Prognostic impact of advanced lung cancer inflammation index (ALI) on patients with adenocarcinoma of esophagogastric junction (AEG) after radical resection

Qianchao Liao  
Shantou University Medical College

Zifeng Yang  
Guangdong Academy of Medical Science

Xu Hu  
Affiliated Hospital of Guangdong Medical University

Chengbin Zheng  
Jieyang People's Hospital

Huolun Feng  
Guangdong Academy of Medical Science

Zhenru Deng  
Guangdong Academy of Medical Science

Jiabin Zheng  
Guangdong Academy of Medical Science

Junjiang Wang  
Guangdong Academy of Medical Science

Weixian Hu  
Guangdong Academy of Medical Science

Yong Li (liyong@gdph.org.cn)  
Guangdong Academy of Medical Science

Research Article

Keywords: Adenocarcinoma of esophagogastric junction, advanced lung cancer inflammation index, prognosis, overall survival, disease-free survival

Posted Date: November 5th, 2021

DOI: https://doi.org/10.21203/rs.3.rs-1020724/v1
Abstract

Background

To evaluate the prognostic impact of the advanced lung cancer index (ALI) in patients with the adenocarcinoma of esophagogastric junction (AEG) after radical resection.

Methods

The data of patients with AEG after radical resection at Guangdong Provincial People's Hospital from January 2008 to December 2018 were retrieved. The cutoff value of ALI was determined and the prognostic impact of clinicopathological factors and ALI were analyzed. A nomogram based on the independent prognostic factors for overall survival was then built.

Results

A total of 147 patients were eligible and based on a cutoff of ALI 43.1, 90 (61.2%) and 57 (38.8%) patients were classified in a low- (ALI<43.1) and high-ALI (ALI≥43.1) group. Multivariate Cox proportional hazard analysis showed that low-ALI was associated with poor overall survival (OS) (p<0.001, HR 2.541, 95%CI 1.408-4.410) and disease-free survival (DFS) (p=0.021, HR 1.789, 95%CI 1.020-2.674). In subgroup analysis, low-ALI was independent predictor for OS (p=0.001, HR 2.628, 95%CI 1.467-4.707) in stage III/IVA AEG patients. A nomogram for OS estimation was constructed and the C-index was 0.699 (95%CI 0.636-0.762) and the calibration plots showed satisfactory consistency between actual observation and nomogram-predicted OS probabilities. Further, satisfactory predictive accuracy for 1-, 2-, and 3-year OS rates with an area under the curve of 0.736, 0.712 and 0.697, respectively, was observed.

Conclusions

ALI was an independent prognostic factor for AEG patients after radical resection, and demonstrated promising ability for risk stratification of AEG, especially in advanced-stage disease.

Introduction

In recent decades, the incidence of the adenocarcinomas of esophagogastric junction (AEG) has increased worldwide[1–3]. Based on the Siewert classification[4], AEG is defined as a tumor with an epicenter crossing 5cm above or below the esophagogastric junction. Despite multimodality treatment strategies, the prognosis of AEG, especially advanced stage AEG, remains poor[5, 6].

The TNM classification, related biomarkers and clinicopathological factors are essential indications affecting the prognosis of AEG[7–10]. An improved and individualized prognostic model could further
help to stratify the risk of these patients and better guide their clinical management.

Recently, increasing evidence on systemic inflammation and immune response illustrated their important roles in tumor progression and impact on patients’ survival\[11, 12\]. Preoperative laboratory blood tests including CRP, neutrophilic cells, platelets count, albumin levels are reported as proxy for patients’ inflammatory status\[13–16\]. Preoperative inflammatory indices such as the neutrophile/lymphocyte ratio (NLR), platelet/lymphocyte ratio (PLR), Glasgow prognostic score (GPS) had been demonstrated to influence prognosis on different types of tumors\[17–20\].

Recently, the advanced lung cancer inflammatory index (ALI) was reported as an independent marker of poor outcomes in patient with advanced non-small-cell lung cancer\[21\]. ALI combines BMI, albumin level and NLR into its evaluation. Compared with NLR which mainly reflects patients’ inflammatory status, BMI and serum albumin are suggested as biomarker for nutritional condition\[22, 23\]. Hence, ALI could have a better prospect as a conventional clinical biomarker for simultaneously evaluating both two aspects. The significance of ALI in nasopharyngeal cancer, large B cell lymphomas and esophageal cancer have been studied \[24–26\], however to our knowledge, the clinical value of ALI on purely AEG patients had not yet been established.

In this study, we aim to assess the clinical significance of ALI in patients with AEG after radical resection and developed a model for easy clinical implementation to better guide their management. We present the following article in accordance with the STROBE reporting checklist.

**Methods**

**Patients**

The records of patients with AEG who underwent radical resection at Guangdong Provincial Peoples’ Hospital (Guangzhou, China), from January 2008 to December 2018, were assessed. Inclusion criteria included: 1. a pathological diagnosis of adenocarcinoma; 2. underwent radical surgical resection (R0); 3. the tumor epicenter was located within 5cm above or below the esophagogastric junction and tumor invaded the EG junction; 4. absence of distant metastasis; 5. had completed clinical and follow-up data. Exclusion criteria were: 1. concomitant malignancy. 2. Clinical or follow-up data incomplete.

Patients’ clinicopathologic data were extracted from the hospitals inpatient management system and included age, sex, body mass index (BMI), Siewert classification, T stage, lymph node metastasis, lymphovascular invasion (LVI), perineural invasion (PNI), differentiation, tumor size and ALI. The Siewert subtype classification was defined based on the patient’s preoperative gastroscopy, computed tomography, digestive tract radiography and postoperative specimen pathological report. Regular postoperative follow-up was performed. Patients were observed at 3-month intervals for 2 years after surgery, and every 6 months for the 3rd to 5th year by reviewing hospital records, via outpatient clinics and telephone interviews. Pathological staging was based on the 8th edition of the AJCC TNM classification.
All patients provided signed informed consent for using their data anonymously for research purposes. The study was performed in accordance with the standards of the Declaration of Helsinki.

**Laboratory test**

The patients’ weight and height were routinely measured after admission. Blood samples were taken within 1 week of surgery. ALI was calculated using the following formula: \( \text{ALI} = \text{BMI} \times \text{serum albumin (Alb: g/dL)}/(\text{absolute neutrophil count/ absolute lymphocyte count} \ [\text{NLR}]) \), BMI was calculated using the formula: \( \text{weight (kg)}/(\text{height [m]}^2) \) [21].

**Statistical analyses**

Continuous variables are expressed as the mean± SD, and categorical variables were reported as frequencies with percentages. For comparing the correlation between ALI and other clinicopathological factors, the Student’s t-test or Mann-Whitney U test was used for continuous variables, whereas the \( \chi^2 \) test or Fisher's test was used to compare categorical data. Patients’ information about ALI, tumor size and overall survival (OS) time were added to the X-tile software (version 3.6.1)[27] for estimating the optimal cutoff value respectively.

Kaplan-Meier method was used to construct the survival curves and were compared by log-rank test. The Cox proportional hazards method was used to compare the HR for death and recurrence. OS was defined as the time between the date of surgery until death from any reasons or last contact. Disease-free survival (DFS) was defined as the time between the date of surgery until tumor recurrence or death from any reasons or the last contact. The clinicopathological variables with a \( p \leq 0.10 \) in univariate analysis were selected for multivariate analysis by Cox regression models. Independent variables from multivariate analyses for OS with a \( p \leq 0.05 \) were used to developed a nomogram to predict the 1-, 2-, and 3-year OS survival rates. A C-index value in the range of 0.5-1.0 between predicted and actual outcomes was used to evaluate the predictive ability and discriminative ability of the nomogram model. Calibration plots were used to assess the fitting degree of the nomogram and the relative operating characteristic (ROC) curve with AUC was used to evaluate the discriminative and predictive ability. The statistical analysis was performed using the SPSS (version 22, Chicago, IL, USA), R (www.R-project.org, version 3.6.3) and GraphPad Prism (version 8.2.1) software.

**Results**

**Study population characteristics**

This study comprised of 147 patients, of whom 102 (69.4%) patients were male. The mean age was 62.54 ± 9.295 (range, 30-83) years. Twenty (13.6%) patients received preoperative treatment while 63 (42.9%) had adjuvant therapy. As for anatomic classification, 6 (4.1%) patients were classified as Siewert type I, 73 (49.7%) as Siewert type II, and 68 (46.2%) as Siewert type III.
For tumor resection, the use of the transabdominal or thoracic approach was determined by preoperative examination findings and surgeons’ experience. Sixty (40.8%) patients underwent the transthoracic approach while 87 (59.2%) underwent the transabdominal approach. As for pathological factors, 65 (44.2%) patients had poor differentiation. Perineural invasion and lymphovascular invasion were present in 93 (63.3%) and 59 (36.2%) patients. The cutoff value for tumor size was 3.3cm, 112 (76.2%) patients were in a larger size group. 119 (80.1%) patients had deeper tumor invasion (pT3-4) and 70 (47.6%) patients had more than 2 positive lymph nodes metastasis (pN2-3).

The determined cutoff value using the X-tile software for ALI was 43.1. Patients with ALI lower than 43.1 were classified into a low-ALI group (n = 90 [61.2%]) while those with ALI higher than 43.1 into a high-ALI group (n = 57 [38.8%]). The correlation between the clinicopathological factors and ALI is shown in Table 1. More patients with low ALI had larger tumor size (p=0.011). Patients in the high-ALI group had higher preoperative BMI (p<0.001), albumin level (p<0.001), and NLR (p<0.001).
### Table 1

#### Clinicopathological factors for patients with AEG

<table>
<thead>
<tr>
<th></th>
<th>N</th>
<th>ALI</th>
<th><strong>p</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Low-ALI (≥43.1)</td>
<td>High-ALI (≥43.1)</td>
</tr>
<tr>
<td><strong>Age</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;65</td>
<td>85</td>
<td>47</td>
<td>38</td>
</tr>
<tr>
<td>≥65</td>
<td>62</td>
<td>43</td>
<td>19</td>
</tr>
<tr>
<td><strong>Sex</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>102</td>
<td>63</td>
<td>39</td>
</tr>
<tr>
<td>Female</td>
<td>45</td>
<td>27</td>
<td>18</td>
</tr>
<tr>
<td><strong>Preoperative therapy</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>127</td>
<td>76</td>
<td>51</td>
</tr>
<tr>
<td>Yes</td>
<td>20</td>
<td>14</td>
<td>6</td>
</tr>
<tr>
<td><strong>Postoperative therapy</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>84</td>
<td>46</td>
<td>38</td>
</tr>
<tr>
<td>Yes</td>
<td>63</td>
<td>44</td>
<td>19</td>
</tr>
<tr>
<td><strong>Siewert classification</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>I/II</td>
<td>79</td>
<td>44</td>
<td>35</td>
</tr>
<tr>
<td>III</td>
<td>68</td>
<td>46</td>
<td>22</td>
</tr>
<tr>
<td><strong>Surgical Approach</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transthoracic</td>
<td>60</td>
<td>38</td>
<td>22</td>
</tr>
<tr>
<td>Transabdominal</td>
<td>87</td>
<td>52</td>
<td>35</td>
</tr>
<tr>
<td><strong>Differentiation</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poor</td>
<td>65</td>
<td>38</td>
<td>27</td>
</tr>
<tr>
<td>Moderate/well</td>
<td>82</td>
<td>52</td>
<td>30</td>
</tr>
<tr>
<td><strong>Perineural invasion</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Absent</td>
<td>54</td>
<td>33</td>
<td>21</td>
</tr>
<tr>
<td>Present</td>
<td>93</td>
<td>57</td>
<td>36</td>
</tr>
<tr>
<td><strong>Lymphovascular invasion</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Absent</td>
<td>88</td>
<td>55</td>
<td>33</td>
</tr>
<tr>
<td>Present</td>
<td>59</td>
<td>35</td>
<td>24</td>
</tr>
<tr>
<td><strong>Tumor size(cm)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;3.3</td>
<td>35</td>
<td>15</td>
<td>20</td>
</tr>
<tr>
<td>≥3.3</td>
<td>112</td>
<td>75</td>
<td>37</td>
</tr>
<tr>
<td><strong>Tumor invasion(pT)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>pT1-2</td>
<td>28</td>
<td>13</td>
<td>15</td>
</tr>
<tr>
<td>pT3-4</td>
<td>119</td>
<td>77</td>
<td>42</td>
</tr>
<tr>
<td><strong>Lymph nodes metastasis(pN)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>pN0-1</td>
<td>77</td>
<td>50</td>
<td>27</td>
</tr>
<tr>
<td>pN2-3</td>
<td>70</td>
<td>40</td>
<td>30</td>
</tr>
<tr>
<td><strong>p</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Low-ALI (≥43.1)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>High-ALI (≥43.1)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Table 1. Clinicopathological factors for patients with AEG

<table>
<thead>
<tr>
<th></th>
<th>Low ALI (n=93)</th>
<th>High ALI (n=58)</th>
<th>P-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>BMI (kg/m²)</td>
<td>21.24 (3.61)</td>
<td>23.57 (5.83)</td>
<td>0.001</td>
</tr>
<tr>
<td>Alb (g/L)</td>
<td>36.20 (4.28)</td>
<td>39.40 (4.60)</td>
<td>0.001</td>
</tr>
<tr>
<td>NLR</td>
<td>2.55 (1.03)</td>
<td>1.61 (0.69)</td>
<td>0.001</td>
</tr>
</tbody>
</table>

ALI=Advanced lung cancer inflammation index

BMI=Body mass index; Alb=Albumin; NLR=Neutrophil-lymphocyte ratio

BMI, Alb and NLR are illustrated as median (IQR)

Cox regression analyses

By the time of follow-up, the median follow-up time was 63 months (mean±SD, 68.74±35.825), 72 (49.0%) patients had died, 53 (58.9%) in the low-ALI group and 19 (33.3%) in the high-ALI group. The median OS for the low- and high-ALI group was 43 months and 87 months. The 1, 3, and 5-year OS rate was 84.4%, 58.6%, and 45.8% respectively for the low-ALI group, while 93.0%, 74.7%, and 64.5% for the high-ALI group (Fig. 1A). The median DFS time was 34 months for the low-ALI group but was not reached for the high-ALI group. The 1, 3, 5-year DFS rate for low- and high-ALI group was 74.4%, 47.1%, 43.8%, and 84.2%, 64.3%, 54.8%, respectively (Fig. 1B).

Univariate analysis for OS indicated that tumor invasion (pT) (p=0.03, HR 2.261, 95%CI 1.083-4.720), lymph node metastasis (pN) (p=0.008, HR 1.894, 95%CI 1.185-3.029), poor differentiation (p=0.004, HR 1.998, 95%CI 1.253-3.188), perineural invasion (p=0.002, HR 2.435, 95%CI 1.396-4.246), lymphovascular invasion (p=0.002, HR 2.106, 95%CI 1.322-3.356), tumor size (p=0.003, HR 2.883, 95%CI 1.421-5.851) and low-ALI (p=0.005, HR 2.133, 95%CI 1.261-3.605) were associated with poor OS. In multivariate analysis, poor differentiation (p=0.001, HR 2.134, 95%CI 1.427-3.752), lymphovascular invasion (p=0.006, HR 1.948, 95%CI 1.209-3.319), tumor size (p=0.011, HR 2.543, 95%CI 1.240-5.212) and low-ALI (p=0.001, HR 2.541, 95%CI 1.408-4.410) were independent risk factors for poor OS. Cox proportional hazard analysis was also performed for predictors of DFS. Low differentiation (p=0.005, HR 1.942, 95%CI 1.224-3.082), lymphovascular invasion (p=0.009, HR 1.926, 95%CI 1.175-3.157), tumor size (p=0.019, HR 2.259, 95%CI 1.140-4.474) and ALI (p=0.021, HR 1.789, 95%CI 1.092-2.932) were identified as independent risk factors for poor DFS (Table 2).
Table 2

Table 2. Multivariate analysis of clinicopathological factors for OS and DFS in patients with AEG

<table>
<thead>
<tr>
<th></th>
<th>OS</th>
<th></th>
<th></th>
<th>DFS</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>HR 95% CI</td>
<td>P</td>
<td>HR 95% CI</td>
<td>P</td>
<td>HR 95% CI</td>
<td>P</td>
</tr>
<tr>
<td>Differtiation (vs.moderate-well differentiation)</td>
<td>2.314 1.427-3.752</td>
<td>0.001*</td>
<td>1.942 1.224-3.082</td>
<td>0.005*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lymphovascular invasion (vs.absent)</td>
<td>1.948 1.209-3.319</td>
<td>0.006*</td>
<td>1.926 1.175-3.157</td>
<td>0.009*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tumor size (vs.3.3cm)</td>
<td>2.543 1.240-5.212</td>
<td>0.011*</td>
<td>2.259 1.140-4.474</td>
<td>0.019*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ALI (vs.high-ALI)</td>
<td>2.541 1.408-4.410</td>
<td>0.001*</td>
<td>1.789 1.092-2.932</td>
<td>0.021*</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* p value is statistically significant, p<0.05

Construction of the nomogram for OS

Poor differentiation, lymphovascular invasion, tumor size, and low-ALI were the independent prognostic factors for OS used to construct the nomogram (Fig. 2). The C-index for the OS predicting nomogram of patients with AEG was 0.699 (95%CI 0.636-0.762). The dotted line in the calibration plot represented the predictive value of the nomogram, while the colorful line represented the actual 1-, 2- and 3-year OS rates. The more cohesive the colorful line to the dotted line, the more precise the nomogram’s predictive capability. As shown in Fig. 3A, the colorful lines fluctuated above and below the dotted line, indicating a reliable predictive value of the nomogram. Besides, the AUC for the 1-, 2-, and 3-year OS were 0.736, 0.712, and 0.697 respectively, indicating acceptable discrimination of this nomogram (Fig. 3B).

Subgroup survival analyses of ALI in different stage patients

Next, we evaluated whether ALI could be a predictor for OS or DFS in different stage AEG. We found that ALI is also independent prognostic predictor for stage III/IVA AEG patients. For these patients, the median OS time was 33 months in the low-ALI group and 87 months in the high-ALI group. 1,3,5-year overall survival rate was 81.4%, 47.7% and 31.0% respectively for low-ALI group, while 92.3%, 68.6% and 58.1% for high-ALI group (Fig. 1C). The median disease-free survival time was 27 months in the low-ALI group
and 45 months in the high-ALI group. 1,3,5-year disease-free survival rate was 71.2%, 35.3%, 33.2% for low-ALI group and 79.5%, 53.5%, 44.1% for high-ALI group (Fig. 1D).

In univariate analysis, ALI was statistically significant in stage III/IVA but not Stage I or II for OS (p=0.005, HR 2.303, 95%CI 1.291-4.108), the p-value for Stage III/IVA did not reach statistical significance for DFS (p=0.086, HR 1.586, 95%CI 0.086). Cox proportional hazard analysis showed that ALI was an independent predictor for OS (p=0.001, HR 2.628, 95%CI 1.291-4.108) but not DFS (p=0.093, HR 1.589, 95%CI 0.944-2.823) in stage III/IVA patients (Table 3).

Table 3

| Table 3. Multivariate analysis of clinicopathological factors for OS and DFS in stage III/IVA patients |
|---------------------------------------------------------------|---------------------------------|-----------------|-----------------|---------------------------------|-----------------|
|                  | OS               |                  | DFS               |                  |
|                  | HR 95% CI P      |                  | HR 95% CI P      |                  |
| Differtiation (vs.moderate-well differentiation) | 1.801 1.051-3.087 0.032* | 1.570 0.935-2.636 0.088 |
| Perineural invasion (vs.absent) | 2.169 1.048-4.489 0.037* | 2.360 1.135-4.908 0.022* |
| Lymphovascular invasion (vs.absent) | 1.350 0.730-2.495 0.339 | 1.525 0.850-2.735 0.157* |
| Tumor size (vs.≤3.3cm) | 1.846 0.713-4.783 0.207 | 2.312 0.898-5.952 0.082 |
| ALI (vs.high-ALI) | 2.628 1.467-4.707 0.001* | 1.589 0.944-2.823 0.093 |

* p value is statistically significant, p<0.05

Discussion

In recent years, there was rising incidence of AEG in western countries and similar trend also found in East Asia, probably for the sake of obesity, gastroesophageal reflux disease and eradication of Helicobacter pylori [1, 28, 29]. AEG had demonstrated different biological behavior and pathological features compared with either gastric or esophageal cancer[30]. It has attracted more attention and has gradually become a distinct tumor category from esophageal and gastric cancer. Thus, we believed deeper understanding of AEG could benefit to better management of these patients.
There was accumulating evidence indicating that inflammatory response and nutritional status playing important role in malignancies\[11, 12, 31–34\]. Several studies had evaluated the inflammatory indexes, including NLR, PLR, GPS, as prognostic predictors in upper gastrointestinal cancers \[35, 36\]. In another hand, poor nutritional status, for example, decrease of preoperative albumin level or low BMI value, had demonstrated as indicators for poor prognosis \[22, 23, 37\]. According to previous study, we thought ALI could be a potential surrogate combining both two dimensions. ALI's prognostic impact had been evaluated in several cancers\[21, 24, 26\] and it also had been showed to be independent predictor in gastric and esophageal cancer. However, in Yin's et al \[38\] study tumor mostly located at gastric body or pylorus and Tan's et al \[25\] study mostly included squamous cell carcinoma of esophagus. Since then, the prognostic value of ALI for patients with AEG remain unclear.

The major finding of this study is the promising prognostic impact of ALI in patients with AEG after radical resection. Compared with the high-ALI group, patients in the low-ALI group had a worse prognosis. Multivariate analyses showed that ALI was an independent risk factor for both OS and DFS. In line with the previous studies in several other malignancies, our study indicated ALI as a feasible predictor for both OS and DFS in patients with AEG after radical resection. Even though several nomogram models had been used for the prediction of prognosis of AEG \[39, 40\], this is the first to incorporate ALI into the survival model of AEG patients after radical resection. The C-index of our propoesd nomogram was 0.699, showing acceptable discriminatory ability.

In this study, besides ALI, poor differentiation, lymphovascular invasion and tumor size were also recognized as independent prognostic factors for AEG. Poor differentiation already been incorporated into the AJCC classification for esophageal cancer as histologic Grade 3. Poor differentiation was also found to be a predictor for deeper tumor invasion and lymph nodes metastasis in gastric cancer\[41, 42\]. Even though not well established in AEG, we believed that the prognostic value of differentiation status deserves more investigation. Lymphovascular invasion, the presence of malignant cell within endothelial-lined space, is correlated with the ability of the cancer to metastasize\[43\]. In line with a previous study, it is also considered as a prognostic factor for AEG\[44\]. Tumor size had been used as a staging method in several solid tumors like lung and breast cancer. Enlarged tumor mass was correlated with increased risk of other adverse pathological features\[45\]. In our study, more patients in low-ALI group had enlarged tumor size (\(\geq 3.3\)) (p=0.003). This might be related to a larger tumor mass inducing more intense inflammatory response and consuming more host nutrition. Moreover, as tumor size increased, it might invade beyond the esophagogastric junction, leading to an increased risk of mediastinal metastasis, a unique feature for patients with AEG.

Another important finding of this study was the prognostic impact of ALI in patients with stage III/IVA AEG. Since Siewert type I and II patients are staged as esophageal cancer, tumor with T4aN2, T4bN0-2 and any T stage with N3 would be staged as stage IVA. Regarding locally advanced disease without distant metastasis, we combined stage IVA patients with stage III patients in the same subgroup for survival analysis. Up to now, the optimal management strategy for AEG is still under debate. Treatment methods had involved preoperative chemoradiotherapy, perioperative chemotherapy or postoperative
chemotherapy regimens based on different RCT results [46–48]. Although multimodality therapy had improved survival outcomes compared to surgery alone, the life expectancy of AEG patients, even after radical resection, remains poor, especially in patients with advanced disease. In East Asia, the ACTS-GC study demonstrated that 1-year of S-1 administration was associated with a 5-year OS rates of 50.2% and 5-year RFS rates of 37.6% in stage IIIB gastric cancer patients, which was worse than stage II or IIIA patients (5-year OS rates, II vs IIIA, 84.2% vs 67.1%, and 5-year RFS rates, II vs IIIA, 79.2% vs 61.4%, respectively) [47]. Our study demonstrated that low-ALI group patients with stage III/IVA had a worse prognosis. The 5-year DFS rates were 44.1% in the high-ALI group and 33.2% in the low-ALI group. The 5-year OS rates were 58.1% and 31.0% in the high-ALI group and the low-ALI group. This suggested that stage III/ IVA AEG patients with low ALI levels might have a greater chance of suffering from tumor relapse and death from any cause. We, therefore, suggest closer follow-up may be needed for patients with low-ALI. Moreover, the interim analysis of the JACCRO GC-07 study indicated that S-1 plus docetaxel had superior oncological outcome than S-1 single agent for stage III gastric cancer [49], suggesting that advanced stage patients could further benefit from the more intensive regimen. Whether the difference in ALI level would lead to different treatment response and help for regimen selection or dose/course adjustment needs further investigation.

Despite the promising findings of this study, there were several limitations worth mentioning. First, this was a retrospective study from a single medical institution and the sample size was relatively small. Second, the distribution of cases was uneven, i.e. relatively fewer number stage I patients, which may have affected the results obtained, to some extent. To overcome these limitations, a multicenter prospective study might be needed to clarify the clinical value of ALI in patients with AEG.

Conclusion

In conclusion, this study identified preoperative ALI as prognostic marker for OS and DFS in patients with AEG after radical resection. Advanced stage AEG patients with low-ALI had worse oncological outcome and assessment of ALI may help for management strategy adjustment.

Abbreviations

ALI
Advanced lung cancer inflammatory index
AEG
Adenocarcinoma of esophagogastric junction
OS
Overall survival
DFS
Disease-free survival
NLR
Neutrophile/lymphocyte ratio
PLR
Platelet/lymphocyte ratio

GPS
Glasgow prognostic score

BMI
body mass index

LVI
lymphovascular invasion

PNI
perineural invasion

Declarations

Ethical approval and consent to participate

The study was approved by both the research ethics committee of Guangdong Provincial People's Hospital and Guangdong Provincial Hospital of Chinese Medicine and was performed in accordance with the standards of the Declaration of Helsinki. Patients admitted to the hospital have signed informed consent forms stating that clinical data during hospitalization can be used for anonymous retrospective studies, and it has been approved by the hospital ethics committee.

Consent for publication

Written informed consent for publication was obtained from all participants.

Availability of data and materials

The datasets used or analysed during the current study are available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

Funding

Project supported by the Science and Technology Planning Project of Guangdong Province of China (No. 2019A050510025).

Authors' contributions

Guarantor of the article: LY. Conceptualization: LY and WJJ; Study design: LQC and YZF; Acquisition of data: HX, ZCB, DZR, FHL; Methodology: LQC and YZF; Formal analysis and interpretation: LQC, YZF;
Writing—original draft preparation: LQC and YZF; Writing—review and editing: LY and WJJ; Statistical analysis: LQC, ZJB. Study supervision: LY and HWX. All authors read and approved the final manuscript.

Acknowledgements

Not applicable

References


20. Song Y, Yang Y, Gao P et al. The preoperative neutrophil to lymphocyte ratio is a superior indicator of prognosis compared with other inflammatory biomarkers in resectable colorectal cancer. *BMC cancer* 17(1), 744 (2017).


**Figures**
Figure 1

Survival curve for patients with AEG. 1A. Overall survival of patients with AEG. 1B. Disease-free survival of patients with AEG. 1C. Overall survival of patients with stage III/IVA AEG. 1D. Disease-free survival of patients with stage III/IVA AEG. The x-axis represents the survival time and y-axis represents the survival rate.
Figure 2

Nomogram to predict the overall survival of AEG. The C-index of this nomogram was 0.699 (95% CI, 0.636-0.762). The nomogram is constructed based on multivariate analysis for OS for the whole study population. Lymphovascular invasion, poor differentiation, tumor size and ALI are identified as independent prognostic indicators, and these factors show combined effect on OS prediction.
Figure 3

Calibration and Relative Operating Curve (ROC) of 1-, 2-, 3-year overall survival prediction. 3A. Calibration curve to validate nomogram model for survival and its C-index was 0.699 (95% CI, 0.636-0.762). 3B. ROC curve of 1-, 2- and 3-year survival prediction, with area under the curve (AUC) 0.736, 0.712 and 0.697. The dotted line in calibration curve represents the predictive value of the nomogram, while colorful lines represent actual value of 1-, 2-, 3-year OS rates, less discrepancy indicating more precise capability.