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Adapting a Foggy Future along Trans-Arctic Shipping Routes

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Abstract

Rapid retreat of Arctic sea ice extent in response to global climate warming expends the area of open ocean for new trans-Arctic shipping routes. Ship companies will benefit from the shortened sailing distance across the Arctic, but threats of intensified sea fog induced by the retreated sea ice, especially the potential risk of accidents due to low visibility, are not well considered when designing the trans-Arctic shipping routes. Here, we show that the sailing time along the previous suggested routes will increase nearly 10–30% caused by the impacts of sea fog. We further design a new route detouring to the low-fog-frequency area, based on the projected sea ice extent and the fog frequency in 21st century. The new route is 5–20% longer than the original one, but can save as much as 10% of total sailing time, and most importantly, will lower the risk of catastrophic accidents. Our estimates are similar in both RCP4.5 and 8.5 of CMIP5 simulations.
Impacts of fog on Arctic shipping routes

The increase of open water area as a result of the sea ice retreat has inadvertently attracted socio-economic developments in the Arctic, such as oil extraction, North Pole tourism, and trans-Arctic shipping routes\textsuperscript{1–4}. The latter have particularly received international interests after the 2021 Suez Canal Blockage. Ship companies can realize the greatest advantage, because present travels of more than 20,000 km from Far East to Northwest Europe via the Suez Canal can be reduced to about 10,000 km and the averaged sailing times can be shortened from 20 days to 11 days, if the Northern Sea Route (NSR) north of the Russian Federation through the Arctic is used\textsuperscript{4–6}. It is estimated that by the mid-21\textsuperscript{st} century, changing sea ice conditions will enable expanded September navigability for common open-water vessels crossing the Arctic along the NSR, as well as robust new routes for moderately ice-strengthened (Polar Class 6, PC6) vessels over the North Pole, and new routes through the Northwest Passage (NWP) for both classes\textsuperscript{6–10}. Taking into account canal fees, fuel costs, and other variables that determine freight rates, this shortcut can tremendously reduce the costs of a large container ship company every year. The savings would be even higher for megaships that are unable to pass through Panama and Suez Canals and so currently still sail around the Cape of Good Hope and Cape Horn\textsuperscript{11}.

However, the occurrence of fog may slow down or even stop these marine operations, leading to significant economic costs\textsuperscript{12}. Although vessels equipped with cutting-edge instruments such as radar, searchlights, and radios can avoid collisions if they exactly follow International Maritime Organization regulations during transportation, low visibility under the sea fog may increase the chances of judgment errors and threaten the operation of common open-water vessels and even the vessels of PC6 while navigating in the ocean with floating ice. Previous assessments of future optimal navigation routes in Arctic are mostly based on the sea ice conditions under the representative concentration pathway (RCP) 4.5 and 8.5 climate-forcing scenarios\textsuperscript{10,13}. The impact of sea fog on navigation routes are not considered. Here, we use a combination of present-day reanalysis and model simulations to project sea fog
variability in 21st century and design new routes based on the sea ice extent and sea fog changes.

**Projected Arctic sea fog**

Direct simulation of sea fog using Polar-optimized version of the Weather Research and Forecasting Model (PWRF) requires 6-hourly atmospheric fields, with a 25-hPa vertical resolution within the boundary layer, as the initial and boundary conditions. This kind of data is usually unavailable in future projections based on the Fifth Phase of the Coupled Model Intercomparison Project (CMIP5). To project the future Arctic sea fog variability, we first simulate the present-day Arctic sea fog frequency (SFF) using PWRF with 6-hourly atmospheric fields from ERA-Interim reanalysis datasets\(^1\) (PWRF-ERA). Then, based on this present-day simulation, we derive a semi-empirical multi-variable linear relationship between the Arctic SFF with the relative humidity (RH) and atmospheric stability, defined as the temperature inversion between 925 hPa and 2 m above ground (Methods). Finally, the future Arctic SFF is estimated using the CMIP5 RH and atmospheric stability and the derived semi-empirical multilinear relationships.

We define SFF as the fraction of Arctic sea fog days in each summer (July to September)\(^15,16\). A day is defined as fog day, if at least one 6-hourly model output in this day is foggy. Based on the simulations of PWRF-ERA, the SFF is highly correlated (≥ 0.7) with the summer-mean RH over most of the Arctic Ocean except the north Beaufort Sea (Figure S1). The strong correlation between SFF and RH is expected because high RH, which means that atmosphere approaches 100% more frequently (Figure S2), is a necessary but not sufficient condition for onset of fog\(^17\).

Atmospheric stability is another necessary condition for onset of fog over the north Beaufort Sea, where the atmospheric stability is shown to be well correlated with SFF (~0.4; Figure S1). The simulation suggests that a northward-propagating Beaufort high-pressure center enhances local atmospheric stability while an eastward-propagating Beaufort high enhances the local RH (Figure S3). The spatial pattern of future SFF during 2006–2099 is derived from the monthly mean of 21
climate models in CMIP5 under RCP 4.5 and 8.5. The ensemble mean of projected SFF in 21st century exhibits similar pattern with nowadays ship-based observations and previous studies: SFF along the coast is higher than 20%, especially over north of Alaska, Canadian Arctic Archipelago, Greenland Sea, and East Siberian Sea. In the central Arctic, it decreases rapidly and is only about 6% near North Pole (Figure 1a-d). The high SFF along the coast and over central Arctic is related to the great temperature gradient between warm land and cool sea surface along the coast during summer, which enhances advection fog formation when warm and moist air cools and condensates over cold water. Compared with observations, the simulated fog captures the spatial characteristics on the Atlantic side, but it is about 10% larger than observation along Alaska coast and Canadian Arctic Archipelago. This over-estimation is mainly because the liquid water content in the model simulation is always greater than the observations.

Historical SFF over entire Arctic Ocean north of 70ºN during the past 40 years simulated by PWRF-ERA exhibits significant linear decreasing trend by –0.4% every decade (Figure 1e). While more open water due to surface warming increases evaporation and specific humidity in the air, the increased partial pressure of water vapor does not necessary infer increased fog formation because the saturation vapor pressure also increases in the warming air. In fact, fog formation may even decrease if the saturation vapor pressure increases faster than the partial pressure of water vapor, especially over the open water area (Figure 1f). As a result, different climate models may predict widely different future sea fog variability. GFDL-CM3 shows that SFF remains nearly unchanged in 21st century, whereas in CNRM-CM5 it is reduced by about one third during this century. The ensemble-mean of model SFF significantly decreases by –0.2% every decade, similar to the decreasing trend during past 40 years.

While the averaged SFF over the entire Arctic may decrease as a result of the Arctic warming and the Clausius–Clapeyron relation, the PWRF-ERA simulation suggests that SFF increases more than 10% over the new open water regions (Figure 1g), mostly because of an increase of moisture and latent heat exchange between the
Arctic air and the relatively warm Arctic sea surface. We also find that the SFF usually increases substantially over the new open water regions where the SIE is more than 40% in previous year (Figure 1g), or some regions where the sea ice melts rapidly but still exists (Figure S4). It should be noted that these new open water regions are generally chosen as the new routes because ships tend to pass through the area with sea-ice melting in higher latitude to save distance. Therefore, the impact of sea fog to the Arctic routes must be considered.

Figure 1. Climatological distribution and variability of Arctic summer SFF. (a) and (b) are results from ship observation and PWRF-ERA. The shaded grid in (a) represents observation with data more than 10 years. (c), (d) are the projected SFF under RCP4.5 and 8.5 during 2006-2099. (e) shows the regional-averaged SFF over the sea north of 7º0N based on PWRF-ERA (black), RCP4.5 (blue) and 8.5 (red). Shading indicates ±one standard deviation. The linear trends pass the Mann-Kendall test under 95% significance level. (f) shows the fog change and SST change over regions which are open water in previous and current years. (g) shows the relationship between fog and sea ice change over regions where sea ice in previous year is larger than 10% and will melt into open water in current year (sea ice less than 1%). Error bars denote one standard deviation. (f) and (g) are based on PWRF-ERA.
Previous studies have designed many trans-Arctic routes for polar-class and open-water vessels with medium and no ice-breaking capability based on sea ice conditions only\textsuperscript{7,10,13,26}. The potential routes, including the NSR along the north of the Russian Federation and the NWP via the Canadian Arctic Archipelago, will be navigable owing to the rapid retreat of sea ice. In order to estimate the impacts of sea fog on transit time along these routes, we first employ the same method to derive the trans-Arctic routes for a moderately ice-strengthened ship (Table S3) in nine non-overlapping 10-year segments from 2006 to 2095, using CMIP5 multi-model ensemble mean of sea ice under RCP4.5 and 8.5 (hereafter referred as Route-I).

Consistent with the previous plans\textsuperscript{7,10}, Route-I, starting from Rotterdam or St. John's and terminating at Bering Strait, tends to shift to higher latitudes and will go through central Arctic Ocean by midcentury under the Arctic warming (Figure 3 and S6). Due to the shorter sailing distance, the sailing time of Route-I decreases from 12 days during 2006-2015 to 10 days during 2086-2095 along the NSR, and decreases from 12 days to 11 days along the NWP (Figure 2). The total distance travelled in the proposed NSR and NWP routes terminating at Bering Strait shown in Figure 3 are generally of the order of 4000 km.
Figure 2. Sailing time along Northern Sea Route (NSR) and North West Passage (NWP) under RCP4.5 and 8.5. Solid blue lines indicate Route-I that only considering sea ice; Dashed blue lines indicate Route-I but considering the deceleration of sea fog. Red lines indicate Route-F considering both sea ice and sea fog. The sailing time at 2010 represents the mean result during 2006-2015, and so on.

To show the SFF along the proposed routes, we use the cumulative distance travelled from Rotterdam or St. John’s as the abscissa along the routes. Both NSR and NWP experience frequent sea fog. During 2006–2015, there are more than 740 km of the voyage along NSR with SFF exceeding 20% (defined as high-SFF region). These regions are mainly located in the Barents Sea (1500-2000 km from the starting city) and the East Siberian Sea (3200-3700 km). Along the routes, the maximum SFF can reach 23.0% and 24.1%, respectively (Figure 4a), posing higher risk on the ship operations. With sea ice melting, NSR shifts to higher latitude, and sea fog along NSR may decrease when the saturation vapor pressure increases in the warming air. The high-SFF region during 2086-2095 becomes about 520 km, reduced by 30% compared with that during the early century. However, the maximum SFF can still reach more than 30%, mainly in Frame Strait (1500-2000 km), which cannot be ignored by shipping planning.

The high-SFF regions along NWP can reach about 1330 km, more than double than that along NSR (Figure 4b). Most of them are located in Baffin Bay (1600–2000 km) and north of Alaska (3000–3800 km). The maximum SFF in these two regions are 34.1% and 34.6%, respectively. The SFF distribution along NWP is almost unchanged in 21st century while the pathway of NWP and the SFF along NWP are basically unchanged.

Obviously, both NSR and NWP are inevitably affected by sea fog in the current century. The sea fog along NWP is particularly frequent and persistent. A northward shift of the NSR may avoid some of the fog along the route, but some high-SFF regions are still included in the potential routes. For this reason, even
though the previous proposed NWP and NSR are the shortest paths given the
projected sea ice extent, the sailing time of Route-I will actually be much longer if
taking the frequent sea fog into account.

To quantify the effect of sea fog on Route-I, we assume that the ship will slow
down when running into the sea fog and introduce a deceleration coefficient to
demonstrate that the higher fog frequency at a given location, the greater the
deceleration of ships will be (Table S5). Using the deceleration coefficient, we
estimate that along the NSR, the total sailing time will increase by 10–20% if using
the previous planned routes (Figure 2). In 2020s, the impact of sea fog may reduce the
shipping speed and spend more than 2 days than previous estimations. The sea fog
tends to decrease because atmosphere is difficult to saturate in the warmer Arctic in
the future, but the sailing time can still increase about 1 day after 2050s. Along the
NWP, the impact of sea fog is more serious, the total sailing time is about 2.5–3.5
days (25–30%) longer than the previous estimation during this century. These
estimations are similar between RCP4.5 and 8.5.
Figure 3. The comparison between the Route-I and the Route-F along NSR and NWP every decade under RCP8.5. Blue line represents Route-I, while the red one Route-F. White line indicates the isopleth of 45% sea ice concentration. Color bar indicates the spatial distribution of projected SFF.
Shipping routes with impacts of sea fog

In order to reduce the impacts of sea fog, Route-I must be re-optimized given the constraint of the projected sea fog. We develop a new cost function based on the different speed of ships inside and outside of the sea fog area, and the different sea ice condition, to design a new trans-Arctic route. The new route with shortest time is derived and referred as Route-F (See Methods and Figure S6). Based on the CMIP5 multi-model ensemble means of sea ice and SFF, we compute the path and sailing time of Route-F every ten years.

Along the NSR, the sailing time of Route-F only shortens by 0.2 day on average compared with the Route-I in 21st century under RCP8.5 (Figure 2). The shortening is due to different factors before and after midcentury. During the period from 2036 to 2045, Route-F tends to avoid high-SFF region along the Eurasian coast to navigate at higher latitudes under RCP8.5 (Figure 3). The northward shift of Route-F decreases the mean fog frequency along the whole route by 1.7%, which is equivalent to reducing the sailing distance by 70 km and saves sailing time by 0.3 day.

In contrast, after the midcentury, although both Route-F and Route-I go through the ice-free central Arctic Ocean, Route-F tends to avoid the high-SFF region along the northeast coast of Greenland and shifts eastward to the Fram Strait. As an example, the shift of Route-F during 2086–2095 decreases the maximum fog frequency over 1500–2000 km segment from 29% to 22% (Figure 4c) and saves sailing time by 0.2 day. Compared with RCP8.5, the shift of Route-F is similar (Figure S7,8) but little time saved is under RCP4.5 (Figure 2c).

Along the NWP, the sailing time of Route-F shortens by 0.5–1 day compared with the Route-I in 21st century under both RCP (Figure 2). Constrained by the locations of the islands, the path of Route-F remains nearly unchanged, except the northward shift at Baffin Bay and Alaska coast to avoid high-SFF regions (Figure 3). The shift at Baffin Bay segment (1600–2000 km) is within the stable range in the whole century and reduces mean SFF over this segment by 4%. On the contrary, the shift along Alaska coast segment (3000–3800 km) becomes more and more obvious.
due to the retreat of sea ice over Beaufort Sea. By the end of century, mean fog frequency over this segment will decrease by 13% (Figure 4d). Although the length of Route-F is about 117 km longer than Route-I, it still saves sailing time up to 1 day for the lack of sea fog. This feature is also similar in RCP4.5 (Figure S8).

We further compare the Route-F with the traditional routes such as Suez Canal and Panama Canal (Table S6). These routes start from Shanghai and terminate at St. John’s and Rotterdam. The result shows that Route-F saves more than 30% of distance and 20% of time of traditional routes on average in 21st century, suggesting great economic benefits of Route-F.

Conclusions

In summary, we found that the sailing time of Route-I will be extended by 10-30% if the impacts of sea fog are involved. But it can be improved by designing the new route (Route-F) with lower-SFF region, which can save about 0.2-1 days.
This result highlights the importance of the Arctic sea fog when designing the trans-Arctic shipping lanes in future when the Arctic sea ice continuous declining but still covers NSR and NWP to some extents.

Our analysis only considers the situation that ships pass Arctic Ocean directly without calling port. In fact, if sea fog occurs when a ship calls at a port, the ship will not only be unable to travel, but also need to pay high parking fee in ports. Therefore, our estimation is actually the minimum saving when designing trans-Arctic routes for commercial shipping companies.

References


Methods

Data

We use observational data from International Comprehensive Ocean-Atmosphere Data Set (ICOADS)\textsuperscript{18} to compute Arctic SFF during 1979-2018.

We use the 6-hourly daily European Centre for Medium-Range Weather Forecasts (ERA Interim)\textsuperscript{14} during 1979-2018 as initial and boundary conditions to run regional climate model.


Model simulation of sea fog in PWRF-ERA

The state-of-the-art high resolution regional scale atmospheric model, polar-optimized version of the Weather Research and Forecasting Model (PWRF) is applied to simulate Arctic weather change\textsuperscript{27}. Here, we simulate sea fog over Arctic during 1979-2018 using PWRF with initial and boundary conditions from ERA-interim (PWRF-ERA). Model settings and physics options\textsuperscript{28–31} (Table S1) are same as previous study about simulating Arctic cloud\textsuperscript{12}. To arrive at a binary fog result from the model output, five diagnostic schemes are used, including SW99\textsuperscript{33}, FSL\textsuperscript{34}, UPP (NCEP’s Unified Post Processor version 2.2), G2006\textsuperscript{35} and G2009\textsuperscript{36} (Table S2). The fog frequency is calculated as the average of the five diagnostic schemes.

The raw fog frequency simulated by PWRF-ERA has similar spatial distribution with observations, but its magnitude is about twice as large as the observations (Figure S1). Here we used the quantile mapping (QM) bias adjustment method to adjust this model bias, which has been widely used in bias correction of
regional climate models. The QM adjusts for errors in the shape of distribution of the modeled data with reference to the observed distribution. For a value in the modeled data, its quantile with respect to the distribution is estimated. Then we can find a value in observation data correspond to the similar quantile. A ratio of observation to model value is calculated to be used for the modeled value adjustment as follows

$$R = \frac{SFF_{obs}(q)}{SFF_{sim}(q)}$$  \hspace{1cm} (1)$$

$$SFF_{corr}(q) = R \cdot F_{sim}(q)$$ \hspace{1cm} (2)$$

where SFF is the fog frequency, q is the qth quantile, the factor R is applied to adjust the raw modeled values of the similar quantile outside the reference period. The adjusted SFF of PWRF-ERA is used in the paper.

Projecting sea fog in CMIP5

Based on results of PWRF-ERA, the monthly SFF can be projected upon monthly RH and atmospheric stability (defined as the temperature difference between 925 hPa and 2 m) using least squares linear regression method over the year 1979-2018. SFF for each position x, each year t and each model m can be written as

$$SFF(x, t, m) = k_1(x) \cdot RH(x, t, m) + k_2(x) \cdot stability(x, t, m) + b(x)$$ \hspace{1cm} (3)$$

The spatial patterns $k_1(x)$ and $k_2(x)$ are shown in Figure S4. With these linear regression coefficients, we project the future SFF($x, t, m$) using the monthly mean RH($x, t, m$) and stability($x, t, m$) in CMIP5 during 2006-2099. To remove the difference of reference RH among climate models and reanalysis data, we make sure that the mean RH($x, m$) during 2006-2018 in each model is consistent with mean RH($x$) during 2006-2018 in PWRF-ERA. The same method is also used to deal with atmospheric stability.

Deriving shipping routes

Our study focuses on the peak navigation months from July to September, when vessels in Arctic reach their maximum accessibility. The 21 multi-model
average sea ice concentration and thickness are taken as the initial sea ice field. Since
the spatial resolution of sea ice condition differs in different model in CMIP5, we
interpolate it to a resolution of 0.5º longitude by 0.5º latitude, which can reflect the
sea ice condition in the Canadian Arctic Archipelago in detail. A vessel class of PC6 is
chosen for route calculation (Table S3), which is equivalent to “Type A” in Table S4.
PC6-class vessel can operate in summer and autumn, crossing medium first-year ice
which may include old ice inclusions\textsuperscript{38}.

A ship-routing algorithm is based on the Arctic Ice Shipping System\textsuperscript{38}. The
flowchart of the algorithm is given in Figure S6. The parameter Ice Numeral (IN) is
defined to assess navigation safety in ice-covered waters\textsuperscript{38}, which is computed as
follows

\[
IN = (C_a \times IM_a) + (C_b \times IM_b) + \cdots + (C_n \times IM_n)
\]  

(4)

where \(C_a\) and \(IM_a\) are the tenths of concentration and ice Multiplier for ice type
(Table S4). If the IN is negative, the vessel should not proceed and need to take an
alternate route.

In the navigable area with fragmented ice, we introduce the ice resistance
model proposed by Colbourne\textsuperscript{39} to slow down the shipping speed in ice area. Here, we
introduce two assumptions, as follows. First, we assume that ships sailing in ice area
are not affected by wind, wave and current, the total resistance \(R\) is determined by
calm water resistance \(R_{SW}\) and ice resistance \(R_{ice}\)

\[
R_T = R_{SW} + R_{ice}
\]  

(5)

where \(R_{SW}\) can be obtained from open water model experiments and its calculation
formula is as follows

\[
R_{SW} = 0.5 \rho_w S C_{SW} \cdot V^2 = a V^2
\]  

(6)

where \(\rho_w\) and \(S\) are water density and wetted surface area of the ship, respectively.
The calm water resistance coefficient \(C_{SW}\) is always assumed to be constant, and \(V\) is
the ship speed. We express \(R_{SW}\) as \(a V^2\), and \(a = 0.5 \rho_w S C_{SW}\).

The ice resistance force, \(R_{ice}\), is defined as

\[
R_{ice} = 0.5 C_p \rho_l B h_l C^n \cdot V^2
\]  

(7)
where $C_p$ is the ice force coefficient, $\rho_i$, $B$, $h_i$ and $C$ are the ice density, ship beam, ice thickness and ice concentration, respectively. The value of the power of ice concentration, $n=2$, is consistent with the analysis of Colbourne et al. Guo et al. uses non-dimensional analysis to get the relationship between the ice resistance coefficient $C_p$ and ice Froude number $Fr_p$ based on the experiment of Institute of Ocean Technology as follows

$$C_p = 4.4Fr_p^{-0.8267} \quad (8)$$

where $Fr_p$ is related to ice thickness and ice concentration, and $g$ is the acceleration of gravity.

$$Fr_p = \frac{v}{\sqrt{gh_i}} \quad (9)$$

Second, we assume that the effective power $P_e$ in calm water is the same as ice conditions, which satisfies

$$P_e = R_{SW}(V_{SW})V_{SW} = R_r(V_r)V_r = [R_{SW}(V_r) + R_{ice}(V_r)]V_r \quad (10)$$

where $V_{SW}$ and $V_r$ are calm water speed and actual speed.

To simplify the equation above, we further assume that $R_{ice}(V_r) = R_{ice}(V_{SW})$, which is more reasonable than just assuming $R_{ice}(V_r)V_r = R_{ice}(V_{SW})V_{SW}$ according to Sen. Then the real reduced speed is given as

$$aV_{SW}^2 = aV_r^2 + R_{ice}(V_{SW}) \quad (11)$$

where

$$V_r = \left( V_{SW}^2 - \frac{R_{ice}(V_{SW})}{a} \right)^{0.5} \quad (12)$$

After obtaining the $V_r$ based on sea ice condition, we introduce a deceleration coefficient $\zeta$ to demonstrate how sea fog can influence the speed of ship (Table S5). The higher SFF on a given grid, the larger deceleration coefficient will be. The $V_r$ on the grid will be multiplied by (1- $\zeta$). Then A* algorithm is implemented to calculate the route between two points that accumulates the lowest total time. A* is a modification of the least cost path algorithm, using a heuristic to determine which vertices to search.

Finally, the Route-F is derived as the optimal Arctic shipping route with minimum sailing time.
Data availability

International Comprehensive Ocean-Atmosphere Data Set (ICOADS) Observations are available from https://rda.ucar.edu/datasets/ds548.0/. 6-hourly ERA-Interim data are available from https://apps.ecmwf.int/datasets/. CMIP5 data used in the paper are available from https://esgf-node.llnl.gov/search/cmip5/.

Code availability

The Polar WRF package is available from http://polarmet.osu.edu/PWRF/. Codes used to design shipping routes in the study are available from the corresponding author on reasonable request.

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Author contributions

X.C. led this research. S. S. undertook the model simulation and analysis, and led the draft of this manuscript. Y. C. developed the new algorithm to design the Arctic shipping routes. C. C. developed the model settings. K. L., K.-K.T., Q. S., Y. L., X. W., L. Y. and J. Z. provided constructive suggestions for improving the research and writing. Authors contributed substantially to the drafting and revision of this manuscript.

Competing interests

The authors declare no competing interests.
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