Cerebral Hemodynamics and Intracranial Compliance Impairment In Critically Ill COVID-19 Patients: A Pilot Study

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Abstract

Introduction: One of the possible mechanisms by which the new coronavirus (SARS-Cov2) could induce brain damage is the impairment of cerebrovascular hemodynamics (CVH) and intracranial compliance (ICC), due to the elevation of intracranial pressure (ICP). The main objective of this study was to assess the presence of CVH and ICC alterations in patients with COVID-19 and evaluate their association with short-term clinical outcome.

Methods: 50 consecutive critically ill COVID-19 patients were studied with transcranial Doppler (TCD) and a non-invasive monitoring of ICC. Subjects were included on ICU admission; CVH was evaluated using mean flow velocities in the middle cerebral arteries (mCBFV), pulsatility index (PI) and estimated cerebral perfusion pressure (eCPP), while ICC using the P2/P1 ratio of estimated ICP curve (B4C device). The primary composite outcome was unsuccessful weaning from respiratory support or death at day 7.

Results: On the first assessment (n= 50), only P2/P1 (1.20 [1.00-1.28] vs. 1.00 [0.88-1.16]; p=0.03) and eICP (14 [11-25] vs. 11 [7-15] mmHg; p=0.01) were significantly higher among patients with UO than others. Patients with UO had a significantly higher CVH/ICC score (9 [8-12] vs. 6 [5-7]; p<0.001) than those with favorable outcome; the area under the receiver operating curve (AUROC) for CVH/ICC score to predict UO was 0.86 (95% CIs 0.75-0.97); a score > 8.5 had 63 (46-77)% sensitivity and 87 (62-97)% specificity to predict UO. For those patients undergoing a second assessment (n=29) after a median of 11 (5-31) days, all measured variables were similar between the two time-points. No differences in the measured variables between ICU non-survivors (n=30) and survivors were observed.

Conclusions: ICCI and CVH disturbances are often present in COVID-19 severe illness and could accurately predict early poor outcome.

Introduction

The severity of the disease caused by the new coronavirus 2019 (COVID-19) is predominantly harbored in a severe acute hypoxemic respiratory failure, which often requires ventilatory support. However, the involvement of other organs, such as the central nervous system (CNS), heart, kidneys, intestines and testicles,1–6 has been reported. Neurological manifestations vary from acute cerebrovascular events to immuno-mediated disease, such as Guillain-Barre syndrome or cytotoxic lesion of the corpus callosum.7–9 Importantly, it remains still unclear whether CNS disorders are the consequences of the acute respiratory failure, of systemic aggressions, of the hypercoagulable status or to the primary CNS invasion from the virus.10

As many COVID-19 patients experience neurological symptoms, such as headache, anosmia, paresthesia, nausea, vomiting and alteration of consciousness,11 one hypothesis could also be that intracranial compliance (ICC) and cerebrovascular hemodynamics (CVH) are impaired in the early course of the disease, either directly (i.e. encephalitis, edema or focal ischemia) or indirectly [i.e. hypoxic distress and
endothelial dysfunction). This would be even more frequent in critically ill patients, who suffer often from persistent somnolence, lethargy and delirium.

Intracranial pressure (ICP) monitoring is the cornerstone in the clinical management of acute brain-injured patients at risk of intracranial hypertension (ICH). Still, this monitoring tool has a limited use outside neuro-critical settings, because of the invasive nature of the monitoring and its availability. ICH would result in altered ICC and, consequently, secondary brain damage. In COVID-19 patients, unless a structural damage with mass effect is documented on brain imaging, there is no indication to implant an ICP monitor; however, non-invasive techniques able to estimate CVH and/or ICP curves, may be helpful to understand changes in cerebral perfusion in this setting.

The purpose of the study was to evaluate the relationship between CVH and ICC in a cohort of mechanically ventilated critically ill patients infected with SARS-CoV-2 on clinical outcomes.

Methods

Study design

We conducted a single center, observational and prospective study in 6 intensive care units (ICUs) of the Hospital das Clínicas, São Paulo university, Brazil, from May to June 2020. This cohort study protocol was registered on Clinicaltrials.gov (NCT04429477). All consecutive patients with confirmed COVID-19 by real-time reverse transcription–polymerase chain reaction positive testing were eligible within the first 72 hours since the initiation of invasive mechanical ventilation. Exclusion criteria included the absence of legally authorized responsible (LAR) consent, the absence of temporal acoustic window for TCD assessment, patients unable to undergo monitoring with the ICC monitoring due to lesions and/or skin infections in the sensor application region, patients with head circumference smaller than 47 cm. The study protocol followed the Standards for Reporting of Diagnostic Accuracy Studies (STARD) statement (Supplemental Table 1), was approved by the local Ethics Committee, which required a signed consent from the next of kin before inclusion.

Eligible subjects were identified by the ICU teams (SYW, SF, BT, EB and LMSM). Two assessments of CVH and ICC were performed, one during the first 3 days since intubation and a second up to 72 hours after extubation or tracheotomy without administration of sedatives; for patients who died while intubated, only the first evaluation was considered. Clinical parameters, such as systemic arterial pressure, fluid balance, use of sedatives, PaO$_2$ and PaCO$_2$, hemoglobin and body temperature, were concomitantly recorded. One operator, without knowledge of the individual clinical features, performed all evaluations. Data on demographic characteristics, Simplified Acute Physiologic Score (SAPS) 3, use of intravenous sedatives, vasopressors and other physiological and laboratory data were also collected. Details on the monitoring techniques are shown in the Supplement Material.

Intracranial compliance monitoring technique
ICC was evaluated non-invasively by assessing cranial deformation using a specific device (B4C; Brain4care Corp., São Carlos, Brazil). The B4C sensor consists of a support for a sensor bar that detects local cranial bone deformations using specific sensors. The detection of these deformations is obtained by a cantilever bar modeled by finite element calculations. For this bar, voltage meters are attached for deformation detection. Non-invasive contact with the skull is obtained by adequate pressure directly into the scalp by means of a pin. The system is positioned in the frontotemporal region, around 3 centimeters over the first third of the orbitomeatal line, this way avoiding temporal superficial artery main branches and temporal muscle, providing contact of the sensor in an area of thin skin and skull, whereas slight pressure is applied on the adjustable band until optimal signal is detected.

Variations in ICP cause deformations in the cranial bone, which are detected by the sensor bar. The device filters, amplifies and scans the sensor signal and sends the data to a mobile device. The method is completely non-invasive and painless. In addition, it does not interfere with any routine monitoring. The waveform obtained is equivalent to ICP waveform obtained using invasive techniques, such intraparenchymal probes or external ventricular derivation,\textsuperscript{20} and the relation between its different components provides information on ICC.\textsuperscript{21} In particular, each cardiac beat corresponds to an ICP waveform composed of three peaks; arterial pulsation (P1); cerebral venous flow, which is secondary to cyclic fluctuations of arterial blood volume, reflecting intracranial compliance (P2); the aortic valve closure (P3; Fig. 1).\textsuperscript{22}

The B4C analytics system verified all collected data by the sensor. ICP pulse waves morphology parameters such as the P2/P1 ratio. For this study, all calculations were performed using the mean pulse of the ICP, calculated by identifying and extracting all ICP pulses, excluding possible artifacts. The mean pulse was used to calculate the amplitudes of the P1 and P2 peaks, which were obtained by detecting the highest point of these peaks and subtracting the base value of the ICP pulse. The P2/P1 ratio was calculated by dividing the amplitude of these two points. In case of P2 > P1, ICC was defined as “abnormal”.

### Cerebrovascular hemodynamics assessment

Conventional transcranial Doppler [(TCD) EZ-DOP, DWL Compumetrics, Singen, Germany] was used to assess CVH.\textsuperscript{23} A complete evaluation of right and left cerebral hemispheres and the brainstem arteries was performed prior to the study to discard focal stenosis, using Doppler colored technique with low frequency probe (2 MHz) and scanning every 1 mm of arterial extension, through the temporal, orbital, suboccipital, retro-mastoid and submandibular windows. Hemodynamic parameters of interest were mean flow velocities in the middle cerebral arteries (mCBFV), peak systolic and final diastolic velocities, because the MCA supplies 80% of cerebral blood flow approximately. Abnormal mCBFV was identified by values < 40 or ≥ 100 cm/sec.
Using TCD, elevation of ICP was suspected when pulsatility index (PI) ≥ 1.2 (i.e. “abnormal” PI).\textsuperscript{24,25} PI was calculated by the following formula: PI = Sv-Dv/Mv (Sv: systolic velocity, Dv: diastolic velocity and Mv: mean flow velocity). Moreover, TCD allows calculation of estimated CPP (eCPP) and ICP (eICP),\textsuperscript{25} which are significantly correlated with invasive ICP measurements.\textsuperscript{23,26} Abnormal eICP was considered if > 20 mmHg; abnormal eCPP if ≤ 45 or ≥ 75 mmHg.

**Outcomes**

As a wide range of variables are involved in the prognosis of COVID-19,\textsuperscript{27–30} we limited our analyses to the prevalence and predictive values of ICC and CVH disturbances on early unfavorable outcome (UO); UO was a composite end-point including either absence of weaning from mechanical ventilation (MV) or death at day 7 after inclusion in the study.

CVH and ICC impairment were identified using the different combination of TCD and B4C values; in particular, P2/P1 ratio, mCBFV, eICP, PI and eCPP were categorized and an arbitrary score was developed to describe different degree of these alterations (Table 1). For each variable, severity was defined by a CVH/ICC score from 1 until 4. As such, the sum of the severity score for each variable gave a score ranging from a minimum of 5 to a maximum of 20. The score was then classified as: “normal”, i.e. 5 points, which suggested no abnormalities; “mild CVH/ICC abnormalities”, i.e. 6 to 7 points, which was associated with minor disturbances in one or two variables; “moderate CVH/ICC abnormalities”, i.e. 8 to 9 points; “severe CVH/ICC impairment”, i.e. ≥10 points.

**Table 1**

<table>
<thead>
<tr>
<th>Points</th>
<th>P2/P1</th>
<th>mCBFV</th>
<th>PI</th>
<th>eICP</th>
<th>eCPP</th>
<th>Score (sum of each)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>≤ 1</td>
<td>40 to 70</td>
<td>&lt; 1.2</td>
<td>&lt; 15</td>
<td>50 to 75</td>
<td>5 no CVH/ICCI</td>
</tr>
<tr>
<td>2</td>
<td>1.01 to 1.19</td>
<td>71 to 99</td>
<td>≥ 1.2</td>
<td>15–20</td>
<td>≥ 75</td>
<td>6–7 mild CVH/ICCI</td>
</tr>
<tr>
<td>3</td>
<td>≥ 1.2</td>
<td>≥ 100</td>
<td>≥ 1.3</td>
<td>21–25</td>
<td>≤ 50</td>
<td>8–9 moderate CVH/ICCI</td>
</tr>
<tr>
<td>4</td>
<td>≥ 1.4</td>
<td>&lt; 40</td>
<td>≥ 1.4</td>
<td>&gt; 25</td>
<td>&lt; 40</td>
<td>≥ 10 severe CVH/ICCI</td>
</tr>
</tbody>
</table>

**Sample size**

Due to the lack of clinical reports in this field, this research should be considered a pilot study. Using the upper confidence interval for the population variance approach to the sample size calculation, a pilot sample size between 20 and 40 was chosen, corresponding to standardized effect sizes of 0.4 and 0.7 (for 90% power based on a standard sample size calculation)\textsuperscript{31}. Thus, considering the risk for early deaths and lack of second TCD and B4C assessment, 50 patients were enrolled to test our hypothesis.

**Statistical analysis**
Descriptive statistics were computed for all study variables. Categorical variables are presented as count (%), while continuous variables are presented as mean (± standard deviation) or median (25th–75th percentiles), according to their distribution. Differences between groups (i.e. UO vs favorable outcome; non-survivors vs. survivors) were assessed using a χ-square or Fisher’s exact test for categorical variables, t-Student test for normally distributed continuous variables and Mann-Whitney or Kruskal-Wallis tests for asymmetrically distributed continuous variables. Differences between the first and second assessment were assessed using a Wilcoxon paired test. The discriminative ability of each variable (i.e. P2/P1, mCBFV, PI, eICP and eCPP) with significant difference between UO and favorable outcome was evaluated to predict UO, using receiver operating characteristic (ROC) curves with the corresponding area under the curve (AUROC). Youden’s index was computed to assess the optimal predictive cut-off. A p < 0.05 was considered as statistically significant. All analyses were performed using the AcaStat software version 2200.5.2 (USA, available at www.acastat.com).

Results

Study population

Between May and June 2020, 2813 patients with COVID-19 were admitted at our institution. Among those, 1579 were admitted in ICU and 552 critically ill patients died (35%). A total of 50 consecutive patients were eventually included within 72 hours from ICU admission. Characteristics of the study population are shown in Table 2. On day 7 from inclusion into the study, 31 (62%) were still on MV and 4 (8%) have died, resulting in 35 (70%) with UO. After a median of 11 (5–31) days, 29 patients (18 of those still required intermittent non-invasive ventilation) were weaned from MV and underwent a second CVH/ICC assessment. At ICU discharge, 2 of the 15 patients with FO on day 7 had died; 24 out of the 31 alive on day 7 but still on MV (UO) eventually died during the ICU stay. As such, ICU mortality was observed in 30 (60%) patients (Table 2).
Table 2
Clinical characteristics of patients with COVID-19, according to the occurrence of the primary outcome (FO: favorable outcome, UO: unfavorable outcome).

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Total (N = 50)</th>
<th>FO (N = 15)</th>
<th>UO (N = 35)</th>
<th>Survivors (N = 20)</th>
<th>Non-survivors (N = 30)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, median (IQR) - years</td>
<td>62 (44–68)</td>
<td>38 (17–63)</td>
<td>64 (49–68)</td>
<td>49 (17–63)</td>
<td>66 (51–68) *</td>
</tr>
<tr>
<td>Female gender – no. (%)</td>
<td>44</td>
<td>48</td>
<td>43</td>
<td>42.9</td>
<td>44.8</td>
</tr>
<tr>
<td>SAPS 3, median (IQR)</td>
<td>63 (52–75)</td>
<td>55 (51–72)</td>
<td>69 (57–78)</td>
<td>58 (51–69)</td>
<td>67 (54–78)</td>
</tr>
<tr>
<td>Antibiotics use – no. (%)</td>
<td>36 (76.6)</td>
<td>14 (70.2)</td>
<td>22 (82)</td>
<td>15 (71.4)</td>
<td>21 (80.8)</td>
</tr>
<tr>
<td>Comorbidities and risk factors – no. (%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hypertension</td>
<td>26 (54.2)</td>
<td>9 (50)</td>
<td>17 (53)</td>
<td>11 (52.4)</td>
<td>15 (55.6)</td>
</tr>
<tr>
<td>Diabetes</td>
<td>15 (31.2)</td>
<td>6 (33)</td>
<td>9 (28)</td>
<td>6 (28.6)</td>
<td>9 (33.3)</td>
</tr>
<tr>
<td>Obesity</td>
<td>20 (42.5)</td>
<td>10 (55)</td>
<td>10 (31)</td>
<td>9 (42.9)</td>
<td>11 (42.3)</td>
</tr>
<tr>
<td>Current smoker</td>
<td>15 (31.2)</td>
<td>5 (27)</td>
<td>10 (31)</td>
<td>6 (28.6)</td>
<td>9 (33.3)</td>
</tr>
<tr>
<td>Chronic kidney failure</td>
<td>7 (14.6)</td>
<td>2 (11)</td>
<td>5 (15)</td>
<td>2 (9.5)</td>
<td>5 (18.5)</td>
</tr>
<tr>
<td>Laboratory variables</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D-dimer – ng/mL, median (IQR)</td>
<td>2304 (1120–5528)</td>
<td>2018 (1120–6027)</td>
<td>2512 (1007–18631)</td>
<td>2038 (1166–4792)</td>
<td>2449 (1007–18631)</td>
</tr>
<tr>
<td>Serum creatinine – mg/dL, median (IQR)</td>
<td>1.25 (0.66–2.7)</td>
<td>1.23 (0.66–2.5)</td>
<td>1.41 (0.66–4.59)</td>
<td>0.98 (0.62–1.8)</td>
<td>1.45 (0.69–4.59)</td>
</tr>
<tr>
<td>Intravenous sedation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Midazolam – no. (%)</td>
<td>44 (88)</td>
<td>12 (66)</td>
<td>23 (71)</td>
<td>18 (85.7)</td>
<td>26 (89.7)</td>
</tr>
<tr>
<td>Propofol – no. (%)</td>
<td>13 (26)</td>
<td>6 (30)</td>
<td>7 (21)</td>
<td>7 (33.3)</td>
<td>6 (20.7)</td>
</tr>
<tr>
<td>Ketamine – no. (%)</td>
<td>2 (4)</td>
<td>0</td>
<td>2 (6)</td>
<td>0 (0)</td>
<td>2 (6.9)</td>
</tr>
<tr>
<td>On the day of the first assessment</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mean arterial pressure – mmHg</td>
<td>79 (72–87)</td>
<td>83 (75–90)</td>
<td>77 (72–83)</td>
<td>84 (75–90)</td>
<td>78 (72–82)</td>
</tr>
<tr>
<td>Characteristic</td>
<td>Total (N = 50)</td>
<td>FO (N = 15)</td>
<td>UO (N = 35)</td>
<td>Survivors (N = 20)</td>
<td>Non-survivors (N = 30)</td>
</tr>
<tr>
<td>---------------------------------------</td>
<td>----------------</td>
<td>-------------</td>
<td>-------------</td>
<td>--------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td>Mean arterial pressure &lt; mmHg – no. (%)</td>
<td>2 (4)</td>
<td>0</td>
<td>2 (6)</td>
<td>0 (0)</td>
<td>2 (6.9)</td>
</tr>
<tr>
<td>Vasopressor use – no. (%)</td>
<td>25 (50.0)</td>
<td>9 (50)</td>
<td>16 (32)</td>
<td>8 (38.1)</td>
<td>17 (77.3)</td>
</tr>
<tr>
<td>Heart rate – mmHg</td>
<td>87 (75–98)</td>
<td>75 (72–81)</td>
<td>87 (79–104)</td>
<td>78 (72–93)</td>
<td>89 (82–104)</td>
</tr>
<tr>
<td>Respiratory rate - rpm</td>
<td>26 (22–30)</td>
<td>25 (22–31)</td>
<td>29 (23–32)</td>
<td>26 (22–29)</td>
<td>28 (22–32)</td>
</tr>
<tr>
<td>Oxygen saturation (SaO₂) – %</td>
<td>93 (90–95)</td>
<td>94 (90–97)</td>
<td>92 (90–95)</td>
<td>93 (91–94)</td>
<td>92 (90–95)</td>
</tr>
<tr>
<td>Hypoxemia – no. (%)</td>
<td>3 (6)</td>
<td>1 (5)</td>
<td>2 (6)</td>
<td>1 (4.8)</td>
<td>2 (6.9)</td>
</tr>
<tr>
<td>PaCO₂ – mmHg</td>
<td>40.7 (37.4–48.8)</td>
<td>39 (36–44)</td>
<td>42 (40–44)</td>
<td>39.9 (37–44)</td>
<td>42.3 (38–44)</td>
</tr>
<tr>
<td>Hypercapnia – no. (%)</td>
<td>16 (32)</td>
<td>4 (22)</td>
<td>12 (37)</td>
<td>5 (23.8)</td>
<td>11 (37.9)</td>
</tr>
<tr>
<td>Temperature – ºC</td>
<td>36.1 (35.6–36.9)</td>
<td>36 (35.8–37)</td>
<td>36.5 (35.5–38)</td>
<td>36.2 (35–37)</td>
<td>36.2 (35–38)</td>
</tr>
<tr>
<td>Hemoglobin – g/dL</td>
<td>11.1 (8.8–12.7)</td>
<td>11.2 (9.9–12.9)</td>
<td>10.3 (8.7–13.1)</td>
<td>11.6 (10.4–12.4)</td>
<td>10.2 (8.7–13.1)</td>
</tr>
<tr>
<td>Renal replacement therapy – no. (%)</td>
<td>13 (31.7)</td>
<td>5 (27)</td>
<td>8 (25)</td>
<td>5 (26.3)</td>
<td>8 (36.4)</td>
</tr>
</tbody>
</table>

**ICC and CVH assessment**

On the first assessment (N = 50), mCBFV was 63 (32–140) cm/sec, PI was 1.04 (0.65–2.2), eICP 16 (1–48) mmHg and eCPP 63 (30–90) mmHg. Twenty-six (52%) patients had abnormal mCBFV; low mCBFV (< 40 cm/s) were observed in 5 patients, and elevated mCBFV were observed in 21 patients. Nineteen (38%) patients with elevated PI, 14 (28%) had high eICP and 11 (22%) had abnormal eCPP (4 with low eCPP and 7 with high eCPP). Thirty-three (66%) patients had abnormal P2/P1 ratio (16 within 1.01–1.2 and 17 > 1.2 – Fig. 2). The CVH/ICC score was 8.5 (7-11.75).

On the second assessment (N = 29), mCBFV was 68 (30–173) cm/sec, PI was 0.99 (0.5–1.8), eICP 15 (3–31) mmHg and eCPP 71 (41–97) mmHg. Twelve (41%) patients had abnormal mCBFV; low mCBFV (< 40 cm/s) were observed in two patients, and elevated mCBFV (> 70 cm/s) were observed in ten patients.
10 (34%) patients with elevated PI, 7 (24%) patients with high eICP, 14 (48%) patients with abnormal eCPP (2 low and 12 high), 15 (51%) patients with abnormal P2/P1 ratio, (4 for 1.01–1.2 and 11 for >1.2).

For these 29 patients, P2/P1 (1.05 [0.96–1.27] vs. 1.08 [0.87–1.28]; p = 0.86), mCBFV (73 [53–82] vs. 60 [51–80] cm/sec; p = 0.27), PI (0.95 [0.85–1.27] vs. 0.92 [0.86–1.21]; p = 0.58), eICP (13 [9–21] vs. 14 [10–20] mmHg; p = 0.96) and eCPP (68 [60–75] vs. 75 [60–85] mmHg; p = 0.09) were similar between the two assessments. Table 3 shows the concordance between abnormal values for the different parameters measured on first assessment; most of patients abnormal eICP also had abnormal PI aor P2/P1 values.

Table 3
Concordance of abnormal values for each technique on the first assessment (n = 50).

<table>
<thead>
<tr>
<th>Abnormal mCBFV n = 26</th>
<th>Abnormal PI n = 19</th>
<th>Abnormal eICP n = 14</th>
<th>Abnormal eCPP n = 11</th>
<th>Abnormal P2/P1 n = 33</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abnormal mCBFV n = 26</td>
<td>-</td>
<td>11 (42)</td>
<td>10 (38)</td>
<td>7 (27)</td>
</tr>
<tr>
<td>Abnormal PI n = 19</td>
<td>11 (58)</td>
<td>-</td>
<td>13 (68)</td>
<td>5 (26)</td>
</tr>
<tr>
<td>Abnormal eICP n = 14</td>
<td>10 (71)</td>
<td>13 (93)</td>
<td>-</td>
<td>6 (42)</td>
</tr>
<tr>
<td>Abnormal eCPP n = 11</td>
<td>7 (63)</td>
<td>5 (45)</td>
<td>6 (54)</td>
<td>-</td>
</tr>
<tr>
<td>Abnormal P2/P1 n = 33</td>
<td>18 (54)</td>
<td>14 (42)</td>
<td>13 (39)</td>
<td>6 (18)</td>
</tr>
</tbody>
</table>

The CVH/ICC score was 8 (6–10) on the second assessment; for the 29 patients with two assessments, the CVH/ICC score was similar at the two time-points (p = 0.48).

**CVH/ICCI and primary outcome**

On the first assessment (N = 50), mCBFV (66 [43–80] vs. 63 [53–73] cm/sec; p = 0.70), PI (1.01 [0.90–1.40] vs. 0.95 [0.85–1.10]; p = 0.13) and eCPP (64 [56–71] vs. 64 [60–74] mmHg; p = 0.35) values were similar between patients with UO and favorable outcome. However, P2/P1 (1.20 [1.00-1.28] vs. 1.00 [0.88–1.16]; p = 0.03) and eICP (14 [11–25] vs. 11 [7–15] mmHg; p = 0.01) were significantly higher among patients with UO than others.
Also, the proportion of patients with abnormal mCBFV (21/35, 60% vs. 5/15, 33%, OR 3.00 [0.90–9.97]; p = 0.08), PI (16/35, 46% vs. 3/15, 20%, OR 3.36 [0.89–12.45]; p = 0.09), eICP (12/35, 34% vs. 2/15, 13%, OR 3.39 [0.71–16.75]; p = 0.13), eCPP (9/35, 26% vs. 2/15, 13%, OR 2.29 [0.42–11.43]; p = 0.33) and P2/P1 values (26/35, 74% vs. 7/15, 47%, OR 3.30 [0.95–11.36]; p = 0.06) were similar between groups.

Patients with UO had a significantly higher CVH/ICC score (9 [8–12] vs. 6 [5–7]; p < 0.001) than those with favorable outcome (Fig. 3). The AUROC for CVH/ICC score to predict UO was 0.86 (95% CIs 0.75–0.97); a score > 8.5 had 63 (46–77)% sensitivity and 87 (62–97)% specificity to predict UO. One out of 5 (20%) patients with no CVH/ICC impairment had UO; 7/15 (46%) patients with mild CVH/ICC impairment had UO; 10/12 (83%) patients with moderate CVH/ICC impairment had UO; 17/18 (94%) patients with severe CVH/ICC impairment had UO (p = 0.001).

**CVH/ICCI and ICU mortality**

On the first assessment (N = 50), mCBFV (60 [42–80] vs. 72 [54–80] cm/sec; p = 0.16), PI (1.00 [0.92–1.37] vs. 0.96 [0.86–1.29]; p = 0.26), eICP (14 [9–23] vs. 13 [8–17] mmHg; p = 0.48), eCPP (64 [54–70] vs. 66 [60–75] mmHg; p = 0.12) and P2/P1 values (1.14 [0.93–1.25] vs. 1.08 [0.96–1.27]; p = 0.84) were similar between non-survivors and survivors. Also, the proportion of patients with abnormal mCBFV, PI, eICP, eCPP and P2/P1 values were similar between groups, as the CVH/ICC score (9 [7–12] vs. 7 [6–11]; p = 0.13).

**Discussion**

In this single center prospective study, we observed that brain hemodynamics were impaired in a large proportion of critically ill COVID-19 patients on mechanical ventilation. In particular, early assessment of these patients showed higher intracranial pressure and lower brain compliance among those who were still on ventilation or who had died within the first 7 days since study admission. Moreover, a score composed on different parameters related to brain perfusion and compliance could identify such patients with a high accuracy. Nevertheless, these alterations were not associated with ICU mortality.

Currently, there are no data on alterations in intracranial hemodynamics and/or compliance in COVID-19 patients, although several studies have shown an increased occurrence of cerebrovascular events in low-risk patients, being associated with coagulation disorders and the formation of clots in the large intracranial vessels or into the brain microvasculature.\(^9\)\(^2\) Nervous system invasion mechanism has been described as direct viral penetration of nerve endings and traveling within the axons or by the systemic circulation, via the infected endothelial cells of brain vessels or epithelial cells in the choroid plexus or the disruption of the blood-brain barrier; also, neuroinflammation, which is triggered by systemic inflammatory response and organ damage, can contribute to brain dysfunction through activation of microglial cells.\(^3\)\(^3\) The clinical consequences of this phenomena are consistent with cerebrovascular events, brain swelling, seizures and encephalopathy, which could be further enhanced by the use of
specific therapies, such as mechanical ventilation, sedatives or extra-corporeal membrane oxygenation (ECMO). \(^{34}\)

The role of TCD-derived parameters (mCBFV, PI, eICP and eCPP) on the assessment of cerebral hemodynamics in critically ill patients without a primary brain injury is well established, with relevant data on the role of altered autoregulation in the pathogenesis of septic encephalopathy\(^ {35}\) or of reduced mCBFV in association with severe post-anoxic brain damage,\(^ {36}\) although the association with long-term neurological outcome needs to be further evaluated. In hospitalized COVID-19 patients, higher mCBV and lower vasoreactivity were observed than matched healthy volunteers\(^ {37}\). Also, in a small recent study using cerebral ultrasound, eICP was higher and diastolic CBFV lower in COVID-19 patients developing neurological complications when compared to others\(^ {38}\). The authors added also the estimation of ICP using the optic nerve sheath diameter measure (ONSD) measurement with cerebral ultrasound, which was not available in our study. Moreover, mortality was higher in our study than this report (60% vs.33%), and differences in patients’ selection and management as well as a different study endpoint precluded any additional comparison. Moreover, there is lack of studies dealing with this B4C device in critically ill patients; although this new technique provides an unique assessment of cerebral compliance, which would be complementary to TCD-derived variables, more prospective data are needed to validate this non-invasive and relatively low-cost technique as a reliable bedside neuromonitoring in critically ill patients.

We did not specifically evaluate which factors could influence alterations in brain hemodynamics or compliance. Changes in PaCO\(_2\), mean arterial pressure, pH, sodium or temperature could also lead to altered brain hemodynamics.\(^ {39-41}\) Because of the relatively small sample size and different patterns of TCD and P2/P1 alterations, we could not assess the association of systemic abnormalities with some specific patterns, such as low mCBFV, high eICP or P2/P1. However, as all these variables are complementary and evaluate different aspect of brain hemodynamics, we could obtain a composite derived score with a high predictive accuracy for early UO. Further studies would be required to confirm the validity of such score and its association with clinically relevant neurologic symptoms or syndromes (i.e. encephalopathy, delirium, ischemic events) or its correlation with other neuromonitoring tools, such as brain oximetry or electroencephalography.

This study has several limitations to acknowledge. First, our findings showed association and not causality between UO and alterations in cerebral hemodynamics. Routine daily assessment of these variables as of potential therapies to restore “normal” brain hemodynamics will be in this setting. Second, the correlation between the B4C system and other surrogate of brain compliance, such as invasive ICP monitoring, is not validated yet. The thresholds applied in our study were however extrapolated from the previous knowledge on ICC and ICP research. As this system acquires intracranial information through an extracranial technique, precaution is needed. Third, we could not perform neurological imaging during the study period, which is a hindrance to elucidate the etiology of the alterations in intracranial pressure pulse waveform in COVID-19, whether as primary CNS injury or secondary to respiratory or other systemic complications. Forth, availability of operators to assess brain hemodynamics restricted study exclusively
for severe COVID-19 admitted to the ICU. Finally, we used a composite endpoint of early systemic dysfunction, which is not specific for brain damage; long-term neurological assessment should be evaluated in future studies in association with early disturbances of brain perfusion and compliance.

Conclusions

In this study, early alteration of brain hemodynamics and compliance were associated with the severity of COVID-19 on day 7, including death or dependency from mechanical ventilation. These findings claim for larger investigations on the role of neuromonitoring seem in this setting to further understand the role of such disturbances on clinically relevant patients’ outcome.

Declarations

Authors’ contributions

SB conceived, executed the study and designed the paper. FST and FA performed statistical analysis and comprehensive paper review. All authors participated in drafting, acquisition of data and reviewing. All authors read and approved the final version of the manuscript.

Competing interests and consent

The authors declare no competing interest for this study and give consent for its publication.

Consent for publication

The authors revised this final version and give consent for its publication.

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Availability of data and material

Datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Ethics approval

The protocol was approved by the Research Ethical Committee at Sao Paulo University Medical School. The care and handling of the animals were in accord with the National Institutes of Health guidelines.

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References


Figures
Figure 1

Intracranial pressure waves morphology in accordance with cerebral compliance.
Intracranial pressure waves morphology in accordance with cerebral compliance.

Figure 2

Median values of all variables derived from transcranial doppler and cerebral compliance (P2/P1) measurement, on the first and second assessment. mCBFV = mean cerebral blood flow velocity (cm/sec); PI = pulsatility index; eICP = estimated intracranial pressure (mmHg); eCPP = estimated cerebral perfusion pressure (mmHg).
Figure 2

Median values of all variables derived from transcranial doppler and cerebral compliance (P2/P1) measurement, on the first and second assessment. mCBFV = mean cerebral blood flow velocity (cm/sec); PI = pulsatility index; eICP = estimated intracranial pressure (mmHg); eCPP = estimated cerebral perfusion pressure (mmHg).
Figure 3

CVH/ICC score between patient with unfavorable (UO) and favorable outcome (FO) on the first assessment (n=50 – Left Panel). The area under the receiver operating characteristic (ROC) for CVH/ICC score to predict UO is shown on the Right Panel.
score to predict UO is shown on the Right Panel.

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