

# Economic Valuation of the Provisioning Services Provided by the Sundarban in Bangladesh

A. H. M. Raihan Sarker (✉ [dr.raihan.sarker@cu.ac.bd](mailto:dr.raihan.sarker@cu.ac.bd))

Institute of Forestry and Environmental Sciences, University of Chittagong, Chattagram - 4331, Bangladesh <https://orcid.org/0000-0001-5383-631X>

**Mohammad Nur Nobi**

University of Chittagong

**Biswajit Nath**

University of Chittagong

**Eivin Røskoft**

NTNU

**Paul Kvinta**

University of Texas at Austin

**Ma Suza**

IST

**David J. Chivers**

University of Cambridge

**Khaled Misbahuzzaman**

University of Chittagong

---

## Research

**Keywords:** sundarban, mangrove, livelihood, provisioning resource

**Posted Date:** January 13th, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-143009/v1>

**License:**  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

---

1 **Economic Valuation of the Provisioning Services Provided by the Sundarban in**  
2 **Bangladesh**

3

4 A. H. M. Raihan Sarker<sup>a,\*</sup>, Mohammad Nur Nobis<sup>b</sup>, Biswajit Nath<sup>c</sup>, Eivin Røskaft<sup>d</sup>, Paul  
5 Kvinta<sup>e</sup>, Ma Suza<sup>f</sup>, David J. Chivers<sup>g</sup> and Khaled Misbahuzzaman<sup>a</sup>

6 <sup>a</sup> Institute of Forestry and Environmental Sciences, University of Chittagong, Chattagram -  
7 4331, Bangladesh.

8 <sup>b</sup> Department of Economics, University of Chittagong, Chattagram - 4331, Bangladesh.

9 <sup>c</sup> Department of Geography and Environmental Studies, University of Chittagong,  
10 Chattagram - 4331, Bangladesh.

11 <sup>d</sup> Department of Biology, Norwegian University of Science and Technology, NTNU,  
12 Trondheim #7491, Norway.

13 <sup>e</sup> 573 Cameron Street SE Atlanta, GA 30312, USA

14 <sup>f</sup> Universidade de Lisboa Instituto Superior Técnico Campus Alameda, Portugal

15 <sup>g</sup> Selwyn College, University of Cambridge, Cambridge, UK

16

17 **\*Corresponding author**

18

19 E-mail addresses and contact number: [dr.raihan.sarker@cu.ac.bd](mailto:dr.raihan.sarker@cu.ac.bd) and +8801317665799 (A.  
20 H. M. Raihan Sarker), [nobinur@yahoo.com](mailto:nobinur@yahoo.com) and +8801716395793 (Mohammad Nur Nobis),  
21 [nath.gis79@cu.ac.bd](mailto:nath.gis79@cu.ac.bd) and +8801718552442 (Biswajit Nath), [roskaft@bio.ntnu.no](mailto:roskaft@bio.ntnu.no) and  
22 +47906528863 (Eivin Røskaft), [paulkvinta@gmail.com](mailto:paulkvinta@gmail.com) (Paul Kvinta),  
23 [masuja.ifescu@gmail.com](mailto:masuja.ifescu@gmail.com) and +31626020863 (Ma Suza), [djc7@cam.ac.uk](mailto:djc7@cam.ac.uk) (David J.  
24 Chivers), [kmzaman@cu.ac.bd](mailto:kmzaman@cu.ac.bd) and +8801711188192 (Khaled Misbahuzzaman).

25

26

27

28

29

30

31

32

33

34

35 **Abstract**

36 The Sundarban provides various types of resources for an estimated four million people  
37 living in and around this mangrove forest in its Bangladesh parts. This study was carried out  
38 to quantify the value of forest income in these communities with the aim of understanding the  
39 contribution and importance of forest resources to the livelihoods of the poor, and their  
40 possible role in poverty alleviation. Eight key different resources such as fish, shrimp fry,  
41 crab, fuel-wood, wood for the construction of house and boat, thatching materials, honey and  
42 wax were identified in this study and their economic value estimated at US\$145.2  
43 million/year. It was also revealed that the households depended on mangrove resources for  
44 their livelihoods, with the lower and the middle-income households being heavily dependent.  
45 The households belonging to the lowest income group had neither land nor agricultural or  
46 livestock resources for generating income, therefore, a greater proportion of their income  
47 came from the forest, making them highly dependent on forest resources for survival. Given a  
48 population of about 4 million people residing in 17 upazilas (sub-districts) close to the  
49 Sundarban Reserve Forest, and their higher dependence on forest resources, sustainable  
50 management of the common pool resources provided by the forest is essential for alleviating  
51 extreme poverty. Management regimes for the Sundarban Reserve Forest need to be ensured  
52 continued access of the local residents to forest resources, especially of those who are the  
53 poorest, for sustaining livelihoods. In this regard, co-management of resources could be a  
54 pro-poor strategy if it does not compromise the livelihoods of the poorest living in and  
55 around the Sundarban.

56

57 **Keywords:** sundarban, mangrove, livelihood, provisioning resource

58

59

60

61

62

63

64

65

66

67

68

69 **Introduction**

70 Natural forests provide various goods, services and benefits to communities living close to  
71 the forest areas. In Bangladesh only 6.7% of the country's surface is covered by forest, and  
72 that weak environmental legislation and a pressure of a growing population results in the loss  
73 of about 2,000 hectares of forest each year (FAO, 2009). The Sundarban of Bangladesh - the  
74 largest single tract of mangrove formation in the world - is also undergoing accelerated  
75 deforestation, which weakens the ability of the forests to provide important ecosystem  
76 services, including the key livelihood resources for the forest-dependent communities.

77

78 The Sundarban Reserve Forest is situated in the south-western part of Bangladesh and it  
79 provides valuable services to the local people that are essential for their life and livelihood.  
80 Around four million people directly or indirectly depend on the Sundarban for wood (*i.e.*,  
81 timber) and non-wood forest resources (*i.e.*, fuel-wood, nipa leaves, fish resources, honey,  
82 crab and medicine plants) (FAO, 2015). The Bangladesh Forest Department (BFD) allows  
83 people to extract fish, nipa leaves and honey. Extraction of other natural resources, such as  
84 shrimp fry and timber, are banned, but marginalized people living adjacent to the Sundarban  
85 seek resources including the banned resources for a living.

86

87 In recent years, excessive extraction of both wood and non-wood forest resources has  
88 degraded the Sundarban Reserve Forest. If the extraction rate of the resources is equal to  
89 biological growth rate of the resources, then the forest and the associated goods and services  
90 could be sustainable. On the other hand, if the rate of the resource collection is higher than  
91 the growth of the services, then the resource may become depleted. If the local people are not  
92 able to make their ends meet, either through sustainable and regulated collection of resources  
93 or through other means, then illegal logging and over-exploitation of resources would  
94 probably take place, leading to faster degradation of forest resources.

95

96 Since 1989, it has been illegal to collect logs from the Sundarban. Only limited and  
97 controlled harvesting of non-wood forest resources from outside the wildlife sanctuaries are  
98 allowed to meet local needs. Allowable harvest is prescribed by the Integrated Resources  
99 Management Plan (IRMP) for the Sundarban (2010-2020), but in practice, extreme poverty,  
100 coupled with hardships due to frequent natural disasters; drive the coastal people to become  
101 heavily dependent on the Sundarban's ecosystem resources for their livelihoods.  
102 Furthermore, unlawful practices, such as, payment of illegal fees to the local musclemen,

103 pirates/robbers and corrupt departmental officials add pressures on poor people to extract  
104 more and more resources from the Sundarban. Under such situations, conservation initiatives  
105 are ineffective.

106

107 It was found in some studies (Miah *et al.*, 2010) over the last few years, that forest users have  
108 lost their traditional livelihoods due to unsustainable natural resource exploitation in the  
109 coastal belts of Bangladesh. At the same time, major agricultural activities have been  
110 jeopardized by increased salinity in soil and water, water-logging, drought, etc., forcing the  
111 farmers to switch from rice to non-rice crop production (Rahman *et al.*, 2011). Marginal  
112 coastal household communities suffer from social and economic vulnerability in the post-  
113 disaster periods (Alam and Collins, 2010) that limit their income opportunities. This  
114 phenomenon is common when there are fewer natural resources essential for sustaining  
115 livelihoods (Barnett and Adger, 2007). Issues related to these poor communities and their  
116 relation to cyclones were looked at in several studies, for example, health securities (Ray-  
117 Bennett *et al.*, 2010), physical injuries (Paul, 2010), cyclone-warning systems (Paul and Dutt,  
118 2010) and community-coping mechanisms (Parvin *et al.*, 2008) for those people living in the  
119 south-western coastal region of Bangladesh. The focus in none of the previous studies,  
120 however, was on the patterns of forest-resource dependency for livelihoods of disaster-  
121 affected communities. Hence, the inter-relationship between the households' livelihood status  
122 and natural-resource dependency has not been fully examined.

123

124 The Sundarban Reserve Forest plays a significant role in the local, regional and national  
125 economy of Bangladesh, as well as in the biodiversity conservation of the mangrove  
126 ecosystems. Yet policymakers do not know the value of provisioning services provided by  
127 the Sundarban, nor do they understand breadth and magnitude of forest dependency of local  
128 people. Thus, this study was carried out focusing on the following issues: (i) quantifying the  
129 economic value of the Sundarban in terms of forest resources collected from the forest by  
130 "Resource-based Communities"; and (ii) measuring the importance of forest resources from  
131 the perspective of resource-dependent people by monetary value and the proportion of  
132 income that people derive from collecting forest resources. These data contribute to the  
133 overall valuation of the Sundarban and can encourage policy makers to expand the plantation  
134 and conservation of mangrove forests. In this study, however, will be laid out how resource-  
135 dependent communities use the forest resources, so that conservation authorities understand  
136 the impact of degradation or losing the forests.

137 The main aim of this study is on the economic valuation of wood (*i.e.*, timber) and non-wood  
138 forest resources (*i.e.* fuel-wood, honey, wax, nipa leaves, fish, shrimp, shrimp fry and crabs),  
139 which are important for the livelihoods of the communities living near the Sundarban  
140 Reserve Forest. In this connection, the case will be made for recognizing the economic value  
141 of these resources within the forests and within the lives of local people. It is already  
142 recognized that protected forest areas cannot be conserved without engaging the local people,  
143 understanding their needs and practices, and identifying how to work with them (Gillingham  
144 and Lee, 1999). Thus, this study is important for policy makers in order to understand the  
145 social and economic aspects of forest management, as they relate to the conservation of forest  
146 resources, as well as to the livelihoods of local communities. In light of the above  
147 circumstances, the major objectives of this study were to: (i) assess the value of the  
148 provisioning services provided by the Sundarban Reserve Forest, (ii) recognize the  
149 importance of provisioning services on local communities' livelihoods in relation to their  
150 socio-economic and demographic condition, (iii) understand the formal and informal  
151 resource-collection behaviour of Sundarban-dependent communities, (iv) investigate the  
152 frequency of access with duration and extent of dependency on the Sundarban, and (v)  
153 provide recommendations for reducing the dependency on the Sundarban by the resource  
154 collectors.

155

## 156 **Materials and methods**

### 157 *Sampling sites*

158 In total, 363 households were surveyed in six upazilas (sub-districts) of Bagerhat [*i.e.*  
159 Sharankhola (n = 56), Mongla (n = 48) and Morelgong (n = 27)], Khulna [*i.e.*, Dacope (n =  
160 46) and Koira (n = 65)], Satkhira [*i.e.*, Shyamnagar (n= 16)] and Barguna [*i.e.*, Barguna Sadar  
161 (n = 8), Pathorghata (n = 42) and Taltoli (n =55)] districts. Three upazilas were adjacent to  
162 the Sundarban Reserve Forest and other three upazilas in Barguna district were located a little  
163 away from the forest.

164

### 165 *Data collection and analysis*

166 A systematic sampling technique was used for collecting data through interviewing  
167 respondents across the study sites. At first, one household was selected at random near the  
168 embankment, which was located adjacent to the Sundarban and then the other households  
169 were selected at an interval of 300m away from the embankment. For each household, the  
170 GIS (Global Information System) coordinates were recorded. Thereafter, a well-structured

171 questionnaire was administered for collecting socio-economic and demographic data from  
172 each household. The questionnaire included information related to resource collection  
173 behaviour, types and quantity of resources collected, collection period and monthly frequency  
174 of resource collection, proportions of resources used for household consumption and sold for  
175 cash income, and local market price of each resource per unit. Another questionnaire was  
176 designed to collect information related to payment of entry fees for each trip for collection of  
177 each resource, number of people involved in each trip for collection of resource, travel time  
178 spent and distance covered by the resource collectors for each trip.

179

180 After coding and digitizing the collected data, analyses were performed by using SPSS  
181 version 20.0 (SPSS, Chicago, USA). The differences in socio-economic and demographic  
182 profiles, and resource collection behaviour of forest-dependent people were explored by  
183 using two-way ANOVA and Chi-square ( $\chi^2$ ) tests. The level of significance was set at  $p =$   
184 0.05.

185

#### 186 *Tools for estimating the value of the forest resources*

187 The producer's surplus method was used to estimate the total economic value of forest  
188 resources that the resource-dependent communities extract from the Sundarban. After  
189 identification of the forest resources that are being collected by the local communities living  
190 across the study sites, the study assessed the value of resources by subtracting the minimum  
191 amount that the resource collectors are willing to receive. It is important to note that the total  
192 cost of resource collection includes the cost of permits, wages and food for day labourers, and  
193 the revenue charges for the collected resources levied by the Bangladesh Forest Department.  
194 The underlying assumption of the producer's surplus function is that the collection of  
195 resources from the Sundarban can be defined as a production or supply of both intermediate  
196 and final goods to the consumers in the market. Thus, the producer's surplus can be defined  
197 as a gap between the earnings from collected resources and the maximum willingness to  
198 accept, which is equivalent to the collection costs of the resources. In addition, the resource  
199 abundant areas of the eight resources were identified based on the information of the resource  
200 collectors from which areas of the forest they collect the respective resource.

201

#### 202 *Theoretical model of the producer's surplus method*

203 The theoretical model for estimating producer's surplus is as follows:

$PS = \text{Actual value of sales} - \text{Minimum willingness to accept or receive}$

$$\begin{aligned}
 &= P_R Q_R - \int_0^{Q_R^*} S(Q_R) dQ_R \\
 &= TR(Q_R) - \int_0^{Q_R^*} S(Q_R) dQ_R \text{-----(1)}
 \end{aligned}$$

Here, PS is producer's surplus; TR is total revenue earned from the collected resource ( $Q_R$ ) and the remaining part of the equation – (1) is the minimum amount that the resource collectors are willing to accept or receive (WTA) from selling the resources. The total revenue is the product of quantity of the collected resource and the price of the resource, whereas the minimum willingness to accept or receive is the integrated area from the origin (zero) to equilibrium level of resources ( $Q^*$ ) under the supply curve (Figure 1). Thus, estimation of supply curve provided the minimum amount that the resource collectors are willing to receive. The following equation was considered for estimation of the actual value of the sales (total revenue):

214

$$\begin{aligned}
 TR &= Q_R * P_R \\
 &= Q_R * MC ; \text{Since, } P = MC \text{ at the equilibrium level-----(2)}
 \end{aligned}$$

216

The marginal cost of the resource collection derived from the total cost function is explained in the equation 3 below. The resource price equivalent to these marginal costs was considered which was derived from that cost function. In this study, the total cost of resource collection was estimated annually through adding permit costs, labour wages and revenue paid to the BFD for each of the collected resources. Information regarding different variables of producer's surplus (PS) method was collected from the primary survey. Thereafter, we calculated the average annual producer's surplus/boat for each of the collected resources. To get the annual producer's surplus and earnings per person or per family, we divided the average annual producer's surplus per boat by the earnings of the total number of persons involved in the collection of resources in each boat.

227

The total resource value of the Sundarban Reserve Forest was calculated by adding all resource values. The individual resource value was then calculated through multiplying the average producer's surplus of each resource by the number of permits issued by each forest station and the number of forest stations. The calculation of producer's surplus and minimum

231



232 willingness to receive of the resource collectors are shown in the following theoretical graph  
233 (Figure 1), where, area above the marginal cost curve shows the producer's surplus and the  
234 area under the marginal cost curve shows the earnings of the resource collectors. It is  
235 important to note that the resource collectors spent some part of their earnings as permit cost  
236 and revenue payment to the BFD.

237

238 The total producer's surplus for the Sundarban was then calculated by multiplying the  
239 producer's surplus for all the resources with the total number of forest stations. After  
240 estimating the surplus value of each of the collected resources, each was divided by the  
241 estimated resource abundant area for each of the resource collected from the Sundarban.  
242 During survey, respondents were asked about the distances that they travelled from home to  
243 the Sundarban for the collection of different resources. Based on that information, we  
244 identified the coverage of areas from where they collected different resources. Thereafter, we  
245 calculated total coverage of areas in hectare for each of the collected resources to estimate the  
246 contribution of resources/hectare (Figure 2). Based on the information collected from the  
247 resource collectors, the resource-abundant areas were then identified for each of the resources  
248 in the Sundarban, which is shown in the results section (Figure 2. a-f).

249

250 While valuing the resources, all the resources that are being collected from the Sundarban  
251 mangrove were considered. Then the quantity of the shrimp fry was estimated in thousand  
252 pieces per year, while for the quantification of other resources we used quintal per year. The  
253 costs of collected resources were considered as the function of a common set of explanatory  
254 variables. Thereafter, we estimated the marginal cost to calculate the value or surplus for each  
255 of the resources collected from the Sundarban. A common function, which we applied to  
256 calculate the total cost for each of the collected resources is shown below:

257

$$258 \quad TC_R = f(Q_R, Frq_{per\ month}, TL, Travldist) \text{-----} (3)$$

259 Here,  $TC_R$  is the total cost of collected resource in BDT,  $Q_R$  is the quantity of the resources in  
260 quintal/year,  $Frq_{per\ month}$  is the frequency of collected resources/month,  $TL$  is the total number  
261 of people involved and  $Travldist$  is the distance between household and the extraction sites  
262 of resource collection.

263

264

265 **Results**

266

267 *Value of the provisioning services within the Sundarban Reserve Forest*

268

269 *Estimation of the costs of resource collection*

270 Based on the interviews, eight different types of forest resources harvested from the  
271 Sundarban Reserve Forest were identified (Table - 1). Resource collectors need permission  
272 from the BFD prior to collecting resources and a total of 16 forest stations in the Sundarban  
273 issue permits. The quantity of resource collection and associated revenue varies with the  
274 types of resources, particularly with the size of boat or with the number of resource  
275 collectors. Collection time of some resources, such as nipa leaves, crabs, honey and wax is  
276 fixed. Thus, the permits for the extraction of those resources are being issued following the  
277 time of extraction. The collection period of different resources, number of permits issued by a  
278 station, maximum limit of collection for each of the resources and the associated revenue,  
279 being charged for the collection of per unit resources are shown in Table 1. However, each  
280 boat with a capacity of 10 quintals has to pay BDT 5 plus 15% value-added-tax to collect  
281 boat license. The entry fee for catching fish and shrimp is BDT 7 plus 15% value-added-tax,  
282 while for crab collection the entry fee is BDT 6 plus 15% value-added-tax/day/person. The  
283 permit for the collection of fish, shrimp and shrimp fry and crab is valid for 7 days.

284

285 *Estimation of the value-addition of the collected resources*

286 To estimate the economic value of the collected resources, the total-cost function was used,  
287 which is the cost of collecting resources for one year. A step-wise linear regression analysis  
288 examine the variations in cost function of different resources as dependent variable was  
289 tested with four independent variables such as  $TQ_R$  (the quantity of the resource per year),  
290  $Frq_{per\ month}$  (the frequency of collected resource/month),  $TL$  (the total number of people  
291 involved in the collection of each resource) and  $Traveldist$  (the distance between household  
292 and the extraction sites for each of the resource collection). The estimated results are  
293 summarized in Table 2. The analysis shows that the total quantity of resources collected per  
294 year was one of the significant contributors to explain the variations in cost functions of all  
295 collected resources. The total number of people involved in the collection of different  
296 resources was another important predictor to explain the variations in cost functions of fuel  
297 wood, honey, wax, fish, shrimp and crab other than nipa leaves and shrimp fry. The

298 frequency of collected resource/month was also another significant contributor to explain the  
299 variations in cost functions for the collection of honey, wax, fish and shrimp, but insignificant  
300 with other collected resources such as, fuel wood, nipa leaves, shrimp fry and crabs. The last  
301 predictor (*i.e.*, *Traveldist*) of independent variables only explained the variation in the cost  
302 functions of fish and shrimp, but insignificant with other collected resources. The coefficient  
303 of determination (*i.e.*,  $R^2$ ) was high in each regression model. On the other hand,  $R^2$  and  
304 adjusted  $R^2$  are large enough for all regressions, which imply that models fitted well and F-  
305 values are significant. Afterwards, results from the regressions analysis were used to estimate  
306 the producer's surplus for each of the collected resources. It would have been easy to use  
307 these estimated results, if we had the non-linear cost function from where we could have  
308 derived the marginal cost function. By the theory, marginal cost is equal to price, if a  
309 competitive market prevails. Since the estimated cost functions are found to be as significant  
310 as in linear functional forms, we cannot equate marginal cost with the price. As a result, we  
311 cannot use the coefficient of quantities in estimated cost functions (*i.e.*, marginal costs) in  
312 valuing the producer surplus. Thus, we proceed with the mean quantities and prices of the  
313 resources for calculating the total revenues and then continue with the previously-explained  
314 method. The calculation of the value for each resources collected from the SRF are shown in  
315 Table 3.

316

317 When considering the cost of labour, entrance fees, fuel costs and other collection costs, the  
318 annual marginal cost of resource collection for each boat varied between resources. The  
319 marginal cost refers to the change in total cost due to the change in quantities. For example,  
320 as found in this study, the marginal cost of fuel wood was BDT 7.3, which means that the  
321 total cost of fuel-wood collection increases by BDT 7.3, if it increases by one quintal. The  
322 marginal cost and average selling price of fuel wood, nipa leaves, honey, wax, fish, shrimp,  
323 shrimp fry and crab are shown Table 3.

324

325 To calculate the average producer's surplus for each of the collected resources, the mean  
326 quantity and price of each resource from the sample data were considered and the total  
327 revenues were multiplied by 0.5 to get the area under the constant marginal cost-supply  
328 curve, which was the cost of supply. Producer's surplus was then calculated as the difference  
329 between the total value of revenue and the total minimum willingness to accept or receive  
330 (*i.e.*, the area under the supply curve). The producer's surplus/boat/year for fuel wood, nipa  
331 leaves, honey, wax, fish, shrimp, shrimp fry and crab is shown in Table 3. The resource-

332 abundance areas for each resource were estimated (Figure 2 a-f); based on the distance  
333 between household and the extraction site of resource collection and the results are also  
334 shown in Table 3. However, based on the producer's surplus value, the total value of the  
335 collected resources from the SRF is US\$145.2 million (Table 3), which is the contribution of  
336 collected resources from the SRF, but the values for all resources form each hectare of the  
337 resource-abundance area were also calculated (Table 3). That is, each hectare of SRF  
338 contributes US\$793/year as provisional services. Additionally, the yearly earnings of each  
339 resource collector, including his/her opportunity cost of labour wage, entry fees and revenue  
340 paid to the BFD (*i.e.*, total value of area under the supply curve, as shown in Figure 1) were  
341 calculated. It is important to mention that yearly earning for fishers and fuel-wood collectors  
342 refers to 12 months and for the rest of the resources, it refers to the season or collection  
343 months only.

344

345 *Importance of forest resources on local communities' livelihoods in respect of socio-*  
346 *economic and demographic condition*

347

348 In addition to understanding the intrinsic value of forest resources within the Sundarban  
349 Reserve Forest, the importance of the forest resources on the livelihoods of communities  
350 living around and near the forests was also assessed. The findings of the respondent's socio-  
351 economic and demographic analysis show that more than half of the respondents were  
352 involved in agriculture (55.6%). Nearly one-third of respondents reported that they were  
353 involved in day labour activities (30.6%). Few respondents reported that they harvested fish  
354 and considered it as their major occupation (13.8%).

355

356 The relationship between the age groups, types of occupation ( $\chi^2 = 3.85$ ,  $df = 6$ ,  $p = 0.697$ )  
357 and education level ( $\chi^2 = 8.87$ ,  $df = 9$ ,  $p = 0.449$ ) was not significant across the study areas.  
358 More than half of the respondents had either no education or dropped out before completing  
359 primary education (54.8%). The average size of household was 5 persons and ranged between  
360 4-5 persons. The mean monthly income of the respondents was about BDT 4,500 per  
361 household and ranged between BDT 3,500 and 5,800.

362

363

364

365

367

368 As mentioned, the respondents collected honey, wax, nipa leaves, fish, shrimp, shrimp fry,  
369 crab and fuel-wood from the SRF (Table 1). On average, the illegal collection of mangrove  
370 resources contributed around 50% of the total income of the lower-income households, and  
371 29% and 12% for the middle- and higher-income households, respectively. Among the forest  
372 resources, both fry and fuel wood collection was legally prohibited, but contributed as much  
373 as 34% and 16% respectively to the total income for the lower-income households. Only the  
374 lower income households and some middle-income households participated directly in  
375 shrimp fry collection. The proportion of collected resources from the SRF, both legally and  
376 illegally, are shown in Figure 3. The respondents who collected resources (*i.e.*, nipa leaves,  
377 honey, fish, crab and shrimp), more than the amount allowed by the permit or who collected  
378 banned resources (*i.e.*, fuel-wood and shrimp fry), were considered as illegal-resource  
379 extractors.

380

381 Almost all households depend on the SRF resources directly or indirectly, with the majority  
382 involved in fishing (54%, 198 of 363) and crab collection (31%, 111 of 363). The resource-  
383 collection behaviour of the SRF-dependent communities is described in the Table6. Among  
384 the honey and wax collectors, only 17.4% reported that they occasionally extracted honey  
385 and wax from the SRF without permits, while, among nipa leaves collectors, 23.8% reported  
386 that they extracted more nipa leaves than allowed by the issued permit, to recover the cost  
387 related to so-called “tips” of forest officials and robbers. Around 23% respondents of  
388 surveyed fishers reported that they harvested fish from the SRF without permits, and among  
389 shrimp collectors, 9.2% reported that they collected shrimps without permits from the BFD.  
390 For the collection of shrimps nobody issued permits, since their collection is banned, but  
391 29.5% crab collectors reported that they did not have permits from the local forest office for  
392 crab harvesting and, among the fuel-wood collectors, no one had a legal permit for  
393 commercial purposes, since it was banned by the government. However, they could collect  
394 some fuel wood per day for their family consumption. Using data collected from the areas  
395 adjacent to the settlement zones, the northern parts of the SRF are considered as being high  
396 fuel-wood extraction zones, while areas in the southern part have less fuel-wood extraction  
397 (GIS analysis, Figure 4).

398

399

400 *Duration, frequency of access and extent of people's dependency on the SRF*

401 As this study revealed, most of the SRF-dependent households earn their income from  
402 harvesting a variety of resources from the mangrove forest. Collection is seasonal, depending  
403 on the resource availability, which provides income throughout the year. For instance, the  
404 fishers catch hilsha and other white fish during July-October and after October when catching  
405 of fish becomes uneconomic, they catch mud crabs during November-December in the rivers  
406 and in canals in and around the SRF. During November-March, the resource collectors enter  
407 in the forest to extract nipa palms. Extraction of honey and bees-wax usually takes place  
408 during April – June, but all households collect shrimp fry all year round. Some fishers harvest  
409 crab when it is available and collect shrimp fry during its peak season and sell it to shrimp  
410 farmers. It was also found that, generally, the resource collectors enter deep into the forest for  
411 at least on a weekly basis. The resource collection behaviour of dependent communities is  
412 summarized in Table 4).

413

414 **Discussion**

415 *Value of the resources*

416 Because of decreasing incomes from agricultural activities, and inadequate non-forest sources  
417 of income, resource-dependent communities are increasingly moving to the SRF for cutting  
418 wood and fishing. Almost all resource collectors are fully dependent on the SRF for fuel-  
419 wood. Women, in groups of 8 to 9, go to the SRF on a weekly basis and collect wood to meet  
420 needs for cooking. It is important to note that no resource collector goes to the SRF for wood  
421 extraction with permission from the government, and neither the women nor children have  
422 permission to collect wood and cooking fuel (Siddiqi 2001). Most of the SRF-dependent  
423 households earn their income from harvesting a variety of resources from the mangrove  
424 forest. Some of them enter in the forest to harvest Malia (*Cyperus javanicus*) grass and hogla  
425 (*Typha elephantina*) grass (Siddiqi 2001). These two grasses have market value, and are used  
426 for preparing traditional mats and similar household goods. Nipa leaves also have good  
427 market value as roofing materials (Siddiqi 2001). On the other hand, when the fishers are  
428 unable to make enough money from fishing, they cut trees, and collect dry wood for their  
429 household purposes. However, local people are heavily dependent on eight key forest  
430 resources for their livelihoods - honey, wax, nipa leaves, fish, shrimp, shrimp fry, crab and  
431 fuel-wood (Singh *et al.* 2010; Uddin *et al.* 2013). So, in addition to other values of the SRF,  
432 such as its value to protect communities from storm surges, and its cultural/ tourism values,

433 the SRF diverse resources have great value to the communities, living in and around this  
434 mangrove forest.

435

#### 436 *Importance of forest resource to local communities*

437 Although collection of fuel-wood and shrimp fry has been banned by the government since  
438 1995 and 1996 respectively, local people who lived across the studied upazilas continue to  
439 depend heavily on the SRF for these resources to sustain their livelihoods. This confirmed the  
440 findings of a study conducted by Abdullah *et al.*, 2016, who revealed that much of the  
441 income of the resource-dependent people was obtained from the illegally-harvested  
442 resources. The income earned from forest resources contributes significantly to the  
443 livelihoods of the local communities. As discussed in previous sections, almost all  
444 households depend on the SRF resources directly or indirectly, with the majority involved in  
445 fishing and crab collection. The fishermen have been passing through hardships, because of  
446 sharp declines in fish availability and many of them have failed to recover the investment  
447 made for fishing, because of insufficient harvest. Moreover, many fishermen are unable to  
448 afford the necessary gears, nets and boats for fishing, and are forced to taking loans in order  
449 to continue harvesting fish. For all these reasons, there is a need to reduce the dependence of  
450 local communities on fishing by introducing alternative livelihood supports. One probable  
451 alternative livelihood support could be employing these people in the manufacturing industry  
452 through priority basis. For example, government could think of these people for the ongoing  
453 Paira Port so that their dependence of SRF reduces. Reduced dependence on fishing will also  
454 help preserve threatened fish resources in and around the SRF.

455

456 Regarding shrimp resources, the respondents of the survey reported a decreasing trend in the  
457 availability of shrimp and shrimp fries, and only fewer percentages of shrimp collectors  
458 reported that they collected shrimp (Abdullah *et al.* 2016). Although there is a ban on  
459 catching of shrimp fries, it is one of the most important sources of income for most families,  
460 as male, female and even children can catch them in the rivers and canals near their  
461 homesteads. Currently, because of the sharp declines in availability in fish and shrimp  
462 resources, many members of the SRF's fishing community are forced to look for alternatives  
463 to fish-based livelihoods and they try to work as day labourers for repairing houses,  
464 excavation of ponds, and in fishing boats. For a typical 7-day trip to the Sundarban, the wage  
465 of a labourer is around BDT 2000 with food and other costs covered by the employer.

466

467 To reduce the pressure on the SRF's resources, the government, NGOs and the donors/  
468 development partners have long been working with the vulnerable people by providing  
469 financial and Alternative Income Generating Activities (AIGAs) supports. Moreover, as part  
470 of reducing resource dependencies, the government can consider expanding buffer zones by  
471 planting valuable mangrove plant species at the edge of river banks along the coast near  
472 villages near the SRF, so that current households can collect resources outside the forest  
473 boundary. To manage the buffer zone, the government can involve resource collectors under  
474 the supervision of the co-management groups. Revenues generated by the SRF's cultural  
475 services can be used to expand the buffer zones. The resource collectors will manage their  
476 livelihoods until the maturation of the plantations of the expanded buffer zone. The buffer-  
477 zone plantations will also protect people and properties from cyclones and make the land  
478 more suitable for habitation. Through introducing social forestry programmes and  
479 reforestation of embankment areas in human-settlement zones, the gap between demand and  
480 supply of forest resources can be reduced by increasing the supply of forest resources.  
481 Special allocation from the government development budget for health, sanitation and  
482 education can reduce the resource-dependent family's quest for additional earnings and hence  
483 pressure on SRF. Action should be taken to enforce laws to protect mangrove plants through  
484 promotion of improved ovens in rural areas and introduction of biogas plants across the  
485 villages adjacent to the SRF.

486

## 487 **Conclusion**

488 The quantity of resource collection and associated revenue varies with the types of resources,  
489 particularly with the size of boat or with the number of resource collectors. Collection time of  
490 some resources, such as nipa leaves, crabs, honey and wax is fixed. Based on the producer's  
491 surplus value, the total value of the collected resources from the SRF is US\$145.2 million  
492 and each hectare of SRF contributes US \$793/year as provisional services. As this study  
493 revealed, collection of resources is seasonal, depending on the resource availability, which  
494 provides income throughout the year. To reduce the pressure on the SRF's resources, short-  
495 term financial and AIGAs support scheme to the vulnerable people could be one option. In  
496 addition, to minimize the dependency of local people over SRF's resources, the government  
497 can consider expanding buffer zones by planting valuable mangrove plant species at the edge  
498 of river banks along the coast near villages near the SRF as a long-term action.

499

500



501 **Acknowledgements**

502 This study was carried out under the financial assistance provided by the JDR-Winrock-  
503 USAID.

504

505 **References**

506 Abdullah, A.N.M., Stacey, N.E., Garnett, S.T., and Myers, B.A. 2016. Economic dependence  
507 on mangrove forest resources for livelihoods in the Sundarban, Bangladesh. Research  
508 Institute for the Environment and Livelihoods, Charles Darwin University, Casuarina,  
509 0909, NT, Australia.

510 Alam, E., and Collins, A.E. 2010. Cyclone disaster vulnerability and response experiences in  
511 coastal Bangladesh. *Disasters*, 34(4): 931–954.

512 Barnett, J., and Adger, W. N. 2007. Climate Change, Human Security and Violent Conflict,  
513 *Polit. Geogr.* 26 (6): 639–655.

514 FAO. 2009. State of the World's Forests. Food and Agriculture Organization of the United  
515 Nations, Rome. <http://www.fao.org/3/a-i0350e.pdf>

516 FAO. 2015. Global Forest Resource Assessment 2015, Food and Agriculture Organization of  
517 the United Nations, Rome. Also available at [http://www.fao.org/forest-resources-](http://www.fao.org/forest-resources-assessment/en/)  
518 [assessment/en/](http://www.fao.org/forest-resources-assessment/en/)

519 Gillingham, S., and Lee, P. C. 1999. The impact of wildlife-related benefits on the  
520 conservation attitudes of local people around the Selous Game Reserve, Tanzania.  
521 *Environ. Conserv.* 26(3):218–228

522 Miah, G., Bari, N., and Rahman, A. 2010. Resource degradation and livelihood in the coastal  
523 region of Bangladesh. *Front. Earth Sci. in China*, 4(4): 427–437.

524 Parvin, G.A., Takahashi, F., and Shaw, R. 2008. Coastal hazards and community-coping  
525 methods in Bangladesh. *J Coast. Conserv.* 12 (4): 181–193.

526 Paul, B. K. 2010. Human injuries caused by Bangladesh's cyclone Sidr: an empirical study,  
527 *Nat. Hazards*, 54 (2): 483–495.

528 Paul, B. K., and Dutt, S. 2010. Hazard warnings and responses to evacuation orders: the case  
529 of Bangladesh's cyclone Sidr. *Geogr. Rev.* 100(3): 336–355.

530 Rahman, M. H., Lund, T., and Bryceson, I. 2011. Salinity impacts on agro-biodiversity in  
531 three coastal, rural villages of Bangladesh. *Ocean & Coastal Management*, 54 (6): 455–  
532 468.

- 533 Ray-Bennett, N.S., Collins, A., Bhuiya, A., Edgeworth, R., Nahar, P., Alamgir, F. 2010.  
534 Exploring the meaning of health security for disaster resilience: Through people's  
535 perspectives in Bangladesh. *Health Place*, 16(3): 581–589.
- 536 Siddiqi, N. A. 2001. *Mangrove forestry in Bangladesh*. Institute of Forestry and  
537 Environmental Sciences, University of Chittagong, Chittagong. 201 pp.
- 538 Singh, A., Bhattacharya, P., Vyas, P., and Roy, S. 2010. Contribution of NTFPs in the  
539 livelihood of mangrove forest dwellers of Sundarban. *J Hum. Ecol.* 29(3): 191–200.
- 540 Uddin, M.S., de Ruyter van Steveninck, E., Stuip, M., and Shah, M.A.R. 2013. Economic  
541 valuation of provisioning and cultural services of a protected mangrove ecosystem: A case  
542 study on Sundarbans Reserve Forest, Bangladesh. *Ecosyst. Serv.* 5: 88–93.

# Figures

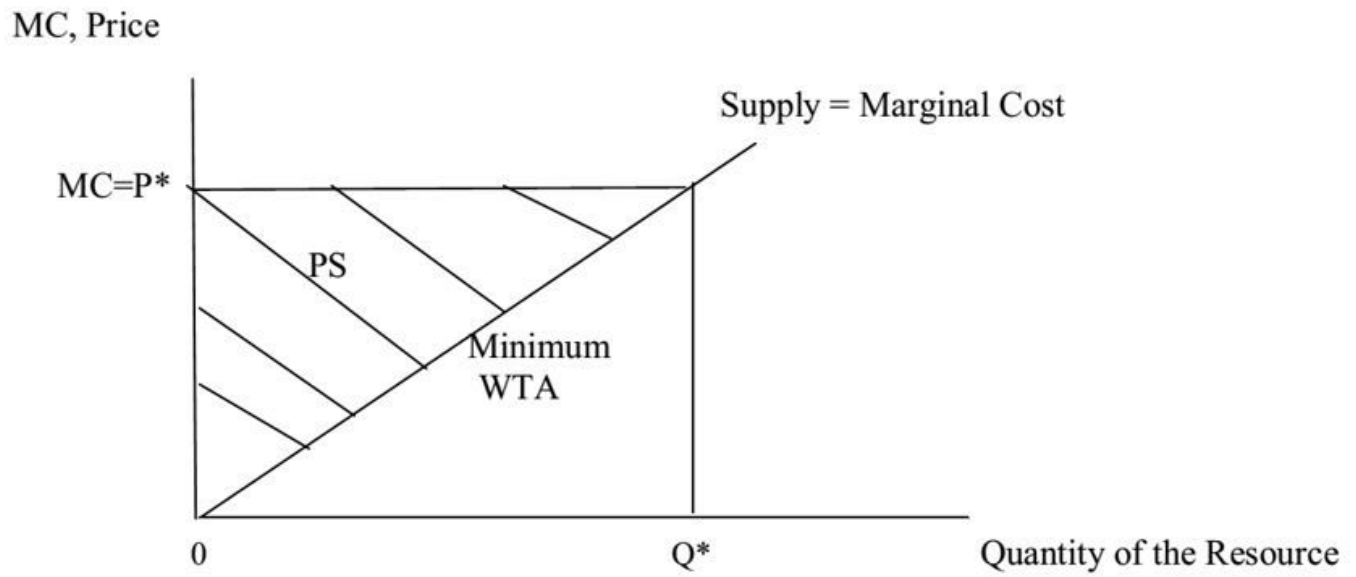
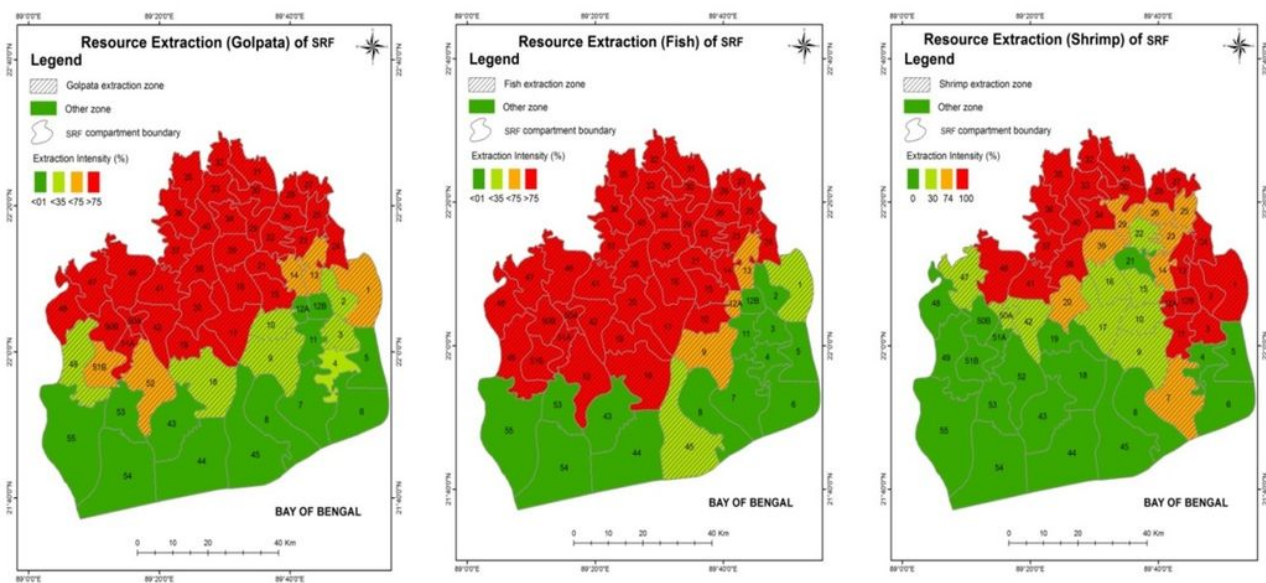


Figure 1

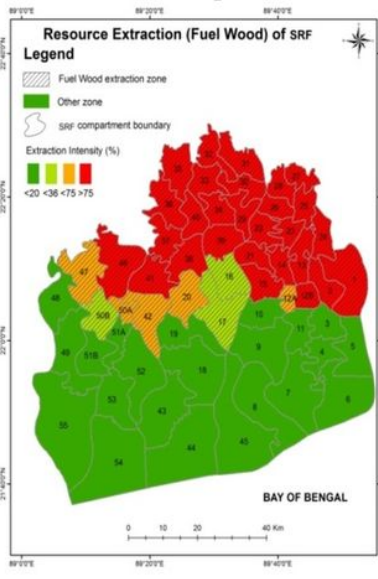
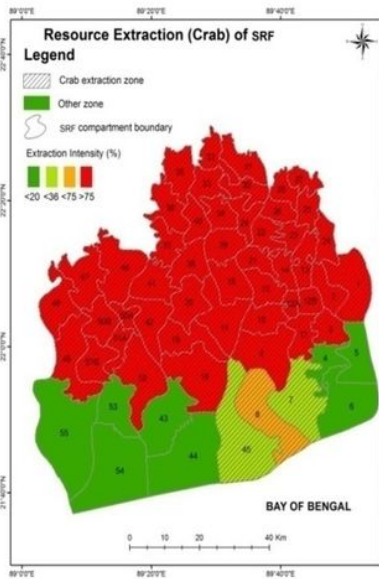
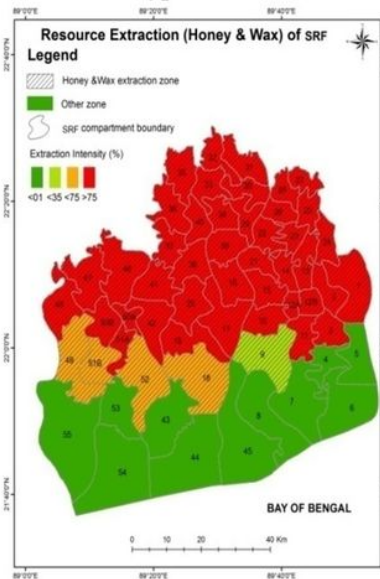
Theoretical sketch of marginal cost and producer's surplus



a. Area of nypa leaves extraction

b. Area of fish extraction

c. Area of shrimp extraction



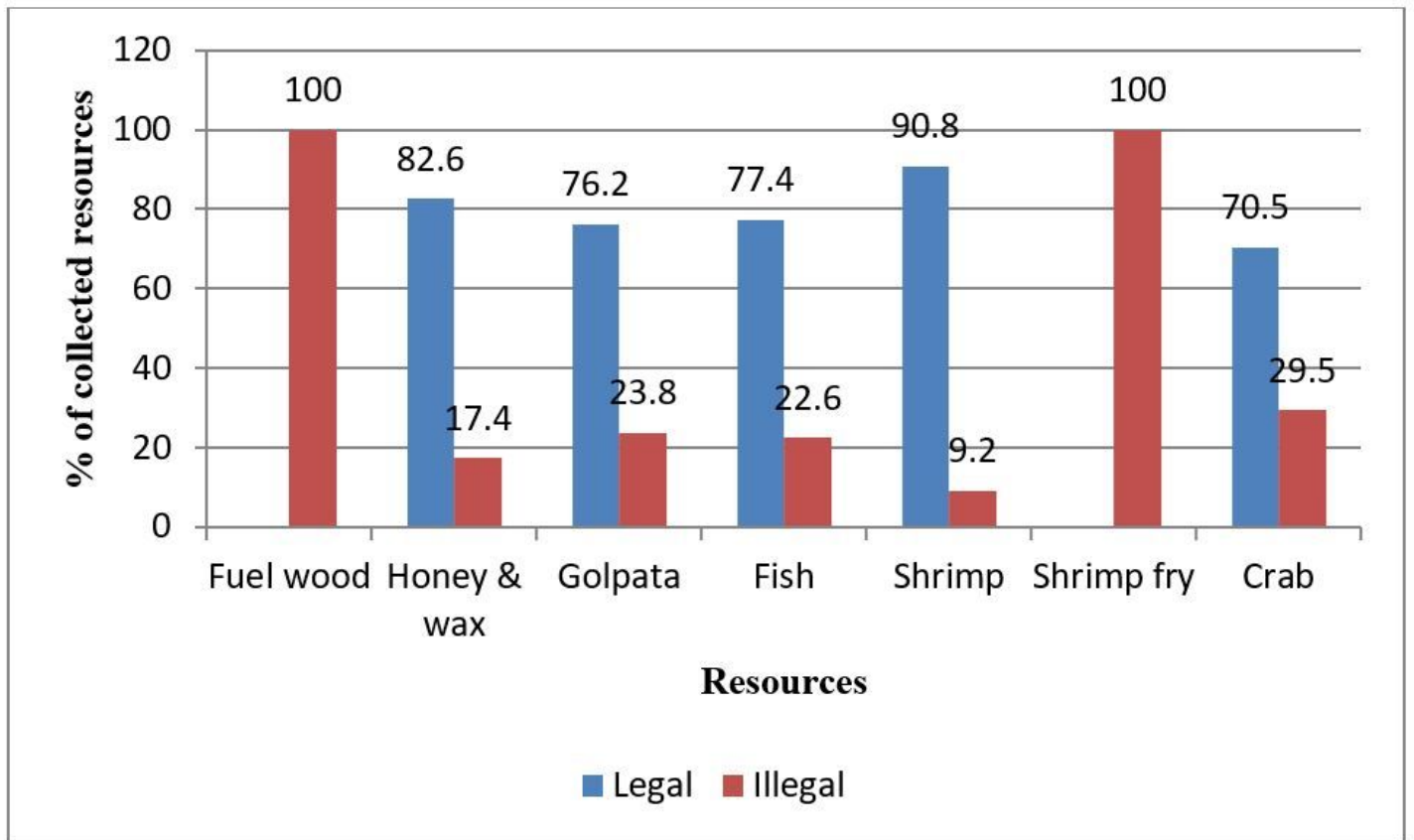
d. Area of honey and wax extraction

e. Area of crab extraction

f. Areas of fuel-wood extraction

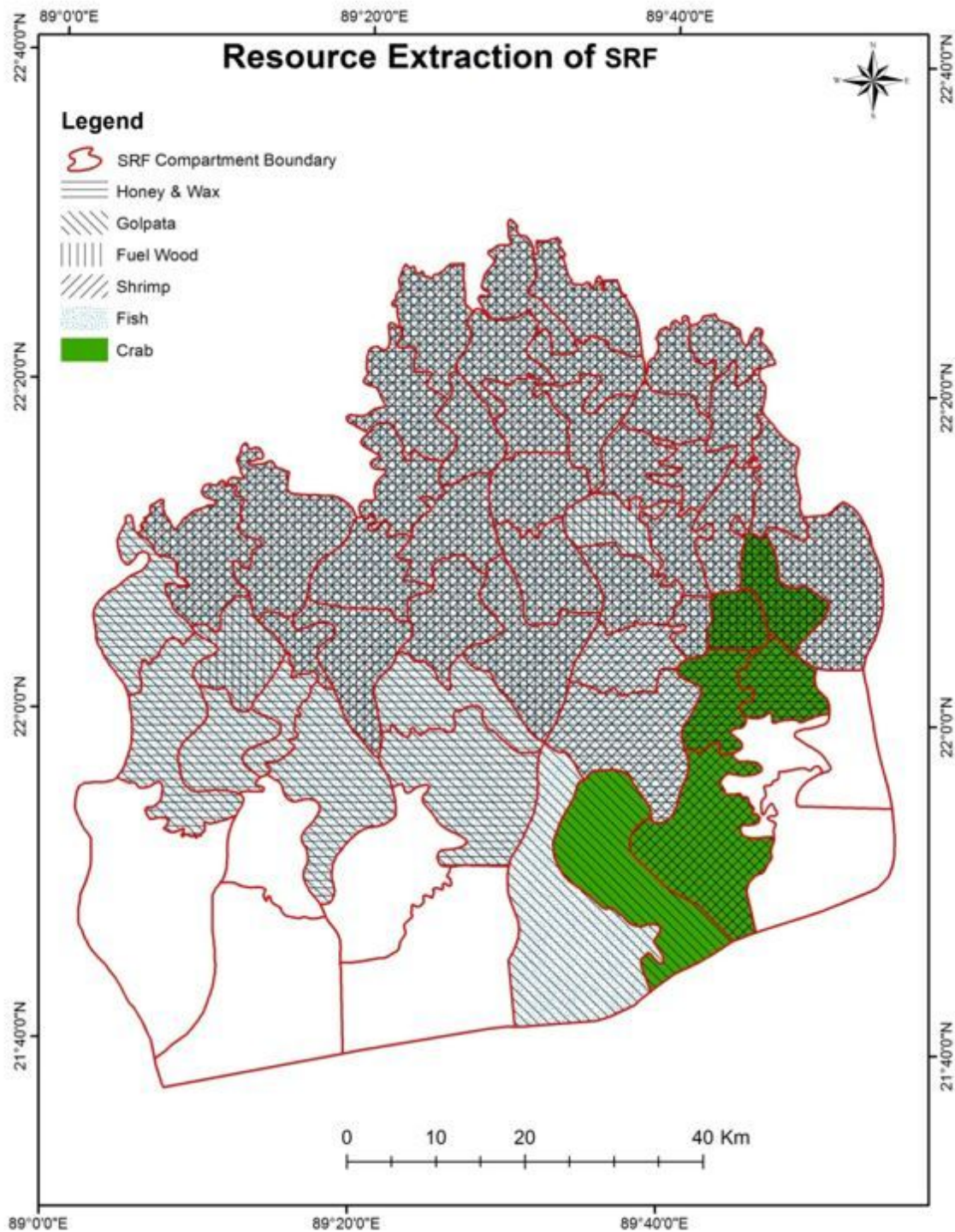
## Figure 2

(a-f): Resource-abundance areas of the Sundarban Reserve Forest Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 3**

Proportion of legally and illegally collected resources from the Sundarban



**Figure 4**

Areas of all resources extraction from the Sundarban Reserve Forest Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Table.docx](#)