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Abstract

**Background:** Observational and genetic studies have linked different anthropometric traits to breast cancer risk, with inconsistent results. We aimed to investigate the association between body shape defined by principal component (PC) analysis of anthropometric traits (body mass index (BMI), height, weight, waist-to-hip ratio (WHR), waist and hip circumference) and overall breast cancer risk and by subtype (luminal A, luminal B, HER2+, triple negative and luminal B/HER2 negative).

**Methods:** We performed two-sample Mendelian randomization analyses to assess the association between 188 genetic variants robustly linked to the first three PCs and breast cancer (133,384 combined pre- and post-menopausal cases/113,789 controls from the Breast Cancer Association Consortium (BCAC)).

**Results:** PC1 (general adiposity) was inversely associated with overall breast cancer risk (odds ratio (OR) 0.89 [95% confidence interval (CI) 0.81-0.98]; p-value = 0.016). PC2 (tall with low WHR) was weakly positively associated with overall breast cancer risk (OR = 1.05 [95% CI: 0.98-1.12]; p-value = 0.135), but with a confidence interval including the null. PC3 (tall with large WHR) was not associated with overall breast cancer risk. Some of these associations differed by breast cancer sub-types. For instance, PC2 was positively associated with risk of luminal A breast cancer sub-type (OR = 1.09 [95% CI: 1.01-1.18]; p-value = 0.02).

**Conclusions:** Our study provides evidence for potential causal associations between body shape and breast cancer risk and breast cancer sub-types highlighting the importance to also assess body morphology holistically.

Background

Breast cancer is the most frequently diagnosed cancer in women worldwide (2.26 million new cases in 2020) and is the leading cause of cancer mortality in women (684,996 deaths in 2020).\(^1\) Established risk factors for female breast cancer include sex, age, family history, alcohol consumption, ionising radiation exposure, reproductive factors such as early menarche, late menopause, late age at first pregnancy, low parity, and elevated levels of both endogenous and exogenous estrogen.\(^2\)

There is inconsistent evidence from prospective observational and genetic studies linking body fatness, mostly assessed by body mass index (BMI), to breast cancer incidence.\(^3\)–\(^5\) BMI has been reported to be either positively or inversely associated with breast cancer depending on the menopausal status and the molecular breast cancer sub-type.\(^3\)–\(^5\) Waist circumference (WC) has been positively associated with breast cancer risk among post-menopausal women, while the association with waist-to-hip ratio (WHR) remains inconclusive.\(^6\),\(^7\) Height has been positively associated with risk of overall and hormone receptor-positive breast cancer.\(^8\)
While a correlation between different anthropometric variables exists, individuals similar in one trait (e.g. BMI) may considerably differ for others (e.g. WHR) resulting in different body shapes. A promising approach to capture the complexity of body shape phenotypes is to combine multiple traits. Recently, a principal component analysis (PCA) was performed on six anthropometric traits (height, weight, BMI, WC, hip circumference (HC), and WHR) to define body shape. Each principal component (PC) represented a specific pattern of the six anthropometric traits and captured distinct body shape dimensions.

Different biological mechanisms may underlie the heterogeneity of body morphology with breast cancer risk, and the different body shapes may confer differences in breast cancer risk. However, considerable uncertainty remains about the causal effect of these anthropometric phenotypes, individually or in combination, on overall breast cancer risk and according to sub-type.

Mendelian randomization (MR) analysis uses genetic variants as instrumental variables (IVs) to strengthen causality in exposure-outcome associations. This methodology can overcome some of the limitations of conventional approaches such as reverse causation and unmeasured confounding, provided that the key assumptions of MR are not violated.

In this study a two-sample MR analysis was conducted to investigate causal associations between three body shape phenotypes, as derived in Ried et al., and the risk of breast cancer using summary level data from the Breast Cancer Association Consortium (BCAC). We also investigated associations by hormonal receptor status breast cancer sub-types.

**Methods**

**Body shape phenotypes and related genetic variants**

Ried et al. performed a PCA of six anthropometric traits (BMI, height, weight, WC, HC and WHR) obtaining three body shape phenotypes. The first three PCs explained 96.7% of the variation of these six anthropometric traits. PC1 had equally high positive loadings on all traits, except for height, characterizing individuals with general adiposity.

PC2 had high but opposite loadings on height and WHR, capturing variation in a composite body shape that represents tall individuals with a low WHR or vice versa, short individuals with a large WHR.

PC3 had high positive loadings on height and WHR, and an opposite loading of nearly the same size on HC. PC3 characterizes tall individuals with a large WHR resulting from a smaller HC or vice versa, short individuals with a low WHR and a high HC. Further details regarding PC loadings can be found in Supplementary Table 1.

Ried et al. also reported a fourth body shape (PC4) characterizing individuals with high BMI and weight with low WC and HC (athletic individuals) and vice versa. Although we also attempted to analyze PC4 as...
an additional exposure, results obtained are not reported in this manuscript due to their imprecision and the impossibility to build solid conclusions.

MR is based on three key assumptions: (1) the genetic variants are associated with the exposure, (2) the genetic variants are not associated with any potentially confounding variable and (3) the genetic variants are only associated with the outcome through the risk factor.\textsuperscript{12,13} To validate the first MR assumption, we used genetic variants identified from a two-stage meta-analysis of GWAS performed on the three first body shapes.\textsuperscript{9} The two GWAS steps included more than 170,000 individuals of European descent from 65 studies from the GIANT Consortium obtaining significant associations (p < 5 x 10^{-8}) of 200 loci (31 for PC1, 124 for PC2 and 45 for PC3), some of them not previously associated with single anthropometric traits.\textsuperscript{9} On this basis, we excluded 11 genetic variants after linkage disequilibrium analysis (LD r^2 ≤ 0.01) to avoid correlation between genetic variants, using the 1000 Genomes Project European samples. Genetic variant summary statistics for associations with PC1-3 were obtained for the remaining 189 genetic variants (30 for PC1, 115 for PC2 and 44 for PC3, considering 4 genetic variants related to both PC2 and PC3).

**Breast cancer risk data**

Genetic variant summary statistics for associations with risk of overall breast cancer and 5 sub-types (triple negative tumors, HER2 positive tumors regardless of estrogen receptor (ER) status, luminal B/HER2-negative-like and two types of ER positive breast cancer: luminal-A- and -B-like)\textsuperscript{14} were extracted from a GWAS of 247,173 individuals (133,384 breast cancer cases and 113,789 controls) of European ancestry carried out by the Breast Cancer Association Consortium (BCAC).\textsuperscript{11} For 6 genetic variants, the use of a proxy genetic variant was needed (r^2 ≥ 0.8; European samples); while for 1 genetic variant, no data were obtained. More detailed information on sample size and tumor heterogeneity is provided in Supplementary Table 2.

**Statistical analysis**

We performed a two-sample MR analysis to estimate the causal relation between independent body shape phenotypes (PC1, PC2 and PC3) and breast cancer risk (Fig. 1).

We used the fixed-effects inverse-variance weighted (IVW) method as the primary approach.\textsuperscript{15} This analysis can be understood as a meta-analysis of genetic variant effects which are calculated as the ratio of the genetic variant-breast cancer over the genetic variant-body shape association.\textsuperscript{12,16}

**Sensitivity analyses**

As the second (i.e. genetic variants are not associated with any potentially confounding variable) and the third (i.e. genetic variants are only associated with the outcome through the risk factor) MR assumptions are challenging to test,\textsuperscript{17} further analyses were performed to assess potential violation of both assumptions.
First, we used PhenoScanner (available at http://www.phenoscanner.medschl.cam.ac.uk) and the GWAS catalog (available at https://www.ebi.ac.uk/gwas/) to search whether our selected genetic variants were associated with other traits in previous GWAS.\textsuperscript{17–19}

Second, we used the Cochran's Q test to evaluate heterogeneity of causal effects from each variant, with a p-value $< 0.05$ indicating statistical significance.\textsuperscript{20} In case of heterogeneity, likely indicating pleiotropy\textsuperscript{21}, a random-effects IVW MR analysis was used.\textsuperscript{22} This method relaxes the third assumption as the total pleiotropic effect of a single genetic variant no longer needs to be null but assumes zero mean between all the genetic variants (i.e. balanced pleiotropy).\textsuperscript{22} In addition, we implemented MR-Egger regression\textsuperscript{23} and the weighted median approach.\textsuperscript{24} The MR-Egger methodology provides a statistical test for overall directional pleiotropy based on whether the intercept term is different from zero, as well as the $I^2_{\text{GX}}$ statistic, which indicates unreliability of MR-Egger inferences at values below 90\%.\textsuperscript{23,25} The weighted median estimator allows for the violations of the second and the third assumptions when up to 50\% of the genetic variants are invalid (i.e. violation of one or more MR assumptions).\textsuperscript{21,24} Both tests provide valid MR estimates in the presence of overall directional pleiotropy but suffer from reduced power.\textsuperscript{23,24}

Finally, we also used the MR Pleiotropy REssidual Sum and Outlier (MR-PRESSO) method to identify and remove any outlying variants\textsuperscript{26} and leave-one genetic variant-out analysis were used to assess whether any association was driven by specific genetic variants.

We used scatter plots to present the genetic associations between body shape phenotypes and breast cancer risk, in combination with funnel plots, to visually examine the consistency of MR estimates and the potential associated bias (Supplementary Fig. 1).

A two-sided p-value $< 0.05$ was considered statistically significant in all analyses.

All analyses were conducted in the statistical software R 4.0.4 and RStudio 1.4.1106 using the MendelianRandomization and MRPRESSO R packages.\textsuperscript{26,27}

**Results**

**Body shape phenotypes and risk of overall breast cancer**

PC1 was inversely associated with breast cancer risk (IVW\textsubscript{random-effects} OR per 1 SD equal to 0.89 [95% CI: 0.81–0.98]; p-value = 0.016) (Fig. 2). There was evidence of heterogeneity across genetic variants (p-value $< 0.001$) and of overall directional pleiotropy (Egger intercept = 0.029 [95% CI: 0.014–0.043]; p-value $< 0.001$) (Supplementary Table 3). Nevertheless, results of the random IVW after performing MR PRESSO, MR Egger, and the weighted median supported the inverse association (Egger OR = 0.59 [95% CI: 0.48–0.74]; p-value $< 0.001$; weighted median OR = 0.81 [95% CI: 0.76–0.88]; p-value $< 0.001$) (Supplementary Fig. 2.A). The leave-one genetic variant-out analysis showed an estimate closer to the null when excluding the genetic variant rs1121980 (a variant in the FTO gene) (Supplementary Fig. 3). This genetic
variant, along with the rs1582874 variant (associated with height and other traits) (Supplementary File 1), were identified as outliers by the MR-PRESSO method. No distortion of the overall risk estimate by the presence of these two outlier genetic variants was detected ($\rho_{\text{distortion}} = 0.13$) (Supplementary Table 4).

PC2 was weakly positively associated with the risk of breast cancer ($\text{IVW}_{\text{random-effects}} \text{OR} = 1.05$ [95% CI: 0.98–1.12]; p-value = 0.135) (Fig. 2), but the confidence interval included the null. We found presence of heterogeneity across genetic variants (p-value < 0.001), but directional pleiotropy was not detected (Supplementary Table 3). Accordingly, the dispersion of the estimates provided by the genetic variants in the funnel plot showed balanced pleiotropy (Supplementary Fig. 4). However, MR PRESSO indicated that the presence of five outlier genetic variants was potentially biasing the initial risk estimate ($\rho_{\text{distortion}} = 0.013$) (Supplementary Table 4). The weighted median and IVW random MR approaches without outlier genetic variants estimations were attenuated toward the null (Supplementary Fig. 2.B).

There was no evidence of an association between PC3 and breast cancer risk ($\text{IVW}_{\text{random-effects}} \text{OR} = 1.04$ [95% CI: 0.89–1.21]; p-value = 0.619) (Fig. 2). We obtained evidence of heterogeneity (p-value < 0.001), but without presence of overall directional pleiotropy (Supplementary Table 3). The sensitivity analysis supported a null association (Supplementary Fig. 2.C).

**Body shape phenotypes and risk of breast cancer sub-types**

PC1 was inversely associated with risk of all five breast cancer sub-types, of which associations were most consistent for HER2+ ($\text{IVW}_{\text{random-effects}} \text{OR} = 0.81$ [95% CI: 0.67–0.99]; p-value = 0.043) and triple negative sub-types ($\text{IVW}_{\text{random-effects}} \text{OR} = 0.82$ [95% CI: 0.73–0.91]; p-value < 0.001) (Fig. 2). Nevertheless, all of the associations showed heterogeneity and overall directional pleiotropy (Supplementary Table 3). Sensitivity analysis accounting for unbalanced pleiotropy and outliers supported the inverse associations of the main analysis (Supplementary Fig. 2.A). MR-PRESSO classified genetic variants as outliers in all the sub-types but only in luminal A sub-type identified biased association estimates ($\rho_{\text{distortion}} = 0.02$) (Supplementary Table 4).

Comparable to the overall breast cancer risk analysis, PC2 was positively associated with all breast cancer sub-types, except for HER2+, where we found a suggestive inverse association ($\text{IVW}_{\text{random-effects}} \text{OR} = 0.96$ [95% CI: 0.82–1.11]; p-value = 0.549) (Fig. 2). The only consistent positive association was observed for luminal A ($\text{IVW}_{\text{random-effects}} \text{OR} = 1.09$ [95% CI: 1.01–1.18]; p-value = 0.02) with presence of balanced pleiotropy (heterogeneity p-value <0.001; Egger intercept p-value <0.001) (Supplementary Table 3).

PC3 was suggestively inversely associated with the risk of the HER2 + sub-type breast cancer ($\text{IVW}_{\text{random-effects}} \text{OR} = 0.75$ [95% CI: 0.53–1.08]; p-value = 0.122) (Fig. 2). In contrast, positive associations were observed with risks of triple negative and luminal B/HER2 negative sub-types of breast cancer, although risk estimates were imprecise ($\text{OR}_{\text{triple negative}} = 1.14$ [95% CI 0.88–1.48]; $\text{OR}_{\text{luminal B/HER2 negative}} = 1.13$ [95% CI 0.88–1.44]). After accounting for unbalanced pleiotropy and outliers, results
supported these suggestive associations except the Egger test which presented unreliable results ($I^2_{\text{Gx}} \sim 0\%$) like in the overall breast cancer analysis (Supplementary Fig. 2.C).

**Discussion**

We performed a large-scale MR analysis to estimate causal associations between three distinct body shape phenotypes and the risk of overall breast cancer and five breast cancer sub-types. A body shape phenotype capturing general adiposity (PC1), with positive loadings on all anthropometric traits except height, was inversely associated with risk of overall breast cancer, with consistent associations across breast cancer sub-types. A body shape phenotype contrasting tall women with a low WHR to short women with a large WHR (PC2) was positively associated with risk of the luminal A sub-type of breast cancer, while associations with risk of overall breast cancer or other sub-types were less clear. There was no evidence of an association between PC3, a body shape phenotype contrasting tall women with a large WHR resulting from a small HC to short women with a low WHR and a high HC, and risk of overall breast cancer. There was suggestive evidence that PC3 was differentially associated with breast cancer sub-types (inversely associated with HER2+, but positively associated with triple negative and luminal B/HER2 negative).

To the best of our knowledge, this is the first MR analysis investigating the association between body shape phenotypes and breast cancer risk. A few MR studies reported associations between individual anthropometric exposures used to construct the body shape PCs and breast cancer risk.\(^4,7,8,28−30\)

PC1 was characterized by general adiposity, often defined by BMI. A 2019 MR analysis found that BMI was inversely associated with the risk of breast cancer, as well as both ER+ (luminal A, luminal B and luminal B/HER2 negative) and ER- (HER2+ and triple negative sub-types). The study used the same genetic data (BCAC) as we did and reported inverse associations between BMI and overall breast cancer risk.\(^28\) Conversely, the associations observed for the ER+ and ER- sub-groups slightly differed from our results. While our results pointed to a 10% and 20% reduced risk, in ER+ and ER- related sub-types breast cancer, respectively, Ooi et al. did not find any difference between ER+/- and reported an OR close to 0.8 for both sub-types.\(^28\) This difference could be due to the specificity of PC1, which captures a body shape that goes beyond BMI. PC1 could thus be complementary to and potentially more complete than BMI in characterizing general adiposity. This assertion is supported by the finding that two novel loci for PC1 were identified by Ried et al. that were not identified before in larger GWAS analyses for BMI, WHRadjBMI, and height.\(^9\)

Observational studies reported a positive association between BMI and breast cancer in post-menopausal women and an inverse association in pre-menopausal women.\(^31−34\) In post-menopausal women, adipose tissue largely catalyzes the conversion of adrenal androgens into estrogen by aromatase enzyme, processed known as estrogen biosynthesis. The presence of estrogen, alone and with progestin, is associated with post-menopausal breast cancer risk.\(^35\) In pre-menopausal women, large BMI is generally
associated with longer anovulatory cycles resulting in low levels of estrogen and progestin.\textsuperscript{36} These were potential arguments proposed to explain why ER+ breast cancer is more common in post-menopausal women than ER-, which is more likely in pre-menopausal stages. Although we were not able to differentiate between pre- and post-menopausal breast cancer with our summary-level genetic data, our results remain consistent with other MR analyses that used individual-level data and found that BMI was inversely associated with breast cancer risk, independently of menopausal stage.\textsuperscript{4,29}

Positive associations between body height and breast cancer risk using both traditional epidemiological approaches (OR per 9.2cm = 1.08 [95% CI: 1.06–1.10]) and genetic approaches (OR per 9.2cm = 1.07 [95% CI: 1.03–1.11]) have been reported.\textsuperscript{30} MR studies found similar associations, reporting a risk increased by a magnitude of 20% per 10cm increase.\textsuperscript{8} Our association (OR = 1.05 [95% CI: 0.98–0.13]) was slightly weaker than reported in the literature for height, which can potentially be explained by the fact that PC2 in our study was also characterized by differences in WHR. A meta-analysis of prospective observational studies reported a positive association between a large WHR and breast cancer risk (OR = 1.06 [95% CI: 0.99–1.13]) in postmenopausal women.\textsuperscript{6} In contrast, Gao et al. reported an inverse association between WHR and breast cancer risk (OR = 0.73 [95% CI: 0.53-1.00]) using MR.\textsuperscript{7} In our study, WHR had a weak positive contribution in PC1 but a strong negative one in PC2.\textsuperscript{9}

Regarding breast cancer sub-types, only luminal A (ER+/PR+) breast cancer showed a positive association with PC2. Results on other sub-types were less clear. Zhang et al. reported a positive association between height and all hormone receptor-positive (ER+/PR+) sub-type breast cancers and no relationship for hormone receptor-negative (ER-/PR-) sub-types.\textsuperscript{8} The lack of a consistent positive association between PC2 and other hormone receptor-positive sub-types (i.e. luminal B and luminal B/HER2 negative) in our analysis could be due to the lower number of genetic variants linked to height (114 variants; see Supplementary File 1) as compared to Zhang et al. (168 variants).\textsuperscript{8}

PC3, indicating body height combined with small HC and large WHR\textsuperscript{9}, was not associated with risk of overall and most sub-types of breast cancer, except for the HER2 + sub-type that showed an inverse association. A high positive estimate between PC3 with triple negative and luminal B/HER2 negative breast cancer sub-types was observed, although they were imprecise. Similar as for PC1, Ried et al. identified two novel loci for PC3 not previously related to anthropometric traits, which indicates that additional phenotype information is captured by integrating multiple anthropometric traits. They also argue that these novel loci may highlight biological pathways that would go undetected with single-trait analyses.\textsuperscript{9} This could at least partly explain why body shape phenotypes – as compared to single anthropometric traits – appear be more specific in characterizing risk of breast cancer sub-types.

Two body shape phenotypes (PC1 and PC3) were inversely associated with the risk of HER2 + breast cancer sub-types, albeit this association was only statistically significant for PC1. In previous literature, HER2 + sub-type tumors have been identified as a distinct subset (comprising 20–25%) of breast carcinomas and being differently associated with hormone-related risk factors.\textsuperscript{37,38}
In this study we employed different MR approaches: IVW-based methods, methods based on Egger regression and median-based methods. IVW methods are generally more powerful compared to the other methods implemented but they do not account for directional (unbalanced) pleiotropy. MR-Egger accounts for heterogeneity due to pleiotropy, although it results in a less powerful method. Median-based methods are between both IVW and MR-Egger approaches as they are more robust to directional pleiotropy than IVW and they allow up to 50% of invalid (i.e. violating one or more of the three assumptions of MR) genetic variants to be discarded.39

Although we checked for associations between our genetic variants and confounders, a violation of the second assumption of the MR approach cannot be entirely excluded due to the impossibility to check unknown confounding factors. Other limitations include that our study may be biased due to uncontrolled confounding from family effects such as assortative mating, dynastic effects, and population structure.40 We minimized this bias from population stratification performing a two-sample MR analysis in samples composed of Caucasians individuals only. However, this may cause our findings to be not generalizable to other ethnic groups. Referring to the body shapes, it is difficult to quantify the effect of PCs on breast cancer as it is not evident to what 1 SD corresponds in terms of anthropometry. Finally, it is important to highlight that with these genetic data for breast cancer, we were not able to differentiate between pre- and post-menopausal breast cancer. However, ~ 85% of breast cancer cases were post-menopausal, which means that our findings are more representative of post-menopausal breast cancer.

Conclusions

Our MR analysis provides evidence of an inverse association between a body shape phenotype that reflects general adiposity and risk of overall and in particular HER2 + and triple negative sub-types of breast cancer. Furthermore, we found a positive association between a body shape phenotype related to body height with a low WHR and luminal A sub-type breast cancer risk. A body shape related to body height with a large WHR resulting from smaller HC was not associated with breast cancer risk. The present study adds a complementary and promising approach to interrogate multiple anthropometric measurements in relation to breast cancer risk.

Abbreviations

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<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
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<tr>
<td>BC</td>
<td>Breast Cancer</td>
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<tr>
<td>BMI</td>
<td>Body Mass Index</td>
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<td>BCAC</td>
<td>Breast Cancer Association Consortium</td>
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<tr>
<td>CI</td>
<td>Confidence Interval</td>
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<td>DAG</td>
<td>Directed Acyclic Graph</td>
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Declarations

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**Ethic approval and consent to participate**

All studies participating in BCAC were conducted in accordance with the Declaration of Helsinki. For each study, investigators satisfied the local requirements for ethical research, including obtaining informed consent from participants.

**Consent for publication**

This article does not contain any individual-level data.

**Availability of data and materials**

All relevant data are within the manuscript and its Supplementary information.

**Competing interests**
The authors declare no conflict of interest.

**Funding**

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**Author's contributions**

The idea for the study was developed by HF and further specified by PF, VV, and BF. HF, ND, and RCT designed the study and protocol. LPN conducted the statistical analysis and supervision of ND and RCT. LPN and HF drafted the manuscript. All authors contributed to the manuscript through critical revision of drafts and approved the final manuscript.

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**Disclaimer**

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**Figures**

![Causal directed acyclic graph (DAG) of Mendelian Randomization analysis. The red arrow highlights the causal association between the body shape (exposure) and breast cancer (outcome) assessed.](image)

**Figure 1**

Causal directed acyclic graph (DAG) of Mendelian Randomization analysis. The red arrow highlights the causal association between the body shape (exposure) and breast cancer (outcome) assessed.
Figure 2

Mendelian randomization estimates between body shape phenotype PC1, PC2 and PC3 and overall and sub-types breast cancer risk. CI, confidence interval; OR, odds ratio. We report random-effect IVW results due to heterogeneity across the genetic instruments.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- SupplementaryFiguresandTables.pdf
- SupplementaryFile1.pdf