

Maternal Steroid Levels and the Autistic Traits of the Mother and Infant

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Research

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**Maternal steroid levels and the
autistic traits of the mother and infant**

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13 **Abstract:**

14 **Background:** Elevated prenatal sex steroids and maternal conditions that are related
15 to sex steroids (e.g., polycystic ovary syndrome) have been positively associated with
16 autism likelihood. It is unclear if this is detectable in the maternal circulation, if it relates
17 to maternal autistic traits, and whether it is also predictive of autistic traits in infants.

18 **Methods:** Maternal serum samples were collected as part of routine prenatal
19 screening from pregnant women taking part in the longitudinal Cambridge Ultrasound
20 Siblings and Parents (CUSP) study (n=219) (gestational age: mean=12.7 [SD=0.8] weeks).
21 Concentrations of the following were measured via immunoassays: testosterone (T),
22 estradiol (E2), dehydroepiandrosterone sulphate (DHEAS), progesterone (P); sex
23 hormone-binding globulin (SHBG). Human choriogonadotropin (hCG) and pregnancy-
24 associated plasma protein A (PAPP-A) were collected from clinical records
25 corresponding to the same serum samples. Participants completed the adult Autism
26 Spectrum Quotient (AQ). Infants were followed-up with the Quantitative Checklist for
27 Autism in Toddlers (Q-CHAT) between 18-20 months old (mean=570 days, SD=21.3
28 days).

29 **Results:** Maternal AQ scores significantly correlated with circulating levels of total E2
30 (Pearson's $r=0.20$, $p=0.036$) and the bioactive fraction of E2 (Pearson's $r=0.26$, $p=0.008$)
31 in univariate and multiple regression models. Total E2, DHEAS and a steroidogenic factor
32 (derived from total E2, DHEAS and T) were all associated with Q-CHAT scores in multiple
33 regression models that controlled for covariates and for an interaction with infant sex.
34 This interaction also had a significant effect, leading to a positive correlation between
35 hormone levels and Q-CHAT scores in males but not in females (interaction term:
36 semipartial correlation $r=0.23$, $p=0.018$). The opposite was found for standardised hCG

37 values and Q-CHAT scores, with a positive association in females but not in males
38 (interaction term: semipartial correlation $r=-0.22$, $p=0.009$).

39 **Limitations:** This longitudinal clinical study was relatively small and statistical power
40 was further reduced by the need to account for different effects according to sex. The
41 findings will need to be confirmed in a larger cohort and with clinically diagnosed cases
42 of autism.

43 **Conclusion:** In line with previous findings, this study's results suggest that increased
44 steroid synthesis prenatally is related to autistic traits and that this is detectable in the
45 maternal circulation.

46

47 **Keywords:** autism, steroids, hCG, maternal, traits, sex, interaction

48

49 **Background**

50 Autism is a neurodevelopmental condition characterised by restricted interests,
51 repetitive behaviour and difficulties in social communication (American Psychiatric
52 Association 2013). Co-occurring clinical conditions are frequent and include sensory
53 hypersensitivity, learning difficulties, sleep disorders and nutritional intolerances (Croen
54 et al. 2015). Diagnosis of autism is possible as early as 18 months of age (3). Furthermore,
55 autistic traits exist along a spectrum in the wider population (Baron-Cohen et al. 2001;
56 Allison et al. 2008). Autism is diagnosed more often in males than females, despite
57 increased awareness of its differential presentation in females (6). In addition,
58 personality traits that show sex differences on average in the neurotypical population
59 (e.g. systemising and empathising) are shifted towards a male profile in autistic
60 individuals of both genders (Baron-Cohen et al. 2014; Greenberg et al. 2018).

61 Several lines of evidence indicate that prenatal sex steroid hormonal factors may be
62 mediating the likelihood of autism. First, a study of neuroanatomical differences between
63 autistic and neurotypical brains revealed autistic females had atypical structure in
64 regions that substantially overlapped with sexually dimorphic regions in neurotypical
65 controls. This suggests that autism affects female brains in regions related to sexual
66 differentiation, which is in turn regulated by prenatal sex steroid hormones (9). This
67 male-shift is also evident in childhood, as indicated by studies showing that facial features
68 of autistic boys, girls and their siblings are masculinised compared to neurotypical
69 controls (Tan et al. 2017; Tan et al. 2020). Prenatally, autistic males have also been found
70 to have higher levels of steroid hormones when these were assessed in a multivariate
71 analysis, as well as higher levels of estrogens in particular in univariate analyses of the
72 same amniotic fluid samples (Baron-Cohen et al. 2015; 2019). Estradiol levels have also

73 been found to be elevated in the maternal serum of pregnancies that resulted in autism
74 in an independent cohort (Bilder et al. 2019).

75 Traits and developmental outcomes that are related to autism have also been linked
76 to prenatal sex steroids. For example, prenatal levels of testosterone measured during
77 amniocentesis are negatively correlated with eye-contact at 12 months (Lutchmaya,
78 Baron-Cohen, and Raggatt 2002), vocabulary size at 18 and 24 months (Lutchmaya,
79 Baron-Cohen, and Raggatt 2001), and are positively correlated with restricted interests,
80 with attention to detail (17) and with general autistic traits at 18 and 48 months (18,19).

81 Epidemiological analyses in several independent populations have also revealed that
82 maternal polycystic ovary syndrome (PCOS) increases the likelihood of autism in their
83 children (20–22) confirming the significance of the wider prenatal hormonal
84 environment. This effect persisted after controlling for overt clinical symptoms of the
85 condition (e.g. obesity, insulin resistance) and for diagnosed perinatal complications
86 (22). Controlling for familial confounding also suggested that the effect wasn't primarily
87 driven by shared genetics and that it was further modulated by the sex of the offspring
88 (23).

89 PCOS is a syndrome that is associated with higher levels of androgens, as well as other
90 signs of hormonal dysregulation such as a reduced placental capacity to aromatise
91 androgens into estrogens (24). Interestingly, steroidogenic conditions such as PCOS are
92 also more common in autistic people (21,25), and autistic adults demonstrate signs of
93 steroid imbalance in various tissues (26–28). Converging evidence from animal and
94 longitudinal human studies indicate that PCOS may be the result of prenatal
95 programming, whereby higher levels of sex steroids in mothers with the condition induce
96 PCOS in the offspring, resulting in transgenerational transmission of the condition (29).

97 Autism is a heritable condition, with genetic factors accounting for a large percentage
98 of variance in both twin and population studies (30). It remains unclear how genetic
99 factors interact with hormonal factors that lead to differential effects in males and
100 females. The genetic likelihood of autism may overlap with the genetic variance
101 associated with sex differences in physical growth, further indicating that this interaction
102 may be present throughout life and could start during prenatal development (31).

103 To evaluate the effects of several prenatal hormonal factors, such as androgens,
104 estrogens and placental markers, we conducted a longitudinal study of pregnancies and
105 assessed both the mothers and their infants for autistic traits.

106

107 **Methods**

108 Mothers were recruited early in their pregnancy, during or before their routine 20-
109 week ultrasound scan, as part of the CUSP study at the Rosie Hospital, Cambridge
110 University Hospitals NHS Foundation Trust (n=219) (32). A favourable ethical opinion
111 for the study was given by the East of England Cambridge Central Research Ethics
112 Committee (REC Ref 16/EE/0004) and the Research and Development Department of
113 Cambridge University Hospitals. Eligibility inclusion criteria for the study were as
114 follows: (1) little/no consumption of alcohol during pregnancy, (2) no smoking or
115 recreational drug use during pregnancy, (3) a singleton fetus whose measurements
116 indicated their size to be appropriate for gestational age, (4) the absence of any major
117 fetal anomalies, and (5) a fetus that was not considered to have an intrauterine growth
118 restriction (IUGR) or to be large-for-gestational age (LGA). Eligibility criteria for including
119 the fetus in the final data analysis was the birth of a clinically healthy baby.

120 Participating mothers gave informed consent for access to all their pregnancy-related
121 clinical records, test results and the biological samples that were obtained during routine

122 clinical care. Study data were collected and managed using REDCap electronic data
123 capture tools hosted at University of Cambridge (33,34). Serum samples were collected
124 at the end of the 1st trimester (mean gestational age of 12.7 [SD=0.8] weeks since
125 conception) by a specialist phlebotomist at the Rosie Hospital, Cambridge, and then
126 stored at -80C, as part of a national screening programme for biomarkers of Down's
127 Syndrome and other conditions. A total of n=122 samples from participants of the
128 Cambridge Ultrasound Siblings and Parents Project (CUSP) were subsequently thawed
129 and transferred to separate vials (1ml aliquots per sample), which were further
130 anonymised and sent for analysis at the Core Biochemical Assays Laboratory (CBAL) at
131 Addenbrookes Hospital, Cambridge.

132 Assays

133 The following steroids and peptides were assessed in terms of concentration:
134 Testosterone (T), Estradiol (E2), Dehydroepiandrosterone sulphate (DHEAS),
135 Progesterone (P), sex hormone-binding globulin (SHBG). Samples were analysed on a
136 DiaSorin Liaison® XL automated immunoassay analyser using a one-step competitive
137 chemiluminescence immunoassay for each hormone and two monoclonal antibodies for
138 each peptide. All reagents, standards and consumables are those supplied by DiaSorin
139 (DiaSorin S.p.A, 13040 Saluggia (VC), Italy).

140 Autistic Traits

141 Mothers were given a copy of the Autism Spectrum Quotient (AQ) – Adult version
142 (Baron-Cohen et al. 2001) to complete at home or during their first visit to the maternity
143 ward of the Rosie Hospital. In addition, parents were invited via email to complete an
144 online version of the Quantitative Checklist for Autism in Toddlers (Q-CHAT) (5) after
145 their infant reached 18 months of age.

146 Statistical Analysis

147 Autistic trait distributions (maternal AQ and infant Q-CHAT) were assessed for
148 extreme outliers, as defined by an interval of three times the interquartile range. If
149 present, these were reduced to the highest possible value within the interval to facilitate
150 statistical testing while preserving cases of potential clinical significance. Before
151 proceeding with any statistical testing Q-CHAT scores were also standardised according
152 to infant age in days since birth.

153 All hormonal values exhibited positive skew. None of the outliers were deemed
154 extreme according to the definition above. Values were therefore not transformed, and
155 non-extreme outliers were not removed at any stage of the analysis in order to preserve
156 power and to better reflect typical clinical heterogeneity. This is in accordance with
157 proposed guidelines on the analysis of hormonal values (35). Human chorionadotropin
158 (hCG) and pregnancy-associated peptide alpha (PAPP-A) values were retrieved from
159 participants' clinical records. These had been further standardised according to multiple
160 of the median (MoM) by the Prenatal Screening Department of the Trust according to
161 maternal age, gestational age and the national means (36,37).

162 Targeted multivariate analysis was conducted by calculating composite scores for
163 bioactive estradiol, bioactive testosterone, aromatisation and overall steroidogenesis.
164 The free testosterone index (FTI) and the free estradiol index (FEI) were calculated by
165 dividing the corresponding sex steroids with SHBG values. The aromatisation ratio for
166 each participant was computed by dividing estradiol (E2) with testosterone (T) values
167 for each participant. Finally, latent factor analysis ('nFactors' package) was used to
168 identify the optimal number of common steroidogenic factors based on the correlation
169 matrix of the raw data. Values for the predicted steroidogenic factor were calculated for
170 each individual via the "Bartlett" method, based on the predicted loadings.

171 A series of clinical characteristics and group covariates were assessed for association
172 with autistic traits, as well as with circulating hormones in a pairwise manner. If these
173 were continuous, Pearson's correlation coefficient was used. If these were binary,
174 differences were tested via Student's *t*-tests. Specifically, family history of autism was
175 defined as present if the participating mothers reported having a first-degree relative
176 (including previous child) that had been diagnosed with autism. Additionally, a score of
177 clinical severity of maternal hirsutism was devised based on responses in the Pregnancy
178 History Questionnaire (PHQ). The PHQ is a self-report inventory designed to collect
179 information on metabolic, reproductive and clinically diagnosed conditions in mothers as
180 well as information on maternal and child health during pregnancy and at birth. Maternal
181 hirsutism was ascertained by the question: 'During your adult years, have you found
182 coarse, dark hair, growing in any of the following areas?', followed by drawings of
183 multiple body areas that are prone to secondary hair growth (e.g. chest, lower face, upper
184 or lower limbs) (38). A score of 1 denoted selection of one area of excess hair growth and
185 2 denoted more than one area. Hirsutism scores were then tested for association with
186 autistic traits and hormone values via Pearson's correlation coefficient, along with other
187 cohort variables (e.g., maternal age, BMI, infant birth weight).

188 Circulating hormones were assessed for association with autistic traits. For AQ,
189 pairwise Pearson's correlations were first used and then followed-up with a linear
190 regression model with AQ as the outcome variable and the following predictor variables:
191 hormonal concentration, maternal age, comorbidity with PCOS and a family history of
192 autism. To account for potential underlying effects of infant sex on Q-CHAT scores, a
193 different linear regression model was used in which the hormonal value was the outcome
194 variable and the following were predictors: Q-CHAT score, infant sex at birth, an
195 interaction term of Q-CHAT and infant sex, as well as maternal age, comorbidity with

196 PCOS, history of hirsutism and gestational age at the time of hormone measurement. For
197 these analyses, Q-CHAT scores were also adjusted for infant age at the time of assessment
198 (measured in days since birth) by a separate linear regression model of the two variables
199 (Q-CHAT, age) and adding the residuals of this on the intercept. The same approach and
200 models were used when examining the hormone composite measures, namely FEI, FTI,
201 aromatisation index and the predicted steroidogenic factor (for E2, DHEAS and T).
202 To ensure their validity, all multiple regression models for both AQ and Q-CHAT were
203 tested for heteroscedasticity via the studentized Breusch-Pagan test and the normality of
204 their residuals via the Shapiro-Wilk test.

205

206 **Results**

207 Of the n=219 pregnant women who consented to take part in the study, n=17 had a
208 first-degree relative with autism, n=26 had been diagnosed with PCOS, and n=89
209 responded positively to having excess body hair growth in the past. Overall mean age of
210 the mothers was 32.4 years (SD=4.54). Of this cohort, n=189 completed the Autism
211 Spectrum Quotient (AQ), with scores ranging from 1 to 47 (mean=14.63, SD=8.11).
212 Women with a family history of autism had a significantly higher AQ score (mean=28,
213 SD= 14.36) compared to women without any first-degree relatives with autism
214 (mean=13.64, SD=6.51) (Cohen's D=1.98, p=0.004) (Table 1). The extent of hirsutism also
215 correlated with AQ (Pearson's $r=0.15$, $p=0.04$), with women reporting excess body hair
216 growth in more than one area of the body having significantly higher AQ than women
217 with no affected areas (Cohen's D=0.36, $p=0.021$). Potential covariates, such as maternal
218 age, a previous diagnosis of PCOS, birth weight and fetal sex, were not associated with
219 differences in AQ Scores (Table 1).

220 178 of the corresponding infants were followed-up with the Q-CHAT when they were
 221 older than 18 months of age (range: 541 to 671 days after birth), with most being
 222 assessed between 18 and 20 months (mean=570 days, SD=21.3 days). One extreme
 223 outlier was noted in the distribution (Q-CHAT=71), which was reduced to the highest
 224 value within an interval of three times the interquartile range (Q-CHAT=53), in order to
 225 reduce skewness and facilitate statistical comparisons. Q-CHAT scores were marginally
 226 higher in males (mean=30.35, SD=8.13) than females (mean =29.63, SD=7.58), but this
 227 difference was not statistically significant (Cohen's D=0.09, Student's t=-0.62, p=0.54).
 228 Finally, Q-CHAT scores were correlated with maternal AQ scores (Pearson's r=0.21,
 229 p=0.008) (Figure 1).
 230

	AQ			Q-CHAT		
<i>Categorical</i>	<i>Mean</i>	<i>Effect</i>	<i>p</i>	<i>Mean</i>	<i>Effect</i>	<i>p</i>
History of autism						
• With: n=17	28.00	D=1.98	0.0036	31.00	D=0.14	0.720
• Without: n=202	13.64			29.90		
Maternal PCOS						
• With: n=26	14.96	D=0.05	0.8043	27.86	D=0.31	0.115
• Without: n=193	14.58			30.28		
Hirsutism						
• None: n=130	13.81	r=0.15	0.039	29.19	r=0.09	0.25
• 1 area: n=33	13.93			31.46		
• >1 area: n=56	16.78			30.63		
Fetal sex						
• Male: n=104	14.15	D=0.11	0.453	31.00	D=0.09	0.54
• Female: n=115	15.04			29.63		
<i>Continuous</i>	<i>Mean</i>	<i>Effect</i>	<i>p</i>	<i>Mean factor</i>	<i>Effect</i>	<i>p</i>
Maternal age	32.41 years	r=-0.05	0.462	32.41 years	r=-0.14	0.065
Birth Weight	3410.53 g	r=-0.04	0.596	3410.53 g	r=-0.03	0.727
Infant age				570,2 days	r=-0.09	0.250

Table 1: Cohort characteristics and associations between clinical/demographic factors and autistic traits. Test coefficients are Cohen's D and Pearson's correlation coefficient - r.

231 Hormone covariates and factor analysis

232 The analysed maternal serum samples corresponded to a narrow period of gestation
233 between the late 1st and early 2nd trimester (mean=12.7 weeks, SD=0.8 weeks).
234 Circulating hormones showed varying degrees of correlation with each other (Figure 2)
235 and with other demographic and clinical variables (Suppl. Table 1). Testosterone, DHEAS
236 and progesterone were all positively correlated with maternal age. Women with PCOS
237 also had significantly higher levels of estradiol and progesterone, but lower levels of
238 SHBG, compared to women without the condition. Estradiol levels correlated
239 significantly and positively with the degree of hirsutism in the cohort (Pearson's $r=0.20$,
240 $p=0.029$).

241 Unsupervised factor analysis showed that a common latent factor could be derived
242 from estradiol, testosterone and DHEAS and account for 32% of the total variance in
243 hormone levels (Suppl. Figure 1, Suppl. Table 2). Factor analysis also showed that hCG
244 was largely independent compared to the other hormones assayed. The values of the first
245 'steroidogenic factor' were predicted for each participant based on the steroids assayed
246 and introduced to subsequent analyses along with the two other composite measures:
247 the free estradiol index (FEI) and the free testosterone index (FTI).

248 Association of hormones with maternal AQ score

249 The association between circulating hormones in maternal serum was investigated via
250 univariate Pearson's correlation coefficient, as well as with multiple regression models in
251 which the effect on AQ score was controlled for maternal age and family history of autism
252 (first-degree relative or diagnosed child) (Table 2). Of all the hormones assessed in
253 maternal serum, only estradiol was significantly and positively correlated with AQ scores
254 in both the direct comparison and the regression model ($\beta=0.20$, $p=0.036$). A similar
255 trend was noted for DHEAS, which was not statistically significant ($p<0.1$). With regard

256 to hormone composite scores, FEI was significantly and more strongly correlated with
257 maternal AQ score than estradiol alone ($\beta=0.255, 0.008$) (Table 3). Non-significant trends
258 for FTI and a latent steroidogenic factor for the assayed sex steroids were also noted
259 ($p<0.15$). Progesterone, hCG and PAPP-A did not correlate with maternal AQ score.

260 Association of hormones with infant Q-CHAT score

261 To study the relationship between circulating maternal hormones and the early
262 autistic traits of their infants, two statistical methods were used: univariate regression
263 using Pearson's correlation coefficient, as well as multiple linear regression controlling
264 for covariates related to hormone levels (maternal age, maternal PCOS and hirsutism). In
265 the latter case, the interaction between Q-CHAT score and infant sex was also used as a
266 covariate in the same model, with hormone levels as the outcome variable to allow for
267 this (Table 2). Q-CHAT scores were previously adjusted for infant age at the time of
268 assessment. These analyses were also conducted with hormonal composite variables,
269 such as those derived from hormone factor analysis and hirsutism scores (Table 3). No
270 hormonal variable was associated with infant Q-CHAT score in univariate regression with
271 both sexes.

272 In the multiple regression models, estradiol, DHEAS and the composite steroidogenic
273 factor were all significantly associated with Q-CHAT scores, as well as with the interaction
274 term between Q-CHAT score and infant sex (Tables 2 & 3). The effect for Q-CHAT score
275 was more positive and more pronounced in males than females (Figure 3A). These effects
276 were independent of maternal age, diagnosis of PCOS, family history of autism or clinical
277 history of hirsutism. Standardised hCG levels were also significantly associated with Q-
278 CHAT score and the interaction of Q-CHAT score and infant sex. This effect was in the
279 opposite direction as the association with Q-CHAT was positive in females and not in
280 males (Figure 3B).

	Maternal AQ		Infant Q-CHAT		
	Effect size	p		Effect size	p
Estradiol					
Pearson's correlation	r=0.20	0.036	Pearson's correlation	r=0.05	0.617
MR model ¹ :			MR model ² :		
• AQ with hormone	r=0.20	0.032	• Hormone with Q-CHAT	r=-0.20	0.040
			• Interaction with sex:	r=0.21	0.034
Testosterone					
Pearson's correlation	r=0.16	0.097	Pearson's correlation	r=-0.01	0.910
MR model ¹ :			MR model ² :		
• AQ with hormone	r=0.14	0.150	• Hormone with Q-CHAT	r=-0.15	0.115
			• Interaction with sex	r=0.07	0.453
DHEAS					
Pearson's correlation	r=0.18	0.058	Pearson's correlation	r=0.05	0.645
MR model ¹ :			MR model ² :		
• AQ with hormone	r=0.16	0.089	• Hormone with Q-CHAT	r=-0.19	0.040
			• Interaction with sex	r=0.19	0.049
Progesterone					
Pearson's correlation	r=-0.08	0.396	Pearson's correlation	r=-0.07	0.486
MR model ¹ :			MR model ² :		
• AQ with hormone	r=-0.04	0.684	• Hormone with Q-CHAT	r=0.01	0.890
			• Interaction with sex	r=0.05	0.578
HCG MoM					
Pearson's correlation	r=-0.03	0.665	Pearson's correlation	r=0.05	0.556
MR model ¹ :			MR model ² :		
• AQ with hormone	r=-0.03	0.684	• Hormone with Q-CHAT	r=0.20	0.015
			• Interaction with sex	r=-0.22	0.009
PAPP-A MoM					
Pearson's correlation	r=-0.04	0.588	Pearson's correlation	r=0.05	0.552
MR model ¹ :			MR model ² :		
• AQ with hormone	r=-0.04	0.603	• Hormone with Q-CHAT	r=0.10	0.218
			• Interaction with sex	r=-0.04	0.613

Table 2: Associations between maternal serum hormones and autistic traits. Effect sizes are Pearson's correlation coefficient - r for univariate regression, or the semipartial correlation coefficient for the specific independent variable for multiple regression (MR) models. These were controlled for the following covariates:

1. For AQ with hormones: maternal age, family history of autism.

2. For hormones with Q-CHAT: infant sex, interaction of sex with Q-CHAT, maternal age, maternal PCOS and hirsutism.

Composite scores	Maternal AQ		Infant Q-CHAT		
	Effect size	p		Effect size	p
Free Estradiol					
Pearson's correlation	r=0.26	0.008	Pearson's correlation	r=0.06	0.547
MR model 1: • AQ with hormone	r=0.25	0.009	MR model 2: • Hormone with Q-CHAT	r=0.23	0.233
			• Interaction with sex	r=0.10	0.103
Free Testosterone					
Pearson's correlation	r=1.55	0.123	Pearson's correlation	r=0.07	0.510
MR model 1: • AQ with hormone	r=0.15	0.124	MR model 2: • Hormone with Q-CHAT	r=-0.05	0.565
			• Interaction with sex	r=-0.02	0.870
Aromatisation ratio					
Pearson's correlation	r=0.09	0.384	Pearson's correlation	r=-0.06	0.569
MR model 1: • AQ with hormone	r=0.13	0.176	MR model 2: • Hormone with Q-CHAT	r=-0.02	0.834
			• Interaction with sex	r=0.07	0.459
Steroid Factor					
Pearson's correlation	r=0.18	p=0.061	Pearson's correlation	r=0.02	p=0.840
MR model 1: • AQ with hormone	r=0.17	p=0.085	MR model 2: • Hormone with Q-CHAT	r=-0.22	p=0.027
			• Interaction with sex	r=0.23	p=0.018

Table 3: Associations between composite hormonal scores and autistic traits. Effect sizes are Pearson's correlation coefficient - r for univariate regression or the semipartial correlation coefficient for the specific independent variable for multiple regression (MR) models. These were controlled for the following covariates:

1. For AQ with hormones: maternal age, family history of autism.

2. For hormones with Q-CHAT: infant sex, interaction of sex with Q-CHAT, maternal age, comorbidity with PCOS and hirsutism.

283

284 Discussion

285 This is the first clinical longitudinal study to report a detailed investigation of the
 286 endocrine profile of pregnant women and to relate this to their own autistic traits and to
 287 those of their children. Although the size of the cohort was small, this study resulted in
 288 several findings that are in line with the prenatal sex steroid theory of autism and with
 289 previously reported findings (Baron-Cohen et al. 2019). First, we found that circulating
 290 estradiol levels correlate positively with autistic traits of pregnant neurotypical women.

291 Second, we found that the levels of prenatal estradiol and DHEAS, as well as a latent
292 steroidogenic factor, were linked to a sex-dependent association with infant Q-CHAT
293 score; more specifically, higher maternal steroid concentrations corresponded to higher
294 Q-CHAT scores at 18 months in males rather than females. Third, we found the opposite
295 is true for standardised hCG levels, which negatively correlated with Q-CHAT score in
296 males (Figure 3). Finally, this was the first longitudinal study to show a significant
297 positive correlation between maternal and infant autistic traits.

298 The association between circulating estradiol and maternal AQ was independent of
299 age or having a first degree relative with a diagnosis of autism. When assessing the
300 bioactive fraction of estradiol (FEI), this effect was more pronounced. In addition, when
301 examining clinical history, both AQ score and estradiol levels were associated with a
302 history of excess body hair. Higher estradiol was also found in the PCOS subgroup of the
303 cohort. This is in accordance with previous studies relating estradiol levels, PCOS and
304 hirsutism to autism (13,21,25).

305 There was no association between testosterone levels and autistic traits in this study.
306 Testosterone correlated positively with estradiol but did not differ between women with
307 and without PCOS, or correlate with a clinical history of hirsutism. Previous studies have
308 also shown that circulating testosterone levels do not always correlate closely with these
309 clinical parameters (39) (Suppl. Table 1). Particularly during pregnancy, estradiol levels
310 may be more clinically informative, as testosterone is rapidly aromatised into estradiol
311 by the placenta. Estradiol may thus be interpreted as the end-product of wider
312 steroidogenesis and may be a better biomarker of the 'steroidopathy' previously
313 indicated by epidemiological studies of autistic women (25). The association between AQ
314 score and hirsutism, as well as estradiol levels, in this study further reinforces the
315 argument that increased estradiol levels may be associated with indirect androgenic

316 effects, adding more insight to the previous findings of elevated fetal estrogens in autistic
317 males (Baron-Cohen et al. 2019).

318 This is also the first study to investigate circulating hormones in maternal serum in
319 relation to autistic traits in their infants measured between 18 and 20 months of age via
320 the Q-CHAT. Even though there was no significant sex difference in Q-CHAT scores and
321 no detectable difference in maternal serum hormone levels, this study found significant
322 interactions with sex in the associations of these hormones with neurodevelopment.
323 Specifically, increased maternal estradiol had a more pronounced predictive effect on the
324 Q-CHAT scores of males than females. This was independent of potential confounding
325 variables, such as maternal age, diagnosis of PCOS, a history of hirsutism and any effects
326 of fetal sex on maternal hormone levels. The same association was found for DHEAS, as
327 well as for a latent steroidogenic factor derived from the levels of estradiol, DHEAS and
328 testosterone. The correlation, between the predicted steroidogenic factor levels and Q-
329 CHAT scores, was more pronounced than that of estradiol alone, in accordance with
330 previous findings of multivariate analysis of steroidogenesis in autism (Baron-Cohen et
331 al. 2015).

332 Contrary to the results with the AQ, bioactive estradiol (FEI) estimated via serum
333 SHBG was not more predictive of Q-CHAT scores. SHBG is a peptide and it does not cross
334 the placenta as easily as steroids, as shown in rare cases of partial deficiency in mothers
335 but not their fetus (40). Maternal SHBG may therefore not accurately capture the
336 bioavailability of steroids in the fetal circulation or accurately predict their effects on
337 infant neurodevelopment.

338 The findings with hCG suggest a potential regulating role of the placenta for infant
339 outcomes. hCG is produced by the developing trophoblast cells in the placenta and
340 regulates early implantation as well as steroid production (41). Specifically, we found

341 that standardised hCG MoM values were associated with Q-CHAT scores, but this was
342 moderated by fetal sex. More specifically, this resulted in higher scores in females but
343 lower scores in males when controlling for various covariates. hCG was measured late in
344 the 1st trimester, during the first ultrasound visit, as part of the screening programme for
345 Down's syndrome. Atypical levels are thought to be associated with placental dysfunction
346 that can often be indicative of genomic instability due to aneuploidies (37). In cases of
347 clinically diagnosed autism, both very low and very high levels of hCG have been found in
348 maternal serum, leading to a "U-shaped" association when studying both males and
349 females (42). Furthermore, autistic traits in the children have been associated with the
350 severity of nausea and 'morning sickness' during pregnancy, symptoms that are also
351 linked to high hCG levels (43,44).

352 Similarly to autism likelihood, placental function shows sex differences as early as the
353 1st trimester (45). Placental pathologies (e.g. trophoblast inclusions, intrauterine growth
354 restriction) are more frequent in pregnancies of fetuses that developed autism (46–48).
355 hCG levels may also be affected by sex, as indicated in the multiple regression model of
356 this study as well as by studies showing higher levels in pregnancies of females (49).
357 Further studies into placental functionality could offer insight into this effect, and may
358 help determine whether it is an adaptive response that is more pronounced in females.

359 Finally, this study also found that prenatal DHEAS was associated with
360 neurodevelopmental outcomes. Produced by both the maternal and fetal adrenals,
361 DHEAS interacts with the placenta, providing the steroid substrate for synthesis of
362 androgens and estrogens (Jaffe 2001; Bilder et al. 2019). Interestingly, a recent
363 investigation of molecular sex differences in human placentas showed that DHEAS is
364 significantly higher in male placentas (52). DHEAS levels are often higher in the brain
365 than in circulation and may increase androgenic effects by upregulating the androgen

366 receptor in neurons (53,54). Higher levels of DHEAS have also been found in the saliva of
367 prepubertal autistic children compared to controls (55). In addition to androgenic and
368 estrogenic effects, DHEAS functions as a neurotrophin, acting through the brain-derived
369 neurotrophic factor (BDNF) receptor in the brain to inhibit apoptosis (56,57). Estradiol
370 and testosterone may also have anabolic effects on the developing brain, as they have
371 been shown to have neurotrophic properties in *in vitro* cultures and animal models
372 (58,59). This would be consistent with signs of brain overgrowth in neonates with a
373 family history of autism and in those who were later diagnosed with autism (Hazlett et
374 al. 2011; 2017).

375 Limitations of the current study include the relatively small sample size, as well as
376 potential ascertainment bias given the voluntary process of recruitment. In addition, AQ
377 and Q-CHAT scores were, in most cases, both rated by the mother herself. A relatively
378 high mean Q-CHAT score compared to that of previous studies could also be attributed to
379 this, or related to a comparatively younger age range in this cohort (5). Nevertheless, the
380 items of the AQ-Adult and Q-CHAT are substantially different, with the latter dealing with
381 behavioural and developmental milestones that are specific to infants rather than to
382 interests and personality traits that are more evident in adulthood. Furthermore, the
383 findings of this study may have been inflated by Type I errors, as p-value thresholds were
384 not corrected for multiple testing. However, the findings are consistent with those
385 observed in other cohorts (Baron-Cohen et al. 2019; Bilder et al. 2019). The high degree
386 of correlation between many of the assessed hormones (Figure 1) also indicates a
387 common functional and regulatory framework. The association tests for individual
388 hormones may then, not be entirely independent, but instead affected by a common
389 steroidogenic factor as previously reported (Baron-Cohen et al. 2015). Replication of
390 these findings in a larger, independent cohort is warranted to confirm their validity.

391 In conclusion, this is the first longitudinal study to report associations between
392 maternal steroid levels and the autistic traits of both mother and child, and our findings
393 are in accordance with previous observations (Baron-Cohen et al. 2019; Bilder et al.
394 2019). Additional research is needed to clarify how steroids differentially affect
395 neurodevelopment in males and females, how placental function may be affected by
396 genetic and environmental factors, and how these processes interact to
397 disproportionately increase the liability for clinical autism in males.

398

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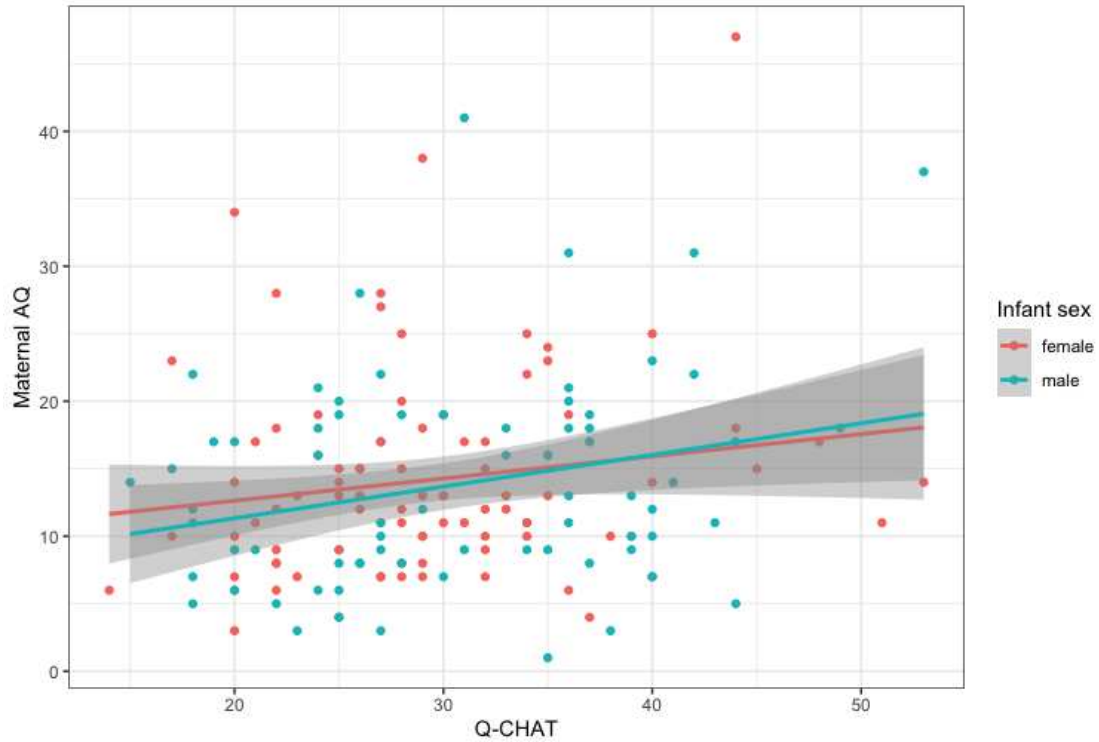
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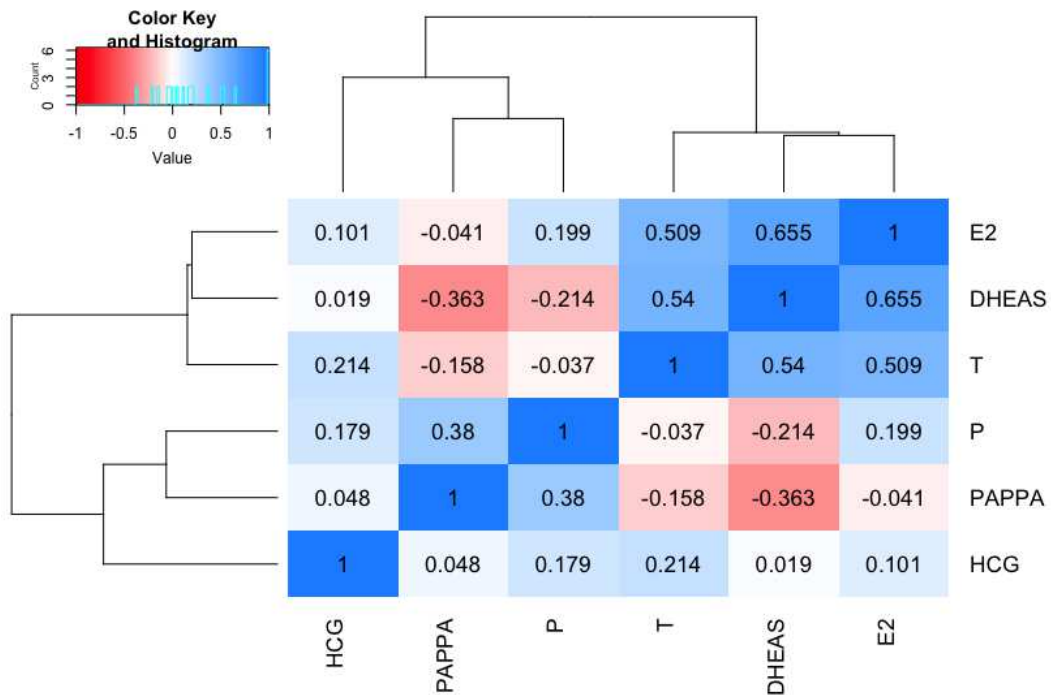
613 **Figures**

614 **Figure 1:** Association between maternal AQ score and infant Q-CHAT score stratified by
 615 infant sex

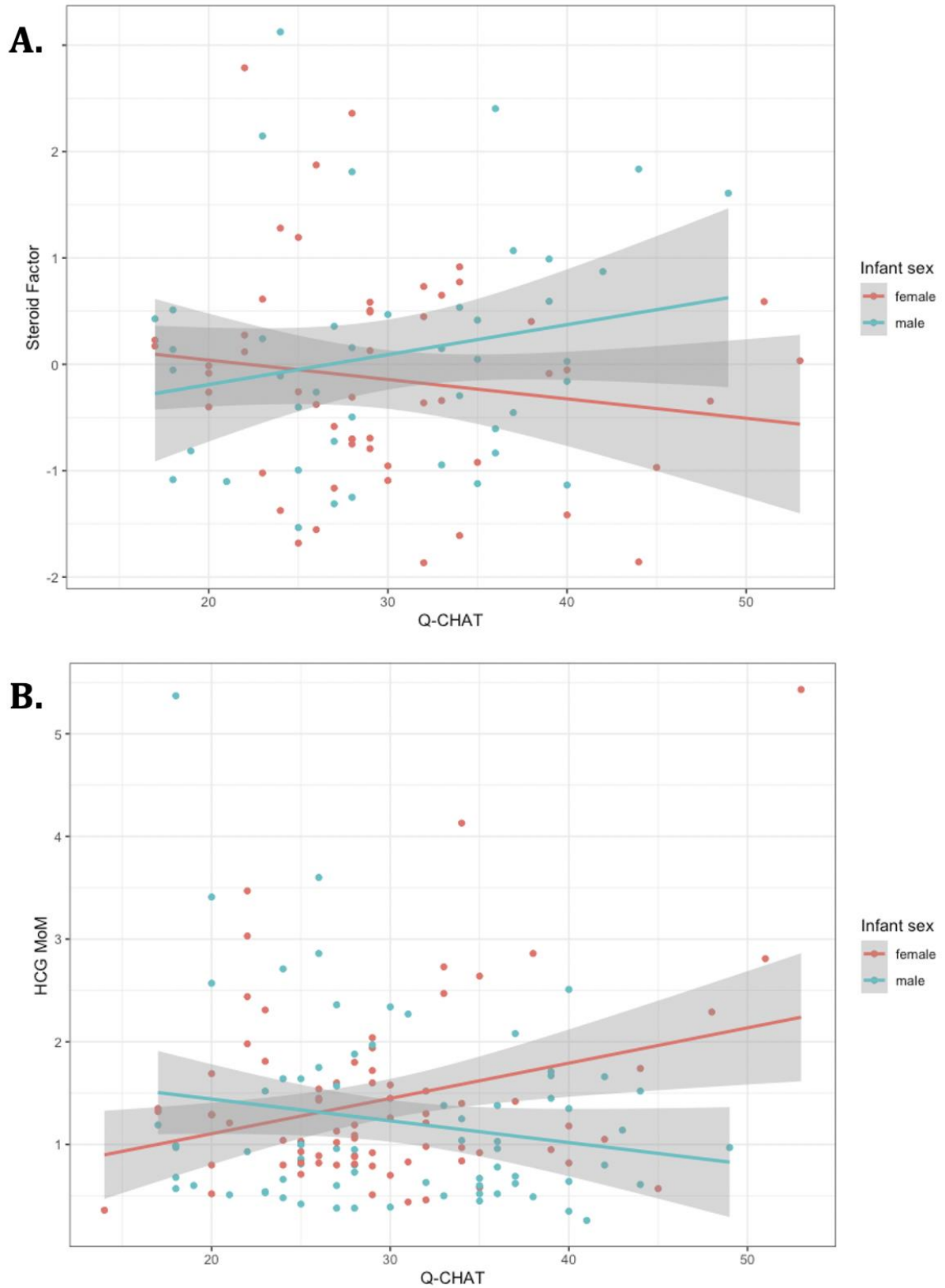


616

617 **Figure 2.** Heatmap and dendrogram showing the pairwise (Pearson's) correlations
 618 between the tested hormones/peptides



620 **Figure 3:** Scatterplots for the association between the latent steroidogenic factor and Q-
621 CHAT score (A) and hCG and Q-CHAT (B); separate linear models are presented for each
622 sex, and show significant interactions with infant sex.



623 **List of abbreviations**

624

625 AQ: Autism Quotient

626 BDNF: Brain-derived neurotrophic factor

627 CBAL: Core Biochemical Assays Laboratory

628 CUSP: Cambridge Ultrasound Siblings and Parents Project

629 DHEAS: Dehydroepiandrosterone sulphate

630 E2: Estradiol

631 FEI: Free Estradiol Index

632 FTI: Free Testosterone Index

633 hCG: Human chorionic gonadotropin

634 IUGR: Intrauterine Growth Restriction

635 LGA: Large for Gestational Age

636 MoM: Multiple of the Median

637 P: Progesterone

638 PAPP-A: Pregnancy-associated peptide alpha

639 PCOS: Polycystic ovaries syndrome

640 PHQ: Pregnancy History Questionnaire

641 Q-CHAT: Quantitative Checklist of Autism in Toddlers

642 SHBG: Sex hormone binding globulin

643

644 **Ethics approval and consent to participate**

645 A favourable ethical opinion for the study's protocol, recruitment criteria and related
646 materials, including the consent form, was given by the East of England Cambridge
647 Central Research Ethics Committee (REC Ref 16/EE/0004) and the Research and
648 Development Department of Cambridge University Hospitals.

649

650 **Consent for publication**

651 Not applicable

652

653 **Competing interests**

654 The authors declare that they have no competing interests

655

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673

674 **Author Contributions**

675 AT conducted the analysis, interpreted the data and drafted the manuscript. EA and CA
676 contributed significantly to the organisation and design of the study and to data
677 acquisition. EG and GR helped with the statistical analysis and interpretation of the data.
678 GH and TA provided guidance with and supervised the use of clinical data. SBC and RH
679 contributed equally to study design, study supervision, data interpretation and to the
680 revisions of the manuscript.

681

682 **Availability of Data and Materials**

683 The datasets generated during and/or analysed during the current study are not publicly
684 available due to limited Ethics approval for the wider clinical study (CUSP) by CUH and
685 to the specific consent provided by the participants. They may be available from the
686 corresponding author on reasonable request and pending approval of any future analyses
687 by CUH.

688

689 **Acknowledgements**

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691 Unit), Keith Burling and Peter Barker (Core Biochemical Assay Laboratory) for their
692 assistance with serum sample handling, delivery and the hormonal assays.

Figures

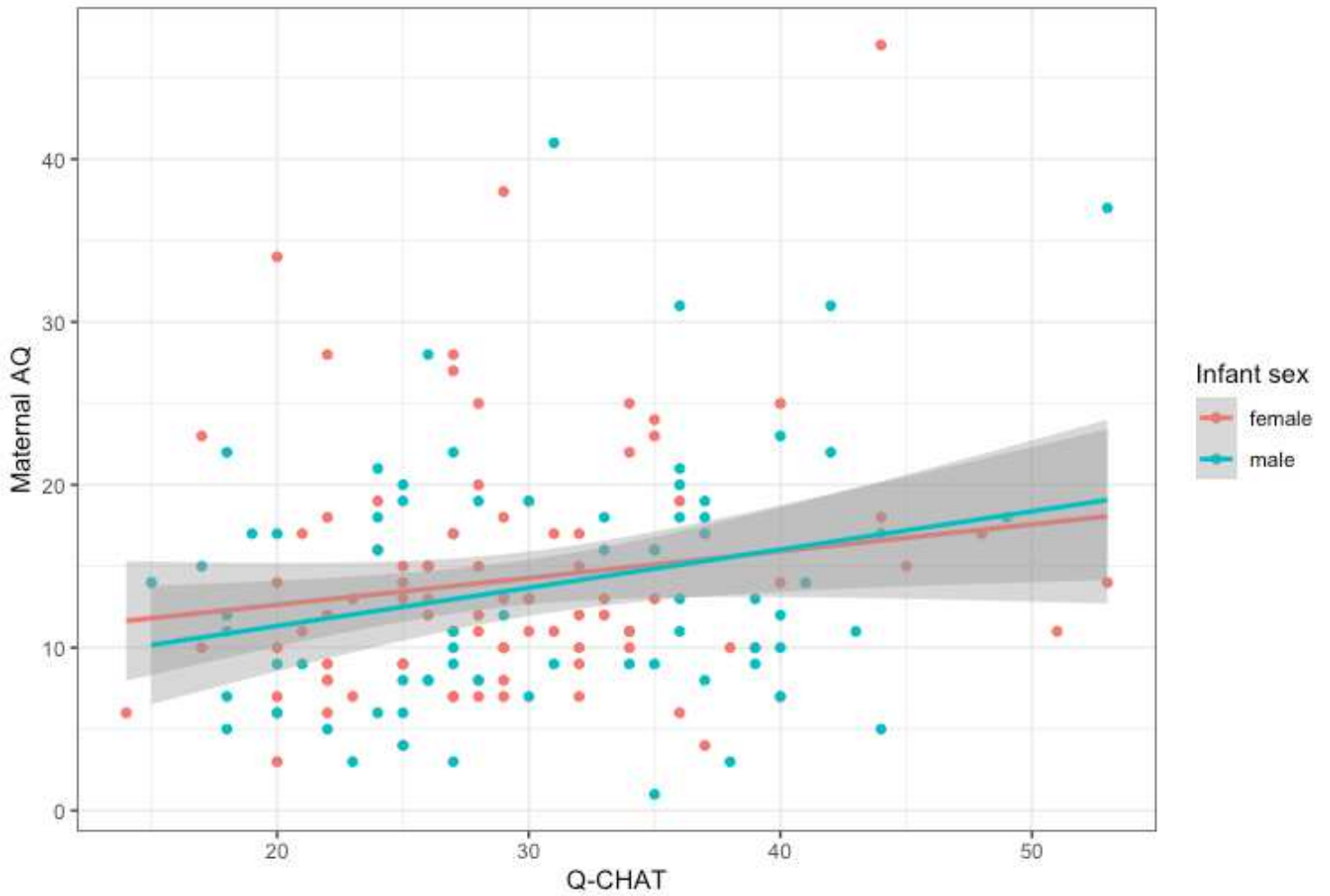


Figure 1

Association between maternal AQ score and infant Q-CHAT score stratified by infant sex

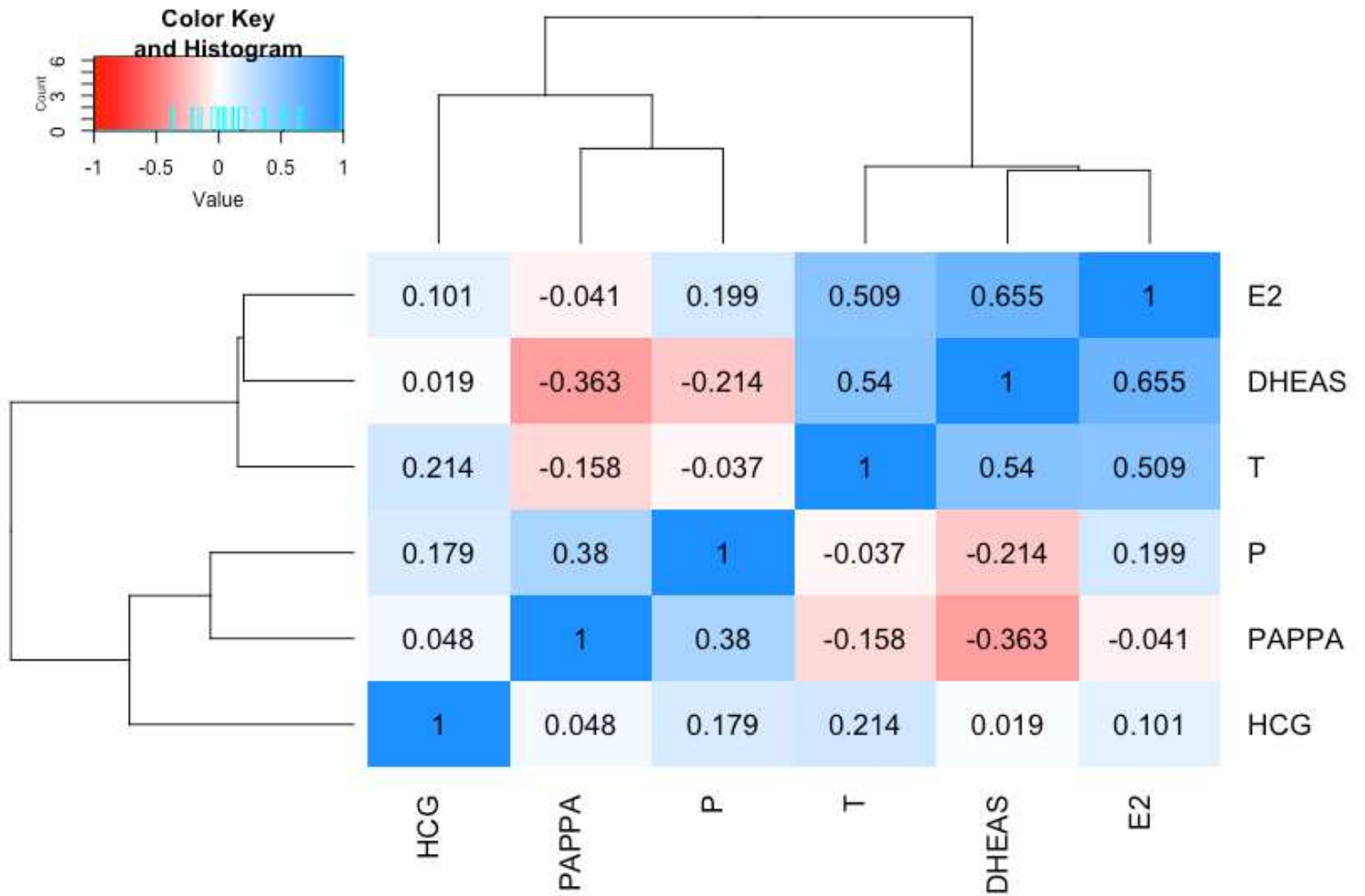


Figure 2

Heatmap and dendrogram showing the pairwise (Pearson's) correlations between the tested hormones/peptides

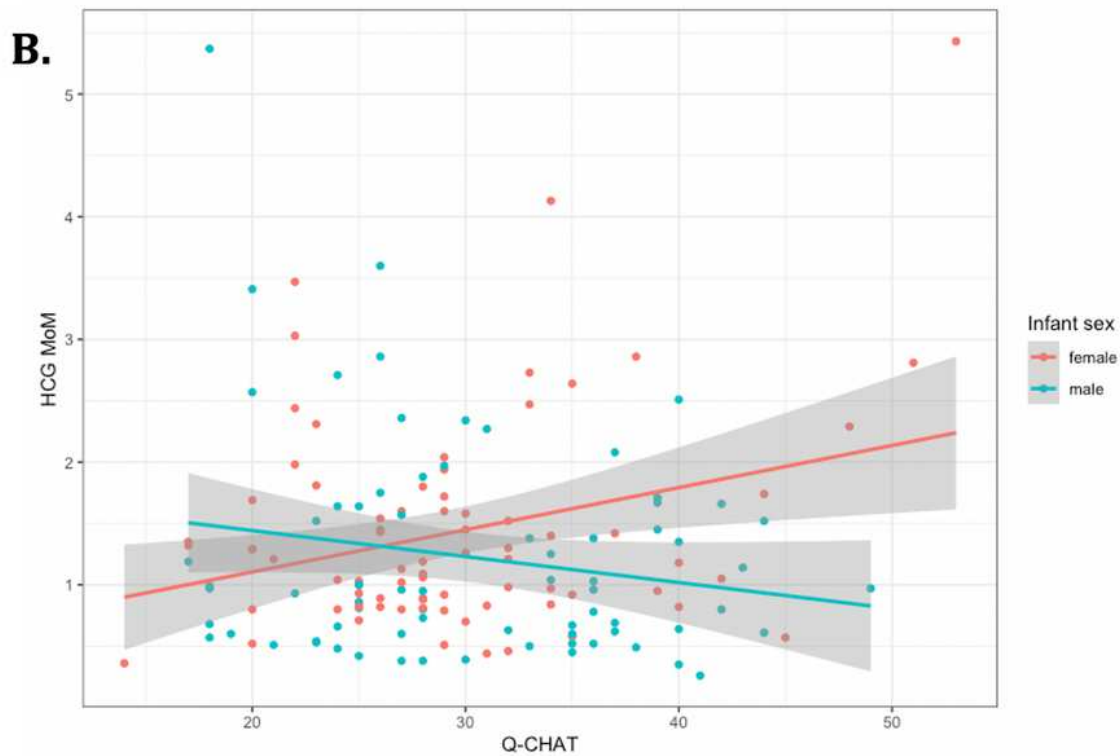
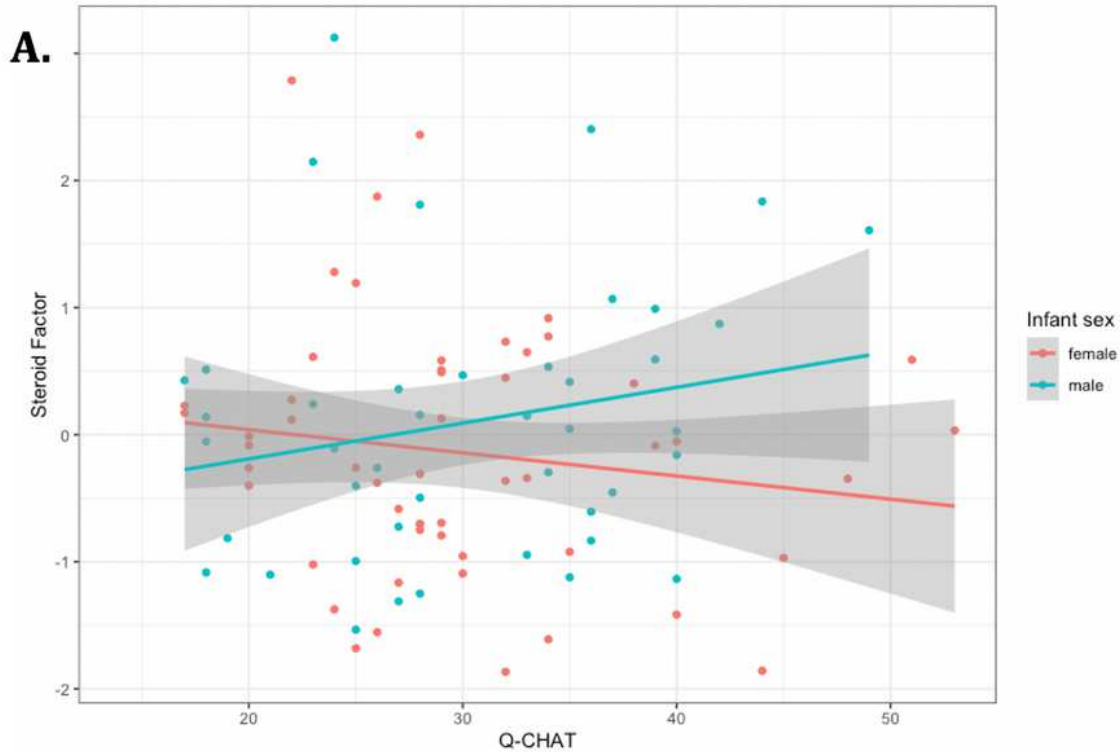


Figure 3

Scatterplots for the association between the latent steroidogenic factor and Q-CHAT score (A) and hCG and Q-CHAT (B); separate linear models are presented for each sex, and show significant interactions with infant sex.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementaryTablesandFigures.pdf](#)