they contain an increased number of mast cells compared to normal nodes,
258 which could represent a micro metastatic load rather than a reactive mast cell proliferation.^{9,17}

259 It must be stressed that, according to our agreement study, 5% of dogs had a cytologically
260 uninvolved LN, yet an early metastatic disease (HN2) based on histopathology. Also, the
261 cytological slides were analyzed by board-certified clinical pathologists, which is not common
262 clinical practice. As a consequence, the false-negative results may be higher if the LN cytology
263 slides are not sent to experienced clinical pathologists. Additionally, if the LNs are not palpable,
264 they may not undergo cytological evaluation, so the nodal status is often unknown at the time of
265 surgery. Because the therapeutic role of HN2 LN extirpation has been previously demonstrated,⁴
266 and due to the lack of complications related to lymphadenectomy (which has been documented here
267 and in previous studies)^{7,8,21}, to recommend this additional surgical procedure may not be viewed as
268 unnecessary or harmful. On the contrary, it may provide a clinical benefit, as shown by the reduced
269 incidence of MCT progression found in the current series.

270 The finding that lymphadenectomy of clinically uninvolved LN also reduces the risk of *de novo*
271 cMCT development is more difficult to explain. The most plausible explanation is that dogs in the
272 OO group were more likely to develop new cMCTs, as predisposed breeds were more represented.
273 More speculatively, it may be hypothesized that quiescent neoplastic cells residing in the regional
274 LN may at some point regain the cell cycles and relocate at distant cutaneous sites, giving rise to
275 overt disease.¹⁸ In the current series, it was not investigated whether the new cMCTs were of the
276 same histological grade and mutational status of the primary tumor, impeding any further comment.
277 In both groups the median ST was not reached, therefore it cannot be excluded that a survival
278 advantage in either group may emerge with longer follow-ups. A power analysis was not performed
279 but, due to the overall favorable prognosis for dogs with stage I low-grade cMCTs, very few tumor
280 related events are to be expected, thus a very large number of cases would be needed to find a
281 difference.

282

283 Several limitations of this study should be noted.

284 First, dogs did not undergo sentinel LN removal and, as a result, this study may have misdirected
285 their lymphadenectomy in up to 60% of cases.⁷ As a consequence, it cannot be excluded that the
286 extirpation of the sentinel rather than the regional LN would have improved outcome. Additionally,
287 lymphocenters sometimes consist of more than a single LN. Therefore, it is possible that the entire
288 lymphocenter was not removed during the lymphadenectomy, leaving additional regional LNs
289 behind.

290 Second, the histological classification of HN0/HN1 nodes may have been impacted by the number
291 of sections. Unlike human cancer pathology, there are currently no guidelines in veterinary
292 medicine on how to section a LN and on how many sections need to be examined. In the current
293 study, all LNs were sectioned along the major axis at the level of the hilus; thus, cell aggregates
294 qualifying for HN2 nodal stage may have been missed.

295 Third, this study suffers the bias which are inherent to retrospective analysis. Lymphadenectomy is
296 a procedure with a considerable treatment burden, and the surgeon's decision as to whether to
297 perform such a procedure may depend not only on disease characteristics such as stage or histology,
298 but also on the anatomic location, tumor size, or owner's willingness. Consequently, dogs requiring
299 a difficult surgical procedure (including, among others, axillary lymphadenectomy) would find
300 themselves in a no-lymphadenectomy group, whereas those with an easily accessible MCT and/or
301 regional LN may undergo lymphadenectomy more commonly. Also, even if surgical complications
302 related to lymphadenectomy were not reported, it may be possible that minor sequelae were not
303 documented; also, no quality-of life assessment was carried out, potentially hiding disadvantages of
304 the additional treatment burden. Surely, no longer hospitalization after surgery was necessary for
305 dogs undergoing lymphadenectomy.

306 Additionally, only dogs with completely resected, low-grade MCTs were included in the study, and
307 this information is often retrieved only after surgery. Nevertheless, provided that cytologic grading
308 may help predicting the histological grade, due to the high rate of locoregional relapse, high-grade
309 MCTs will require lymphadenectomy in any case.¹⁹⁻²²

310 Last, even though the median follow-up time was not significantly different among groups, dogs
311 undergoing OO were monitored for a median of 813 days versus a median of 763 days for dogs
312 undergoing nodal dissection. It cannot be excluded that, with a longer follow-up in operated dogs,
313 the outcome differences may cancel out.

314

315 In conclusion, whether regional dissection of clinically negative LNs should be part of the primary
316 resection for MCTs remains a problem of legitimate concern.

317 Our results showed that lack of immediate lymphadenectomy was associated with a higher risk for
318 tumor progression. This preliminary judgement, reinforced by the findings that lymphadenectomy
319 was well tolerated in all cases, and that histopathology provides the definitive assessment of the
320 nodal pathological status, may suggest that prophylactic lymphadenectomy is indicated in the
321 management of stage I MCTs. Larger prospective studies are warranted for generating clinical
322 evidence of this latter hypothesis.

323

324

325 **Material and methods**

326

327 The aim of this retrospective multi-institutional study was to assess the therapeutic role of PRL of
328 grossly and cytologically unremarkable regional LNs in canine low-grade, completely resected
329 cMCT. To do so, the long-term outcomes of MCT-bearing dogs with cytologically unremarkable
330 and surgically unresected regional LN were compared with those of dogs with a surgically resected
331 and histologically normal or minimal risk regional LN.

332 The regional LN was defined as the closest LN in the expected lymphatic drainage, and was
333 identified either by palpation or by ultrasound.¹²

334 Regional LNs were considered cytologically unremarkable if classified as normal or with reactive
335 lymphoid hyperplasia according to Krick.¹⁰ They were considered histologically unremarkable if
336 classified as HN0 or HN1 according to Weishaar.⁹

337 To assess the consistency of cytology in the correct identification of uninvolved LNs, the clinical
338 study was preceded by an agreement study aimed at assessing the concordance between Krick's
339 cytological classification and Weishaar's histological classification in diagnosing a LN as non-
340 metastatic.

341

342 *Agreement study*

343 For the agreement study, a subset of dogs with cytologically unremarkable LNs undergoing
344 subsequent lymphadenectomy and histological examination were identified from the same oncology
345 centers participating in the clinical study. Two of the four centers had the cytologic and histologic
346 preparations read out by internal board-certified veterinary anatomic pathologists. The remaining
347 two centers had both cytological and histologic samples submitted to the same private laboratory
348 and were read out by two board-certified clinical pathologists and two anatomic pathologists. All
349 cytological preparations had been obtained by FNA, with or without an ultrasound-guide using 27G
350 or 25G needles. Smears were generated from obtained sample material and air-dried, and then
351 stained with May Grünwald-Giemsa. All histological samples were fixed in 10% neutral-buffered
352 formalin and paraffin-embedded following routine processing. Serial sections were cut and
353 routinely stained with hematoxylin and eosin for histologic evaluation. Replicate sections were
354 stained with toluidine blue or Giemsa to highlight mast cell granules. For each dog, the histological
355 findings were correlated with the cytological findings, in order to evaluate the negative predictive
356 value of cytology in the identification of MCT nodal metastases; i.e., the probability that dogs with
357 a cytological result of an unremarkable LN will have a histologically unremarkable LN as well.

358

359 *Clinical study*

360 Once it was established that cytology was reliable in identifying dogs without regional LN
361 metastasis, the clinical study could take place.

362 For this part, the medical records of 4 oncology centers were reviewed to identify dogs with
363 treatment-naive, firstly occurring, completely resected, low-grade cMCT, with either cytologically
364 and/or histologically unremarkable regional LNs.

365 To be eligible for recruitment, dogs had to undergo complete staging and wide surgical excision of
366 the primary cMCT and have a minimum follow-up of 180 days. Information on clinical stage was
367 obtained by means of hematological and biochemical analysis, cytological evaluation of the cMCT
368 and regional LN, thoracic radiographs, abdominal ultrasound, and FNA of liver and spleen.

369 Dogs were classified into two groups: OO and PRL. Decisions regarding whether to perform OO or
370 PRL were made according to each clinician's discretion.

371 Dogs with recurrent, concurrent multiple, subcutaneous or high-grade MCTs or those with stage II-
372 IV disease were excluded from the study. Also, dogs were excluded if they had received
373 neoadjuvant or adjuvant antitumoral treatment, and if the cMCTs had been removed with
374 incomplete margins.

375 Background information recorded for each dog included: signalment; cMCT description (location,
376 size, presence of ulceration); clinical substage; date of surgery; local relapse (defined as the
377 cytological evidence of a recurrent cMCT within 2 cm from previous scar); nodal relapse (defined
378 as the development of cytologically or histologically-confirmed LN metastases); distant relapse
379 (defined as the occurrence of visceral metastasis); development of *de novo* cMCTs (defined as the
380 occurrence of a new cMCT at a distant cutaneous site having a different regional LN), date of death
381 or last follow-up examination, and cause of death.

382 To determine the therapeutic value of prophylactic lymphadenectomy, the characteristics of relapse
383 (local, nodal and distant) and the survival impact were compared between the OO and PRL groups.

384 Regardless of the group, dogs were monitored post-operatively by means of clinical examination,
385 blood testing, and abdominal ultrasound, performed every 3 months during the first year, and every
386 6 months thereafter. In case of progression of any type, dogs underwent a complete re-staging.

387

388 *Statistical analysis*

389 Descriptive statistics were used in the analysis of dogs and tumor characteristics. When appropriate,
390 data sets were tested for normality with the Shapiro-Wilk test. Values were expressed as mean \pm SD
391 in case of normal distribution, or as median with a range in case of non-normal distribution.

392 The distribution of demographic features and possible prognostic variables between the OO and
393 PRL groups were assessed with Student's T-test (quantitative, parametric variables), the Mann-
394 Whitney *U* test (quantitative, non-parametric variables) or the Chi-square test/Fisher's exact test
395 (categorical variables).

396 The considered variables included breed (purebred and predisposition to biologically aggressive
397 cMCTs, that is, Shar-pei, Labrador retriever and Golden retriever; and predisposition to low-grade
398 cMCTs, that is, Boxer, French Bouledogue, Weimaraner, Pug, American Staffordshire terrier), age,
399 body weight, sex, neutering status, substage, anatomic site of the primary cMCT (head and neck,
400 trunk and limbs, inguinal/perineal/mammary region and digits), substage, macroscopic tumor
401 largest diameter, ulceration, and development of new cMCTs.

402 The influence of the above variables and of lymphadenectomy on cMCT progression and cMCT-
403 specific survival was investigated with Cox proportional hazards regression analysis. Survival plots
404 were assessed by means of Kaplan-Meier survival plots, generated according to the Kaplan-Meier
405 product-limit method.

406 For age, weight and tumor diameter, the median was used as the cut-off value.

407 Time to cMCT progression (TTP) was calculated from the date of surgery to the first occurrence of
408 one or more of local, nodal or distant relapse. Dogs with no recurrence or disease progression at the
409 date of the last visit or death were censored.

410 cMCT-specific survival time (ST) was calculated from the date of surgery to the date of death or to
411 the date of the last visit if death did not occur. Only dogs deceased for cMCT-related causes were
412 considered as events.

413 Data were analyzed by use of commercial software programs (SPSS Statistics v. 19, IBM, Somers,
414 New York, and Prism v. 5.0, GraphPad, San Diego, California). P values $\leq .05$ were considered
415 significant.

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417

418 **Declarations**

419 **Authors' contributions**

420 LM conceived the study design, included cases, and was responsible for writing the majority of the
421 manuscript. SS was responsible for data analysis and data interpretation, and prepared tables and
422 figures. MK was responsible for data interpretation and supervised final edits. LM, SS, RF, DS, EF,
423 WB, UB, AR, SDM, AF, MG, LA and MC all participated in study recruitment and management of
424 patients. All authors have read and approved the manuscript.

425 **Funding**

426 There was no external funding for this study.

427 **Availability of data and materials**

428 The datasets generated and/or analyzed during the current study are available from the
429 corresponding author on reasonable request.

430 **Ethics approval and consent to participate**

431 The retrospective study described here involved review of medical records from privately-owned
432 dogs, all receiving care as prescribed by licensed veterinarians. Under such circumstances, no
433 formal review by an Institutional Animal Care and Use Committee is required.

434 **Consent for publication**

435 Not applicable.

436 **Competing interests**

437 There are no competing interests.

438

439

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497 canine cutaneous mast cell tumours: A systematic review and meta-analysis of individual
498 participant data. *Vet Comp Oncol.* 2020;18(4):580-589.

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501 **Figure legends**

502

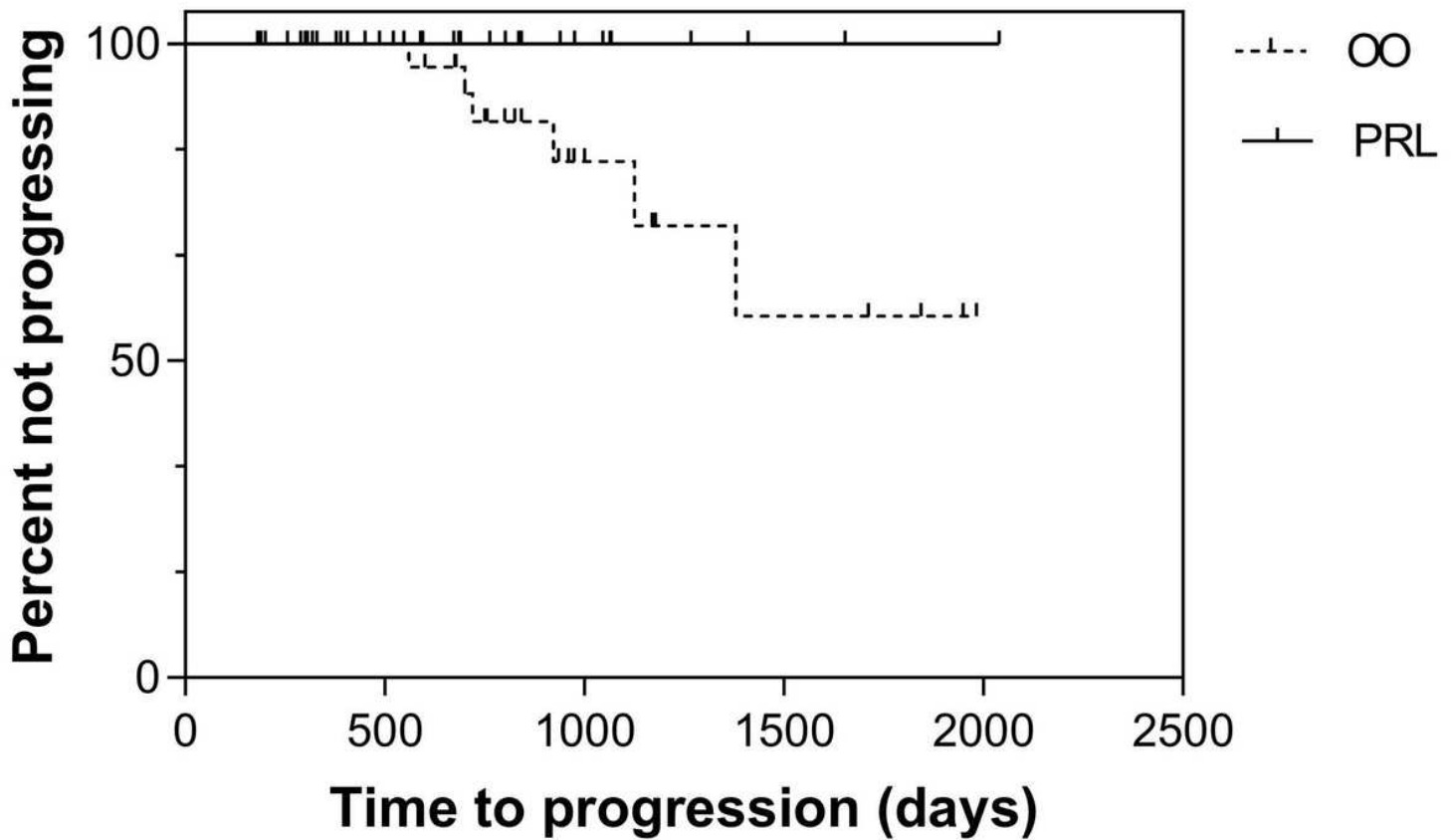
503 Figure 1. Time to progression for 64 dogs with surgically-removed stage I low grade mast cell
504 tumor undergoing prophylactic regional lymphadenectomy (PRL, solid line) or regional lymph
505 node observation only (OO, dashed line). There is a tendency to a better TTP in the PRL group (P =
506 0.058).

507

508 Figure 2. Survival time for 64 dogs with surgically-removed stage I low grade mast cell tumor
509 undergoing prophylactic regional lymphadenectomy (PRL, solid line) or regional lymph node
510 observation only (OO, dashed line). Difference not statistically significant (P = 0.294).

511

Figures

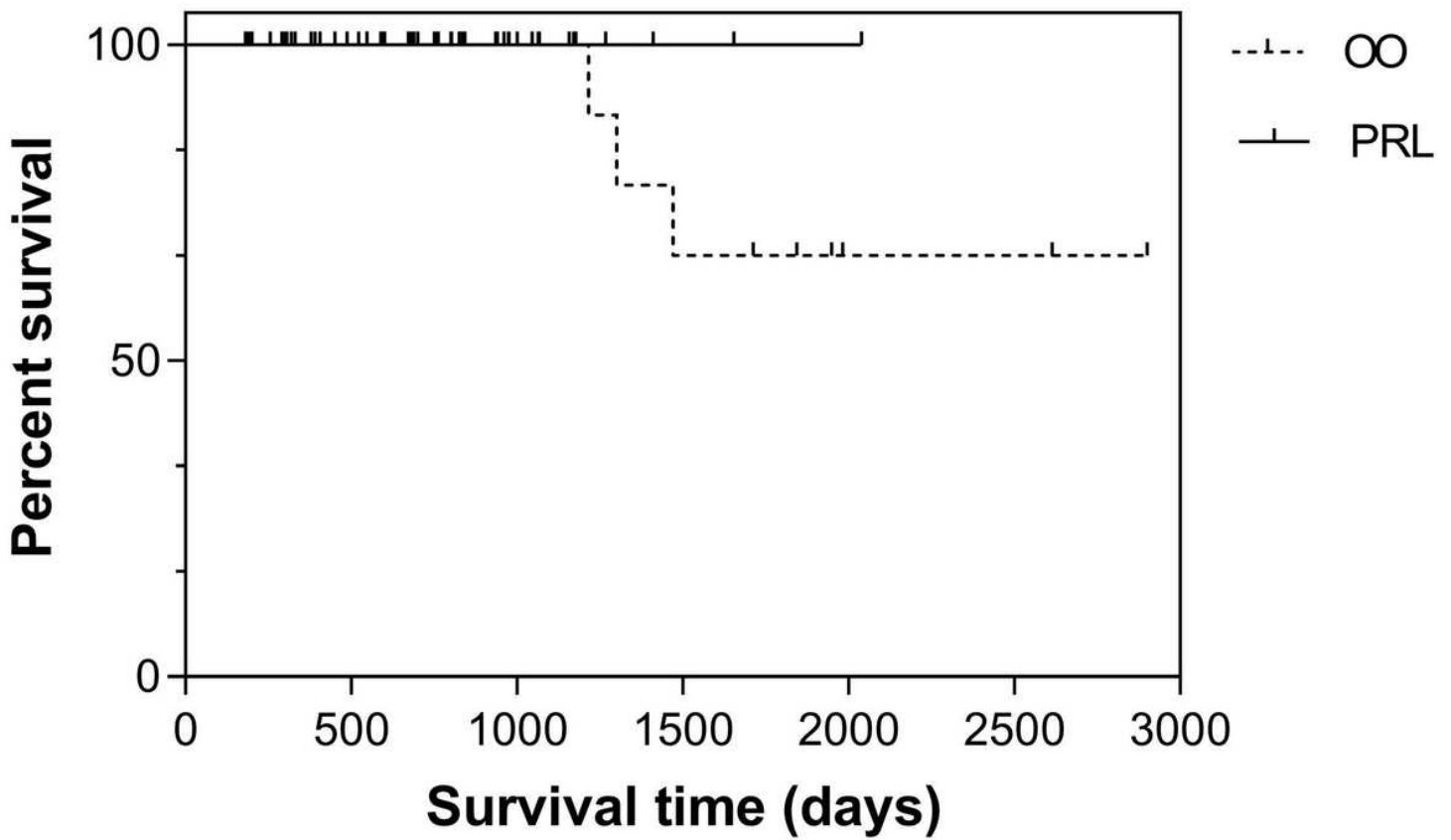


No. at risk

OO	35	27	9	4	1
PRL	29	20	8	2	1

Figure 1

Time to progression for 64 dogs with surgically-removed stage I low grade mast cell tumor undergoing prophylactic regional lymphadenectomy (PRL, solid line) or regional lymph node observation only (OO, dashed line). There is a tendency to a better TTP in the PRL group ($P = 0.058$).



No. at risk

OO	35	27	14	6	2	2
PRL	29	20	8	2	1	0

Figure 2

Survival time for 64 dogs with surgically-removed stage I low grade mast cell tumor undergoing prophylactic regional lymphadenectomy (PRL, solid line) or regional lymph node observation only (OO, dashed line). Difference not statistically significant ($P = 0.294$).

Supplementary Files

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