First-principles calculation of atomic structure, stability and electronic structure of TaB2/SiC interface

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First-principles calculation of atomic structure, stability and electronic structure of TaB₂/SiC interface

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Abstract

The atomic structure, interface stability and electronic interaction of TaB₂(0001)/SiC(111) interfaces were investigated by first principles study. The study found that the termination atom and stacking position are the key factors affecting the bonding strength and stability of the interface. On the basis of considering work of adhesion (\(W_{ad}\)) and interfacial energy (\(\gamma_{int}\)), the Ta-TaB₂/C-SiC centre-site stacked (Ta CS-C) and B-TaB₂/C-SiC center-site stacked (B-CS-C) configurations were recognized as the most stable structures from ten different interface models. Electronic interaction of the two most stable interfaces were revealed by analyzing the charge density distribution, charge density difference and partial density of states (PDOS), and it was found that ionic and metallic bond coexisted in Ta CS-C interface, while covalent bond played a dominant role in B-CS-C interface.

Keywords: First-principles, TaB₂/SiC interface, Work of adhesion, Interfacial stability, Electronic structure

1. Introduction

Carbon materials, especially carbon fiber reinforced carbon matrix (C/C) composite materials, with unique advantages of low density, low thermal expansion coefficient (CTE), high strength, high thermal conductivity and structural stability, are considered as the most promising thermal structure material and have aroused special interests in aeronautic and aerospace fields \(^1-^5\), such as hypersonic vehicles and rocket propulsion systems. However, the application of C/C composites facing huge challenges due to its sensitivity to the oxidants at a temperature higher than \(\sim723\)K \(^6,^7\). Considering the superiority of C/C composites as thermal structural material, it is necessary to address the problem of easy oxidation, to extend its service life. High-temperature anti-oxidation protective coating was considered as an effective measure.

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With low oxygen permeability, high chemical stability, excellent self-healing ability, and a similar coefficient of thermal expansion (CTE) with C/C substrate, SiC-based coatings have become the preferred oxidation-resistant coating material for C/C composites \cite{8-10}. Among the coating components, SiC combined with the ultra-high temperature ceramics (UHTCs), including ZrB$_2$, ZrC, HfB$_2$, TaB$_2$ etc., exhibits unparalleled oxidation resistance, good chemical and thermo-physical compatibility. Wang et al. \cite{11} examined the anti-oxidation performance of the C/C composite coated with gradient HfB$_2$ modified SiC coating at 1773, 1873 and 1973 K. The results suggested that the HfB$_2$-SiC coating with good crystallization exhibits excellent oxidation resistance at 1773 K, with a mass loss of 0.17% after 800 hours, and the hafnium compound could consume the crack formation energy and block the crack propagation during the oxidation process. Ren et al. \cite{12} investigated the anti-oxidation ability of ZrB$_2$-SiC coating and indicated that the excellent oxidation protective ability of the ZrB$_2$-SiC gradient coating can be maintained for more than 207 hours at 1773 K. Zirconium compound played a role of pinning phase, which not only improves the oxidation resistance of the coating, but also consumes the crack energy and prevents the propagation of the crack. Li et al. \cite{13} studied the anti-ablation properties of the ZrC-SiC coating prepared by solid-phase infiltration, and proposed that the dense ZrC-SiC coating is composed of ZrC and SiC phases. The coating and the matrix are chemically bonded and have a good bonding strength. The excellent ablation resistance of the ZrC-SiC coating enhanced with the increase of ZrC content, this is due to the fact that zirconium compound absorbs a large amount of heat during the melting process, thereby reducing the temperature of the coating surface, in addition, the formed Zr-Si-O glass phase could also prevent the permeation of oxygen. Jiang et al. \cite{14} examined the anti-oxidation and anti-ablation performance of TaB$_2$-SiC-Si coating and proved that the TaB$_2$-SiC-Si coating could protect graphite from oxidation for 321 hours and 168 hours at 1020 °C and 1550 °C, respectively. This is mainly because the Ta$_2$O$_5$-SiO$_2$ barrier layer prevents the oxidizing gas from diffusing into the coating. Researches on SiC-UHTCs coatings suggested that the excellent coating performance largely comes from the following two aspects: Ultra-high temperature ceramic bonded well with the
SiC and could consume crack propagation energy and deflect the crack; Glass phase on the coating surface blocks the oxygen penetration. Obviously, the interface between UHTCs and SiC in UHTCs-SiC coatings has a significant impact on its performance. Therefore, it is therefore of great importance to obtaining detailed heterointerface information between UHTCs and SiC, which is beneficial for us to have a good understanding of the properties of the UHTCs-SiC coatings. Taking into account the difficulty of exploring the interface with experimental methods, a first-principles calculation based on density functional theory (DFT) has been employed to reveal the interface characteristics at atomic and electronic scales, and has been successfully applied to SiC/ZrB$_2$\cite{15-17} HfB$_2$/SiC\cite{18}, and ZrC/SiC\cite{19} systems. However, up to now, the interface characteristics between TaB$_2$ and SiC in TaB$_2$-SiC coating are still mysterious, although there are many practical applications of the TaB$_2$-SiC coating as anti-oxidation and anti-ablation materials. Therefore, the purpose of this paper is to bring to light the interface characteristics between TaB$_2$ and SiC and find the most possible interface configurations by analyzing the atomic structures, bonding strength, stability, and electronic structure within the framework of the first-principles study.

2. Calculation methods

In this work, a first-principles study was applied to the TaB$_2$/SiC interface, using Cambridge Serial Total Energy Package (CASTEP) software \cite{20}, which is based on density functional theory (DFT). The Perdew-Burke-Ernzerhof (PBE) functional of Generalized Gradient Approximation (GGA) was selected to describe the exchange-correlation energy \cite{21, 22}. The ion-electron interactions of Ta, B, Si and C atoms were described by the plane-wave ultrasoft pseudopotential \cite{23}. The convergence test of the kinetic energy cut-off value and K-point meshing were conducted based on the method reported in our previous study \cite{18}, consequently, the plane wave cut-off energy for bulk TaB$_2$ and SiC was set to 350 eV, and grid meshing of $9\times9\times8$ and $8\times8\times8$ was applied to TaB$_2$ and SiC, respectively. For the slabs and interfaces, a cut-off energy of 350 eV and a k-point grid of $9\times9\times1$ was adopted. Periodic boundary conditions applied for slabs and interfaces, and a vacuum layer of 15Å was inserted between the above and below surfaces to minimize their interaction.
Geometric optimization was imposed on all calculations through the Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm to keep the atomic coordinates fully relaxed and reach the ground state [24, 25]. Geometric optimization was considered complete when the following convergence criteria are satisfied: $5 \times 10^{-6}$ eV/atom for energy, 0.01 eV/Å for maximum force, and $5 \times 10^{-4}$ Å for maximum displacement.

3. Results and discussions

3.1 Bulk properties

As presented in Fig. 1 (a) and (b), TaB$_2$ and SiC belong to the hexagonal and cubic system, with a symmetry group of P6/mmm (No. 191) and F-43m (No. 216), respectively. Inspecting Table 1 that our calculated lattice constant “a” and “c” of TaB$_2$ are: a = 3.102 Å, c = 3.261 Å, which is good in line with the theoretical and experiment results [26-29]. For SiC, the calculated lattice constant “a” of 4.361 Å, is also very consistent with the experimental and theoretical values in Ref. [15, 30-32]. Therefore, the consistency between our calculated lattice constant and reference values also provides evidence that our calculation method and subsequent calculation results are credible.

![Fig. 1 The crystal structures of TaB$_2$ (a) and SiC (b).](attachment:fig1.png)

Table 1 Calculated lattice constants of bulk TaB$_2$ and SiC, and other experimental and theoretical calculation results.

<table>
<thead>
<tr>
<th></th>
<th>TaB$_2$</th>
<th>SiC</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>a (Å)</td>
<td>c (Å)</td>
</tr>
<tr>
<td>Present</td>
<td>3.102</td>
<td>3.261</td>
</tr>
<tr>
<td>Exp.   [29]</td>
<td>3.10</td>
<td>3.23</td>
</tr>
</tbody>
</table>

3.2 slab thickness and surface energy
The (0001) plane of TaB$_2$ was chosen for the fact that TaB$_2$ is a hexagonal crystal, the (0001) plane has the highest density of atomic packing with better stability, and has been investigated extensively [27, 33, 34]. Considering the polar characteristics of the TaB$_2$(0001) plane, it well be terminated by one kind atom at one side only, thence, the TaB$_2$(0001) slab with the same terminal atom at two sides should be adopted to prevent the dipole effect, as presented in Fig. 2 (a) and (b). Researches on SiC have shown that the (111) plane of SiC tends to be exposed to the outside [35,36]. Theoretically, SiC(111) slab should also consider the dipole effect caused by the difference between upper and lower surface terminal atoms. However, the study by Li et al. [37] confirmed that the number of dangling bonds has a greater impact on the stability of SiC(111) surface than the dipole effect. Therefore, as presented in Fig. 2 (c) and (d), an even atomic layer SiC(111) slab with fewer dangling bonds is adopted in this work, ignoring the dipole effect.

Fig. 2 Diagrammatic sketch of the TaB$_2$(0001) and SiC(111) slabs with different termination atoms, (a) and (b) are TaB$_2$(0001) slabs terminated by Ta and B atoms, respectively; (c) and (d) are SiC (111) slabs terminated by Si and C atoms, respectively.

The TaB$_2$(0001) and SiC(111) slabs should have sufficient thickness to achieve a bulk-like interior and ensure accurate calculation results. Undoubtedly, a slab with more atom layers could improve the accuracy of calculation results, but it will cost additional
computational resources, so the minimum number of the atomic layers essential for the Ta or B terminated TaB<sub>2</sub>(0001) slabs determined by observing the changing trend of the distance between adjacent atomic layers with respect to the number of atomic layers after relaxation. The alteration in atomic spacing is calculated by Eq (1):

\[ \Delta_{ij} = \frac{d_{ij} - d_{ij}^0}{d_{ij}^0} \times 100\% \]  

(1)

Where \(d_{ij}^0\) and \(d_{ij}\) are the distances between adjacent \(i\) and \(j\) atomic layers before and after relaxation.

As shown in Table 2, variation of the distance between adjacent atomic layers in Ta- and B- terminated TaB<sub>2</sub>(0001) surfaces are different. For the Ta terminated surface, the changes of the layer spacing mainly occur between the first and the second layer, and the variation in all slabs basically follows the contraction-expansion cycle. While for the surface ends with B atom, changes of the atomic layer spacing are mainly concentrated in \(\Delta 2/3\). Overall, the variation of the interlayer distance in the interior of the Ta- and B- terminated TaB<sub>2</sub>(0001) slabs can be ignored as the number of atomic layers greater than eleven, so the 11- layered Ta- and B- terminated TaB<sub>2</sub>(0001) slabs are selected. For SiC(111) slab, numerous studies have demonstrated that SiC(111) slab with twelve atomic layers is sufficient to show the interior feature of the bulk SiC<sup>[11,13]</sup>.

Table 2 Variation of the spacing between adjacent atomic layers in fully relaxed Ta- and B- terminated TaB<sub>2</sub>(0001) slabs, ± indicates the expansion and contraction of interlayer distance, respectively.

<table>
<thead>
<tr>
<th>TaB&lt;sub&gt;2&lt;/sub&gt; Termination</th>
<th>Interlayer</th>
<th>Number of atomic layers (N)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>3</td>
</tr>
<tr>
<td>Ta</td>
<td>(\Delta 1/2)</td>
<td>-6.66</td>
</tr>
<tr>
<td></td>
<td>(\Delta 2/3)</td>
<td>1.07</td>
</tr>
<tr>
<td></td>
<td>(\Delta 3/4)</td>
<td>1.25</td>
</tr>
<tr>
<td></td>
<td>(\Delta 4/5)</td>
<td>-7.97</td>
</tr>
<tr>
<td></td>
<td>(\Delta 5/6)</td>
<td>1.84</td>
</tr>
<tr>
<td></td>
<td>(\Delta 6/7)</td>
<td>-8.92</td>
</tr>
<tr>
<td></td>
<td>(\Delta 7/8)</td>
<td>2.14</td>
</tr>
<tr>
<td></td>
<td>(\Delta 8/9)</td>
<td>-9.40</td>
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<tr>
<td></td>
<td>(\Delta 9/10)</td>
<td>-2.08</td>
</tr>
<tr>
<td></td>
<td>(\Delta 10/11)</td>
<td>-9.16</td>
</tr>
<tr>
<td>B</td>
<td>(\Delta 1/2)</td>
<td>-2.02</td>
</tr>
<tr>
<td></td>
<td>(\Delta 2/3)</td>
<td>-2.32</td>
</tr>
</tbody>
</table>
3.2 Surface Energy

The stability of a surface is closely related to the type of termination atom. Surface energy ($\gamma_{\text{surf}}$) can be used to describe which kind of atomic-terminated surface is more stable. $\gamma_{\text{surf}}$ can be given by Eq (2):

$$\gamma_{\text{surf}} = \frac{1}{2A} (E_{\text{slab}} - \sum_i N_i \mu_i)$$  \hspace{1cm} (2)

Where $E_{\text{slab}}$ is the total energy of the slab after relaxation, $N$ and $\mu$ represent the number and chemical potential of $i$ atom, respectively, and $A$ is the surface area.

Since the atom types on the above and below surfaces of the TaB$_2$(0001) slab are the same, the ratio of Ta to B in TaB$_2$(0001) slab is not 1:2, which is a non-stoichiometric structure. Thus, the chemical potential of each atom must be considered. Theoretically, the fully relaxed TaB$_2$(0001) slab will be balanced with the bulk TaB$_2$, then the following equations (3) and (4) will exist:

$$\mu_{T_a}^{\text{bulk}} = \mu_{T_a}^{\text{slab}}$$ \hspace{1cm} (3)

$$\mu_{B}^{\text{bulk}} = \Delta H_{f}^{T_aB_2} + \mu_{T_a}^{\text{bulk}} + 2\mu_{B}^{\text{bulk}}$$ \hspace{1cm} (4)

Where $\mu_{T_a}^{\text{bulk}}$ is the total energy of bulk TaB$_2$, $\mu_{T_a}^{\text{slab}}$ and $\mu_{B}^{\text{slab}}$ are the chemical potential of Ta and B, $\mu_{T_a}^{\text{bulk}}$ and $\mu_{B}^{\text{bulk}}$ are the energies of a single atom in bulk Ta and B, respectively. $\Delta H_{f}^{T_aB_2}$ is the formation heat of TaB$_2$.

According to equations (2) to (4), equation (5) will exist:

$$\gamma_{\text{surf}}^{T_aB_2} = \frac{1}{2A} [E_{\text{slab}} - \frac{1}{2} N_B E_{\text{slab}}^{T_aB_2} + \left(\frac{1}{2} N_B - N_{T_a}\right) \left(\mu_{T_a}^{\text{slab}} - \mu_{T_a}^{\text{bulk}}\right) + \left(\frac{1}{2} N_B - N_{T_a}\right) \mu_{T_a}^{\text{bulk}}]$$  \hspace{1cm} (5)

Given that TaB$_2$ bulk is more stable than the respective elementary substance, so the $\mu_{T_a}^{\text{bulk}}$ and $\mu_{B}^{\text{bulk}}$ must be greater than $\mu_{T_a}^{\text{slab}}$ and $\mu_{B}^{\text{slab}}$:

$$\mu_{T_a}^{\text{bulk}} \geq \mu_{T_a}^{\text{slab}}, \quad \mu_{B}^{\text{bulk}} \geq \mu_{B}^{\text{slab}}, \quad \Delta \mu_{B} = \mu_{B}^{\text{slab}} - \mu_{B}^{\text{bulk}} \leq 0$$  \hspace{1cm} (6)
\[ \Delta \mu_{Ta} = \mu_{Ta}^{slab} - \mu_{Ta}^{bulk} \leq 0 \]  

Consequently, the chemical potential range of the tantalum is as follows:

\[ \Delta H_f(TaB_2) \leq \mu_{Ta}^{slab} - \mu_{Ta}^{bulk} \leq 0 \]  

In this work, the calculated formation energy of \( \Delta H_{TaB_2} = -2.067 \text{ eV} \) is very close to the experimental value of 2.0 eV \cite{38} and calculation result of -2.08 eV \cite{27}. Thus, the surface energy of the Ta and B terminated TaB\(_2\)(0001) surfaces can be determined, as shown in Fig. 3. It is evident that the surface energy of the Ta-TaB\(_2\)(0001) and B-TaB\(_2\)(0001) as a function of \( \Delta \mu_{Ta} \). B-TaB\(_2\)(0001) surface has smaller surface energy than that of Ta-TaB\(_2\)(0001) in the Ta-poor side, and the \( \gamma_{surf} \) of B-TaB\(_2\)(0001) surface raised with the increase of \( \Delta \mu_{Ta} \), while the Ta-termination one declined, accordingly, the B-TaB\(_2\)(0001) surface turns into the one with larger surface energy at Ta-rich side. Smaller surface energy means a better stability, so we believe that the B-TaB\(_2\) (0001) surface has a better stability than Ta-TaB\(_2\) (0001). The higher stability of the B-TaB\(_2\)(0001) surface may have a weaker interaction with other surfaces, which will be verified in the subsequent adhesion energy calculation. As presented in Fig. 2, for SiC(111), the atom types on the above and below surfaces are different, so the \( \gamma_{surf} \) of the SiC(111) surface are calculated using the method reported in Ref. \cite{30}. Our calculated \( \gamma_{surf} \) of 4.22 J/m\(^2\) is close to the other given results of 4.16 J/m\(^2\) and 4.33 J/m\(^2\).

Fig. 3 Calculated surface energies of TaB\(_2\)(0001) slabs as a function of the tantalum chemical potential.

3.3 Work of adhesion

As presented in Fig. 4, TaB\(_2\)(0001)/SiC(111) interfaces are constructed by placing
SiC(111) surface on the TaB$_2$(0001) surface and adding a 15 Å vacuum layer. Considering the difference between lattice length of the TaB$_2$(0001) ($d_{Ta-TaB_2(0001)} = 3.1322$ Å, $d_{B-TaB_2(0001)} = 3.1334$ Å) and the SiC(111) ($d_{Si-or C-SiC(111)} = 3.0745$ Å), a slight tensile strain is applied to SiC(111) surface to match the TaB$_2$(0001) surface according to the method of Trivedi$^{[39]}$. Then, we further assume that the interface strain can be ignored in such a coherent interface and the energetics of the interfacial bonding will not be affected.

The interface atoms at SiC side have different stacking positions on TaB$_2$(0001) surface. As exhibited in Fig. 5, taking the interface atoms at SiC side being C atoms as an example to describe the stacking positions. Interfacial C atoms have three (top, center and hollow) or two (top and center) possible stacking sites on Ta-terminated and B-terminated TaB$_2$(0001) surface, respectively. The top site (TS) indicates that interfacial C atoms are situated on the top of the interfacial atoms of TaB$_2$ side (Fig. 5 (a) and (d)); The center site (CS) indicates that interfacial C atoms are located in the center position of interfacial atoms of TaB$_2$ side (Fig. 5 (b) and (e)); While the hollow site (HS) represents that interfacial C atoms are directly placed on the second layer atoms of TaB$_2$ side, as presented in Fig. 5 (c). When the interfacial atom of SiC side is Si, the stacking site is similar to the case of C. Thereby, totally ten interface
configurations (Ta-(TS, CS, HS)-C, Ta-(TS, CS, HS)-Si, B-(TS, CS)-C, B-(TS, CS)-Si) were investigated, where the first and last elements denote the terminal atom of the TaB\textsubscript{2} and SiC slabs, respectively, and the middle part is the stacking position.

For different TaB\textsubscript{2}/SiC configurations, bonding strength is the primary factor that must be considered. Bonding strength can be evaluated by the work of adhesion ($W_{ad}$), which can be defined as the difference in energy between the system in which the surfaces are free to another in which they are in contact, forming the interface. $W_{ad}$ be determined according to Eq. (9)\textsuperscript{[40]}:

$$W_{ad} = \frac{(E_{slab}^{SiC} + E_{slab}^{TaB2} - E_{interface}^{TaB2/SiC})}{A} \tag{9}$$

Where $E_{slab}^{SiC}$ and $E_{slab}^{TaB2}$ denote the energies of the separated twelve-layer SiC(111) and eleven-layer TaB\textsubscript{2}(0001) slabs with the same lattice parameter as TaB\textsubscript{2}/SiC interface, respectively. $E_{interface}^{TaB2/SiC}$ is the energy of TaB\textsubscript{2}/SiC interface system, and $A$ refers to the interfacial area.

To determine the appropriate spacing distance ($d_0$) between TaB\textsubscript{2}(0001) and SiC(111) slabs, the interface distance between two slabs is manually adjusted from 0.8 Å to 3.0 Å, and then the relationship between separate distance ($d_0$) and work of adhesion ($W_{ad}$)
was obtained. As it can be seen from Fig. 6, for all unrelaxed interfaces, $W_{ad}$ is a function of separation distance ($d_0$) with parabolic-like. The optimal $d_0$ is the abscissa corresponding to the maximum value of the ordinate in each $W_{ad}$ versus $d_0$ profile. Generally, a larger $W_{ad}$ means higher bonding strength and better stability of the interface, so the configurations of Ta-CS-C, Ta-HS-Si, B-CS-C and B-TS-Si have better stability than other structures.

![Graphs showing $W_{ad}$ versus $d_0$ for different interfaces.](image)

Fig. 6 Interfacial work of adhesion ($W_{ad}$) versus spacing distance ($d_0$) between TaB$_2$ and SiC slabs.

The equilibrium states of the ten TaB$_2$/SiC interfaces are also calculated by fully relaxing the interface geometries. The $W_{ad}$ and $d_0$ of the ten TaB$_2$/SiC interfaces before and after relaxation are summarized in Table 3 for comparison. Difference in bonding strength of the interfaces with different stacking positions and terminal atoms can be noticed. Besides, the larger $W_{ad}$ always appears in the interface with the smaller spacing distance. All of these demonstrate that the termination atom and stacking position are the key factors affecting the bonding strength. Comparing with the unrelaxed configurations, the interface spacing becomes shorter and the bonding strength
becomes larger after optimization, the reduced distance between TaB₂ and SiC slabs thereby enhancing their interaction. This is the reason why the $W_{ad}$ of all interfaces becomes larger after relaxation. It is worth noting that the $W_{ad}$ of the interfaces with B termination at TaB₂ side is smaller than the Ta-terminated ones, which is consistent with the B-TaB₂(0001) surface energy analysis result. Among them, the relaxed Ta-CS-C, Ta-HS-Si, B-CS-C and B-TS-Si configurations exhibit the largest $W_{ad}$ of 6.04 J/m², 5.84 J/m², 4.73 J/m² and 4.47 J/m², respectively.

Table 3 Interfacial spacing distance $d_0$ and bonding strength between TaB₂ and SiC slabs in all configurations before and after relaxation.

<table>
<thead>
<tr>
<th>TaB₂(0001)</th>
<th>SiC(111)</th>
<th>Stacking sites</th>
<th>Interface model</th>
<th>Unrelaxed $d_0$</th>
<th>$W_{ad}$</th>
<th>Relaxed $d_0$</th>
<th>$W_{ad}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ta-termination</td>
<td>C-termination</td>
<td>Top</td>
<td>Ta-TS-C</td>
<td>2.20</td>
<td>5.24</td>
<td>2.190</td>
<td>5.98</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Center</td>
<td>Ta-CS-C</td>
<td>2.00</td>
<td>5.41</td>
<td>1.977</td>
<td>6.04</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Hollow</td>
<td>Ta-HS-C</td>
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<td>5.04</td>
<td>1.857</td>
<td>5.83</td>
</tr>
<tr>
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<td>Top</td>
<td>Ta-TS-Si</td>
<td>2.80</td>
<td>3.39</td>
<td>2.697</td>
<td>4.16</td>
<td></td>
</tr>
<tr>
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<tr>
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<td>1.863</td>
<td>5.84</td>
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</tr>
<tr>
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<td>C-termination</td>
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<tr>
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<td>2.059</td>
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<td>4.36</td>
<td>2.054</td>
<td>4.43</td>
<td></td>
</tr>
</tbody>
</table>

3.4 Interfacial energy

Interfacial energy ($\gamma_{int}$) is always employed to describe the thermodynamic stability of an interface, and can be regarded as the difference between surface energies of two slabs, and the work of adhesion. The lower interfacial energy implies the interface is energetically more favorable. $\gamma_{int}$ can be computed by\footnote{41}:

$$\gamma_{int} = \gamma_{TaB_2}^{surf} + \gamma_{SiC}^{surf} - W_{ad} \quad (10)$$

Where $\gamma_{TaB_2}^{surf}$ and $\gamma_{SiC}^{surf}$ denote the TaB₂(0001) and SiC(111) slab surface energy, respectively, and $W_{ad}$ is the work of adhesion.

The relationship between interfacial energy and $\mu_{Ta}^{slab} - \mu_{Ta}^{bulk}$ of the ten TaB₂/SiC interfaces were shown in Fig. 7, and the $\gamma_{int}$ values of each configuration were summarized in Table 4. Clearly, the interfacial energies as a function of $\mu_{Ta}^{slab} - \mu_{Ta}^{bulk}$ within the range of $\Delta \mu_{Ta}$, the interfacial energies of the interfaces with Ta termination
at TaB₂ side decrease monotonously, which is opposite to that of B terminated interfaces. The Ta-CS-C and B-CS-C interfaces have the lowest interfacial energies of 0.323~2.272 J/m² and 0.313~2.612 J/m², respectively. Combined with the calculation results of the $W_{ad}$, it can be determined that the Ta-CS-C and B-CS-C are the most preferred and stable configurations due to their smallest interfacial energy and largest adhesion energy.

![Graph showing interfacial energies of ten TaB₂/SiC interfaces versus chemical potential of tantalum.](image)

**Fig. 7** Interfacial energies of the ten TaB₂/SiC interfaces versus chemical potential of tantalum.

<table>
<thead>
<tr>
<th>Configuration</th>
<th>Interfacial energy (J/m²)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ta-TS-C</td>
<td>0.383~2.332</td>
</tr>
<tr>
<td>Ta-CS-C</td>
<td>0.323~2.272</td>
</tr>
<tr>
<td>Ta-HS-C</td>
<td>0.538~2.482</td>
</tr>
<tr>
<td>Ta-TS-Si</td>
<td>2.203~4.152</td>
</tr>
<tr>
<td>Ta-CS-Si</td>
<td>1.293~3.242</td>
</tr>
<tr>
<td>Ta-HS-Si</td>
<td>0.523~2.472</td>
</tr>
<tr>
<td>B-TS-C</td>
<td>1.793~3.372</td>
</tr>
<tr>
<td>B-CS-C</td>
<td>0.313~2.612</td>
</tr>
<tr>
<td>B-TS-Si</td>
<td>0.573~2.872</td>
</tr>
<tr>
<td>B-CS-Si</td>
<td>0.613~2.912</td>
</tr>
</tbody>
</table>

**Table 4** Interfacial energy values of each interface configurations

### 3.5 Electronic structure

The stability and mechanical properties of the Ta-CS-C and B-CS-C structures are
closely related to the interfacial electronic interaction. Therefore, calculation of the charge density distribution, charge density difference and partial density of states (PDOS) were performed. Fig. 8 shows the charge density distribution ((a) and (b)) and charge density differences ((c) and (d)) of the Ta-CS-C and B-CS-C interfaces, respectively. It can be seen from Fig. 8 (a) and (b) that the Ta-C chemical bonds formed between interfacial C and Ta atoms in Ta-CS-C, while the C-B chemical bonds formed between interfacial C and B atoms in B-CS-C. Inspecting Fig. 8 (c) that the electron density between C and Ta is small, and the partial valence electron of the interfacial Ta in the direction C and Ta are lost, which is the characteristic of ionic bonds. For the B-CS-C interface (Fig. 8 (d)), the charge transfer characteristics is completely different from the Ta-CS-C, a significant charge accumulated at the interstitial region between interfacial C and B atoms, indicating that typical covalent bonds formed between B and C in B-CS-C interface.

(a)  (b)

(c)  (d)

Fig. 8 Charge density distribution ((a) and (b)) and charge density difference((c) and (d)) through (110) for Ta-CS-C and B-CS-C interfaces.
For the purpose of further illustrating the electronic interaction and bonding feature of the Ta-CS-C and B-CS-C interfaces, the layer-projected partial density of states (LPDOS) is performed, as shown in Fig. 9. A grid meshing of $21 \times 21 \times 1$ was applied to improve the accuracy. Noting that the total density of states (TDOS) curves of the Ta-CS-C and B-CS-C interfaces are very similar, which means that they have similar electronic structures. Besides, both Ta-CS-C and B-CS-C interfaces have metallic properties, this can be proved by the peak of TDOS curve at Fermi level.

For the Ta-CS-C interface (Fig. 9 (a)), the orbital hybridization of the interfacial C-$p$ and Ta-$d$ orbits was observed in the range of -10 eV ~ -0 eV, the density of states peaks of interfacial C-$p$ in this range are sharper than that of inner atoms, while Ta-$d$ are flatter, which means that the ionic bonds exist between interfacial Ta and C atoms. Compared with the 3$^{rd}$ C and Ta atoms, the interfacial C-$s$ have a higher state at -12 eV, while the interfacial Ta-$d$ have a lower state at here, which also confirms the existence of ionic bond. In addition, the interfacial C-$p$ and Ta-$d$ have the larger DOS values than those of inner atoms in the range from -1.5 eV to Fermi level, which indicates that they also have certain metallic bond component. Therefore, the ionic and metallic bonds coexist in Ta-CS-C interface. As for the B-CS-C interface (Fig. 9 (b)), due to the interaction of interfacial atoms, the partial density state curve of the atoms near the interface is different from that of internal atoms, especially the interfacial C and B atoms. The obvious overlapping state of interfacial C and B atoms can be found in the range of -12 eV to 0 eV, and the identical peaks appear at -10.5 eV, -6.7 eV, -4 eV and -1 eV, indicating that covalent bonds formed between interfacial C and B atoms. Meanwhile, it is also noticed that the $d$ orbital of the 2$^{nd}$ layer Ta atoms of TaB$_2$ side is significantly different from the 4$^{th}$ layer Ta atoms in the vicinity of the Fermi level, and a peak corresponding to the interfacial C and B atoms appear at -1 eV, which proves that covalent bond also formed between interfacial C atoms of SiC side between 2$^{nd}$ layer Ta atoms. In all, covalent bond played a dominant role in B-CS-C interface.
Fig. 9 Layer-projected partial density of states (LPDOS) for relaxed TaB$_2$(0001)/SiC(111) interfaces. (a) Ta-CS-C; (b) B-CS-C. The dotted line indicates the location of the Fermi level.

4. Conclusions

In this present work, ten TaB$_2$/SiC interfaces were constructed in the consideration of different terminations and stacking sites. The atomic structure, bonding strength, stability and electronic feature of the TaB$_2$(0001)/SiC(111) interfaces were analyzed by examining the adhesion energy, interfacial energy, and bonding nature. With the help of first-principles study, it was found that termination atom and stacking position are the key factors affecting the bonding strength and stability of an interface, the Ta-TaB$_2$/C-SiC centre-site stacked (Ta CS-C) and B- TaB$_2$/C-SiC center-site stacked (B-CS-C) configurations are significantly more stable than any others, which are the most preferred interface structures. By performing the charge density difference and partial density of states (PDOS) analysis, electronic interactions in Ta-CS-C and B-CS-C interfaces were revealed, and confirming that ionic and metallic bonds coexisted in Ta-CS-C interface, while covalent bond played a dominant role in B-CS-C interface. In all, comparing the bonding strength and stability of each interface, we believe that Ta-CS-C and B-CS-C interfaces are more likely to appear in the TaB$_2$-SiC coating.
Acknowledgments
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Figures

The crystal structures of TaB₂ (a) and SiC (b).

Figure 1

The crystal structures of TaB₂ (a) and SiC (b).
Diagrammatic sketch of the TaB2(0001) and SiC(111) slabs with different termination atoms, (a) and (b) are TaB2(0001) slabs terminated by Ta and B atoms, respectively; (c) and (d) are SiC (111) slabs terminated by Si and C atoms, respectively.
Figure 2

Diagrammatic sketch of the TaB2(0001) and SiC(111) slabs with different termination atoms, (a) and (b) are TaB2(0001) slabs terminated by Ta and B atoms, respectively; (c) and (d) are SiC (111) slabs terminated by Si and C atoms, respectively.
Figure 3

Calculated surface energies of TaB2(0001) slabs as a function of the tantalum chemical potential.
Figure 3

Calculated surface energies of TaB2(0001) slabs as a function of the tantalum chemical potential.
Figure 4

Schematic diagram of TaB2(0001)/SiC(111) interface.
Possible interfacial atomic structures of the TaB2(0001)/SiC(111) interface: (a)-(c) are the possible positions of C atoms on Ta-terminated TaB2(0001) surface, (d) and (e) are the possible positions of C atoms on B-terminated TaB2(0001) surface.

Figure 5

Possible interfacial atomic structures of the TaB2(0001)/SiC(111) interface: (a)-(c) are the possible positions of C atoms on Ta-terminated TaB2(0001) surface, (d) and (e) are the possible positions of C atoms on B-terminated TaB2(0001) surface.
Figure 6

Interfacial work of adhesion (Wad) versus spacing distance (d0) between TaB2 and SiC slabs.
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Interfacial work of adhesion (Wad) versus spacing distance (d0) between TaB2 and SiC slabs.
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Layer-projected partial density of states (LPDOS) for relaxed TaB2(0001)/SiC(111) interfaces. (a) Ta-CS-C; (b) B-CS-C. The dotted line indicates the location of the Fermi level.
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