

Six-month follow-up of functional status in discharged patients with coronavirus disease 2019

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Abstract

Background The long-term functional outcome of discharged patients with coronavirus disease 2019 (COVID-19) remains unresolved. We aimed to describe a six-month follow-up of functional status of COVID-19 survivors.

Methods We reviewed the data of COVID-19 patients who had been consecutively admitted to the Tumor Center of Union Hospital (Wuhan, China) between 15 February and 14 March 2020. We quantified a six-month functional outcome reflecting symptoms and disability in COVID-19 survivors using a post-COVID-19 functional status scale ranging from 0 to 5 (PCFS). We examined the risk factors for the incomplete functional status defined as a PCFS > 0 at a six-month follow-up after discharge.

Results We included a total of 95 COVID-19 survivors with a median age of 62 (IQR 53-69) who had a complete functional status (PCFS grade 0) at baseline in this retrospective observational study. At six-month follow-up, 67 (70.5%) patients had a complete functional outcome (grade 0), 9 (9.5%) had a negligible limited function (grade 1), 12 (12.6%) had a mild limited function (grade 2), 7 (7.4%) had moderate limited function (grade 3). Univariable logistic regression analysis showed a significant association between the onset symptoms of muscle or joint pain and an increased risk of incomplete function (unadjusted OR 4.06, 95%CI 1.33 - 12.37). This association remained after adjustment for age and admission delay (adjusted OR 3.39, 95%CI 1.06 - 10.81, $p = 0.039$).

Conclusions A small proportion of discharged COVID-19 patients may have an incomplete functional outcome at a six-month follow-up; intervention strategies are required.

Background

Coronavirus disease 2019 (COVID-19) due to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection was firstly reported in Wuhan, China in December 2019 [1]. As of 3 December 2020, the COVID-19 pandemic has spread worldwide, affecting more than 64 million people and killing over one million lives [2]. Aggregating studies have shown that most SARS-CoV-2 infection was mild and moderate, which seems to have a positive recovery rate [3-5]. Previous studies with short-term follow-up data showed that a few discharged COVID-19 patients were re-positive for SARS-nCoV-2 detected by reverse transcription-polymerase chain reaction (RT-PCR) analysis [6-7]. Moreover, in addition to physical damage, some COVID-19 patients may suffer from psychological impairment including sleep disorder, depression and anxiety after discharge [8-9]. Previous studies also showed that discharged COVID-19 patients might have incompletely absorbed computed tomography (CT) findings, and some may develop residual pulmonary fibrosis [10-11]. Moreover, a retrospective study showed that more than half of the COVID-19 patients in the early convalescence phase had impaired diffusing-capacity, lower respiratory muscle strength, and lung imaging abnormalities [12]. Patients with other coronavirus infection like severe acute respiratory syndrome (SARS) or Middle East respiratory syndrome (MERS) may have long-term persistent radiographic abnormalities in their lungs [13-14]. It is reasonable to imagine that some

COVID-19 patients may have adverse functional outcomes despite recovery. To our knowledge, the follow-up advice for those testing positive for COVID-19 is lacking, and the long-term functional status in COVID-19 survivors remains poorly understood. We aimed to describe a six-month follow-up of the functional status of COVID-19 patients after discharge in this retrospective cohort study.

Methods

Study design, participants and data collection

In this retrospective single-center observational study, we collected the demographic and clinical data of laboratory-confirmed COVID-19 patients who had been consecutively admitted to the Tumor Center of Union Hospital (Wuhan, China) between 15 February and 14 March 2020. The extraction and analysis of baseline data regarding demographic and clinical characteristics were documented in our previous published literature [15,16]. We obtained and clarified data by direct communication with attending physicians and the healthcare providers when data were missing or uncertain from the medical records. We excluded patients if they did not undergo a post-COVID-19 functional status scale (PCFS) interview at six-month follow-up after discharge or had a PCFS > 0 at baseline (one month before the onset of COVID-19 symptoms).

Follow-up

Patients were followed-up at a six-month after discharge. The PCFS was designed as a measure to focus on relevant aspects of daily life during follow-up in COVID-19 patients [17, 18]. Briefly, we asked four questions to our participants or their caregivers: 1. Can you live alone without any assistance from another person? 2. Are there any duties and/or activities at home or at work which you are no longer able to perform yourself? 3. Do you suffer from symptoms, pain, depression or anxiety? 4. Do you need to avoid or reduce duties and/or activities or spread these over time? Based on the answers to these questions, the PCFS grades (0,1,2,3,4,5) were generated (**Supplemental Table 1**). Grade 0 reflects the absence of any functional limitation, grade 1 and 2 mirror negligible to mild functional limitation, while grade 3 and 4 reflect moderate to severe limitation of functional status [18]. Two trained authors (S.F. and H.L.) who were blinded to the baseline routine clinical data performed the structured interview with participants by telephone interview at six-month after discharge, based on the PCFS manual (version May 2020) [18]. In case of disagreement, a consensus was reached after team discussion. We assessed inter-rater agreement on a random sample using Cohen's Kappa coefficient.

Outcomes

Our primary outcome was the functional status of the COVID-19 patients at a six-month follow-up by using a PCFS interview [17].

Statistics

We summarized continuous data with mean value with standard deviations or median value with interquartile range (IQR), and categorized data as counts with percentages. We used the t-test or Mann-Whitney test to compare the differences in continuous variables, and the chi-square test or Fisher's exact test to compare the differences in categorical variables as appropriate. To permit a comparison, we dichotomized patients into complete (PCFS = 0) and incomplete (PCFS > 0) functional status at six-months follow-up after discharge. We included potentially significant variables if $p \leq 0.2$ by univariable analysis into the multivariable logistic regression model, to investigate the factors for the incomplete functional (PCFS > 0). All statistics were performed using SPSS for windows 22.0 (IBM, Inc, USA).

Results

We consecutively enrolled a total of 164 patients with laboratory-confirmed COVID-19 between 15 February and 14 March 2020. After excluding seven non-survivors and 53 lost to follow-up or did not undergo the PCFS interview, 104 patients (53 [50.9%] male) with a median age of 62 (IQR 54-70) participated in the follow-up. Patients with and without the PCFS interview were similar in age (63 [54-70] vs 62 [52-69], $p = 0.493$), to be male (53 [51.0%] vs 24 [45.3%], $p = 0.501$), and admission delay (13 [7–20] days vs 14 [9–21], $p = 0.157$). After further excluding three (2.9%) patients with grade 3 and six (5.8%) patients with grade 4 at baseline, we included 95 patients with a baseline PCFS = 0 in the final analysis (**Figure 1**).

Table 1 shows the demographics and clinical characteristics of the study population. The inter-rater reliability for baseline PCFS interview was 0.68 (95% CI 0.46-0.90) ; for PCFS interview six-month after discharge 0.79 (95% CI 0.65-0.93). At six-month follow-up, 67 (70.5%) patients had a complete functional outcome (grade 0), 9 (9.5%) had a negligible limited function (grade 1), 12 (12.6%) had a mild limited function (grade 2), 7 (7.4%) had moderate limited function (grade 3). The differences in the demographics and clinical characteristics between patients with PCFS = 0 and PCFS > 0 at six-month follow-up are shown in **Table 2**. Compared to those with PCFS = 0, patients with PCFS > 0 were younger (60 [49-69] vs 64 [56-69], $p = 0.164$), more likely to have onset symptoms of muscle or joint pain (9 [32.1%] vs 7 [10.4%], $p = 0.01$), and had shorter onset-admission delay (9 days [6-18] vs 14 [10-20], $p = 0.04$).

In univariable logistic regression analysis, onset symptoms of muscle or joint pain (unadjusted OR 4.06, 95%CI 1.33 - 12.37) were associated with an increased risk of having a PCFS > 0 at six-month follow-up. We found a negative association between the onset-admission delay and a PCFS > 0 at six-month follow-up (unadjusted OR 0.95, 95%CI 0.89-1.00). After adjustment for age, onset symptoms of muscle or joint pain (adjusted OR 4.07 95%CI 1.32-12.54, $p = 0.015$) remained significantly associated with an increased risk of having a PCFS > 0 at six-month follow-up. In the multivariable regression analysis, onset symptoms of muscle or joint pain remained significantly associated with an increased risk of incomplete functional status (adjusted OR 3.39 95%CI 1.06 - 10.81, $p = 0.039$). The association between the onset-admission delay and having a PCFS > 0 was lost in the multivariable regression model (**Table 3**).

Discussion

The most important finding of the present study was that a small proportion of COVID-19 survivors may have an incomplete function status at a six-month follow-up after discharge. A previous study found that a considerable proportion of COVID-19 survivors without critical cases still had radiological and physiological abnormalities at three months after discharge [19]. Our study adds to findings of the previous study by incorporating insights into the functional outcome with a longer-term follow-up data. Our findings may contribute to better understand the important question for clinicians and the public: will patients recovered from COVID-19 have any long-term sequelae?

In our cohort, COVID-19 survivors with the onset symptoms of joint or muscle pain were at an increased risk of having incomplete function status at six-month after discharge. In line with this finding, a previous study of 158 hospitalized COVID-19 patients showed that the symptoms of muscle or joint pain were significantly associated with the trend of intensification of COVID-19 (3/30% vs 3/128, $p = 0.048$) [20]. The associated muscle pain is one of the most frequent causes of pain in SARS-nCoV-2 infection. For example, a previous observational study showed that nearly 36% of COVID-19 patients had myalgia as one of the most common onset symptoms [21]. Although previous studies have suggested that the onset symptoms of muscle pain do not seem to increase with COVID-19 severity [3, 16, 22], in patients with abnormal chest radiographic findings, myalgias appeared to be an important risk factor for the severity of the overall disease [23]. The upregulation of the proinflammatory cytokines such as interleukin-6 during viral infection may cause muscle and joint pain [24]. Some researchers believe that myalgia in COVID-19 patients might mirror the systematic inflammation and cytokine response [25]. As SARS-CoV-2 infection induces robust immunologic complications like cytokine storm, elevated cytokine levels such as interleukin-6, interleukin-10, and tumor necrosis factor- α might occur, especially in patients with a moderate or severe disease course [26-27]. This hypothesis was supported by a previous observational study that showed COVID-19 patients with muscle injury had manifestations of increased inflammatory response and blood coagulation function [28]. Although our study cannot provide comparative data to determine the effects of COVID-19 on the long-term functional outcome, our findings will contribute to determining COVID-19 at initial stages and suggesting medical intervention in a timely manner.

Our data suggest that the inter-rater reliability of the PCFS interview was satisfactory. Moreover, both raters reported no significant difficulties with scale interpretation, indicating that the PCFS is a simple and feasible approach to monitor the course of symptoms and the impact of symptoms on the functional status of COVID-19 survivors. Previous studies have shown that the functional impairment checklist is reliable, valid and responsive to changes in symptom and disability as a consequence of SARS, suggesting it may provide a means of assessing health-related quality of life outcomes in a longitudinal follow-up [29].

Limitation: First, this is a small sample-sized retrospective observational study without a predefined protocol. Due to the likely self-selection bias by covering only those undergo the post-COVID-19 survey, our findings need to be interpreted with caution and validated in further large-sample studies. Second, we did not validate the PCFS assessment with other well-validated tools such as six-minutes walking exercise and Saint-George respiratory scale. Results from the LEOSS registry (Lean European Open Survey

on SARS-CoV-2 Infected Patients; <https://LEOSS.net>) will better address the long-term functional outcomes.

Conclusions

The present study indicated that a small proportion of COVID-19 survivors might have an incomplete function reflecting symptoms and disability at six-month follow-up; rehabilitation programs are required.

Declarations

Ethics approval and consent to participate

The Ethics Committee of Fujian Medical University Union Hospital approved this study. All clinical investigations were conducted in accordance with the principles expressed in the declaration of Helsinki.

Ethics approval

This study was approved by the Ethics Committee of Fujian Medical University Union Hospital, which is a member of the National Medical Team Support Wuhan for COVID-19. Written informed consent was waived due to the nature of our retrospective study of routine baseline clinical data. We obtained oral informed consent in view of a six-month follow-up of data.

Consent to participate

Not applicable

Consent for publication

Not applicable

Availability of data and material

The datasets generated during and/or analyzed during the current study are available from the corresponding author at xieheliunan1984@fjmu.edu.cn on reasonable request.

Code availability

SPSS statistical software version 22.0 (IBM Inc).

Authors' contributions

Drs H Du and N Liu had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. Drs H Du, S Fang, X Chen and S Wu contributed equally to this work. Study concept and design: Drs H Du and N Liu. Acquisition, analysis, or interpretation of data: Drs H Du, S Fang, S Wu, X Chen, J Chen, X Pan, L Luo, H Lin and N Liu. Drafting of the manuscript:

Drs H Du, S Fang, X Chen, S Wu, and N Liu. Critical revision of the manuscript for important intellectual content: Drs J Chen, X Pan, Y Zhang, H Huang, L Luo, H Lei, R Chen, Z Zheng, H Lin, L Chen. Statistics: Drs Xiao-qing Li and Pin-cang Xia.

Competing interests

None

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Tables

Table 1: Baseline characteristics of study participants

| | Total (n = 95) |
|---------------------------------------|-------------------|
| Age, (y) median, (IQR) | 62 (53-69) |
| Male, n (%) | 50 (52.6) |
| Current smoker, n (%) | 10 (10.5) |
| Regular drinker, n (%) | 2 (2.1) |
| Hypertension, n (%) | 27 (28.4) |
| Diabetes, n (%) | 14 (14.7) |
| COPD, n (%) | 6 (6.3) |
| Cardio-cerebrovascular disease, n (%) | 16 (12.7) |
| Tumor, n (%) | 7 (7.4) |
| Immunosuppressives, n (%) | 2 (2.1) |
| Renal impairment, n (%) | 11 (11.6) |
| Wet market exposure, n (%) | 1 (1.1) |
| Clinical symptoms | |
| Fever, n (%) | 69 (72.6) |
| Dry cough, n, (%) | 62 (65.3) |
| Productive cough, n (%) | 11 (11.6) |
| Fatigue, n (%) | 35 (36.8) |
| Musle or joint ache, n (%) | 16 (16.8) |
| Thoracalgia, n (%) | 16 (16.8) |
| Sore throat, n (%) | 14 (14.7) |
| Diarrhea, n (%) | 9 (9.5) |
| Catarrh, n (%) | 5 (5.3) |
| Anorexia, n (%) | 28 (29.5) |
| Short of breath, n (%) | 33 (34.7) |
| Headache, n (%) | 14 (14.7) |
| Routine blood examinations | |
| Decreased leucocytes, n (%) | 5 (5.3) |

| | |
|--|-----------|
| Decreased lymphocytes, n (%) | 27 (28.4) |
| Decreased hemoglobin, n (%) | 24 (25.3) |
| Decreased platelets, n (%) | 5 (5.3) |
| ALT or AST > 40U/L | 37 (29.4) |
| Chest CT findings, n (%) | |
| Unilateral pneumonia, n (%) | 16 (16.8) |
| Bilateral pneumonia, n (%) | 55 (57.9) |
| Multiple mottling and ground-glass opacity, n (%) | 24 (25.3) |
| Treated with steroid, n (%) | 10 (10.5) |
| Antiviral, n (%) | 93 (97.9) |
| Severe COVID-19, n (%) | 13 (13.7) |
| Admission delay, (day) median, (IQR) | 14 [8-21] |

Abbreviations: COVID-19 = coronavirus disease 2019; SD = Standard deviation; COPD = Chronic obstructive pulmonary disease; IQR = Interquartile range; ALT = Alanine transaminase (U/L; normal range 0 - 40); AST = Alanine aminotransferase (U/L; normal range 0 - 40); CT = Computed tomography; Decreased means below the lower limit of the normal range. Leucocytes ($\times 10^9/L$; normal range 3.5-9.5); Lymphocytes ($\times 10^9/L$; normal range 1.1-3.2); Platelets ($\times 10^9/L$; normal range 125.0-350.0); Hemoglobin (g/L; normal range 130.0-175.0)

Table 2: Baseline characteristics between COVID-19 survivors with complete and incomplete functional status at 6-months follow-up

| | Complete function (n = 67) | Incomplete function (n = 28) | P-value |
|---------------------------------------|----------------------------------|---------------------------------|---------|
| Age, (y) median, (IQR) | 64 (56-69) | 60 (49-69) | 0.164 |
| Male, n (%) | 35 (52.2) | 15 (53.6) | 0.906 |
| Current smoker, n (%) | 6 (9.0) | 4 (14.3) | 0.685 |
| Regular drinker, n (%) | 1 (1.5) | 1 (3.6) | >0.999 |
| Hypertension, n (%) | 20 (29.9) | 7 (25.0) | 0.633 |
| Diabetes, n (%) | 10 (14.9) | 4 (14.3) | >0.999 |
| COPD, n (%) | 5 (7.5) | 1 (3.6) | 0.667 |
| Cardio-cerebrovascular disease, n (%) | 8 (11.9) | 4 (14.3) | >0.999 |
| Tumor, n (%) | 4 (6.0) | 3 (10.7) | 0.707 |
| Immunosuppressives, n (%) | 1 (1.5) | 1 (3.6) | >0.999 |
| Renal impairment, n (%) | 10 (14.9) | 1 (3.6) | 0.220 |
| Wet market exposure, n (%) | 1 (1.5) | 0 (0) | >0.999 |
| Clinical symptoms | | | |
| Fever, n (%) | 48 (71.6) | 21 (75.0) | 0.738 |
| Dry cough, n, (%) | 44 (65.7) | 18 (64.3) | 0.897 |
| Productive cough, n (%) | 7 (10.4) | 4 (14.3) | 0.856 |
| Fatigue, n (%) | 25 (37.3) | 10 (35.7) | 0.883 |
| Muscle or joint ache, n (%) | 7 (10.4) | 9 (32.1) | 0.010 |
| Thoracalgia, n (%) | 10 (14.9) | 6 (21.4) | 0.440 |
| Sore throat, n (%) | 11 (16.4) | 3 (10.7) | 0.691 |
| Diarrhea, n (%) | 8 (11.9) | 1 (3.6) | 0.376 |
| Catarrh, n (%) | 3 (4.5) | 2 (7.1) | 0.979 |
| Anorexia, n (%) | 18 (26.9) | 10 (35.7) | 0.388 |
| Short of breath, n (%) | 22 (32.8) | 11 (39.3) | 0.547 |
| Headache, n (%) | 11 (16.4) | 3 (10.7) | 0.691 |

| | | | |
|---|------------|-----------|--------|
| Routine blood examinations | | | 0.317 |
| Decreased leucocytes, n (%) | 5 (7.5) | 0 (0) | 0.983 |
| Decreased lymphocytes, n (%) | 19 (28.4) | 8 (28.6) | 0.970 |
| Decreased hemoglobin, n (%) | 17 (25.4) | 7 (25.0) | 0.979 |
| Decreased platelets, n (%) | 3 (4.5) | 2 (7.1) | |
| ALT or AST > 40U/L | 24 (35.8) | 9 (32.1) | 0.731 |
| Chest CT findings, n (%) | | | 0.407 |
| Unilateral pneumonia, n (%) | 13 (19.4) | 3 (10.7) | |
| Bilateral pneumonia, n (%) | 36 (53.7) | 19 (67.9) | |
| Multiple mottling and Ground-glass opacity, n (%) | 18 (26.9) | 6 (21.4) | |
| Treated with steroid, n (%) | 9 (13.4) | 1 (3.6) | 0.289 |
| Antiviral, n (%) | 66 (98.5) | 27 (96.4) | >0.999 |
| Severe COVID-19, n (%) | 10 (14.9) | 3 (10.7) | 0.828 |
| Onset to admission, (day) median, (IQR) | 14 [10-20] | 9 [6-18] | 0.04 |

Abbreviations: COVID-19 = coronavirus disease 2019; SD = Standard deviation; COPD = Chronic obstructive pulmonary disease; IQR = Interquartile range; ALT = Alanine transaminase (U/L; normal range 0 - 40); AST = Alanine aminotransferase (U/L; normal range 0 - 40); CT = Computed tomography; Decreased means below the lower limit of the normal range. Leucocytes ($\times 10^9/L$; normal range 3.5-9.5); Lymphocytes ($\times 10^9/L$; normal range 1.1-3.2); Platelets ($\times 10^9/L$; normal range 125.0-350.0); Hemoglobin (g/L; normal range 130.0-175.0)

Table 3: Risk factors for incomplete function status at 6-months follow-up

| | Univariable | | | Age-adjusted | | | Multivariable | |
|----------------------|-------------------|--|---------|-------------------|--|---------|-------------------|---------|
| | OR (95%CI) | | P-value | OR (95%CI) | | P-value | OR (95%CI) | P-value |
| Age | 0.98 [0.95-1.01] | | 0.219 | / | | / | 0.98 [0.95-1.02] | 0.259 |
| Muscle or joint pain | 4.06 [1.33-12.37] | | 0.014 | 4.07 [1.32-12.54] | | 0.015 | 3.39 [1.06-10.81] | 0.039 |
| Admission delay | 0.95 [0.89-1.00] | | 0.061 | 0.95 [0.89-1.00] | | 0.065 | 0.96 [0.90-1.02] | 0.163 |

Categorical variables are defined as 1 = yes, 0 = no

Figures

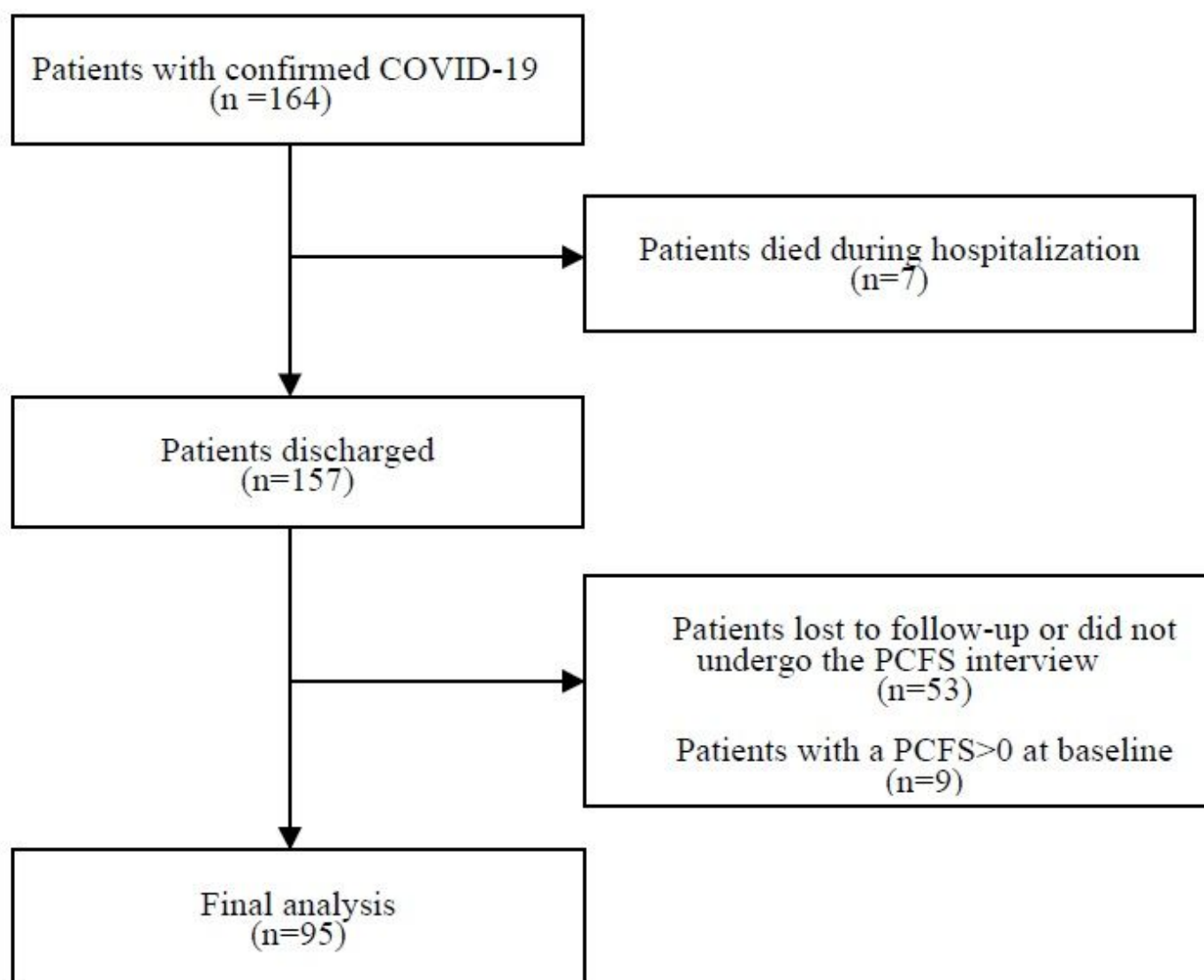


Figure 1

Flow chart of patients selection COVID-19 = coronavirus disease 2019 PCFS = post-COVID-19 functional status scale

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementalTable1.docx](#)